

The Public Utilities Commission of Ohio

# 13-1377-EL-AGG

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# CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.

You may also download the form, by saving it to your local disk for later use.

A	Applicant's legal name, address, telephone number and web site address	(
		(
L	Legal Name William Brian Batross	
A	Address 8289 Talia Ct Westerville Ohio 43081	(
T	'elephone #_(814) 372-6927 Web site address (if any)	
	List name, address, telephone number and web site address under which vill do business in Ohio	A A
	egal Name Synergy Organization LLC	
A	Address 82389 Talia Ct Westerville Ohio 43081	
A T		
A T	Address 82389 Talia Ct Westerville Onto 43081  elephone #_(614) 372-6927  Web site address (if any)	
A T	Address 82389 Talia Ct Westerville Onto 43081  Celephone #_(614) 372-6927	
A T	Address 82389 Talia Ct Westerville Onto 43081  Celephone #_(614) 372-6927	
A T L S	Address 82389 Talia Ct Westerville Onto 43081  Pelephone #_(614) 372-6927  Web site address (if any)  List all names under which the applicant does business in North America ynergy Organziation LLC	

	Business address 8289 Talia Ct West	erville Ohio 43081		· · · · · · · · · · · · · · · · · · ·	
	Telephone # (614) 372-6927	Fax i	<del>‡</del>		
	Telephone # (614) 372-6927 E-mail address (if any) brian@yours	ynergy.com		<del></del>	
A-5	Contact person for Commi	ssion Staff u	se in investigat	ing customer	complaints
	Name Brian Batross				
	Title Owner				
	Business address Telephone # (614) 372-6927 E-mail address (if any) brian@yo	rville Ohio			
	Telephone # (614) 372-6927	Fay	£ (614) 556-9306		
	F-mail address (if any) brian@vo	ursyneray.com	'	_	
	D man address (it any)	_ <del>-</del>			
A-6	Applicant's address and tol	Lfree numbe	or for customer	· service and c	om plaints
A-U	<del></del>				-
	Customer Service address Toll-free Telephone #				
	Toll-free Telephone #	<u> </u>	Fax #	<del> </del>	
	E-mail address (if any)	····			
A-7	Applicant's federal employ	er identificat	ion number#	462203669	_
A-8	Applicant's form of owners	hip (check o	ne)		
	□ Sole Proprietorship	□ Par	tnership		
	□ Limited Liability Partnership			impany (LLC)	
	□ Corporation		ier		
		20		, , , , , , , , , , , , , , , , , , , ,	
<b>A</b> -9	(Check all that apply) Ide	ntify each el	ectric distributi	ion utility cert	tified territory in
	which the applicant intends t				
	class that the applicant inte				
	mercantile commercial, and				
	4928.01 of the Revised Code, is a part of a national account in one or		tomer wno consum	ies more than 700	J,000 KWn/year or is
	part of a national account in one of	more states).			
	o First Energy				
	□ Ohio Edison	Residential			■ Industrial
	🗆 Toledo Edison	■ Residential	□ Commercial	■ Mercantile	■ Industrial
	□ Cleveland Electric Illuminating		<b>■</b> Commercial	■ Mercantile	🗷 Industrial
	n Cincinnati Gas & Electric	■ Residential	Commercial	Mercantile	n Industrial
	🗖 Monongahela Power	■ Residential	☑ Commercial	Mercantile	■ Industrial
	American Electric Power				
	□ Ohio Power	Residential	z Commercial	Mercantile	Industrial
	a Columbus Southern Power	Residential	Commercial	Mercantile	Industrial
	Dayton Power and Light	Residential	Commercial	Mercantile	Industrial

A-10	Provide the approximate start date that the applicant proposes to begin delivering services
	July 1, 2013

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 <u>Exhibit A-11 "Principal Officers, Directors & Partners"</u> provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 Exhibit A-12 "Corporate Structure," provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 <u>Exhibit A-13 "Company History,"</u> provide a concise description of the applicant's company history and principal business interests.
- A-14 <u>Exhibit A-14 "Articles of Incorporation and Bylaws,"</u> if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 <u>Exhibit A-15 "Secretary of State,"</u> provide evidence that the applicant has registered with the Ohio Secretary of the State.

