

The Public Utilities Commission of Ohio
Docketing Division
13th Floor
180 East Broad Street
Columbus, OH 43215-3793

September 6, 2012

To Whom It May Concern:

Please find enclosed the retail natural gas supplier renewal application, exhibits and attachments for Delta Energy LLC. The original documents and financial statements are being submitted under separate cover from Perez & Morris LLC, Columbus, OH.

Sincerely,

Geralyn Seng

Geralyn Seng
Commercial Services, Manager
gseng@deltaenergyllc.com

RECEIVED-DOCKETING DIV
2012 SEP -7 AM 10:06
PUCO

tollfree (866) 79 DELTA
local (614) 339-2600
fax (614) 339-2700



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5555 Perimeter Drive
Dublin, Ohio 43017
www.DeltaEnergyLLC.com



PUCO USE ONLY - Version 1.07		
Date Received	Renewal Certification Number	ORIGINAL CRS Case Number
		08-1037-GA-CRS

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 13th Floor, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Delta Energy LLC
Address 5555 Perimeter Dr, Dublin, OH 43017
Telephone No. (614) 339-2600 Web site Address <http://www.deltaenergyllc.com>
Current PUCO Certificate No. 08-138G (2) Effective Dates September 29, 2010 - September 29, 2012

A-3 Applicant information under which applicant will do business in Ohio:

Name Delta Energy LLC
Address 5555 Perimeter Dr, Dublin, OH 43017
Web site Address <http://deltaenergyllc.com> Telephone No. (614) 339-2600

A-4 List all names under which the applicant does business in North America:

Delta Energy LLC

A-5 Contact person for regulatory or emergency matters:

Name Sharon S. Tackett Title Founder & CEO
Business Address 5555 Perimeter Dr, Dublin, OH 43017
Telephone No. (614) 339-2606 Fax No. (614) 339-2700 Email Address stackett@deltaenergyllc.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Cathy Woods Title Administrative Services
Business address 5555 Perimeter Dr, Dublin, OH 43017
Telephone No. (614) 339-2630 Fax No. (614) 339-2700 Email Address cwoods@deltaenergyllc.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 5555 Perimeter Dr, Dublin, OH 43017
Toll-Free Telephone No. (614) 339-2600 Fax No. (614) 339-2700 Email Address cwoods@deltaenergyllc.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Sharon S. Tackett Title Founder & CEO
Business address 5555 Perimeter Dr, Dublin, OH 43017
Telephone No. (614) 339-2606 Fax No. (614) 339-2700 Email Address stackett@deltaenergyllc.com

A-9 Applicant's federal employer identification number 320088487

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship ☐ Partnership
☐ Limited Liability Partnership (LLP) ☒ Limited Liability Company (LLC)
☐ Corporation ☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input type="checkbox"/>	Duke Energy Ohio	<input type="checkbox"/>	Residential	<input type="checkbox"/>	Small Commercial	<input type="checkbox"/>	Large Commercial / Industrial
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	<input type="checkbox"/>	Residential	<input type="checkbox"/>	Small Commercial	<input type="checkbox"/>	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ **Columbia Gas of Ohio**

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ **Dominion East Ohio**

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ **Duke Energy Ohio**

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ **Vectren Energy Delivery of Ohio**

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 Exhibit A-17 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-18 Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Sharon S (Sherr) Jurett

Sworn and subscribed before me this

5th

day of

Sept.

Month

2012

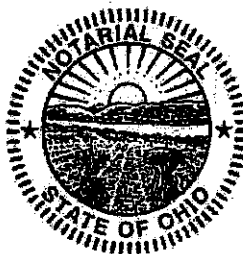
Year

Melinda M. Doane

Signature of official administering oath

Melinda M. Doane

Print Name and Title



MELINDA M. DOANE
NOTARY PUBLIC - OHIO
MY COMMISSION EXPIRES 06-01-15

My commission expires on

6/1/15



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

for a Certificate or Renewal Certificate to Provide)
Competitive Retail Natural Gas Service in Ohio.)

Case No. - -GA-CRS

County of Franklin
State of Ohio

Sharon S. Tackett

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sharon S. Tackett

Sworn and subscribed before me this

5th day of Sept

Month 2012 Year

Melinda M. Doane

Notary Public - Ohio

Melinda M. Doane
Print Name and Title



MELINDA M. DOANE
NOTARY PUBLIC - OHIO

MY COMMISSION EXPIRES 06-01-15

My commission expires on 6/1/15

(CRNGS Supplier Renewal)

Page 7 of 7



Principal Officers

Sharon S. Tackett

- Founder & CEO
- 5555 Perimeter Drive
- Dublin, OH 43017
- 614-339-2606
- stackett@deltaenergyllc.com

Ronald J. Sekinger

- Executive Vice President
- 5555 Perimeter Drive
- Dublin, OH 43017
- 614-339-2607
- rsekinger@deltaenergyllc.com

Keith A. Mathias

- Sr. Vice President & Corporate Treasurer
- 5555 Perimeter Drive
- Dublin, OH 43017
- 614-339-2604
- kmathias@deltaenergyllc.com

Justin M. McMaster

- Sr. Vice President & Corporate Secretary
- 5555 Perimeter Drive
- Dublin, OH 43017
- 614-339-2609
- jmcmaster@deltaenergyllc.com

