



The Public Utilities Commission of Ohio

12-2489-EL-AGG 29

PUCO USE ONLY		
Date Received	Case Number	Version
	- EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name NEXTGEN ENERGY SOLUTIONS, LLC
Address 2600 OAKSTONE DR, COLUMBUS, OH 43231
Telephone # (614) 413-2750 Web site address (if any) nextgenohio.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name NEXTGEN ENERGY SOLUTIONS, LLC
Address 2600 OAKSTONE DR, COLUMBUS, OH 43231
Telephone # (614) 413-2750 Web site address (if any) nextgenohio.com

A-3 List all names under which the applicant does business in North America

A-4 Contact person for regulatory or emergency matters

Name NICHOLAS JOHNSON
Title PRESIDENT

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician 3 Date Processed SEP 10 2012

RECEIVED-DOCKETING DIV
2012 SEP 10 PM 2:23
PUCO

Business address 2600 OAKSTONE DR, COLUMBUS, OH 43231
Telephone # (614) 413-2750 Fax # (614) 675-6730
E-mail address (if any) NICK@NEXTGENOHIO.COM

A-5 Contact person for Commission Staff use in investigating customer complaints

Name NICHOLAS JOHNSON
Title PRESIDENT
Business address 2600 OAKSTONE DR, COLUMBUS, OH 43231
Telephone # (614) 413-2750 Fax # (614) 675-6730
E-mail address (if any) NICK@NEXTGENOHIO.COM

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 2600 OAKSTONE DR, COLUMBUS, OH 43231
Toll-free Telephone # (614) 413-2750 Fax # (614) 675-6730
E-mail address (if any) NICK@NEXTGENOHIO.COM

A-7 Applicant's federal employer identification number # 460799158

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cincinnati Gas & Electric | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services

October 10, 2012

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience."** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations."** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

[Signature] President
Signature of Applicant & Title



OLGA STARR
Notary Public, State of Ohio
My Commission Expires Jan. 5, 2014

Sworn and subscribed before me this 6 day of September, 2012
Month Year

[Signature]
Signature of official administering oath

Olga Starr
Print Name and Title

My commission expires on 1-5-14

AFFIDAVIT

State of Ohio :

Columbus ss.
(Town)

County of Franklin :

Nicholas Johnson, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Next Gen Energy Solutions LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Wale J. President
Signature of Affiant & Title

Sworn and subscribed before me this 6 day of September, 2012
Month Year

Olga Starr
Signature of official administering oath

Olga Starr
Print Name and Title

My commission expires on 1-5-14



OLGA STARR
Notary Public, State of Ohio
My Commission Expires Jan. 5, 2014

Exhibit A-11 "Principal Officers, Directors & Partners"

Nicholas Johnson, President of NextGen Energy Solutions, LLC
4015 Estates Pl
Columbus, OH 43224
614-397-3994

Exhibit A-12 "Corporate Structure,"

NextGen Energy Solutions, LLC is a brand new, startup company, and I am the only employee. I will serve as President. I am not affiliated with any other companies.

Exhibit A-13 "Company History,"

NextGen Energy Solutions, LLC was created with the State of Ohio on 8/20/2012. It has no other history, as it is a brand new startup company.

201223500589

DATE: 08/23/2012	DOCUMENT ID 201223500589	DESCRIPTION DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	FILING 125.00	EXPED .00	PENALTY .00	CERT .00	COPY .00
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Receipt

This is not a bill. Please do not remit payment.

NICHOLAS R. JOHNSON
4015 ESTATES PL.
COLUMBUS, OH 43224

Exhibit A-14

Articles of Incorporation + Bylaws

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2130327

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

NEXTGEN ENERGY SOLUTIONS, LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

201223500589



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 20th day of August, A.D. 2012.

Jon Husted

Ohio Secretary of State

201223500589

DATE: 08/23/2012	DOCUMENT ID 201223500589	DESCRIPTION DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	FILING 125.00	EXPED .00	PENALTY .00	CERT .00	COPY .00
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Receipt

This is not a bill. Please do not remit payment.

