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	- GA-AGG	

13-365

## CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS /AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 13<sup>th</sup> Floor, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name ABA Energy Partners Inc.  
Address 9435 Waterstone Blvd Ste 140, Cincinnati OH 45249  
Telephone No. 514.445.4962 Web site Address <http://abaenergypartners.com>

#### A-3 Applicant information under which applicant will do business in Ohio:

Name ABA Energy Partners Inc.  
Address 9435 Waterstone Blvd Ste 140, Cincinnati OH 45249  
Web site Address <http://abaenergypartners.com> Telephone No. 513.445.4962

#### A-4 List all names under which the applicant does business in North America:

ABA Energy Partners Inc.

#### A-5 Contact person for regulatory or emergency matters:

Name April Wood Title CEO  
Business Address 9435 Waterstone Blvd. Ste 140. Cincinnati, Oh 45249  
Telephone No. 513.445.4962 Fax No. 513.672.9458 Email Address [april@abaenergypartners.com](mailto:april@abaenergypartners.com)

RECEIVED-DOCKETING DIV  
2013 FEB -4 PM 2:56  
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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business  
2/7/13  
Date Processed  
Technician

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name	Brian Burns	Title	Vice President
Business address	9435 Waterstone Blvd. Ste 140 Cincinnati, Oh 45249		
Telephone No.	513.445.4962	Fax No.	513.672.9458
		Email Address	brian@abaenergypartners.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address	9435 Waterstone Blvd. Ste 140 Cincinnati Oh 45249		
<b>Toll-Free Telephone No.</b>	<b>Fax No.</b>	<b>Email Address</b>	

**A-8 Provide “Proof of an Ohio Office and Employee,” in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name	ABA Energy Partners Inc	Title	CEO
Business address	9435 Waterstone Blvd. Ste 140, Cincinnati, OH 45249		
Telephone No.	513.445.4962	Fax No.	513.672.9458
Email Address	april@abaenergypartners.com		

**A-9 Applicant's federal employer identification number** 46-0709010

**A-10 Applicant's form of ownership: (Check one)**

- ☐ Sole Proprietorship                      ☐ Partnership
- ☐ Limited Liability Partnership (LLP)                      ☐ Limited Liability Company (LLC)
- ☒ Corporation                      ☐ Other

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers.*** (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☐ **Columbia Gas of Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ **Dominion East Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ **Duke Energy Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

☐ **Vectren Energy Delivery of Ohio**

<input type="checkbox"/> Residential	Beginning Date of Service	End Date
<input type="checkbox"/> Small Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Large Commercial	Beginning Date of Service	End Date
<input type="checkbox"/> Industrial	Beginning Date of Service	End Date

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	3/6/2013
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	3/6/2013
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	3/6/2013
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	3/6/2013

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No      ☐ Yes

**If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.**

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No      ☐ Yes

**If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.**

## **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

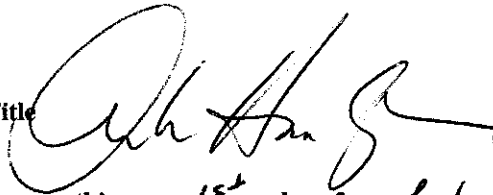
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

## **SECTION D – APPLICANT TECHNICAL CAPABILITY**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.**

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title



VICE-PRESIDENT

Sworn and subscribed before me this

15<sup>th</sup>

day of

February

Month

2013

Year



Signature of official administering oath

**KAREN S OAKS  
NOTARY PUBLIC  
STATE OF OHIO**

**MY COMMISSION EXPIRES NOVEMBER 19, 2017**

My commission expires on



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

ABA Energy Partners Inc )

for a Certificate or Renewal Certificate to Provide )

Competitive Retail Natural Gas Service in Ohio. )

Case No. - -GA-AGG

County of Warren

State of Ohio

Annabelen Hemelgarn

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Annabelen Hemelgarn, Vice President