#### B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3 <u>Exhibit B-3 "Summary of Experience,"</u> provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

- **B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
  - ☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6** "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

## C. <u>APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

#### Exhibit B-1 Jurisdiction of Operations

Synergy Organization is a new business intending to start operations in Ohio on or after 2/26/2013.

#### Exhibit B-2 Experience & Plans

Synergy Organization will be calling on Ohio customers to sell electricity services on behalf of certified suppliers. Selling will be done over the phone and face to face appointments. We will be utilizing a staff of no more than 5 energy consultants to obtain business. We do not provide any billing statements. Any customer inquiries, positive or negative, will be rectified by William Brian Batross.

#### Exhibit B-3 Summary of Experience

Synergy Organization will be a new business with experienced management. Management has 4 years consulting experience in natural gas and over 1 year with electricity, with over 800 customers throughout Ohio, Michigan and Pennsylvania. These for-profit and non-profit clients are scattered throughout AEP, Ohio Edison, Cleveland Electric, Toledo Edison, Dayton Power & Light, and Duke Energy with a current electric load over 90mil. Kwh/yr. Also 12 years of management experience in sales and 3 years of military experience.

#### Exhibit B-4 Disclosure of Liabilities and Investigations

Synergy Organization, its Officers and/or Directors have no pending, existing or past pending liabilities or Investigations that would adversely affect its ability, either financial or operational, to provide the services it is seeking to be certified to provide.

#### Exhibit C-1 Annual Reports

Synergy Organization is not a publically traded company and does not have annual reports.

#### Exhibit C-2 SEC Filings

Synergy Organization is not publically traded, therefore, does not have 10-K/8-K filings with the SEC.

#### Exhibit C-3 Financial Statements

Due to Synergy Organization tentatively beginning to engage in business on 2/26/2013, there are no financial statements available. Audited or officer certified financial statements covering the life of the business are not available due to the Synergy Organization having a start in the near future.

## Exhibit C-4 Financial Arrangements

At the time of application and in the foreseeable future, Synergy Organization will not have any commitments that would affect its ability to conduct CRES business activities. Any corporate banking needs will be handled through Chase Bank.

Exhibit C-5 Forecasted Financial Statements

	FY 2013	FY 2014
Beg Cash	6000	130000
Sales	100000	200000
Expenses		
Gross wage	30000	45000
Tax liabilities	9000	9000
Supplies	1000	1000
Website	3000	3000
Advertising	1500	2000
Travel	1400	1600
Legal	2000	4000
Rent	1500	1500
Telephone	1400	1400
Insurance	800	800
Commissions	10000	20000
Emp. Benefits	0	0
Miscellaneous	2000	2000
Total Expenses	63600	73300
Net Profit/Loss	36400	126700

## Exhibit C-6 Credit Rating

Due to the start up of Synergy Organization in the beginning of February 2013, and being privately held, it does not have a rating or registration numbers for any of the major credit rating agencies.

However, the president's personal credit ratings and reports are available if needed or required.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application. Signature of official administering oath My commission expires on 6/3/15



C-9

## *AFFIDAVIT*

State of Ohio:	
County of Franklin: (Town)	
W. Man Bran Betros Affiant, being duly sworn/affirmed according to law, deposes and says that:	
He/She is the Owner (Office of Affiant) of Synergy Crawitatio(Name of Applicant);	
That hadden in much a short and done make this officially for said A milions	

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Saff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant & Title

Sworn and sybscribed before me this \_\_\_\_\_\_/

day of June

الاسكان

Signature of official administering oath

Print Name and Title

. .

