

NC &  
FILE

13-0323-EL-AGG  
ENERGY CONNECTION 29

666 Dundee Rd. Suite 1901  
Northbrook, Illinois 60062  
(P) 847-509-2290  
(F) 847-509-2295  
[www.energyconnectionsite.com](http://www.energyconnectionsite.com)

January 25, 2013

Public Utilities Commission of Ohio  
Docketing Division 13<sup>th</sup> Floor  
180 East Broad Street  
Columbus, Ohio 4315-3793

Sir or Madam:

Please find the 10 copies necessary to file for Power Brokers in the state of Ohio.

Any questions, we can be reached at [Jamie@energyconnectionsite.com](mailto:Jamie@energyconnectionsite.com) or at 847-509-2290.

Thanks you.

Regards,

Jamie Moriarty  
Managing Partner  
Energy Connection



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13-0323-EL-AGG



The Public Utilities Commission of Ohio

## PUCO USE ONLY

Date Received	Case Number	Version
	-EL-AGG	August 2004

## CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.**

### A. APPLICANT INFORMATION

#### A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Biofuels Technology LLC

Address 666 Dundee Rd., Ste 1901, Northbrook, IL 60062

Telephone # (847) 509-2290

Web site address (if any) www.energyconnectionsite.com

#### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Energy Connection

Address 666 Dundee Rd., Ste 1901, Northbrook, IL 60062

Telephone # (847) 509-2290

Web site address (if any) www.energyconnectionsite.com

#### A-3 List all names under which the applicant does business in North America

Energy Connection

#### A-4 Contact person for regulatory or emergency matters

Name Mark Rice

Title Owner

RECEIVED-DOCKETING DIV  
2013 JAN 28 PM 2:34  
PUCO

Business address 666 Dundee Rd., Ste 1901, Northbrook, IL 60062

Telephone # (847) 509-2290

Fax # (847) 509-2295

E-mail address (if any) mark@energyconnections.site.com

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name Mark Rice

Title Owner

Business address 666 Dundee Rd., Ste 1901, Northbrook, IL 60062

Telephone # (847) 509-2290

Fax # (847) 509-2295

E-mail address (if any) mark@energyconnections.site.com

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 666 Dundee Rd., Ste 1901, Northbrook, IL 60062

Toll-free Telephone # (847) 509-2290

Fax # (847) 509-2295

E-mail address (if any) mark@energyconnections.site.com

**A-7 Applicant's federal employer identification number # 261212890**

**A-8 Applicant's form of ownership (check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

**A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

☐ **First Energy**

☐ Ohio Edison

☐ Toledo Edison

☐ Cleveland Electric Illuminating

☐ Cincinnati Gas & Electric

☐ Monongahela Power

☐ American Electric Power

☐ Ohio Power

☐ Columbus Southern Power

☐ Dayton Power and Light

☒ Residential

☒ Residential

☒ Residential

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☒ Industrial

☒ Industrial

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services

December 15, 2012 JAN 25, 2013 <sup>B</sup>

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.). NA

**B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide. NA

**B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. NA

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why. NA

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).

NA

C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.

NA

C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

NA

C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

NA

C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

NA

**C-9** **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

\_\_\_\_\_  
**Signature of Applicant & Title**

Sworn and subscribed before me this \_\_\_\_\_ day of \_\_\_\_\_, \_\_\_\_\_  
Month Year

\_\_\_\_\_  
**Signature of official administering oath**

\_\_\_\_\_  
**Print Name and Title**

My commission expires on \_\_\_\_\_

NA

---

# ENERGY CONNECTION

Biofuels Technology LLC  
666 Dundee Rd. Suite 1901  
Northbrook, Illinois 60062  
(P) 847-509-2290  
(F) 847-509-2295  
[www.energyconnectionsite.com](http://www.energyconnectionsite.com)

## Exhibit A-11 Principal Officers, Directors & Partners

### **Mark Rice**

CEO

666 Dundee Rd., Ste. 1901, Northbrook, IL 60062

847-509-2290

### **Jamie Moriarty**

Managing Partner

666 Dundee Rd., Ste. 1901, Northbrook, IL 60062

847-509-2290

### **Ellen Rice**

Pricing Manager

666 Dundee Rd., Ste. 1901, Northbrook, IL 60062

847-509-2290

**Energy Connection**  
Biofuels Technology LLC  
666 Dundee Rd. Suite 1901, Northbrook, Illinois 60062  
(P) 847-509-2290  
(F) 847-509-2295  
energyconnectionsite.com