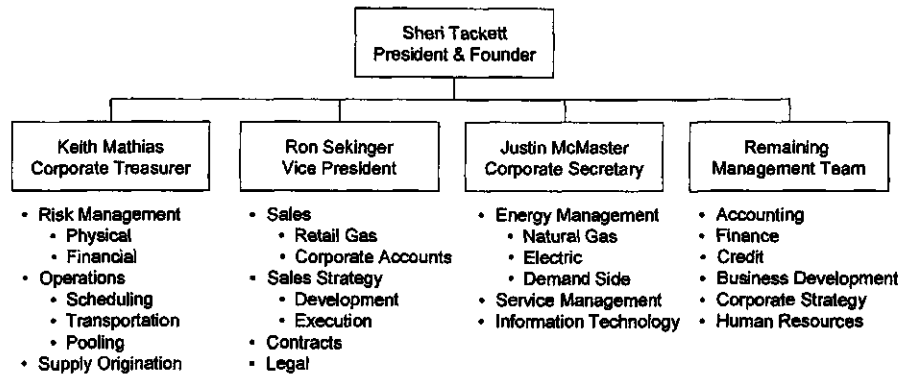
**Exhibit A-15 – Corporate Structure
Ohio Natural Gas Supply License Application
Delta Energy, LLC**



Delta Energy is a stand alone Ohio limited liability company (LLC) with its corporate offices located at:

**5555 Perimeter Drive
Dublin, Ohio 43017**

A summary-level organizational chart by functional area is included below:





Company History

Delta Energy, LLC ("Delta") has been serving industrial consumers of natural gas since the inception of the business in 1997. Delta was conducting business under the name of Ashland Energy Services prior to acquiring the assets and contracts in December, 2003 from Ashland Inc. Delta is certified as a Women's Business Enterprise (WBE) by the National Council.

Delta has offered customized energy management services for industrial energy consumers and producers, and also provided competitively-priced natural gas supply and transportation throughout its history. Customers include multi-facility corporate accounts, single-site industrial consumers, independent producers, and utility companies.

Commodity gas sales and transportation efforts have been focused in the Northeast, Mid-Continent, Mid-Atlantic, and Gulf Coast. Natural gas supplies are sourced from independent and major producers in the Gulf of Mexico, Appalachian Basin, and from marketing companies and utilities.

Principal Business Interests

Delta Energy offers customized energy management services for energy consumers and producers, and provides competitively-priced natural gas supply and transportation for industrial end-users. Delta's energy management services are provided throughout the continental United States and Canada, while natural gas sales and transportation are focused in the major consuming regions of the Northeast, Mid-Atlantic, Mid-Continent and Gulf Coast.

Delta's natural gas supplies are sourced from independent and major producers in the Gulf of Mexico and Appalachian Basin, as well as from utilities and other creditable market participants.

Delta's dedicated team of qualified energy professionals, with average industry experience of 16 years, serves numerous Fortune 500 accounts, over 1,000 gas and electric consuming facilities, and provides various services for numerous energy suppliers and utilities. Delta's natural gas sales portfolio primarily includes industrial customers and utilities.



Recognition

Delta Energy, LLC awards include:

- Governors Excellence in Enterprise Award
- Columbus Business First – Top 25 Women owned, Top 25 privately held businesses, Best places to work, and their Fast 50 award
- Pink Magazine – Top 50 Women business owners in America
- Inc. Magazine / Inc.com - Top 100 Inc. 5,000 companies by revenue
- Opportunity World – Top 40 Women CEO's
- Power 100 list of most influential business, civic and political leaders in central Ohio by Smart Business (2009, 2010, 2011)
- Central Ohio Pillar Award for community service (2010, 2011)
- Best Places to Work (2007, 2008, 2009, 2010, 2011, 2012)



STATE OF OHIO CERTIFICATE

Ohio Secretary of State, J. Kenneth Blackwell

1403611

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

DELTA ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

ARTICLES OF ORGANIZATION/DOM. LLC

Document No(s):

200321800506



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 5th day of August, A.D. 2003.

J. Kenneth Blackwell
Ohio Secretary of State

Exhibit A-17 – Articles of Incorporation & Bylaws (Page 2)
Ohio Natural Gas Supply License Application
Delta Energy, LLC



Prescribed by **J. Kenneth Blackwell**

Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.state.oh.us/sos

e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)	
Mail Form to one of the following:	
<input checked="" type="radio"/> Yes	PO Box 1390 Columbus, OH 43216 *** Requires an additional fee of \$100 ***
<input type="radio"/> No	PO Box 670 Columbus, OH 43216

**ORGANIZATION / REGISTRATION OF
LIMITED LIABILITY COMPANY**
(Domestic or Foreign)
Filing Fee \$125.00

THE UNDERSIGNED DESIRING TO FILE A:

(CHECK ONLY ONE (1) BOX)

(1) <input checked="" type="checkbox"/> Articles of Organization for Domestic Limited Liability Company (115-LCA) ORC 1705	(2) <input type="checkbox"/> Application for Registration of Foreign Limited Liability Company (106-LFA) ORC 1705 (Date of Formation) _____ (State) _____
---	---

Complete the general information in this section for the box checked above.

Name Delta Energy, LLC

☐ Check here if additional provisions are attached

* If box (1) is checked, name must include one of the following endings: limited liability company, limited, Ltd., LLC, L.L.C.

Complete the information in this section if box (1) is checked.

Effective Date (Optional) _____ Date specified can be no more than 90 days after date of filing. If a date is specified, the date must be a date on or after the date of filing.
(mm/dd/yyyy)

This limited liability company shall exist for perpetual (Period of existence)

Purpose (Optional) _____

The address to which interested persons may direct requests for copies of any operating agreement and any bylaws of this limited liability company is

(Optional) _____
(Name)

(Street) NOTE: P.O. Box Addresses are NOT acceptable.

(City) _____ (State) _____ (Zip Code) _____



UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, J. Kenneth Blackwell, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign corporations; that said records show DELTA ENERGY, LLC, an Ohio Limited Liability Company, Registration No. 1403611, was organized within the State of Ohio on August 05, 2003, is currently in FULL FORCE AND EFFECT upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 24th day of May, A.D. 2004.