My commission expires on



Lainie E. Monica Notary Public, State of Ohio My Commission Expires 06-03-2015

## Synergy Organization, L.L.C

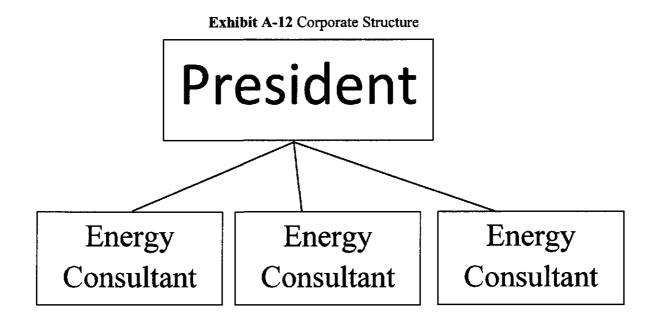
## Exhibit A-11 Principal Officers, Director's & Partners

William Brian Batross

8289 Talia Court

Westerville, Ohio 43081

614-372-6927



## Exhibit A-13 Company History

Being a start up as of 02/26/2013, Synergy Organization, L.L.C. has no business history

# Exhibit A-14 Articles of Incorporation and Bylaws



**CHECK ONLY ONE (1) BOX** 

#### Form 533A Prescribed by: Ohlo Secretary of State JON HUSTED Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhloSecretaryofState.gov
Busserv@OhloSecretaryofState.gov

#### Mail this form to one of the following:

Regular Filing (non expedite) P.O. Box 670 Columbus, OH 43216

Expedite Filing (Two-business day processing time requires an additional \$160.00). P.O. Box 1390 Columbus, OH 43216

## Articles of Organization for a Domestic Limited Liability Company Filing Fee: \$125

MISTER

(1) Articles of Organization for Domestic For-Profit Limited Liability Company (115-LCA) (2) Articles of Organization for Domestic Nonprofit Limited Liability Company (115-LCA)

lame of Limite	ed Liability Company S	ynergy	Organization, LLC	
	Name must include one of	he follow	ving words or abbreviations: "limited liability company	" "limited," "LLC," "L.L.C.;" "ttd., "or "
iffective Date Optional)	02/15/2013 mm/dd/yyyy	of th	: Degal existence of the limited liability comp e articles or on a later date specified that is filling)	
This limited lia Optional)	: bility company shall exi	st for -	Period of Existence	201
Optional)				2013FE8 26

\*\*Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

Form 533A

Page 1 of 3

Last Revised: 11/29/12

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THE DIEGE	irsigned authori	zed member(s	), manager(s) or	representativ	e(s) of	,
Synergy Org	anization, LLC					
		Na	me of Limited Lia	ability Compa	пу	
or permit	ppoint the follow ted by statute to of the agent is	ring to be Statu be served up	utory Agent upon on the limited liab	whom any probility company	rocess, notice or r may be served	r demand required I. The name and
W. Brian Bat	ross			· · · · · · · · · · · · · · · · · · ·		
Name of Age	ent	, 0				
8289 Talia C	ourt	٠.				
Mailing Addr	ess	- · <del>-</del> · · · · · · · · · · · · · · · · · · ·	•			
Westerville		·			Ohio	43081
City		-				
		- 10 m			State	ZIP Code
undersioned	[0::- 0:	ACCE	PTANCE O	F APPOII	NTMENT	
undersigned,	Brian Batross	· · · · · · · · · · · · · · · · · · ·	PTANCE O	F APPOII	NTMENT	ZIP Code
		Statutor		F APPOII	NTMENT	
	Brian Batross	Statutor gy, LLC			NTMENT	
tutory agent fo	or Your Synerg	Statutor by, LLC Name of Lin	y Agent Name	прапу	NTMENT named h	nerein as the statutory agent
tutory agent fo	or Your Synerg	Statutor py, LLC Name of Lin	y Agent Name	прапу	NTMENT named h	nerein as the statutory agent
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Form 533A

Page 2 of 3

Last Revised: 11/29/12

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required
Articles and original
appointment of agent must
be signed by a member,
manager or other
representative.

If authorized representative is an Individual, then they must sign in the "signature" box and print their name In the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Boile Tales	
Signature	
· "	
	-
By (if applicable)	
Brian Batross	
Brian Battoss	
Print Name	
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Signature	
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By (if applicable)	
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Print Name	
FING NAME	

Form 533A

Page 3 of 3

Last Revised: 11/29/12

#### Exhibit A-14 Articles of Incorporation and Bylaws

#### BYLAWS

#### Synergy Organization

#### ARTICLE I

#### SHAREHOLDERS

SECTION 1. ANNUAL MEETING. The annual meeting of the shareholders shall be held once each year, for the purpose of electing Directors and for the transaction of such other business as may come before the meeting. The annual meeting shall be held at the time and place designated by the Board of Directors from time to time.