---

Exhibit A-12 Corporate Structure

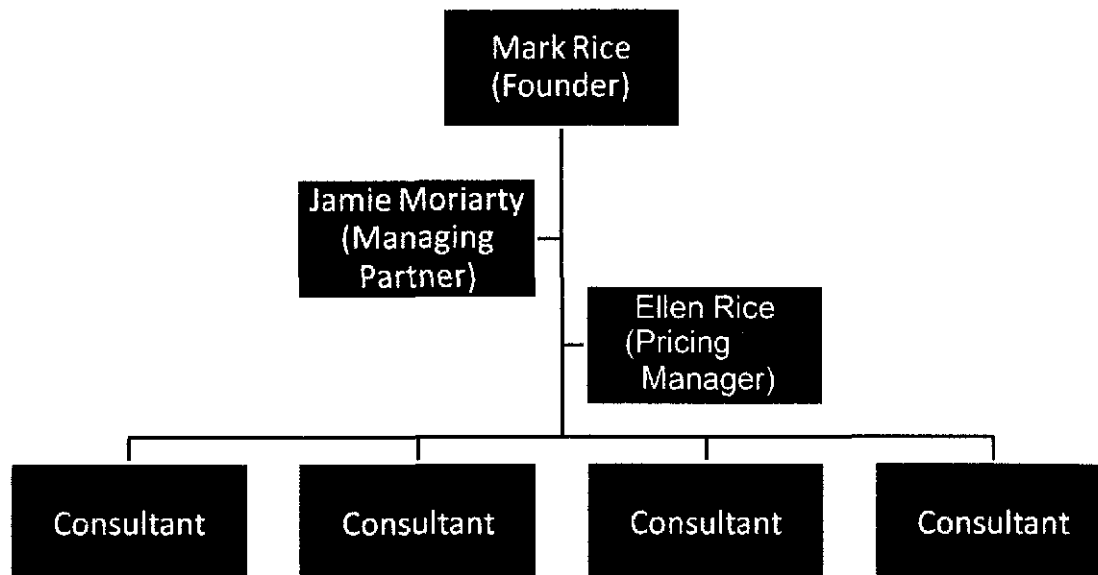
**Corporate Structure:**

Biofuels Technology is a small family owned, family run specialty chemical processing and trading company with an arm of the company working in brokerage and consulting under the dba Energy Connection. As the company is family run, the common departments found in corporate structure are run by a small number:

Mark Rice, the CEO also oversees Finance and Human Resources

Jamie Moriarty, the Managing Partner oversees Accounting, IT, and Marketing

Ellen Rice, the Pricing Manager oversees all pricing for customer accounts and works specifically with all independent contractors that provide service to potential customers in the marketplace.



**Energy Connection**  
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---

Exhibit A-13 Company History

**Company Profile:**

Biofuels Technology LLC is a privately held specialty chemical processing and trading company in the renewable and alternative fuel industry. Owned and operated by third generation CEO Mark Rice, Biofuels Technology expanded its services in 2009 to include electricity and gas brokerage with the formation of Energy Connection.

**Services:**

Energy Connection helps businesses reduce their monthly gas and electric bills by reducing the cost per therm or kilowatt that energy companies charge.

Along with energy brokerage, Energy Connection specializes in demand side energy management incorporating the full spectrum of energy services, from lighting retrofitting to online demand response systems that monitor every aspect of a property's consumption.

**Mark Rice:**

While growing up in Texas, Mark was introduced to the energy industry at a very young age through his family's biofuels business. Upon graduating from the University of Texas at Austin '85, Mark took over a division of the family business in Haiti before moving back to the U.S. and landing in Chicago. While in Chicago, Mark spent the next few years working for Bear Stearns before starting his own hedge fund – Rice Opportunity Fund. Finding his way back to energy, Mark took over as CEO of Biofuels Technology LLC in 2005 and has expanded the company portfolio to include alternative energy solutions as well as energy consulting and procurement.

# ENERGY CONNECTION

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## Exhibit A-14

### Articles of Incorporation

Form **LLC-5.5**

April 2007

Secretary of State Jesse White  
Department of Business Services  
Limited Liability Division  
501 S. Second St., Rm. 351  
Springfield, IL 62756  
217-524-8008  
www.cyberdriveillinois.com

Payment must be made by certified check,  
cashier's check, Illinois attorney's check,  
C.P.A.'s check or money order payable to  
Secretary of State.