Sworn and subscribed before me this

1<sup>st</sup> day of February 2013 Year

Signature of Official Administering Oath

Print Name and Title

KAREN S OAKS  
NOTARY PUBLIC  
STATE OF OHIO

MY COMMISSION EXPIRES NOVEMBER 19, 2017

My commission expires on

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

**Exhibit A-14 "Principal Officers, Directors & Partners**

**ABA Energy Partners Inc.**

**April Wood, President**

**4474 Raynor Court**

**Mason, Ohio 45040**

**Phone: 513-207-4338**

**Brian Burns, Vice President**

**1256 Thorny Ridge Trail**

**Lebanon, Ohio 45036**

**Phone: 805-717-2247**

**Annabelen Hemelgarn, Vice President**

**4138 Grasmere Run**

**Mason, Ohio 45040**

**Phone: 513-518-9033**



## Exhibit A-15 "Corporate Structure"

### ABA Energy Partners Inc.

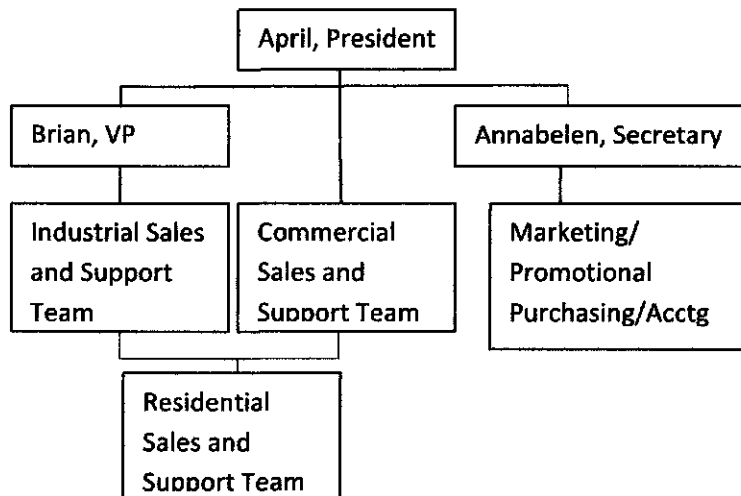
ABA Energy Partners Inc. will be lead by the management team of April Wood, Brian Burns and Annabelen Hemelgarn.

ABA Energy Partners Inc. will function as a broker and aggregator for energy suppliers with a focus on Residential, Commercial, Mercantile and Industrial segments in the competitive retail gas/electric service market. Sales and customer support teams will specialize in these market segments. ABA Energy Partners will not take title to the power/gas.

April will oversee activities related to commercial customers and sales, as well as shared responsibility for residential.

Brian will oversee activities related to industrial customers and sales, as well as commercial customers with respect to energy efficiency improvements and partner agreements. Brian will share responsibility for residential.

Annabelen will oversee purchasing and accounting. Annabelen will also be responsible for marketing and promotional activities.



**Exhibit A-16“Company History”**

**ABA Energy Partners Inc.**

**ABA Energy Partners Inc. is a start-up company.**

**Exhibit A-17 “Articles of Incorporation and Bylaws”**

**ABA Energy Partners Inc.**



**Jon Husted**  
Ohio Secretary of State

180 East Broad Street, 16th Floor • Columbus, Ohio 43215  
Toll Free: (877) SOS-FILE (767-3453) Central Ohio: (614) 466-3910  
[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov) • [busserv@OhioSecretaryofState.gov](mailto:busserv@OhioSecretaryofState.gov)

**Please return the approval certificate to:**

Name:

ABA Energy Partners

(Individual or Business Name)

To the attention of:

(If necessary)

Address:

4474 Raynor Court

City:

Mason

State:

OH

ZIP Code:

45040

Phone Number:

513-207-4338

E-mail Address:

alwood85@yahoo.com

- ☒ Check here if you would like to receive important notices via e-mail from the Ohio Secretary of State's office regarding Business Services.
- ☒ Check here if you would like to be signed up for our Filing Notification System for the business entity being created or updated by filing this form. This is a free service provided to notify you via e-mail when any document is filed on your business record.

**Type of Service Being Requested: (PLEASE CHECK ONE BOX BELOW)**

☒ **Regular Service:** Only the filing fee listed on page one of the form is required and the filing will be processed in approximately 3-7 business days. The processing time may vary based on the volume of filings received by our office.

☐ **Expedite Service 1:** By including an Expedite fee of \$100.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 2 business days after it is received by our office.

☐ **Expedite Service 2:** By including an Expedite fee of \$200.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 1 business day after it is received by our office. This service is only available to walk-in customers who hand deliver the document to the Client Service Center.

☐ **Expedite Service 3:** By including an Expedite fee of \$300.00, in addition to the regular filing fee on page one of the form, the filing will be processed within 4 hours after it is received by our office, if received by 1:00 p.m. This service is only available to walk-in customers who hand deliver the document to the Client Service Center.

☐ **Preclearance Filing:** A filing form, to be submitted at a later date for processing, may be submitted to be examined for the purpose of advising as to the acceptability of the proposed filing for a fee of \$50.00. The Preclearance will be complete within 1-2 business days.



Form 532A Prescribed by:  
**JON HUSTED**  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)  
[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov)  
[BusServ@OhioSecretaryofState.gov](mailto:BusServ@OhioSecretaryofState.gov)

Mail this form to one of the following:

Regular Filing (non expedite)  
P.O. Box 670  
Columbus, OH 43216

Expedite Filing (Two-business day processing  
time requires an additional \$100.00).  
P.O. Box 1390  
Columbus, OH 43216

**Initial Articles of Incorporation**  
**(For Profit, Domestic Corporation)**  
**Filing Fee: \$125**  
**(113 - ARF)**

**First:** Name of Corporation   
(Name must include the following word or abbreviation: company, co., corporation, corp., incorporated, or inc.)

**Second:** Location of Principal office in Ohio  
City  State   
County

Effective Date (Optional)   
mm/dd/yyyy (The legal existence of the corporation begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

**Third:** The number of shares which the corporation is authorized to have outstanding.  
(Please state if shares are common or preferred and their par value, if any.)