SECTION 2. SPECIAL MEETINGS. Special meetings of the shareholders, for any purpose or purposes, unless otherwise prescribed by statute, may be called by the President or by the Board of Directors.

SECTION 3. NOTICE OF MEETING. Written notice of all shareholder meetings shall be provided under this section or as otherwise required by law. The Notice shall state the place, date, and hour of meeting, and if for a special meeting, the purpose of the meeting. Such notice shall be mailed to all shareholders of record at the address shown on the corporate books, at least 10 days prior to the meeting. Such notice shall be deemed effective when deposited in ordinary U.S. mail, properly addressed, with postage prepaid.

SECTION 4. QUORUM. A majority of the outstanding shares of the Corporation entitled to vote, represented in person or by proxy, shall constitute a quorum at a meeting of shareholders. If less than a majority of the outstanding shares are represented at a meeting, a majority of the shares so represented may adjourn the meeting from time to time without further notice. At such adjourned meeting at which a quorum shall be present or represented, any business may be transacted which might have been transacted at the meeting as originally noticed. The shareholders present at a duly organized meeting may continue to transact business until adjournment, notwithstanding the withdrawal of enough shareholders to leave less than a quorum.

SECTION 5. Proxies. At all meetings of shareholders, a shareholder may vote in person or by proxy executed in writing by the shareholder or by his duly authorized attorney-in-fact. Such proxy shall be filed with the secretary of the Corporation before or at the time of the meeting. A meeting of the Board of Directors may be had by means of a telephone conference or similar communications equipment by which all persons participating in the meeting can hear each other, and participation in a meeting under such circumstances shall constitute presence at the meeting.

SECTION6. Voting of shares. Each outstanding share entitled to vote shall be entitled to one vote upon each matter submitted to a vote at a meeting of shareholders.

SECTION 7. Voting of Shares by Certain Holders. Shares standing in the name of another Corporation may be voted by such officer, agent or proxy as the Bylaws of such Corporation may prescribe or, in the absence of such provision, as the Board of Directors of such Corporation may determine. Shares held by an administrator, executor, guardian or conservator may be voted by him, either in person or by proxy, without a transfer of such shares into his name. Shares standing in the name of a trustee may be voted by him, either in person or by proxy, but no trustee shall be entitled to vote shares held by him without a transfer of such shares into his name. Shares standing in the name of a receiver may be voted by such receiver, and shares held by or under the control of a receiver may be voted by such receiver without the transfer thereof into his name, if authority so to do be contained in an appropriate order of the court by which such receiver was appointed. A shareholder whose shares are pledged shall be entitled to vote such shares until the shares have been transferred into the name of the pledgee, and thereafter the pledge shall be entitled to vote the shares so transferred. Shares of its own stock belonging to the Corporation shall not be voted, directly or indirectly, at any meeting, and shall not be counted in determining the total number of outstanding shares at any given time.

SECTION 8. Informal Action by Shareholders. Unless otherwise provided by law, any action required to be taken at a meeting of the shareholders, or any other action which may be taken at a meeting of the shareholders, may be taken without a meeting if a consent in writing, setting forth the action so taken, shall be signed by all of the shareholders entitled to vote with respect to the subject matter thereof.

#### **ARTICLE III**

#### **BOARD OF DIRECTORS**

SECTION 1. General Powers. The business and affairs of the Corporation shall be managed by its Board of Directors.

SECTION 3. Regular Meetings. A regular meeting of the Board of Directors shall be held without other notice than this By-law immediately after, and at the same place as, the annual meeting of shareholders. The Board of Directors may provide, by resolution, the time and place for the holding of additional regular meetings without notice other than such resolution.

SECTION 4. Special Meetings. Special meetings of the Board of Directors may be called by or at the request of the President or any two directors. The person or persons authorized to call special meetings of the Board of Directors may fix the place for holding any special meeting of the Board of Directors called by them.