Illinois  
Limited Liability Company Act  
Articles of Organization

**SUBMIT IN DUPLICATE**

Must be typewritten.

This space for use by Secretary of State.

Filing Fee: \$500

Approved: *JB*

FILE # 0235911-1

This space for use by Secretary of State.

**FILED**

OCT 10 2007

JESSE WHITE  
SECRETARY OF STATE

1. Limited Liability Company Name:
- BIOFUELS TECHNOLOGY, LLC

The LLC name must contain the words Limited Liability Company, L.L.C. or LLC and cannot contain the terms Corporation, Corp., Incorporated, Inc., Ltd., Co., Limited Partnership or L.P.

2. Address of Principal Place of Business where records of the company will be kept: (P.O. Box alone or c/o is unacceptable.)

666 Dundee Road, Suite 1901, Northbrook, IL 60062

3. Articles of Organization effective on: (check one)

☒ the filing date☐ a later date (not to exceed 60 days after the filing date):

Month, Day, Year

4. Registered Agent's Name and Registered Office Address:

Registered Agent: MS REGISTERED AGENT SERVICES INC.

First Name

Middle Initial

Last Name

Registered Office: 191 N WACKER DRIVE SUITE 1800

(P.O. Box alone or

Number

Street

Suite #

c/o is unacceptable.)

CHICAGO60606COOK

City

ZIP Code

County

5. Purpose(s) for which the Limited Liability Company is organized: (If more space is needed, attach additional sheets of this size.)

"The transaction of any or all lawful business for which Limited Liability Companies may be organized under this Act."

6. Latest date, if any, upon which the company is to dissolve:

(Leave blank if duration is perpetual.)

Month, Day, Year

LLC-5.5

7. (OPTIONAL) Other provisions for the regulation of the internal affairs of the Company: (If more space is needed, attach additional sheets of this size.)

8. The Limited Liability Company: (Check either a or b below.)

a. ☒ is managed by the manager(s) (List names and business addresses.)

Mark Rice, 666 Dundee Road, Suite 1901, Northbrook, IL 60062

Rob Coil, 666 Dundee Road, Suite 1901, Northbrook, IL 60062

b. ☐ has management vested in the member(s) (List names and addresses.)

9. Name and Address of Organizer(s)

I affirm, under penalties of perjury, having authority to sign hereto, that these Articles of Organization are to the best of my knowledge and belief, true, correct and complete.

Dated October 10 2007  
Month & Day Year

1. M. Jackson  
Signature

MICHELLE JACKSON, Organizer  
Name (type or print)

\_\_\_\_\_  
Name if a Corporation or other Entity, and Title of Signer

2. \_\_\_\_\_  
Signature

\_\_\_\_\_  
Name (type or print)

\_\_\_\_\_  
Name if a Corporation or other Entity, and Title of Signer

1. 191 N WACKER DRIVE SUITE 1800  
Number Street

CHICAGO  
City/Town

ILLINOIS 60606  
State ZIP Code

2. \_\_\_\_\_  
Number Street

\_\_\_\_\_  
City/Town

\_\_\_\_\_  
State ZIP Code

Signatures must be in black ink on an original document. Carbon copy, photocopy or rubber stamp signatures may only be used on conformed copies.

Form **LLC-1.20**

October 2008

Secretary of State Jesse White  
Department of Business Services  
Limited Liability Division  
Room 351 Howlett Building  
501 S. Second St.  
Springfield, IL 62756  
www.cyberdriveillinois.com

Payment must be made by business  
firm check payable to Secretary of  
State. (If check is returned for any  
reason this filing will be void.)

**Illinois**  
**Limited Liability Company Act**  
Application to Adopt, Change, Cancel  
or Renew an Assumed Name

Filing Fee - see note on reverse side

**SUBMIT IN DUPLICATE**

Must be typewritten

This space for use by Secretary of State.

Filing Fee: \$

Approved: *SW*

FILE # 0235911-1

This space for use by Secretary of State.