<input type="text" value="30"/>	<input type="text"/>	<input type="text"/>
Number of Shares	Type	Par Value

**Fourth:** If the corporation is to have an initial stated capital, please state the amount of that stated capital  
  
Amount

**\*\*Note:** ORC Chapter 1701 allows additional provisions to be included in the Articles of Incorporation that are filed with this office. If including any of these additional provisions, please do so by including them in an attachment to this form.\*\*

# ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of **ABA Energy Partners** hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by statute to be served upon the corporation may be served. The complete address of the agent is

April Wood

Name

4474 Raynor Court

Mailing Address

Mason

City

Ohio

State

45040

Zip Code

Must be signed by the  
Incorporators or a  
majority of the  
incorporators

*April Wood*  
Signature

*Brian B...*  
Signature

*Christopher G...*  
Signature

## ACCEPTANCE OF APPOINTMENT

The Undersigned,

April Wood

Statutory Agent Name

, named herein as the

Statutory agent for

ABA Energy Partners

Corporation Name

hereby acknowledges and accepts the appointment of statutory agent for said corporation.

Statutory Agent Signature

*April Wood*

Individual Agent's Signature/Signature on Behalf of Corporate Agent

☐ If the agent is an individual and using a P.O. Box, check this box to confirm the agent is an Ohio resident.

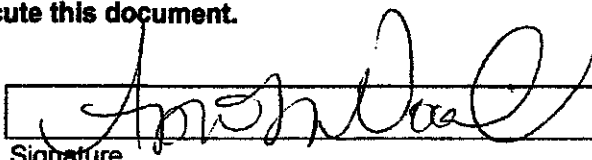
By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Articles and original appointment of agent must be signed by the incorporator(s).

If the incorporator is an individual, then they must sign in the "signature" box and print his/her name in the "Print Name" box.

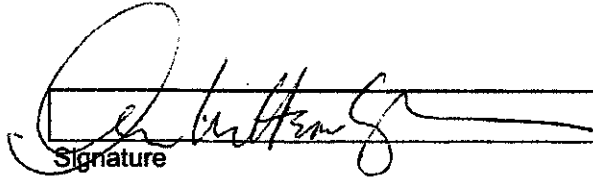
If the incorporator is a business entity, not an individual, then please print the entity name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print his/her name and title/authority in the "Print Name" box.

  
Signature

By

April Wood

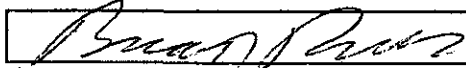
Print Name

  
Signature

By

Annabelen Hemelgarn

Print Name

  
Signature

By

Brian Burns

Print Name

## **Instructions for Initial Articles of Incorporation (For Domestic For Profit Corporation)**

This form should be used if you wish to file articles of incorporation for a domestic for profit corporation.

### **Name of Corporation**

The name of the corporation must be in compliance with Ohio Revised Code §1701.05. The name must end with or include the word or abbreviation "company," "co.," "corporation," "corp.," "incorporated," or "inc." The name must be distinguishable on the records in the office of the secretary of state.

### **Ohio Principal Office Location**

Please state the city and county in Ohio where the principal office of the corporation is to be located.

### **Effective Date (optional)**

An effective date may be provided but is not required. Pursuant to Ohio Revised Code §1701.04(E), the legal existence of the corporation begins upon the filing of the articles or on a later date specified in the articles. The effective date cannot (1) precede the date of filing with our office or (2) be more than ninety (90) days after the date of filing. If an effective date is given that precedes the date of filing, the effective date of the corporation will be the date of filing. If an effective date is given that exceeds the date of filing by more than ninety (90) days, our office will return the filing to you and request that a proper effective date be provided.

### **Authorized Number and Par Value of Shares**

Pursuant to Ohio Revised Code §1701.04(A)(3) and (4), please state the authorized number of shares, the type (common or preferred), and the par value, if any. **Note: The express terms of the shares of each class must be attached.** (See ORC 111.16(A)(2) for additional fee information.)

### **Initial Stated Capital**

If the corporation is to have an initial stated capital, please state the amount of that stated capital. Pursuant to §1785.05, a professional corporation may issue its capital stock only to persons who are duly licensed, certificated, or otherwise legally authorized to render within this state the same professional service as that for which the entity was organized.

### **Original Appointment of Statutory Agent and Acceptance of Appointment**

Pursuant to Ohio Revised Code §1701.07, an Ohio Corporation must appoint and maintain a statutory agent to accept service of process on behalf of the corporation. We cannot accept articles of incorporation unless the statutory agent information is provided. The statutory agent must be one of the following: (1) an Ohio resident; (2) an Ohio corporation; or (3) a foreign corporation that is licensed to do business in Ohio and is authorized by its articles of incorporation to act as a statutory agent and has a business address in Ohio.

If the statutory agent is an individual using P.O. Box address, they must also check a box to confirm that he or she is an Ohio resident. The statutory agent must also sign the Acceptance of Appointment at the bottom of page 2.



**Additional Provisions**

If the information you wish to provide for the record does not fit on the form, please attach additional provisions on a single-sided, 8 ½ x 11 sheet(s) of paper.