J. Kenneth Blackwell
Ohio Secretary of State

Exhibit A-18 – Secretary of State (Page 2)
Ohio Natural Gas Supply License Application
Delta Energy, LLC



Complete the information in this section if box (2) is checked.

The address to which interested persons may direct requests for copies of any operating agreement and any bylaws of this limited liability company is

(Name) _____
(Street) _____ NOTE: P.O. Box Addresses are NOT acceptable.
(City) _____ (State) _____ (Zip Code) _____

The name under which the foreign limited liability company desires to transact business in Ohio is

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

(Name) _____
(Street) _____ NOTE: P.O. Box Addresses are NOT acceptable.
(City) _____ Ohio _____ (State) _____ (Zip Code) _____

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the OHIO SECRETARY OF STATE if:

- a. the agent cannot be found, or
- b. the limited liability company fails to designate another agent when required to do so, or
- c. the limited liability company's registration to do business in Ohio expires or is cancelled.

REQUIRED

Must be authenticated (signed)
by an authorized representative
(See Instructions)

Authorized Representative Date 8/5/03
Juan Jose Perez
Print Name

Authorized Representative Date

Print Name



Complete the information in this section if box (1) is checked Cont.

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member, manager or representative of

Delta Energy, LLC

(name of limited liability company)

hereby appoint the following to be statutory agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is:

Juan Jose Perez

(Name of Agent)

92 North Woods Boulevard

(Street)

NOTE: P.O. Box Addresses are NOT acceptable.

Columbus

(City)

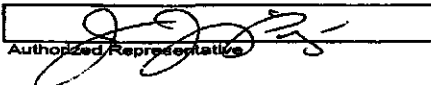
Ohio

(State)

43235

(Zip Code)

Must be authenticated by an
authorized representative


Authorized Representative

8/5/02
Date

Authorized Representative

Date

ACCEPTANCE OF APPOINTMENT

The undersigned, named herein as the statutory agent for

Delta Energy, LLC

(name of limited liability company)

hereby acknowledges and accepts the appointment of agent for said limited liability Company.


(Agent's signature)

PLEASE SIGN PAGE (3) AND SUBMIT COMPLETED DOCUMENT

Jon Husted
Ohio Secretary[Jon Husted & the Office](#) | [Elections & Voting](#) | [Campaign Finance](#) | [Legislation & Ballot Issues](#) | [Businesses](#) | [Records](#) | [Media Center](#) | [Publications](#)**Business Services**[General Information](#) [Business Search](#) [UCC Search](#) [Trade Mark / Service Mark Search](#) [Prepayment Accounts](#) [Help](#)

Corporate Search

Business Name
Business Name - Exact
Number Search
Agent/Contact Name
Prior Business Name
Church Name**Business Search by Number**

Entity Number	Business Name	Type	Original Filing Date	Expiry Date	Status	Business Location	County	State
1403611	DELTA ENERGY, LLC	DOMESTIC LIMITED LIABILITY COMPANY	08/05/2003	-	Active	-	-	-

1 - 1

[Download Business Search Results](#) | [Print](#)

Total Number of filings found : 1

[New Search](#)



Jurisdictions of Operation

Delta is authorized to provide retail natural gas service in Pennsylvania, Virginia, and Maryland. Delta also provides natural gas service to industrial, wholesale, or commercial customers in the following states, although specific registration is not required: California, Kentucky, Louisiana, Michigan, Ohio, Texas, and West Virginia.

Delta provides energy management services to businesses across the USA and Canada. Delta is currently providing services to customer locations in 37 states.



Experience & Plans

Delta Energy, an Ohio LLC, has over 15 years of experience offering customized energy management services for energy consumers and producers, as well as marketing natural gas supply and transportation.

This experience includes multiple strategic supplier relationships, customer service competencies achieving high level of customer satisfaction and loyalty, and operations expertise. These experiences and strengths are being utilized to expand our business model to serve small, medium, and large commercial customers and residential customers in Ohio.

We serve the small, medium and large commercial markets and the residential market with a sales team for large and mid-size commercial accounts. Our existing customer service team handling industrial customers will use the same process to service commercial accounts billing requirements.

We use the LDC billing option to combine gas supply pricing on the LDC invoice for all "choice" eligible customers. A toll free 800 phone number for customer service activities including enrollment and answering customer questions regarding gas supply charges is available for all customers.

We continue to use our web site to serve small commercial and residential "choice" customers.

Summary of Experience

Delta Energy's business serves over 1000 customer sites that include multi-facility corporate accounts, single-site industrial and large commercial consumers, independent producers, and utility companies.

Our sales portfolio transacts approximately 115 BCF annually to a customer base throughout Ohio, Pennsylvania, Michigan, Virginia, West Virginia, Louisiana, Texas, California, Maryland, and Kentucky.

Delta provides natural gas supply behind the following utilities: Arkla, Consumers Energy, Michigan Gas Utilities, MichCon, Duke OH/KY, Dominion East Ohio Gas, Dominion West Ohio Gas, Dominion Peoples, Hope, Vectren, Baltimore Gas & Electric, UGI, Columbia Gas of Ohio, Columbia Gas of Pennsylvania, Columbia Gas of Kentucky, Columbia Gas of Maryland, Columbia Gas of Virginia, and Mountaineer Gas.

Delta operates on the following Interstate and Intrastate pipeline systems: ANR, Atmos Intrastate, Carolina Transmission, Centerpoint, Columbia Gas Transmission, Columbia Gulf, Discovery, Dominion, Gulf South, HIOS, Kinder Morgan (REX), NGPL, Panhandle, Reliant, Sea Robin, Sonat, Stingray, Tennessee, Texas Eastern, Texas Gas, Transco, and Trunkline.