**FILED**


**FEB 25 2010**

**JESSE WHITE**  
**SECRETARY OF STATE**

1. Limited Liability Company Name: BIOFUELS TECHNOLOGY, LLC
2. State or Country under the laws of which the company is organized: (check one)  
☒ Illinois (domestic)    ☐ Foreign (specify): \_\_\_\_\_
3. Date organized (if an Illinois Limited Liability Company) or date authorized to transact business in Illinois (if a foreign Limited Liability Company): 10-10-2007
4. TO ADOPT: (a) The Limited Liability Company intends to adopt and transact business under the assumed name of: ENERGY CONNECTION  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until 10/01, 20 15, the first day of the company's anniversary month in the next year, which is evenly divisible by five.
5. TO CHANGE: (a) The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_  
(b) and to commence transacting business under the new assumed name of: \_\_\_\_\_
6. TO CANCEL: The above-named Limited Liability Company intends to cease transacting business under the assumed name of: \_\_\_\_\_
7. TO RENEW: (a) The above-named Limited Liability Company intends to renew the assumed name of: \_\_\_\_\_  
(b) The right to use the assumed name shall be effective from the date this application is filed by the Secretary of State until \_\_\_\_\_, 20 \_\_\_\_\_, the first day of the company's anniversary month in the next year, which is evenly divisible by five.

LLC-1.20

8. The undersigned affirms, under penalties of perjury, having authority to sign hereto, that this Application to Adopt, Change, Cancel or Renew an Assumed Name is to the best of my knowledge and belief, true, correct and complete.

Dated JANUARY 15<sup>th</sup>, 2010  
Month & Day Year  
  
Signature  
MARK RICE, MANAGER  
Name and Title (type or print)

If applicant is a company or other entity, state name of company and indicate whether it is a member or manager of the LLC.

- NOTE:
- a. An assumed name may be adopted in five-year increments. The right to use an assumed name shall be effective from the date of filing by the Secretary of State until the first day of the anniversary month of the Limited Liability Company that falls within the next calendar year evenly divisible by 5.
  - b. The filing fee to adopt an assumed name is \$150 for each year or part thereof ending in 0 or 5; \$120 for each year or part thereof ending in 1 or 6; \$90 for each year or part thereof ending in 2 or 7; \$60 for each year or part thereof ending in 3 or 8; or \$30 for each year or part thereof ending in 4 or 9.
  - c. The fee to change an assumed name is \$100.
  - d. The fee for canceling an assumed name is \$100.
  - e. The fee to renew an assumed name is \$150. An assumed name may be renewed 60 days prior to the expiration of the right to use the assumed name, for a period of five years, by making an election to do so at the time of filing the Annual Report and by paying the renewal fees as prescribed by this Act.
  - f. A penalty of \$100 will apply to any assumed name renewed on or after the first day of the company's anniversary month. If the assumed name is not renewed within the 60 days commencing with the first day of the company's anniversary month, the right to use the assumed name shall cease.



## OFFICE OF THE SECRETARY OF STATE

JESSE WHITE • Secretary of State

OCTOBER 10, 2007

0235911-1

MS REGISTERED AGENT SERV INC  
191 N WACKER DR #1800  
CHICAGO, IL 60606-0000

RE BIOFUELS TECHNOLOGY, LLC

DEAR SIR OR MADAM:

IT HAS BEEN OUR PLEASURE TO APPROVE AND PLACE ON RECORD THE ARTICLES OF ORGANIZATION THAT CREATED YOUR LIMITED LIABILITY COMPANY. WE EXTEND OUR BEST WISHES FOR SUCCESS IN YOUR NEW VENTURE.

THE LIMITED LIABILITY COMPANY MUST FILE AN ANNUAL REPORT PRIOR TO THE FIRST DAY OF ITS ANNIVERSARY MONTH NEXT YEAR. A PRE-PRINTED ANNUAL REPORT FORM WILL BE SENT TO THE REGISTERED AGENT AT THE ADDRESS SHOWN ON THE RECORDS OF THIS OFFICE APPROXIMATELY 60 DAYS PRIOR TO ITS ANNIVERSARY MONTH.

MANY SERVICES ARE NOW AVAILABLE ON-LINE AT [WWW.CYBERDRIVEILLINOIS.COM](http://WWW.CYBERDRIVEILLINOIS.COM). AMONG OTHER SERVICES AT THIS SITE, YOU MAY CHECK THE STATUS OF THIS COMPANY, PURCHASE A CERTIFICATE OF GOOD STANDING, OR EVEN FILE THE ANNUAL REPORT REFERRED TO IN THE PREVIOUS PARAGRAPH.

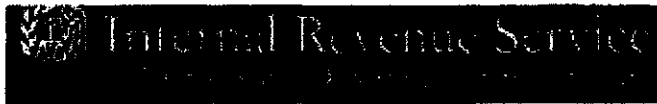
SINCERELY YOURS,

A handwritten signature in cursive script that reads "Jesse White".