**Signature(s)**

After completing all information on the filing form, please make sure that page 3 is signed by the incorporator(s).

**\*\*Note: Our office cannot file or record a document which contains a social security number or tax identification number. Please do not enter a social security number or tax identification number, in any format, on this form.**

## **Bylaws of ABA ENERGY PARTNERS, INC.**

The following shall be known as the bylaws of ABA Energy Partners, Inc. (the "Corporation"). These bylaws are the set of rules by which the Corporation operates on a daily basis and settles disputes that may arise from time to time; and they are binding on all those associated with the Corporation either now, or in the future. If the Bylaws are found to be inconsistent with Ohio Law, then Ohio Law will override. The Bylaws may be amended by the Directors, provided there is a majority of Directors votes favoring the amendments.

### **ARTICLE ONE**

#### **PURPOSE**

The Corporation may take advantage of the rights granted to it by Ohio Law, and engage in any business allowed by Ohio Business Corporation Law.

### **ARTICLE TWO**

#### **DURATION**

The Corporation has perpetual duration and succession in its corporate name and will exist until such time that the Board of Directors elects to end its existence.

### **ARTICLE THREE**

#### **POWERS**

The Corporation has the powers given by State Business Corporation law, to do all things necessary or practical to carry out its business and affairs including without limitation, the power to sue, make contracts, deal in property of any kind, make investments, borrow or lend money, be part of another entity, or conduct its business in any way allowed by the laws of this State.

### **ARTICLE FOUR**

#### **SHARES**

The shares of the Corporation will be common stock, with full voting rights and identical rights and privileges, with no par value. The issuance of shares will be governed by the Board of Directors, as will be the consideration to be paid for the shares, which will meet the requirements of State Business Corporation Law. The Corporation through its Board of Directors may issue fractional shares, acquire its own shares, declare and pay cash or stock dividends, or issue certificates.

In order to insure the continued existence of the Corporation, the transfer of shares of the Corporation to any individual or other entity will be restricted in the manner described herein. No shares may be transferred on the books of the Corporation unless the number of shares are first offered to the Corporation, and then to the other shareholders on a right of first refusal basis, the corporation having first option. This option to purchase the stock will expire in thirty (30) days from when offered. If the option is not exercised within the stated period, the Shareholder may dispose of the shares in any manner he/she wishes. The share certificates, if any, shall bear the following notice: RESTRICTED STOCK.

## ARTICLE FIVE

### MEETINGS

**REGULAR MEETINGS.** The Corporation may hold any number of meetings to conduct its business. At a minimum, it will hold an annual Shareholder' meeting at which the Directors will review with the Shareholders the operating results of the Corporation for the prior year, hold elections for Directors, and conduct any other business that may be necessary at that time. Unless decided otherwise at the time, the place and time for the annual Shareholders' meeting will be at the offices of the Corporation on the day of \_\_\_\_\_ at \_\_\_\_:00 o'clock PM each year. The Secretary will give proper notice to the Shareholders as may be required by law, however that notice may be waived by the Shareholder by submitting a signed waiver by the Shareholder by submitting a signed waiver either before or after the meeting, or by his attendance at the meeting. Meetings may be held in or out of this State. Minutes must be take by the Secretary for inclusion in the Corporate Records.

**SPECIAL MEETINGS.** The Corporation may hold meetings from time to time at such times and place that may be convenient. These meetings may be Directors meetings or Shareholder meetings or combined Director and Shareholder Meetings. Special Shareholder meetings may be called by The Board of Directors or demanded in writing by the holders of ten percent or more shares. Special Director meetings may be called by the Chairman, the President, or any two Directors. The Corporate Secretary will give proper notice as may be required by law, however that notice may be waived by the individual by submitting a signed waiver either before or after the meeting, or by his attendance at the meeting. Meetings may be held in our out of this State. Minutes must be taken by the Secretary for the inclusion in the Corporate Records.

## **ARTICLE SIX**

### **VOTING**

From time to time it may be necessary for a Director or Shareholder to vote on issues brought before a meeting. No voting may take place at a meeting unless there is a quorum present. That is, a quorum of Directors must be present at a meeting before any Director may vote, and likewise a quorum of Shareholders must be present at a meeting before any Shareholder may vote. A quorum of Directors at a meeting is defined as a majority of the number of Directors. A quorum of Shareholders at a meeting is defined as those Shareholders representing a majority of the shares entitled to vote. If a quorum is present at a meeting, action on a matter may be passed if the number of votes favoring the action is cast by a majority. For voting purposes, a Director may cast one vote, and a Shareholder may cast one vote for each share held except in the case of director elections when voting is cumulative. A Shareholder may vote in person or by proxy.

## **ARTICLE SEVEN**

### **ACTION WITHOUT MEETING**

Directors or Shareholders may approve actions without a formal meeting if all entitled to vote on the matter unanimously consent to taking such action without a meeting. The action must be evidenced by a written consent describing the action taken, signed by the Directors or Shareholders (depending on which group is taking action) indicating each signer's vote or abstention on the matter at issue must be delivered to the Corporate Secretary for inclusion with the Corporate Records.