Disclosure of Liabilities & Investigations

Not Applicable



Disclosure of Consumer Protection Violations

Not applicable

**Exhibit B-6 – Disclosure of Certification Denial, Curtailment,
Suspension, or Revocation
Ohio Natural Gas Supply License Application
Delta Energy, LLC**



Disclosure of Certification Denial, Curtailment, Suspension, or Revocation

Not applicable



Annual Reports

Delta Energy, LLC is a privately held company and does not produce an annual report. (see section C-3)

Exhibit C-2 – SEC Filings
Ohio Natural Gas Supply License Application
Delta Energy, LLC



SEC Filings

Delta Energy, LLC as a privately held company is not bound by SEC filing requirements.



Financial Statements

Financial statements for Delta Energy, LLC's fiscal years that ended June 30, 2011 and 2010 are attached. This information is privileged and confidential and filed under seal. Financial statements for the fiscal year that ended June 30, 2012 will be finalized in the October - November 2012 timeframe.

Financial Arrangements

Delta Energy, LLC has been engaged in natural gas sales to Commercial and Industrial customers since 1997. As such, Delta Energy has numerous and diverse supply and related service contracts to support annual sales volumes in of approximately 115 BCF.

To date, Delta Energy, LLC has been primarily capitalized via equity (i.e., no long-term debt). Discussions with numerous interested and willing banks are underway to address the capital requirements of CRNGS as a business activity.

Exhibit C-6 – Credit Rating
Ohio Natural Gas Supply License Application
Delta Energy, LLC



Credit Rating

Delta Energy, LLC has a credit rating of 1R2 from Dun and Bradstreet.

Exhibit C-7 – Credit Report
Ohio Natural Gas Supply License Application
Delta Energy, LLC



Credit Report

Delta Energy, LLC credit report from Dun and Bradstreet is attached.



Live Report : DELTA ENERGY, LLC

D-U-N-S® Number: 14-291-1085

Endorsement/Billing Reference: gseng@deltaenergyllc.com

D&B Address		Endorsement : gseng@deltaenergyllc.com	
Address	5555 Perimeter Dr Dublin, OH - 43017	Location Type	Single Location
Phone	614 339-2600	Web	www.deltaenergyllc.com
Fax	614-410-3088	Report Printed:	September 05, 2012
		ATTN: Geralyn Seng	

Company Summary

Currency: Shown in USD unless otherwise indicated

Score Bar

PAYDEX®	80
Commercial Credit Score Class	1
Financial Stress Class	3
Credit Limit - D&B Conservative	35,000.00
D&B Rating	1R2

Company News

Today: Wednesday, September 05, 2012

This company is not currently tracked for Company News.

Powered by FirstRain

D&B Company Overview

This is a single location

Manager	SHERI TACKETT, MBR
Year Started	2003
Employees	45
SIC	4924 , 8742
Line of business	Natural gas distribution, management consulting services
NAICS	221210
History Status	CLEAR

Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	8	07/18/12

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

0
Days

Dollar-weighted average of 7 payment experiences reported from 6 Companies

Recent Derogatory Events

	May-12	Jun-12	Jul-12
Placed for Collection	-	-	-
Bad Debt Written Off	-	-	-

Predictive Scores

Currency: Shown in USD unless otherwise indicated

Credit Capacity Summary

This credit rating was assigned because of D&B's assessment of the company's creditworthiness. For more information, see the D&B Rating Key

D&B Rating : **1R2**

Number of employees: 1R Indicates 10 or more employees
Composite credit appraisal: 2 is good

The 1R and 2R ratings categories reflect company size based on the total number of employees for the business. They are assigned to business files that do not contain a current financial statement. In 1R and 2R Ratings, the 2, 3, or 4 creditworthiness indicator is based on analysis by D&B of public filings, trade payments, business age and other important factors. 2 is the highest Composite Credit Appraisal a company not supplying D&B with current financial information can receive.

Below is an overview of the company's rating history since 04-13-2004

Number of Employees **45**
Total:

D&B Rating	Date Applied
1R2	10-04-2006
1R3	10-19-2004
-	04-13-2004

Payment Activity: (based on 40 experiences)

Average High Credit:	374,815
Highest Credit:	6,000,000
Total Highest Credit:	13,119,000

D&B Credit Limit Recommendation

Conservative credit Limit	35,000
Aggressive credit Limit:	70,000

Risk category for this business : **LOW**

The Credit Limit Recommendation (CLR) is intended to serve as a directional benchmark for all businesses within the same line of business or industry, and is not calculated based on any individual business. Thus, the CLR is intended to help guide the credit limit decision, and must be balanced in combination with other elements which reflect the individual company's size, financial strength, payment history, and credit worthiness, all of which can be derived from D&B reports.

Risk is assessed using D&B's scoring methodology and is one factor used to create the recommended limits. See Help for details.

Financial Stress Class Summary

The Financial Stress Score predicts the likelihood of a firm ceasing business without paying all creditors in full, or reorganization or obtaining relief from creditors under state/federal law over the next 12 months. Scores were calculated using a statistically valid model derived from D&B's extensive data files.

The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000), which is lower than the average of businesses in D & B's database

Financial Stress Class : 3

(Lowest Risk:1; Highest Risk:5)

Moderate risk of severe financial stress, such as a bankruptcy, over the next 12 months.