SECTION 5. Notice. Notice of any special meeting shall be given at least one (1) day previous thereto by written notice delivered personally or mailed to each director at his business address, or by telegram. If mailed, such notice shall be deemed to be delivered when deposited in the United States Mail so addressed, with postage thereon prepaid. If notice be given by telegram,

such notice shall be deemed to be delivered when the telegram is delivered to the telegraph company. Any directors may waive notice of any meeting. The attendance of a director at a meeting shall constitute a waiver of notice of such meeting, except where a director attends a meeting for the express purpose of objecting to the transaction of any business because the meeting is not lawfully called or convened.

SECTION 6. Quorum. A majority of the number of directors fixed by Section 2 of this Article III shall constitute a quorum for the transaction of business at any meeting of the Board of Directors, but if less than such majority is present at a meeting, a majority of the directors present may adjourn the meeting from time to time without further notice.

SECTION 7. Manner of Acting. The act of the majority of the directors present at a meeting at which a quorum is present shall be the act of the Board of Directors.

SECTION 8. Action Without a Meeting. Any action that may be taken by the Board of Directors at a meeting may be taken without a meeting if a consent in writing, setting forth the action so to be taken, shall be signed before such action by all of the directors.

SECTION 9. Vacancies. Any vacancy occurring in the Board of Directors may be filled by the affirmative vote of a majority of the remaining directors though less than a quorum of the Board of Directors, unless otherwise provided by law. A director elected to fill a vacancy shall be elected for the unexpired term of his predecessor in office. Any directorship to be filled by reason of an increase in the number of directors may be filled by election by the Board of Directors for a term of office continuing only until the next election of directors by the shareholders.

SECTION 10. Compensation. By resolution of the Board of Directors, each director may be paid his expenses, if any, of attendance at each meeting of the Board of Directors, and may be paid a stated salary as director or a fixed sum for attendance at each meeting of the Board of Directors or both. No such payment shall preclude any director from serving the Corporation in any other capacity and receiving compensation therefore.

SECTION 11. Presumption of Assent. A director of the Corporation who is present at a meeting of the Board of Directors at which action on any corporate matter is taken shall be presumed to have assented to the action taken unless his dissent shall be entered in the minutes of the meeting or unless he shall file his written dissent to such action with the person acting as the Secretary of the meeting before the adjournment thereof, or shall forward such dissent by registered mail to the Secretary of the Corporation immediately after the adjournment of the meeting. Such right to dissent shall not apply to director who voted in favor of such action.

#### **ARTICLE IV**

#### **OFFICERS**

SECTION 1. Number. The officers of the Corporation shall be a President, one or more Vice Presidents, a Secretary, and a Treasurer, each of whom shall be elected by the Board of

Directors. Such other officers and assistant officers as may be deemed necessary may be elected or appointed by the Board of Directors, including a Chairman of the Board. In its discretion, the Board of Directors may leave unfilled for any such period as it may determine any office except those of President and Secretary. Any two or more offices may be held by the same person, except for the offices of President and Secretary which may not be held by the same person. Officers may be directors or shareholders of the Corporation.

SECTION 2. Election and Term of Office. The officers of the Corporation to be elected by the Board of Directors shall be elected annually by the Board of Directors at the first meeting of the Board of Directors held after each annual meeting of the shareholders. If the election of officers shall not be held at such meeting, such election shall be held as soon thereafter as conveniently may be. Each officer shall hold office until his successor shall have been duly elected and shall have qualified, or until his death, or until he shall resign or shall have been removed in the manner hereinafter provided.

SECTION 3. Removal. Any officer or agent may be removed by the Board of Directors whenever, in its judgment, the best interests of the Corporation will be served thereby, but such removal shall be without prejudice to the contract rights, if any, of the person so removed. Election or appointment of an officer or agent shall not of itself create contract rights, and such appointment shall be terminable at will.

SECTION 4. Vacancies. A vacancy in any office because of death, resignation, removal, disqualification or otherwise, may be filled by the Board of Directors for the unexpired portion of the term.