## **ARTICLE EIGHT**

### **DIRECTORS**

All corporate powers will be exercised by, or under the authority of, and the business affairs of the Corporation managed under the direction of its Board of Directors. The Board may consist of one or more individuals, who need not be Shareholders or residents of this state. The terms of the initial Directors or subsequently elected Directors will end at the next Shareholders meeting following their election, at which time new Directors will be elected or the current Directors will be reelected.

A director may resign at any time by delivering a written notice to the Corporation. A Director may be removed at any time with or without cause if the number of votes cast to remove him exceeds the number of votes cast not to remove him. Vacancies on the Board will be filled by the Shareholders in the manner described above.

The Directors of the Corporation are not liable to either the Corporation or its Shareholders for monetary damages for a breach of fiduciary duties unless the breach involved disloyalty to the Corporation or its Shareholders, act or omissions not in good faith,

or self dealing. The Corporation may indemnify the Directors or Officers who are named as defendants in litigation relating to Corporate affairs and the Directors or Officers role therein.

## **ARTICLE NINE**

### **OFFICERS**

The officers of the Corporation will be initially appointed by the Board of Directors. The officers of the Corporation will be at least those required by State law, and any other officers that the Board of Directors may deem necessary. The duties and responsibilities of the Officers will be set by, and will be under the continued direction of the Directors. Officers may be removed at any time with or without cause, and may resign at any time by delivering written notice to the Board of Directors. If allowed by state law, one person may hold more than one officer position.

**PRESIDENT** – The President is the principal executive officer of the Corporation and in general supervises and directs the daily business operations of the Corporation, subject to the direction of the Board of Directors. The President is also the proper official to execute contracts, share certificates, and any other document that may be required on behalf of the Corporation. The President shall also preside at all meetings for Directors or meetings of Shareholders.

**SECRETARY** – The Corporate Secretary will in general be responsible for the records of the Corporation, which generally includes keeping minutes at any meeting, giving proper notice of any meeting, maintaining the Director and Shareholders registers and transfer records; and along with the President, sign stock certificates of the Corporation.

**VICE PRESIDENT** – The Corporate Vice-President will be responsible for the duties to be assigned by the Board of Directors.

**TREASURER** – The Corporate treasurer will be responsible for duties to be assigned by the Board of Directors.

**OTHER OFFICERS** – The directors may appoint other officers as they deem necessary.

**Exhibit A-18 "Secretary of State,""**

**ABA Energy Partners Inc.**



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/24/2012	201223601069	DOMESTIC FOR PROFIT CORP - ARTICLES (ARF)	125.00	.00		.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

ABA ENERGY PARTNERS INC.  
4474 RAYNOR COURT  
MASON, OH 45040

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2130859

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**ABA ENERGY PARTNERS INC.**

and, that said business records show the filing and recording of:

Document(s):

**DOMESTIC FOR PROFIT CORP - ARTICLES**

Document No(s):

**201223601069**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus,  
Ohio this 21st day of August, A.D.  
2012.

Ohio Secretary of State



Form 532A Prescribed by:

**JON HUSTED**  
 Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: (877) SOS-FILE (767-3453)

www.OhioSecretaryofState.gov

Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

 Regular Filing (non expedite)  
 P.O. Box 670  
 Columbus, OH 43216

 Expedite Filing (Two-business day processing  
 time requires an additional \$100.00).  
 P.O. Box 1390  
 Columbus, OH 43216

## Initial Articles of Incorporation

### (For Profit, Domestic Corporation)

**Filing Fee: \$125**  
**(113 - ARF)**

2012 AUG 21

**First:** Name of Corporation ABA Energy Partners Inc.  
 (Name must include the following word or abbreviation: company, corporation, corp., incorporated, or inc.)

**Second:** Location of Principal office in Ohio

Mason	Ohio
City	State
Warren	
County	

**Effective Date (Optional)** 08/02/2012 (The legal existence of the corporation begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)  
 mm/dd/yyyy

**Third:** The number of shares which the corporation is authorized to have outstanding.  
 (Please state if shares are common or preferred and their par value, if any.)

90 1500	Preferred	\$ (zero)
Number of Shares	Type	Par Value

**Fourth:** If the corporation is to have an initial stated capital, please state the amount of that stated capital

Amount

**\*\*Note:** ORC Chapter 1701 allows additional provisions to be included in the Articles of Incorporation that are filed with this office. If including any of these additional provisions, please do so by including them in an attachment to this form.\*\*



## ORIGINAL APPOINTMENT OF STATUTORY AGENT

The undersigned, being at least a majority of the incorporators of ABA Energy Partners Inc. hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by statute to be served upon the corporation may be served. The complete address of the agent is

April Wood

Name

4474 Raynor Court

Mailing Address

Mason

City

Ohio

State

45040

Zip Code

Must be signed by the  
incorporators or a  
majority of the  
incorporators

Signature

Signature

Signature

## ACCEPTANCE OF APPOINTMENT

The Undersigned,

April Wood

, named herein as the

Statutory Agent Name

Statutory agent for

ABA Energy Partners Inc.