JESSE WHITE  
SECRETARY OF STATE

DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY COMPANY DIVISION  
TELEPHONE (217)524-8008

JW:LLC

**EIN Assistant**

Your Progress: 1. Identity ✓ 2. Authenticate ✓ 3. Addresses ✓ 4. Details ✓ 5. EIN (

**Congratulations! Your EIN has been successfully assigned.**

EIN Assigned: 26-1212890

Legal Name: BIOFUELS TECHNOLOGY LLC

The confirmation letter will be mailed to the applicant. This letter will be the applicant's official IRS notice and will contain important information regarding the EIN.

**We strongly recommend you print this page for your records.**

Click "Continue" to get additional information about using the new EIN.

**Continue >>**

**Help Topics**

**?** Can the E  
before the  
letter is re

# ENERGY CONNECTION

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(F) 847-509-2295  
[www.energyconnectionsite.com](http://www.energyconnectionsite.com)

Exhibit A-15  
Secretary of State

File Number 0235911-1



**To all to whom these Presents Shall Come, Greeting:**  
*I, Jesse White, Secretary of State of the State of Illinois, do hereby certify that I am the keeper of the records of the Department of Business Services. I certify that*

BIOFUELS TECHNOLOGY, LLC, HAVING ORGANIZED IN THE STATE OF ILLINOIS ON OCTOBER 10, 2007, AND HAVING ADOPTED THE ASSUMED NAME OF ENERGY CONNECTION ON FEBRUARY 25, 2010, APPEARS TO HAVE COMPLIED WITH ALL PROVISIONS OF THE LIMITED LIABILITY COMPANY ACT OF THIS STATE, AND AS OF THIS DATE IS IN GOOD STANDING AS A DOMESTIC LIMITED LIABILITY COMPANY IN THE STATE OF ILLINOIS.



**In Testimony Whereof,** I hereto set  
my hand and cause to be affixed the Great Seal of  
the State of Illinois, this 20TH  
day of JULY A.D. 2011

*Jesse White*

Authentication #: 1120101727

Authenticate at: <http://www.cyberdriveillinois.com>

SECRETARY OF STATE



## OFFICE OF THE SECRETARY OF STATE

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JESSE WHITE • Secretary of State

0235911-1

03/03/2010

MS REGISTERED AGENT SERV INC  
191 N WACKER DR #1800  
CHICAGO, IL 60606-0000

RE BIOFUELS TECHNOLOGY, LLC  
ASE: ENERGY CONNECTION

DEAR SIR OR MADAM:

APPLICATION TO ADOPT AN ASSUMED NAME HAS BEEN PLACED ON FILE AND THE  
LIMITED LIABILITY COMPANY CREDITED WITH THE REQUIRED FEE.

SINCERELY YOURS,

JESSE WHITE  
SECRETARY OF STATE  
DEPARTMENT OF BUSINESS SERVICES  
LIMITED LIABILITY DIVISION  
(217) 524-8008

JW:LLC

# ENERGY CONNECTION

Biofuels Technology LLC  
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(P) 847-509-2290  
(F) 847-509-2295  
[www.energyconnectionsite.com](http://www.energyconnectionsite.com)

## Exhibit B-1 Jurisdiction of Operation

Biofuels Technology LLC is licensed in the state of Illinois as an agent, broker, consolidator (ABC).

## **Energy Connection**

666 Dundee Rd. Suite 1901, Northbrook, Illinois 60062

(P) 847-509-2290

(F) 847-509-2295

energyconnectionsite.com

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### **Exhibit B-2**

#### **Experience:**

Biofuels Technology LLC is a privately held specialty chemical processing and trading company in the renewable and alternative fuel industry. Owned and operated by third generation CEO Mark Rice, Biofuels Technology expanded its services in 2011 to include electricity and gas brokerage with the formation of Energy Connection.

Energy Connection began servicing customers through a strategic partnership with a licensed broker in the state of Illinois by sending referral business to the brokerage while learning all aspects of energy brokerage. Once all necessary experience in the industry was acquired, Energy Connection filed and received an Illinois license.

Energy Connection plans to help businesses reduce their monthly electric bills by reducing the cost per kilowatt that energy companies charge by working with the customer to find the correct RES and RES contract to fit their needs.