SECTION 5. President. The President shall be the principal executive officer of the Corporation and, subject to the control of the Board of Directors, shall in general supervise and control all of the business and affairs of the Corporation. He shall, when present, preside at all meetings of the shareholders and of the Board of Directors, unless there is a Chairman of the Board in which case the Chairman shall preside. He may sign, with the Secretary or any other proper officer of the Corporation thereunto authorized by the Board of Directors, certificates for shares of the Corporation, any deeds, mortgages, bonds, contracts, or other instruments which the Board of Directors has authorized to be executed, except in cases where the signing and execution thereof shall be expressly delegated by the Board of Directors or by these Bylaws to some other officer or agent of the Corporation, or shall be required by law to be otherwise signed or executed; and in general shall perform all duties incident to the office of President and such other duties as may be prescribed by the Board of Directors from time to time.

SECTION 6. Vice President. In the absence of the President or in event of his death, inability or refusal to act, the Vice President shall perform the duties of the President, and when so acting, shall have all the powers of and be subject to all the restrictions upon the President. The Vice President shall perform such other duties as from time to time may be assigned to him by the President or by the Board of Directors. If there is more than one Vice President, each Vice President shall succeed to the duties of the President in order of rank as determined by the Board of Directors. If no such rank has been determined, then each Vice President shall succeed to the duties of the President in order of date of election, the earliest date having the first rank.

#### SECTION 7. Secretary. The Secretary shall:

(a) Keep the minutes of the proceedings of the shareholders and of the Board of Directors in one or more minute books provided for that purpose; (b) See that all notices are duly given in accordance with the provisions of these Bylaws or as required by law; (c) Be custodian of the corporate records and of the seal of the Corporation and see that the seal of the Corporation is affixed to all documents, the execution of which on behalf of the Corporation under its seal is duly authorized; (d) Keep a register of the post office address of each shareholder which shall be furnished to the Secretary by such shareholder; (e) Sign with the President certificates for shares of the Corporation, the issuance of which shall have been authorized by resolution of the Board of Directors; (f) Have general charge of the stock transfer books of the Corporation; and (g) In general perform all duties incident to the office of the Secretary and such other duties as from time to time may be assigned to him by the President or by the Board of Directors.

SECTION 8. Treasurer. The Treasurer shall: (a) Have charge and custody of and be responsible for all funds and securities of the Corporation; (b) Receive and give receipts for moneys due and payable to the Corporation from any source whatsoever, and deposit all such moneys in the name of the Corporation in such banks, trust companies or other depositories as shall be selected in accordance with the provisions of Article VI of these Bylaws; and (c) In general perform all of the duties incident to the office of Treasurer and such other duties as from time to time may be assigned to him by the President or by the Board of Directors. If required by the Board of Directors, the Treasurer shall give a bond for the faithful discharge of his duties in such sum and with such sureties as the Board of Directors shall determine.

SECTION 9. Salaries. The salaries of the officers shall be fixed from time to time by the Board of Directors, and no officer shall be prevented from receiving such salary by reason of the fact that he is also a director of the Corporation.

#### ARTICLE V

#### **INDEMNITY**

The Corporation shall indemnify its directors, officers and employees as follows:

(a) Every director, officer, or employee of the Corporation shall be indemnified by the Corporation against all expenses and liabilities, including counsel fees, reasonably incurred by or imposed upon him in connection with any proceeding to which he may be made a party, or in which he may become involved, by reason of his being or having been a director, officer, employee or agent of the Corporation or any settlement thereof, whether or not he is a director, officer, employee or agent at the time such expenses are incurred, except in such cases wherein the director, officer, or employee is adjudged guilty of willful misfeasance or malfeasance in the performance of his duties; provided that in the event of a settlement the indemnification herein shall apply only when the Board of Directors approves such settlement and reimbursement as being for the best interests of the Corporation. (b) The Corporation shall provide to any person who is or was a director, officer, employee, or agent of the Corporation or is or was serving at the request of the Corporation as a director, officer, employee or agent of the corporation,

partnership, joint venture, trust or enterprise, the indemnity against expenses of suit, litigation or other proceedings which is specifically permissible under applicable law. (c) The Board of Directors may, in its discretion, direct the purchase of liability insurance by way of implementing the provisions of this Article V.