Corporation Name

hereby acknowledges and accepts the appointment of statutory agent for said corporation.

Statutory Agent Signature

Individual Agent's Signature/Signature on Behalf of Corporate Agent

☐ If the agent is an individual and using a P.O. Box, check this box to confirm the agent is an Ohio resident.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Articles and original appointment of agent must be signed by the incorporator(s).

If the incorporator is an individual, then they must sign in the "signature" box and print his/her name in the "Print Name" box.

If the incorporator is a business entity, not an individual, then please print the entity name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print his/her name and title/authority in the "Print Name" box.

Signature

By

April Wood

Print Name

Signature

By

Annabelen Hemelgarn

Print Name

Signature

By

Brian Burns

Print Name

## **Exhibit B-1 "Jurisdiction of Operation"**

### **ABA Energy Partners Inc.**

**ABA Energy Partners Inc. is a start-up company. As such they are not currently certified, licensed, or registered to provide services in any jurisdiction.**

## **Exhibit B-2 “Experience and Plans”**

### **ABA Energy Partners Inc.**

#### **Management Experience**

ABA Energy Partners Inc. will be lead by the management team of April Wood, Brian Burns and Annabelen Hemelgarn.

April grew up in Ohio. She has been an entrepreneur and business owner, excelling in sales and marketing management for over sixteen years. April’s entrepreneurial experience includes managing multi-million dollar accounts for clients such as Indy Car, Cincinnati Bengals, Cincinnati Reds, Prasco and Proctor & Gamble. April has experience in the energy industry as an independent business owner working with Xoom Energy and Connect One Energy in the electric and gas markets.

Annabelen is a graduate of Purdue University and University of Cincinnati. Annabelen holds a Bachelors of Science degree in food engineering from Purdue University and Masters in Design from University of Cincinnati, College of Design, Architecture and Planning. Annabelen has worked as an engineer for companies such as Frito Lay, designing efficient processes for food production. Annabelen has taught classes at Miami University and University of Cincinnati. She has been an entrepreneur and business owner for over fifteen years, doing marketing, design and promotions for such clients as Penske Racing, Hobart Corporation and Children’s Hospital. Annabelen also has experience working with Xoom Energy in the gas market.

Brian is a graduate of DeVry University and Kennedy Western University. Brian holds an Associates of Applied Science in Electronics Technologies from DeVry University and a Bachelors of Science in Electrical Engineering from Kennedy Western University. Brian has worked in the Operations Management and Engineering Management disciplines for companies such as Pfizer and Imerys for over twenty years. A large part of Brian’s career has been in the design and optimization of industrial processes, including power distribution systems and motor control centers. Brian has also worked with Connect One Energy in the electric market.

#### **Plans**

ABA Energy Partners Inc will contract and partner with gas suppliers to broker gas in an effort to facilitate the most cost effective gas supply to the consumer. We will work with the customer to assess their gas needs, educate them on the Competitive Gas Retail Supplier program and Ohio’s Natural Gas Choice Program, shop the market via agreements with various suppliers and provide the most cost effective solution for the customer’s needs.

Pursuant to ORC Section 4928.10, ABA Energy Partners Inc. will procure competitive pricing from gas suppliers for the consumer. Documentation will be given to the customer prior to entering into an agreement.

## **Exhibit B-2 "Experience and Plans"**

### **ABA Energy Partners Inc.**

Documentation included in the pricing and cost analysis will contain the supply agreement from the various suppliers shopped. This will include any applicable switching fees, terms and conditions of pricing and service, conditions under which a consumer may rescind a contract without penalty as well as the terms identifying how consumers may switch or terminate service.

### **Exhibit B-3 "Summary of Experience"**

#### **ABA Energy Partners Inc.**

Through the combined experience in working with Xoom Energy and Connect One Energy the management team of ABA Energy Partners has combined electric loads and represented two customers in the purchase retail electric services to date.

In both cases the electric usage and pricing for all respective sites was combined and totaled for use in shopping the market for the best available rate for the consumer. Annual savings as compared to historical usage and current costs of about \$2,400 and \$1,300 respectively were realized by the consumer.

Customers were classified as small to medium sized commercial, both having total loads of around 100,000 Kwhrs each.

Service areas include AEP, Duke and Dayton Power and Light.

## Exhibit B-4 “Disclosure of Liabilities and Investigations”

### ABA Energy Partners Inc.

ABA Energy Partners Inc. has no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations or other matter that could adversely impact financial, operational status or the ability to provide power broker / aggregator services.

**Exhibit C-1 "Annual Reports"**

**ABA Energy Partners Inc.**

**Exhibit C1 is not applicable as ABA Energy Partners Inc. is a start-up company.**



**Exhibit C-2 "SEC Filings"**

**ABA Energy Partners Inc.**

**Exhibit C2 is not applicable as ABA Energy Partners Inc. is a privately owned company.**

**Exhibit C-3 “Financial Statements”**

**ABA Energy Partners Inc.**

**Exhibit C3 is not applicable as ABA Energy Partners Inc. operations are in infancy.**

**Exhibit C-4 "Financial Arrangements"**

**ABA Energy Partners Inc.**

Exhibit C4 is not applicable as ABA Energy Partners Inc. will be acting as a power broker and will not take title.