NICHOLAS R. JOHNSON
4015 ESTATES PL.
COLUMBUS, OH 43224

Exhibit A-15

Secretary of State

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2130327

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

NEXTGEN ENERGY SOLUTIONS, LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG

Document No(s):

201223500589



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 20th day of August, A.D. 2012.

Jon Husted

Ohio Secretary of State

Exhibit B-1 “Jurisdictions of Operation,”

NextGen Energy Solutions, LLC is not currently operating, certified, licensed, or registered to do any business related to wholesale electric services in any state.

Exhibit B-2 "Experience & Plans,"

NextGen Energy Solutions, LLC is owned by Nicholas Johnson. Nicholas has worked with IGS Energy in Dublin Ohio for the past 6 years, in multiple roles. In those 6 years he has learned the business practices of working with customers (both residential and commercial) and working with the local Utilities, as well as the PUC.

NextGen Energy Solutions, LLC's plan is to aggregate small-commercial customers in order to achieve a greater buying power from approved Electricity suppliers. There will be no billing statements, as there will be no charge to the small-commercial customers. NextGen Energy Solutions, LLC will charge the Supplier a commission fee per unit of usage.

NextGen Energy Solutions, LLC will enter into a month-to-month contract with the commercial business, until an electricity supplier has been provided, and then the length of the contract will be determined by the length of the contract with the electricity supplier.

NextGen Energy Solutions, LLC will serve as a customer service for the commercial customer, in regards to understand usage, contract details, and pricing.

Exhibit B-3 "Summary of Experience,"

NextGen Energy Solutions, LLC is owned by Nicholas Johnson. Nicholas has worked with IGS Energy in Dublin Ohio for the past 6 years, in multiple roles. In those 6 years he has learned the business practices of working with customers (both residential and commercial) and working with the local Utilities, as well as the PUC.

The facet of job that most prepared Nicholas Johnson for Energy Aggregator, was during a period of time in which he was responsible for paying brokers based on usage for IGS Energy. He was also in charge of entering new accounts as they would come in from brokers. Brokers included; Eagle Energy, and Scioto Energy.

Exhibit B-4 "Disclosure of Liabilities and Investigations,"

None exist.

Exhibit C-1 “Annual Reports,”

Not applicable, due to being a startup company.

Exhibit C-2 “SEC Filings,”

Not applicable, due to being a startup company.

I have setup a business checking account with Telhio Credit Union. Here is documentation;

14:40

C

Online Banking Message of the Day

And earn up to \$150!

Logout


**Printer Friendly
Refresh Balances**

Account ID	Description	Balance	Avail. Balance
570	COMMON STOCK, PAID	\$0.00	\$0.00
577	COMMON STOCK, PREMIUM PAID	\$0.00	\$0.00
Total Deposit Assets		\$0.00	\$0.00

And earn up to \$150!


Exhibit C-3 "Financial Statements," (cont'd)

Also, here is a copy of the check I used to pay the Secretary of State;

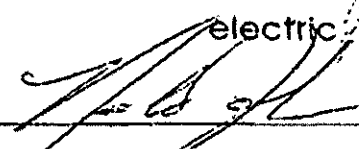
 **NICHOLAS R. JOHNSON**
4015 ESTATES PL
COLUMBUS OH 43224

DATE 3/16/2012 62-7611/311

PAY TO THE ORDER OF Ohio Secretary of State \$ 125.00

The hundred and twenty five and 00/100 DOLLARS 

ING DIRECT electric orange checking

MEMO Filing Fee 

⑆031176110⑆ 1229591941⑆ 595340

51 01 036 0149 000642 090412 13010739 125.00

FOR DEP ONLY JOSH MANDEL OHIO TREAS REV HGMT

FOR DEPOSIT ONLY
PAY TO THE ORDER OF
OHIO SECRETARY OF STATE
AND/OR
OHIO TREASURER OF STATE

Exhibit C-3 "Financial Statements," (cont'd)

And here is the receipt from the Secretary of State.