Probability of Failure:

- Among Businesses with this Class: **0.24 %** (24 per 10,000)
- Financial Stress National Percentile : **49** (Highest Risk: 1; Lowest Risk: 100)
- Financial Stress Score : **1476** (Highest Risk: 1,001; Lowest Risk: 1,875)
- Average of Businesses in D&Bs database: **0.48 %** (48 per 10,000)

The Financial Stress Class of this business is based on the following factors:

- High number of inquiries to D & B over last 12 months.
- Limited time under present management control.

Notes:

- The Financial Stress Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience financial stress.
- The Probability of Failure shows the percentage of firms in a given Class that discontinued operations over the past year with loss to creditors. The Probability of Failure - National Average represents the national failure rate and is provided for comparative purposes.
- The Financial Stress National Percentile reflects the relative ranking of a company among all scorable companies in D&Bs file.
- The Financial Stress Score offers a more precise measure of the level of risk than the Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Norms	National %
This Business	49
Region: EAST NORTH CENTRAL	50
Industry: INFRASTRUCTURE	43
Employee range: 20-99	66
Years in Business: 6-10	43

This Business has a Financial Stress Percentile that shows:

- Higher risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Higher risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.

Credit Score Summary

The Commercial Credit Score predicts the likelihood that a company will pay its bills in a severely delinquent manner (90 days or more past terms), obtain legal relief from creditors or cease operations without paying all creditors in full over the next 12 months. Scores are calculated using a statistically valid model derived from D&B's extensive data files.

The Credit Score class of 1 for this company shows that 6.0% of firms with this class paid one or more bills severely delinquent, which is lower than the average of businesses in D & B's database.

Credit Score Class : 1

Lowest Risk:1;Highest Risk :5

Incidence of Delinquent Payment

- Among Companies with this Classification: **6.00 %**
- Average compared to businesses in D&Bs database: **23.50 %**
- Credit Score Percentile : **100** (Highest Risk: 1; Lowest Risk: 100)
- Credit Score : **541** (Highest Risk: 101; Lowest Risk:670)

The Credit Score Class of this business is based on the following factors:

- Business is privately held.
- Business is not a subsidiary.

Notes:

- The Commercial Credit Score Risk Class indicates that this firm shares some of the same business and financial characteristics of other companies with this classification. It does not mean the firm will necessarily experience severe delinquency.
- The incidence of delinquency shows the percentage of firms in a given percentile that are likely to pay creditors in a severely delinquent manner. The average incidence of delinquency is based on businesses in D&B's database and is provided for comparative purposes.
- The Commercial Credit Score percentile reflects the relative ranking of a firm among all scorable companies in D&B's file.
- The Commercial Credit Score offers a more precise measure of the level of risk than the Risk Class and Percentile. It is especially helpful to customers using a scorecard approach to determining overall business performance.

Norms	National %
This Business	100
Region: EAST NORTH CENTRAL	55
Industry: INFRASTRUCTURE	40
Employee range: 20-99	79
Years in Business: 6-10	48

This business has a Credit Score Percentile that shows:

- Lower risk than other companies in the same region.
- Lower risk than other companies in the same industry.
- Lower risk than other companies in the same employee size range.
- Lower risk than other companies with a comparable number of years in business.


Trade Payments

Currency: Shown in USD unless otherwise indicated 

D&B PAYDEX®

The D&B PAYDEX is a unique, weighted indicator of payment performance based on payment experiences as reported to D&B by trader references. Learn more about the D&B PAYDEX

Timeliness of historical payments for this company.

Current PAYDEX is	80	Equal to generally within terms (Pays more promptly than the average for its industry of 5 days beyond terms)
Industry Median is	77	Equal to 5 days beyond terms
Payment Trend currently is		Unchanged, compared to payments three months ago

Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Total payment Experiences in D&Bs File (HQ)	40
Payments Within Terms (not weighted)	95 %
Trade Experiences with Slow or Negative Payments(%)	5.00%
Total Placed For Collection	0
High Credit Average	374,815
Largest High Credit	6,000,000
Highest Now Owing	3,000,000
Highest Past Due	0

D&B PAYDEX® : 80 

(Lowest Risk:100; Highest Risk:1)When weighted by amount, payments to suppliers average generally within terms

3-Month D&B PAYDEX® : 80

(Lowest Risk:100; Highest Risk:1)

Based on payments collected over last 3 months.

When weighted by amount, payments to suppliers average within terms

D&B PAYDEX® Comparison

Current Year

PAYDEX® of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas distribution, management consulting services , based on SIC code 4924 .

Shows the trend in D&B PAYDEX scoring over the past 12 months.

	10/11	11/11	12/11	1/12	2/12	3/12	4/12	5/12	6/12	7/12	8/12	9/12
This Business	80	80	80	80	80	80	80	80	80	80	80	80
Industry Quartiles												
Upper	-	-	80	-	-	80	-	-	80	-	-	-
Median	-	-	77	-	-	77	-	-	77	-	-	-
Lower	-	-	70	-	-	70	-	-	70	-	-	-

- Current PAYDEX for this Business is 80 , or equal to generally within terms
- The 12-month high is 80 , or equal to GENERALLY WITHIN terms
- The 12-month low is 80 , or equal to GENERALLY WITHIN terms

Previous Year

Shows PAYDEX of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas distribution, management consulting services , based on SIC code 4924 .

Previous Year	09/10 Q3'10	12/10 Q4'10	03/11 Q1'11	06/11 Q2'11
This Business	UN	80	80	80
Industry Quartiles				
Upper	80	80	80	80
Median	77	77	77	77
Lower	70	70	70	70

Based on payments collected over the last 4 quarters.