## Exhibit C-5 "Forecasted Financial Statements"

### ABA Energy Partners Inc.

ABA Energy Partners Inc. has conservatively forecasted sales of \$126,000 for year one, rising to \$151,000 for year two. Net profit will be reached in the first year. Through a combination of a proven business model, a strong management team, and this comprehensive energy business plan to guide the organization, ABA Energy Partners Inc. will be long lasting, profitable business.

<i>General Assumptions</i>				
		Year 1	Year 2	Year 3
Plan Month		1	2	3
Current Interest Rate		10.00%	10.00%	10.00%
Long-term Interest Rate		10.00%	10.00%	10.00%
Tax Rate		30.00%	30.00%	30.00%
Other		0	0	0

### Forecasted Profit and Loss

<i>Pro Forma Profit and Loss</i>				
		Year 1	Year 2	Year 3
Sales		\$126,128	\$154,354	\$181,625
Direct Cost of Sales		\$63,064	\$75,675	\$90,812
Other Costs of Sales		\$0	\$0	\$0
Total Cost of Sales		\$63,064	\$75,675	\$90,812
Gross Margin		\$63,064	\$75,675	\$90,812
Gross Margin %		50.00%	50.00%	50.00%
Expenses				
Payroll		\$27,000	\$30,000	\$33,000
Sales and Marketing and Other Expenses		\$800	\$800	\$800
Depreciation		\$800	\$800	\$800
Professional Services		\$2,000	\$2,000	\$2,000
Utilities		\$2,400	\$2,400	\$2,400
Insurance		\$2,400	\$2,400	\$2,400
Payroll Taxes		\$4,050	\$4,500	\$4,950
Other		\$1,800	\$1,800	\$1,800
Total Operating Expenses		\$41,250	\$44,700	\$48,150
Profit Before Interest and Taxes		\$21,814	\$30,975	\$42,662
EBITDA		\$23,614	\$32,775	\$44,462
Interest Expense		\$0	\$0	\$0
Taxes Incurred		\$0	\$9,292	\$12,371
Net Profit		\$21,814	\$23,483	\$32,091
Net Profit/Sales		17.3%	15.2%	17.7%

# Exhibit C-5 "Forecasted Financial Statements"

## ABA Energy Partners Inc.

### Forecasted Cash Flow

<i>Pro Forma Cash Flow</i>			
	Year 1	Year 2	Year 3
Cash Received			
Cash from Operations			
Cash Sales	\$126,128	\$151,354	\$181,625
Subtotal Cash from Operations	\$126,128	\$151,354	\$181,625
Additional Cash Received			
Sales Tax, VAT, HST/GST Received	\$0	\$0	\$0
New Current Borrowing	\$0	\$0	\$0
New Other Liabilities (interest-free)	\$0	\$0	\$0
New Long-term Liabilities	\$0	\$0	\$0
Sales of Other Current Assets	\$0	\$0	\$0
Sales of Long-term Assets	\$0	\$0	\$0
New Investment Received	\$0	\$0	\$0
Subtotal Cash Received	\$126,128	\$151,354	\$181,625
Expenditures	Year 1	Year 2	Year 3
Expenditures from Operations			
Cash Spending	\$63,064	\$75,675	\$90,812
Bill Payments	\$41,250	\$44,700	\$60,521
Subtotal Spent on Operations	\$104,314	\$120,375	\$151,333
Additional Cash Spent			
Sales Tax, VAT, HST/GST Paid Out	\$0	\$0	\$0
Principal Repayment of Current Borrowing	\$0	\$0	\$0
Other Liabilities Principal Repayment	\$0	\$0	\$0
Long-term Liabilities Principal Repayment	\$0	\$0	\$0
Purchase Other Current Assets	\$0	\$0	\$0
Purchase Long-term Assets	\$0	\$0	\$0
Dividends	\$0	\$0	\$0
Subtotal Cash Spent	\$104,314	\$128,679	\$151,333
Net Cash Flow	\$21,814	\$22,675	\$30,292
<b>Cash Balance</b>	<b>\$21,814</b>	<b>\$44,489</b>	<b>\$52,967</b>