201223500589

DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/23/2012	201223500589	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

NICHOLAS R. JOHNSON
4015 ESTATES PL.
COLUMBUS, OH 43224

Exhibit C-4 “Financial Arrangements,”

Not applicable, as an aggregator/broker I do not take title to the power.

Exhibit C-5 "Forecasted Financial Statements,"

The following financial statements are based on the following assumptions;

Quarter	Commerical Accounts Brokered	Average Quarterly Consumption (kWh)	Total Quarterly Consumption (kWh)	Average Broker Commission (Per kWh)	Revenue
QTR 4 2012	20	35000	700000	\$ 0.0025	\$ 1,750.00
QTR 1 2013	40	35000	1400000	\$ 0.0025	\$ 3,500.00
QTR 2 2013	65	35000	2275000	\$ 0.0025	\$ 5,687.50
QTR 3 2013	90	35000	3150000	\$ 0.0025	\$ 7,875.00
QTR 4 2013	120	35000	4200000	\$ 0.0025	\$10,500.00
QTR 1 2014	150	35000	5250000	\$ 0.0025	\$13,125.00
QTR 2 2014	185	35000	6475000	\$ 0.0025	\$16,187.50
QTR 3 2014	220	35000	7700000	\$ 0.0025	\$19,250.00
QTR 4 2014	260	35000	9100000	\$ 0.0025	\$22,750.00

2012 Revenue	\$ 1,750.00
2013 Revenue	\$ 27,562.50
2014 Revenue	\$ 71,312.50

Income Statement

	2012	2013	2014
Income			
Revenue	\$ 1,750.00	\$27,562.50	\$71,312.50
Expenses			
Office Space	\$ 1,200.00	\$ 3,600.00	\$ 3,600.00
Marketing	\$ 2,000.00	\$ 6,000.00	\$ 6,000.00
Telephone/Internet	\$ 500.00	\$ 1,500.00	\$ 1,500.00
Accounting Services	\$ 667.00	\$ 2,000.00	\$ 2,000.00
Net Income	<u>\$(2,617.00)</u>	<u>\$14,462.50</u>	<u>\$58,212.50</u>

Cash Flow Statement

	2012	2013	2014
Cash Flow from Operations			
Net Earnings	\$ 1,750.00	\$ 27,562.50	\$ 71,312.50
Expenses	<u>\$(4,367.00)</u>	<u>\$(13,100.00)</u>	<u>\$(13,100.00)</u>
Starting Cash	\$ -	\$ (2,617.00)	\$ 11,845.50
Ending Cash	<u>\$(2,617.00)</u>	<u>\$ 11,845.50</u>	<u>\$ 70,058.00</u>

Exhibit C-5 “Forecasted Financial Statements,” (cont’d)

Balance Sheet			
Assets	2012	2013	2014
Cash	\$ (2,367.00)	\$ 11,845.50	\$ 70,058.00
Office Supplies	\$ 3,500.00	\$ 3,500.00	\$ 3,500.00
Total Assets	\$ 1,133.00	\$ 15,345.50	\$ 73,558.00
Liabilities	\$ -	\$ -	\$ -
Total Liabilities	\$ -	\$ -	\$ -
Equity	\$ -	\$ -	\$ -
Total Equity	\$ -	\$ -	\$ -

Exhibit C-6 "Credit Rating,"

**I have applied for a Duns number, and awaiting that information, it is expected by the
September 30th, 2012.**

Exhibit C-7 “Credit Report,”

Not applicable, due to being a startup company. I have applied for a Duns number, and awaiting that information, it is expected by the September 30th, 2012.

Exhibit C-8 “Bankruptcy Information,”

Not applicable

Exhibit C-9 “Merger Information,”

Not applicable.