- Current PAYDEX for this Business is 80 , or equal to generally within terms
- The present industry median Score is 77 , or equal to 5 days beyond terms
- Industry upper quartile represents the performance of the payers in the 75th percentile
- Industry lower quartile represents the performance of the payers in the 25th percentile

Payment Habits

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

\$ Credit Extended	# Payment Experiences	Total Amount	% of Payments Within Terms
Over 100,000	3	13,000,000	100%
50,000-100,000	0	0	0%
15,000-49,999	4	75,000	100%
5,000-14,999			

1,000-4,999	4	27,500	100%
Under 1,000	7	13,000	100%
	17	3,050	83%

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Payment Summary

- There are 40 payment experience(s) in D&Bs file for the most recent 24 months, with 24 experience(s) reported during the last three month period.
- The highest Now Owes on file is 3,000,000 . The highest Past Due on file is 0

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

	Total Rev'd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow <31 31-60 61-90 90> (%) (%) (%) (%)			
Top industries								
Telephone communictns	5	2,850	2,500	99	0	1	0	0
Natural gas distrib	4	18,050	15,000	100	0	0	0	0
Oil/gas production	3	13,000,000	6,000,000	100	0	0	0	0
Electric services	3	7,600	7,500	100	0	0	0	0
Misc equipment rental	3	6,000	2,500	100	0	0	0	0
Public finance	2	37,500	30,000	100	0	0	0	0
Whol computers/softwr	2	30,000	15,000	100	0	0	0	0
Radiotelephone commun	2	12,500	7,500	100	0	0	0	0
Nonclassified	2	1,050	1,000	100	0	0	0	0
Photocopying service	2	750	500	100	0	0	0	0
Mfg computers	1	1,000	1,000	100	0	0	0	0
Passenger car rental	1	500	500	0	0	100	0	0
Insurance agent	1	250	250	100	0	0	0	0
Data processing svcs	1	250	250	100	0	0	0	0
Whol service paper	1	100	100	100	0	0	0	0
Whol electrical equip	1	50	50	100	0	0	0	0
Lithographic printing	1	50	50	100	0	0	0	0
Personal credit	1	50	50	100	0	0	0	0
Other payment categories								
Cash experiences	4	450	250					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections	0	N/A	0					
Total in D&Bs file	40	13,119,000	6,000,000					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (month)
08/12	Ppt	15,000	0	0	N30	1 mo
	Ppt	2,500	0	0	N30	2-3 mos
	Ppt	500	50	0	N30	1 mo
	Ppt	50	0	0	N30	6-12 mos
	(005)	100			Cash account	1 mo
07/12	Ppt	30,000				1 mo
	Ppt	15,000	15,000	0	N30	1 mo
	Ppt	15,000	0	0	N30	4-5 mos
	Ppt	7,500				1 mo
	Ppt	7,500	50	0		1 mo
	Ppt	1,000	0	0		2-3 mos
	Ppt	500	500	0		1 mo
	Ppt	250	0	0		1 mo
	Ppt	250	250	0		1 mo
	Ppt	250	50	0		1 mo
	Ppt	50	0	0		1 mo
	Ppt	50	50	0		1 mo
	Ppt	50	0	0		4-5 mos
	Ppt	50	0	0	N30	4-5 mos
	Ppt	50	50	0		1 mo
	Ppt-Slow 60	50	0	0		6-12 mos
06/12	Ppt	2,500	250		Lease Agreement	
	Ppt	2,500	250		Lease Agreement	
	Ppt	1,000	250		Lease Agreement	
05/12	(025)	250			Cash account	1 mo
04/12	Ppt	5,000	5,000	0		1 mo
	Ppt	250	0	0		6-12 mos
03/12	Ppt	1,000	0	0		6-12 mos
	Ppt	100	0	0		6-12 mos
02/12	Ppt	7,500	0	0		6-12 mos
	Slow 60	500	0	0		6-12 mos
	(032)	50			Cash account	1 mo
	(033)	50			Cash account	1 mo
12/11	Ppt	4,000,000	3,000,000	0	N60	1 mo
	Ppt	50	0	0		6-12 mos
10/11	Ppt	6,000,000	2,000,000	0	N30	2-3 mos
	Ppt	3,000,000	0	0		4-5 mos
06/11	Ppt	50				1 mo
01/11	(039) Satisfactory	2,500	0	0		1 mo
	(040) Satisfactory	0	0	0		1 mo

Payments Detail Key: 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Public Filings

Currency: Shown in USD unless otherwise indicated 

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	8	07/18/12

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

UCC Filings

Collateral Negotiable instruments and proceeds - Inventory and proceeds - Account(s) and proceeds - Oil, gas and minerals and proceeds - and OTHERS

Type Original

Sec. Party FIRSTMERIT BANK, N.A., COLUMBUS, OH

Debtor DELTA ENERGY HOLDINGS, LLC

Filing No. OH00146392165

Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH

Date Filed 2010-11-22

Latest Info Received 12/08/10

Type Termination

Sec. Party FIRSTMERIT BANK, N.A., COLUMBUS, OH

Debtor DELTA ENERGY HOLDINGS, LLC

Filing No. 20122000030

Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH

Date Filed 2012-07-18

Latest Info Received 07/23/12

Original UCC Filed Date 2010-11-22

Original Filing No. OH00146392165

Collateral Assets

Type Original

Sec. Party CONOCOPHILLIPS COMPANY, HOUSTON, TX

Debtor DELTA ENERGY, LLC

Filing No. OH00149166412

Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH

Date Filed 2011-04-01

Latest Info Received 04/15/11

Type Original
Sec. Party SEMPRA TRADING ENERGY CORP, STAMFORD, OH
Debtor DELTA ENERGY LLC
Filing No. OH00071058450
Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH

Date Filed 2003-11-25
Latest Info Received 12/04/03

Type Amendment
Sec. Party SEMPRA ENERGY TRADING CORP., STAMFORD, OH SEMPRA TRADING ENERGY CORP, STAMFORD, OH
Debtor DELTA ENERGY LLC
Filing No. 20033380584
Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH

Date Filed 2003-12-02
Latest Info Received 12/11/03
Original UCC Filed Date 2003-11-25
Original Filing No. OH00071058450

Collateral Real property
Type Partial release
Sec. Party SEMPRA ENERGY TRADING CORP, STAMFORD, CT SEMPRA ENERGY TRADING CORP., STAMFORD, OH SEMPRA TRADING ENERGY CORP, STAMFORD, OH
Debtor DELTA ENERGY LLC
Filing No. 20072990256
Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH
Date Filed 2007-10-25
Latest Info Received 11/13/07
Original UCC Filed Date 2003-11-25
Original Filing No. OH00071058450

Sec. Party SEMPRA ENERGY TRADING CORP, STAMFORD, CT SEMPRA ENERGY TRADING CORP., STAMFORD, OH SEMPRA TRADING ENERGY CORP, STAMFORD, OH
Debtor DELTA ENERGY LLC
Filing No. 20073030684
Filed With SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH
Date Filed 2007-10-29
Latest Info Received 11/09/07
Original UCC Filed Date 2003-11-25
Original Filing No. OH00071058450

Collateral	RIGHT, TITLE AND INTEREST and proceeds
Type	Original
Sec. Party	COLUMBIA GAS OF OHIO, INC., COLUMBUS, OH
Debtor	DELTA ENERGY, LLC
Filing No.	OH00157846121
Filed With	SECRETARY OF STATE/UCC DIVISION, COLUMBUS, OH
Date Filed	2012-04-25
Latest Info Received	05/02/12

Government Activity

Activity summary

Borrower (Dir/Guar)	NO
Administrative Debt	NO
Contractor	YES
Grantee	NO
Party excluded from federal program(s)	NO

Possible candidate for socio-economic program consideration

Labour Surplus Area	N/A
Small Business	YES (2012)
8(A) firm	N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.

History & Operations

Currency: Shown in USD unless otherwise indicated 

Company Overview

Company Name:	DELTA ENERGY, LLC
Street Address:	5555 Perimeter Dr Dublin , OH 43017
Phone:	614 339-2600
Fax:	614-410-3088
URL:	http://www.deltaenergyllc.com
History	Is clear
Present management control	9 years

History

The following information was reported: **12/22/2011**

Officer(s): SHERI TACKETT, MBR

This is a Limited Liability Company formed in OH on 8/5/2003.

Ownership information provided verbally by Chris Kline, Contrl, on Oct 04 2006.

Business started 2003.

SHERI TACKETT, 2003 - present active here.

Business address has changed from 2674 Federated Blvd, Columbus, OH, 43235 to 5555 Perimeter Dr, Dublin, OH, 43017.

Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF Jul 28 2006

Registered Name: DELTA ENERGY, LLC
State of incorporation: OHIO
Filing date: Aug 05 2003
Registration ID: 1403611
Status: ACTIVE
Where filed: SECRETARY OF STATE/CORPORATIONS DIVISION , COLUMBUS , OH
Registered agent: JUAN JOSE PEREZ , PEREZ & MORRIS LLC, STE 300; 8000 RAVINE'S EDGE COURT , COLUMBUS , OH , 432350000
 Agent appointed: Nov 30 2004
 AgentStatus: ACTIVE
Principals: JUAN JOSE PEREZ , AUTHORIZED REPRESENTATIVE

Operations

12/22/2011

Description: Provides natural gas distribution. Provides management consulting services.
 Terms are standard credit terms. Sells to manufacturers, wholesale and government. Territory : United States.
Employees: 45 which includes partners.
Facilities: Owns 8,000 sq. ft. in a two story brick building.
Location: Suburban business section on side street.

SIC & NAICS**SIC:**

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4924 0000 Natural gas distribution

8742 0000 Management consulting services

NAICS:

221210 Natural Gas Distribution

541611 Administrative Management and General Management Consulting Services

Financials

Currency: Shown in USD unless otherwise indicated 

Company Financials: D&B

Additional Financial Data

As of December 22, 2011, attempts to contact the management were unsuccessful. Inside source verified operations and history but declined all financial information. Outside sources confirmed name and location. .

Key Business Ratios

D & B has been unable to obtain sufficient financial information from this company to calculate business ratios. Our check of additional outside sources also found no information available on its financial performance.

To help you in this instance, ratios for other firms in the same industry are provided below to support your analysis of this business.

Based on this Number of Establishments

41

Industry Norms Based On 41 Establishments

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales	UN	5.4	UN
Return on Net Worth	UN	9.5	UN
Short-Term Solvency			
Current Ratio	UN	1.0	UN
Quick Ratio	UN	0.4	UN
Efficiency			
Assets/Sales (%)	UN	185.0	UN
Sales / Net Working Capital	UN	10.8	UN
Utilization			
Total Liabilities / Net Worth (%)	UN	186.9	UN

UN = Unavailable

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Exhibit C-8 – Bankruptcy Information
Ohio Natural Gas Supply License Application
Delta Energy, LLC



Bankruptcy Information

Not applicable.



Merger Information

Delta Energy, LLC was formed in late 2003 via a divestiture from Ashland, Inc. Since then, Delta Energy, LLC has not been a party to any dissolution, merger or acquisition activity; except for a small purchase of approximately 30 natural gas industrial customer contracts early in 2010.

Operations

Delta's natural gas sales total approximately 115 BCF annually. Efforts are focused in the northeast, mid-continent, midlantic, and gulf coast regions. Our suppliers are sourced from independent and major producers in the Gulf of Mexico, Rockies and the Appalachian Basin.