#### ARTICLE VI

#### CHECKS, DEPOSITS CONTRACTS, AND LOANS

SECTION 1. Checks. All checks, drafts or other orders for the payment of money, notes or other evidences of indebtedness issued in the name of the Corporation, shall be signed by such officer or officers, agent or agents of the Corporation and in such manner as shall from time to time be determined by resolution of the Board of Directors.

SECTION 2. Deposits. All funds of the Corporation not otherwise employed shall be deposited from time to time to the credit of the Corporation in such banks, trust companies or other depositories as the Board of Directors may select.

SECTION 3. Contracts. The Board of Directors may authorize any officer or officers, agent or agents, to enter into any contract or execute and deliver any instrument in the name of and on behalf of the Corporation, and such authority may be general or confined to specific instances.

SECTION 4. Loans. No loans shall be contracted on behalf of the Corporation and no evidences of indebtedness shall be issued in its name unless authorized by a resolution of the Board of Directors. Such authority may be general or confined to specific instances.

#### **ARTICLE VII**

#### CERTIFICATES FOR SHARES AND THEIR TRANSFER

SECTION 1. Certificates for Shares. Certificates representing shares of the Corporation shall be in such form as shall be determined by the Board of Directors. Such certificates shall be signed by the President and by the Secretary or by such other officers authorized by law and by the Board of Directors so to do, and sealed with the corporate seal. All certificates for shares shall be consecutively numbered or otherwise identified. The name and address of the person to whom the shares represented thereby are issued, with the number of shares and date of issue, shall be entered on the stock transfer books of the Corporation. All certificates surrendered to the Corporation for transfer shall be canceled and no new certificate shall be issued until the former certificate for a like number of shares shall have been surrendered and canceled, except that in case of a lost, destroyed or mutilated certificate, a new one may be issued upon such terms and indemnity to the Corporation as the Board of Directors may prescribe.

SECTION 2. Transfer of Shares. Transfer of shares of the Corporation shall be made only on the stock transfer books of the Corporation by the holder of record thereof or by his legal

representative, who shall furnish proper evidence of authority to transfer, or by his attorney thereunto authorized by power of attorney duly executed and filed with the Secretary of the Corporation, and on surrender for cancellation of the certificate for such shares. The person in whose name shares stand on the books of the Corporation shall be deemed by the Corporation to be the owner thereof for all purposes. Provided, however, that upon any action undertaken by the shareholders to elect S Corporation status pursuant to Section 1362 of the Internal Revenue Code and upon any shareholders agreement thereto restricting the transfer of said shares so as to disqualify said S Corporation status, said restriction on transfer shall be made a part of the bylaws so long as said agreement is in force and effect.

#### ARTICLE VIII

#### **DIVIDENDS**

The Board of Directors may from time to time declare, and the Corporation may pay, dividends on its outstanding shares in the manner and upon the terms and conditions provided by law and its Articles of Incorporation.

#### ARTICLE IX

#### CORPORATE SEAL

At the discretion of the Board of Directors, the Corporation may adopt a corporate seal, circular in form and shall have inscribed thereon the name of the Corporation and the State of incorporation and the words, "Corporate Seal". No seal shall be necessary to make any contract or undertaking valid.

#### ARTICLE XI

#### **AMENDMENTS**

These Bylaws may be altered, amended or repealed and new Bylaws may be adopted by the Board of Directors at any regular or special meeting of the Board of Directors. The above Bylaws are certified to have been adopted by the Board of Directors of the Corporation on the \_\_\_\_\_\_\_ day of \_\_\_\_\_\_ (month), \_\_\_\_\_\_ (year).

Secretary

Villiam Brian Batros

## Exhibit A-15 Secretary of State



DATE: 03/01/2013 DOCUMENT ID 201305900576 DESCRIPTION
ARTICLES OF ORGNZTN/DOM. PROFIT
LIMLIAB. CO. (LCP)

FILING 125.00 EXPED PENALTY

CEरा .00 00PY

Receipt

This is not a bill. Please do not remit payment.

YOUR SYNERGY, LLC ATTN BATROSS 8289 TALIA CT WESTERVILLE, OH 43081

# STATE OF OHIO CERTIFICATE

## Ohio Secretary of State, Jon Husted

2177740

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

SYNERGY ORGANIZATION, LLC

and, that said business records show the filing and recording of:

Document(s):

Document No(s):

ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.