# Exhibit C-5 "Forecasted Financial Statements"

## ABA Energy Partners Inc.

### Forecasted Balance Sheet

<i>Pro Forma Balance Sheet</i>	Year 1	Year 2	Year 3
<b>Assets</b>			
<b>Current Assets</b>			
Cash	\$21,814	\$44,489	\$52,967
Other Current Assets	\$0	\$0	\$0
<b>Total Current Assets</b>	<b>\$21,814</b>	<b>\$44,289</b>	<b>\$52,967</b>
<b>Long-term Assets</b>			
Long-term Assets	\$5,000	\$9,000	\$9,000
Accumulated Depreciation	\$1,800	\$3,600	\$5,400
<b>Total Long-term Assets</b>	<b>\$3,200</b>	<b>\$5,400</b>	<b>\$3,600</b>
<b>Total Assets</b>	<b>\$25,014</b>	<b>\$49,689</b>	<b>\$56,567</b>
<b>Liabilities and Capital</b>	Year 1	Year 2	Year 3
<b>Current Liabilities</b>			
Accounts Payable	\$2,795	\$6,169	\$6,898
Current Borrowing	\$0	\$0	\$0
Other Current Liabilities	\$0	\$0	\$0
<b>Subtotal Current Liabilities</b>	<b>\$2,795</b>	<b>\$6,169</b>	<b>\$6,898</b>
<b>Long-term Liabilities</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Total Liabilities</b>	<b>\$2,795</b>	<b>\$6,169</b>	<b>\$6,898</b>
<b>Paid-in Capital</b>	<b>\$20,000</b>	<b>\$20,000</b>	<b>\$20,000</b>
Retained Earnings	(\$19,595)	\$2,219	\$24,894
Earnings	\$21,814	\$22,675	\$30,292
<b>Total Capital</b>	<b>\$22,219</b>	<b>\$44,894</b>	<b>\$75,186</b>
<b>Total Liabilities and Capital</b>	<b>\$25,014</b>	<b>\$49,689</b>	<b>\$56,567</b>
<b>Net Worth</b>	<b>\$22,219</b>	<b>\$44,894</b>	<b>\$75,186</b>

Prepared by:

Brian Burns

1256 Thorny Ridge Trail

Lebanon, Ohio 45036

Phone: 805-717-2247

Email: brian@abaenergypartners.com

**Exhibit C-6 "Credit Rating"**

**ABA Energy Partners Inc.**

**ABA Energy Partners Inc. is a start-up company, and as such, does not have a credit rating.**

**Exhibit C-7 "Credit Report"**

**ABA Energy Partners Inc.**

**ABA Energy Partners Inc. is a start-up company, and as such, there is no credit report available.**



**Exhibit C-8 "Bankruptcy Information"**

**ABA Energy Partners Inc.**

ABA Energy Partners Inc. has not had any reorganizations, protection from creditors, or any other form of bankruptcy filings, including parent or affiliate organizations.

## Exhibit C-9 "Merger Information"

### ABA Energy Partners Inc.

ABA Energy Partners Inc. is a start-up company and has not been party to any dissolution, merger or acquisition.

## Exhibit D-1 "Operations"

### ABA Energy Partners Inc.

ABA Energy Partners Inc will contract and partner with gas suppliers to broker gas in an effort to facilitate the most cost effective gas supply to the consumer. We will work with the customer to assess their gas needs, educate them on the Competitive Gas Retail Supplier program and Ohio's Natural Gas Choice Program, shop the market via agreements with various suppliers and provide the most cost effective solution for the customer's needs.

Pursuant to ORC Section 4928.10, ABA Energy Partners Inc. will procure competitive pricing from gas suppliers for the consumer. Documentation will be given to the customer prior to entering into an agreement.

Documentation included in the pricing and cost analysis will contain the supply agreement from the various suppliers shopped. This will include any applicable switching fees, terms and conditions of pricing and service, conditions under which a consumer may rescind a contract without penalty as well as the terms identifying how consumers may switch or terminate service.

## **Exhibit D-2 "Operations Expertise"**

### **ABA Energy Partners Inc.**

#### **April Wood, President**

**Background:** Entrepreneur/business owner in Sales/Marketing. April has experience in the energy industry as an independent business owner working with Xoom Energy and Connect One Energy in the electric and gas markets. April will be heading the Sales Division of the company.

#### **Brian Burns, Vice President**

**Background:** Electrical Engineer that designs and optimizes industrial processes, including power distribution systems and motor control centers. Brian has also worked with Connect One Energy in the electric market. Brian will be heading the consulting, partnering with electric/gas suppliers, and facilitating the systems to efficiently run these areas.

#### **Annabelen Hemelgarn, Vice President**

**Background:** Engineer and business owner of Marketing Firm. Annabelen also has experience working with Xoom Energy in the gas market. Annabelen will be heading up the marketing department and development of the website and sales training material.

**Exhibit D-3 "Key Technical Personnel"**

**ABA Energy Partners Inc.**

**April Wood, President**

**april@abaenergypartners.com**

**4474 Raynor Court**

**Mason, Ohio 45040**

**Phone: 513-207-4338**

**Background: Entrepreneur/business owner in Sales/Marketing**

**Brian Burns, Vice President**

**brian@abaenergypartners.com**

**1256 Thorny Ridge Trail**

**Lebanon, Ohio 45036**

**Phone: 805-717-2247**

**Background: Electrical Engineer that designs and optimizes industrial processes, including power distribution systems and motor control centers.**

**Annabelen Hemelgarn, Vice President**

**annabelen@abaenergypartners.com**

**4138 Grasmere Run**

**Mason, Ohio 45040**

**Phone: 513-518-9033**

**Background: Engineer and business owner of Marketing Firm.**