Along with energy brokerage, Energy Connection specializes in demand side energy management incorporating the full spectrum of energy services, from lighting retrofitting to online demand response systems that monitor every aspect of a property's consumption. These services are additional opportunities that a customer may elect to explore when working with Energy Connection.

Energy Connection has adopted all rules and specified within the Commission rules as well as the Revised Code: 4928.10.

# ENERGY CONNECTION

Biofuels Technology LLC  
666 Dundee Rd. Suite 1901  
Northbrook, Illinois 60062  
(P) 847-509-2290  
(F) 847-509-2295  
[www.energyconnectionsite.com](http://www.energyconnectionsite.com)

## Exhibit C-3 Financial Statements

## **Energy Connection**

666 Dundee Rd. Suite 1901, Northbrook, Illinois 60062

(P) 847-509-2290

(F) 847-509-2295

[energyconnectionsites.com](http://energyconnectionsites.com)

---

BioFuels Technology, dba Energy Connection requests that all financial data – including the Energy Connection balance sheet, income statement, and cash flow statement be kept confidential as its public release would be harmful to the security of the company and is not public information elsewhere.

4:38 PM

12/04/12

Accrual Basis

# Energy Connection

## Balance Sheet

As of December 31, 2011

	<u>Dec 31, 11</u>
<b>ASSETS</b>	
<b>Current Assets</b>	
Checking/Savings	
Biofuels Technology LLC	19,677.54
<b>Total Checking/Savings</b>	<u>19,677.54</u>
<b>Other Current Assets</b>	
Advance - Helen Lozier	8,500.00
<b>Total Other Current Assets</b>	<u>8,500.00</u>
<b>Total Current Assets</b>	<u>28,177.54</u>
<b>TOTAL ASSETS</b>	<u><b>28,177.54</b></u>
<b>LIABILITIES &amp; EQUITY</b>	
<b>Equity</b>	
Member 1 Equity	28,177.54
Retained Earnings	3,452.13
Net Income	-3,452.13
<b>Total Equity</b>	<u>28,177.54</u>
<b>TOTAL LIABILITIES &amp; EQUITY</b>	<u><b>28,177.54</b></u>

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**Energy Connection**  
**Income Statement**  
January through December 2011

	<u>Jan - Dec 11</u>
Income	
Sales Income	105,424.18
Total Income	<u>105,424.18</u>
Gross Profit	105,424.18
Expense	
Ask My Accountant	0.00
Auto Lease	4,823.41
Automobile Expense	59.40
Business Licenses and Permits	477.00
Commission Payment	49,550.26
Computer and Internet Expenses	3,265.70
Draw on future earnings	0.00
Guaranteed Payment	0.00
Health Insurance	13,679.00
Insurance Expense	0.00
Marketing	1,055.00
Meals and Entertainment	1,600.79
Miscellaneous Expense	0.00
Office Expense	6,003.47
Office Insurance	370.60
Office Supplies	955.96
Postage and Delivery	881.96
Professional Fees	4,044.00
Rent Expense	14,481.36
Telephone Expense	5,030.29
Utilities	2,798.11
Total Expense	<u>108,876.31</u>
Net Income	<u><u>-3,452.13</u></u>

**Energy Connection**  
**Statement of Cash Flows**  
 January through December 2011

	<u>Jan - Dec 11</u>
<b>OPERATING ACTIVITIES</b>	
Net Income	-3,452.13
Adjustments to reconcile Net Income to net cash provided by operations:	
Accounts Receivable	1,185.42
Advance - Helen Lozier	-8,500.00
Net cash provided by Operating Activities	-10,766.71
<b>FINANCING ACTIVITIES</b>	
Member 1 Equity	28,177.54
Opening Balance Equity	-26,344.07
Retained Earnings	1,884.45
Net cash provided by Financing Activities	3,717.92
Net cash increase for period	-7,048.79
Cash at beginning of period	26,726.33
Cash at end of period	<u>19,677.54</u>

# **AFFIDAVIT**

State of Illinois :

Northbrook ss.  
(Town)

County of Cook :

Mary Mulhern, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the <sup>Notary public</sup> State of Illinois (Office of Affiant) of Biofuels Technology LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

A. Rice - President  
Signature of Affiant & Title

Sworn and subscribed before me this 7<sup>th</sup> day of Dec, 2012  
Month Year

Mary Mulhern  
Signature of official administering oath

MARY MULHERN + Notary  
Print Name and Title

My commission expires on 11/15/15