201305900576

Effective Date: 02/26/2013



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 1st day of March, A.D.

Ohio Secretary of State

## Exhibit C-7 Credit Report

Due to the start up of Synergy Organization in the beginning of February 2013, and being privately held, it does not have a rating or registration numbers for any of the major credit rating agencies.

However, the president's personal credit ratings and reports are available if needed or required.

## Exhibit C-8 Bankruptcy Information

Synergy Organization has not sought any type of bankruptcy or protection from creditors.

Exhibit C-9 Merger Information

(Not Applicable)



The Public Utilities Commission of Ohio

## Filing Instructions for Aggregators/Power Brokers

- I. <u>Where To File</u>: Applications should be sent to: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.
- II. What To File: Applicant must submit one original notarized application signed by a principal officer and three copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit A-12 should be marked "Exhibit A-12 'Corporate Structure." All pages should be numbered and attached in a sequential order.
- III. Which Forms To File: Entities other than governmental aggregators that will aggregate customers or provide power-brokering services must file a "Certification Application for Aggregators/Power Brokers" form. Governmental aggregators must file an "Certification Application for Governmental Aggregators" form. If an aggregator will provide power marketing and/or retail electric generation services in addition to aggregation and power brokering services, it must file an "Certification Application for Retail Generation Providers, Power Marketers, and Power Brokers" form. The following definitions are provided to assist applicants in determining which form(s) to file:

<u>Aggregation</u> - combining the electric load of multiple retail customers through an agreement with the customers or formation of a governmental aggregation pursuant to Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

<u>Aggregator</u> - a person who contracts with customers to combine the customers' electric load for the purpose of purchasing retail electric generation service on an aggregated basis. The term does not include a governmental aggregator.

<u>Governmental Aggregator</u> - the legislative authority of a municipal corporation, the board of township trustees of a township, or a board of county commissioners of a county that aggregates the citizens of a municipal corporation, township, or unincorporated areas of a county in accordance with Section 4928.20 of the Revised Code for the purpose of purchasing retail electric generation service on an aggregated basis.

<u>Power Broker</u> - a person who assumes the contractual and legal responsibility for the sale and/or arrangement for the supply of retail electric generation service to a retail customer without taking title to the power supplied.

<u>Power Marketer</u> - a person who assumes the contractual and legal responsibility for the sale and provision of retail electric generation service to a retail customer who had title to the electric power provided at some point during the transaction.

- IV. <u>Application Form</u>: The application is available on the Commission's web site, <u>www.puco.ohio.gov</u> or directly from the Commission at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.
- V. <u>Confidentiality</u>: If any of an applicant's answers require the applicant to disclose what the applicant believes to be privileged or confidential information not otherwise available to the public, the applicant should designate at each point in the application that the answer requires the applicant to disclose privileged and confidential information. Applicant must fully support its request to maintain confidentiality for the information it believes to be confidential or proprietary in a motion for protective order filed pursuant to Rule 4901-1-24 of the Ohio Administrative Code.
- VI. <u>Commission Process for Approval</u>: An application for certification shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission certification process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete may cause delay in certification.

The Commission may approve, suspend, or deny an application within 30 days. If the Commission does not act within 30 days, the application is deemed automatically approved on the 31<sup>st</sup> day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES (competitive retail electric service) providers shall inform the Commission of any material change to the information supplied in a certification application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

- VII. <u>Contractual Arrangements for Capability Standards</u>: If the applicant is relying upon contractual arrangements with a third-party(ies) to meet any of the certification requirements, the applicant must provide with its application all of the following:
  - The legal name of the party(ies) it is contracting with;
  - A statement that a valid contract exists between the applicant and the third-party(ies);
  - A detailed summary of the contract(s) including all services provided thereunder;
  - The documentation and evidence to demonstrate the contracting entity's capability to meet the requirements as if the contracting entity was the applicant.

VIII. <u>Questions</u>: Questions regarding filing procedures should be directed to Chuck Stockhausen at <u>Charles.Stockhausen@puc.state.oh.us</u>.

IX. <u>Governing Law</u>: The certification/renewal of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.