Delta has extensive experience in the transportation of natural gas on major interstate pipelines utilizing transportation contracts to deliver natural gas to the regional city gates. Delta has expertise serving customers behind the Dominion East Ohio and Columbia of Ohio LDCs. In addition to Ohio, Delta's operational expertise extends to customers in Kentucky, Virginia, Pennsylvania, Virginia, Maryland, Michigan, Texas, Louisiana, California, and West Virginia.

Plans to serve the retail market include the contracting of natural gas purchases, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for our retail customers.



Operations Expertise

Delta Energy has been serving our industrial customer base for over 12 years with industry leading employee and customer satisfaction and loyalty demonstrated by customer retention and growth.

The highest volume state we reside and operate in is Ohio. Delta operates overall on 20 utilities across 10 states utilizing capacities on 28 pipeline companies as detailed in Exhibit B-3.

Our recent success in the COH SCO 2012 auction will extend our expertise to utilize the terms and conditions of the auction including use of the assigned assets to serve through COH the retail customer base.



Key Technical Personnel

The four officers of Delta shown in Exhibit A-14 hold bachelors degrees in business administration, civil engineering, finance, and electrical engineering. Together they possess over 64 years of experience in the natural gas areas of:

1. utility regulation and operations
2. natural gas production, transmission, gathering, and marketing
3. storage management
4. wholesale trading
5. regulatory compliance
6. deregulation legislation
7. utility balancing
8. price risk management

**Exhibit D-3 – Key Technical Personnel
Ohio Natural Gas Supply License Application
Delta Energy, LLC
Case Number: 08-1037-GA-CRS**



1. Barry Ferguson
Supply Director
339-2623
bferguson@deltaenergyllc.com

Experience

- Purchase term and spot Appalachian supply for Delta's customers on Dominion East Ohio, TCO, COH, CPA, DTI
- Manage capacity for Delta customers on Dominion, TCO
- Former manager of Appalachian Supply for Dominion East Ohio

2. Debora L. Cotter
Energy Analyst
614-339-2642
dcotter@deltaenergyllc.com

Experience

- Energy Analyst at Delta Energy providing back office support including tracking customer usage, updating volume data, scheduling gas to internal computer applications, etc.
- Gas Analyst at Columbia Gas Distribution Companies providing back office support (reconciling imbalances, invoices, interrogatory responses, etc) for five local distribution companies

3. David Sopko
Vice President, Commodity Services
Phone 614-339-2605
dsopko@deltaenergyllc.com

Experience

- -City gate price structuring for daily and term deals for the sales reps, including all of the Columbia Gas Distribution companies (COH, CPA, CKY, CVA, as well as BGE, Dom-EOG, WOG, DTE, Consumers).
- -Working knowledge of Interstate Pipelines Columbia Gas Transmission, Dominion Transmission, and most NE US pipeline bulletin boards.
- -Nymex hedging for industrial commodity accounts.
- -Manage city gate deliveries and banking requirements for CDC customers, including gas reallocation services.
- -Secondary wholesale trading responsibilities.



4. **Josh Mosher**
Commodities & Optimization Manager
614-339-2621
jmosher@deltaenergyllc.com

Experience

- Involved in daily and monthly balancing across all Delta's active pipelines and utilities
- Buy/sell natural gas in daily and monthly markets
- Support Risk management efforts

5. **Julie Logsdon**
Operations Analyst
614-339-2640
jlogsdon@deltaenergyllc.com

Experience

- Schedules gas on Trunkline, Panhandle, Consumers Gas (Mi), Michigan Gas Utilities, Duke (OH/KY), East/West Ohio Gas, Vectren, Dominion Transmission, Hope (WV), Baltimore Gas and Electric, Columbia Gas (OH, PA, MD, KY, VA), UGI (PA), Centerpoint, Arkla
- Manages account deliveries/bank balances behind the utilities

6. **Marianne Osesky**
Operations Manager
614-339-2643
mosesky@deltaenergyllc.com

Experience

- Over 15 years operations experience
- Experience on most major pipelines serving the Gulf and Mid-Atlantic states, including TCO, DTI, Tetco, Transco, ANR, Tennessee, Stingray, Col Gulf, Trunkline, PEPL
- Experienced in balancing mkt and supply on interstate pipelines, as well as balancing customers at the LDC level.



7. **Keith Mathias**
Sr. Vice President & Corporate Treasurer
339-2604
kmathias@deltaenergyllc.com

Experience

19 Years of Energy Trading Experience

1991-1996

Equitable Gas Company - Utility Rate Analyst/Transportation Administrator/Gas Procurement Analyst

- Utility rate design duties included in both Base Rate Cases and Purchase Gas Cost Recovery filings
- Managed the utility transportation service offering and schedule upstream pipelines for utility system requirements
- Negotiated and managed the short-term and long-term supply contracts for all utility requirements as well as the off-system sales programs
- Major pipelines: Tennessee Gas Pipeline, Texas Eastern, Columbia Gas Transmission, and Equitrans

1996-1998

Columbia Energy Services - Northeast Energy Manager

- Responsible for structuring and managing supply and sales contracts, transportation capacity and storage services related to the Northeast trade desk.
- Primary pipelines included Columbia Gas Transmission, Texas Eastern, Dominion, Tennessee, Transco, and National Fuel.

1998-2008

Ashland Distribution/Delta Energy LLC - Director of Risk, Trading and Operations

- Responsible for the internal commodity price risk management as well as developing risk strategies for Energy Management accounts
- Responsible for all supply and trading activities for all of North America as well as the operations involved in moving physical gas supplies