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Original Notarized Application

28 pgs

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The Public Utilities Commission of Ohio

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Date Received	Case Number	Version
AUG 31 2012	-EL-AGG	August 2004

12-2416-EL-AGG

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name Achieve Energy Solutions, LLC
 Address 4550 Lena Drive, Suite 102, Mechanicsburg PA 17055
 Telephone # (717) 571-1151 Web site address (if any) www.AchieveEnergySolutions.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Achieve Energy Solutions, LLC
 Address 4550 Lena Drive, Suite 102, Mechanicsburg PA 17055
 Telephone # (717) 571-1151 Web site address (if any) www.AchieveEnergySolutions.com


A-3 List all names under which the applicant does business in North America

Achieve Energy Solutions, LLC

A-4 Contact person for regulatory or emergency matters

Name Douglas R. Berry
 Title President & CEO

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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
 Technician  Date Processed AUG 31 2012

Business address 4550 Lena Drive, Suite 102, Mechanicsburg PA 17055
 Telephone # (717) 571-1151 Fax # (888) 871-1554
 E-mail address (if any) DBerry@AchieveEnergySolutions.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name Douglas R. Berry
 Title President & CEO
 Business address 4550 Lena Drive, Suite 102, Mechanicsburg PA 17055
 Telephone # (717) 571-1151 Fax # (888) 871-1554
 E-mail address (if any) DBerry@AchieveEnergySolutions.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 4550 Lena Drive, Suite 102, Mechanicsburg PA 17055
 Toll-free Telephone # (800) 225-3813 Fax # (888) 871-1554
 E-mail address (if any) DBerry@AchieveEnergySolutions.com

A-7 Applicant's federal employer identification number # 455378161

A-8 Applicant's form of ownership (check one)

- Sole Proprietorship
- Partnership
- Limited Liability Partnership (LLP)
- Limited Liability Company (LLC)
- Corporation
- Other _____

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|----------------------------------------------------------|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cincinnati Gas & Electric | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

October 1, 2012

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.

A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.

A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

No Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

No Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5** **Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Donal A Berry CEO
Signature of Applicant & Title

Sworn and subscribed before me this 15th day of August, 2012
Month Year

Kathy R Reisinger
Signature of official administering oath

KATHY R REISINGER
Print Name and Title
COMMONWEALTH OF PENNSYLVANIA

My commission expires on _____

Notarial Seal
Kathy R. Reisinger, Notary Public
Lower Allen Twp., Cumberland County
My Commission Expires Jan. 8, 2016
MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

AFFIDAVIT

State of PA :

_____ ss.
(Town)

County of Cumberland :

Douglas R. Berry, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President & CEO (Office of Affiant) of Achieve Energy Solutions, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

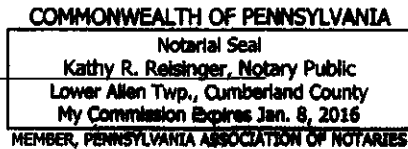
Doug R Berry CEO
Signature of Affiant & Title

Sworn and subscribed before me this 15th day of August, 2012
Month Year

Kathy R Reisinger
Signature of official administering oath

KATHY R Reisinger
Print Name and Title

My commission expires on _____





Achieve Energy Solutions, LLC

A-11 Exhibit A-11 : “Principal Officers, Directors & Partners.” provide the same names, titles, addresses and telephone numbers of the applicant’s principal officers, directors, partners, or other similar officials

.Answer:

Achieve Energy Solutions, LLC was originally formed May 29th, 2012, as Domestic (Pennsylvania) Limited Liability Company. Our company’s Operating Agreement states SEAT, (A Pennsylvania General Partnership), owns 100% membership interest in Achieve Energy Solutions, LLC. SEAT is owned 100% by Douglas R. Berry and Linda M. Berry.

Achieve Energy Solutions, LLC current acting Principal Officers are Douglas R. Berry, President and CEO, and Linda M. Berry, Vice President. Below is all their contact information.

Achieve Energy Solutions, LLC Membership Structure

Name	Mailing Address	Phone #	Membership interest
SEAT, A Pennsylvania General Partnership	4550 Lena Drive, Suite 102, Mechanicsburg PA 17055	717.571.1151	100%

SEAT, A Pennsylvania General Partnership Owner Schedule

Name	Mailing Address	Phone #	Membership interest
Douglas R. Berry Linda M. Berry	4550 Lena Drive, Suite 102, Mechanicsburg PA 17055	717.571.1151	100%



Achieve Energy Solutions, LLC

A-12 Exhibit A-12 : “Corporate Structure.” provide a description of the applicant’s corporate structure, including a graphical depiction of such structure, and list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.

Answer:

Achieve Energy Solutions, LLC was originally formed May 29th, 2012, as Domestic (Pennsylvania) Limited Liability Company. Our company’s Operating Agreement states SEAT, (A Pennsylvania General Partnership), own 100% membership interest in Achieve Energy Solutions, LLC. SEAT is owned 100% by Douglas R. Berry and Linda M. Berry. Achieve Energy Solutions, LLC and SEAT currently don’t have any affiliates or subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customer in North America.

Achieve Energy Solutions, LLC Membership Structure

Name	Mailing Address	Phone #	Membership interest
SEAT, A Pennsylvania General Partnership	4550 Lena Drive, Suite 102, Mechanicsburg PA 17055	717.571.1151	100%

Achieve Energy Solutions applied the Functional Organization Structure model when forming our management protocols. Below is a graphical depiction of our management structure.

Achieve Energy Solutions, LLC Management Structure

Name	Position	Mailing Address	Email	Phone
Douglas R. Berry	President & CEO	4550 Lena Drive, Suite 102, Mechanicsburg PA 17055	DBerry@AchieveEnergySolutions.com	717.571.1151
Linda M. Berry	Vice-President	4550 Lena Drive, Suite 102, Mechanicsburg PA 17055	LBerry@AchieveEnergySolutions.com	717.395.8526
Paul Rhoads	Business Development /Accounting/ Sales	4550 Lena Drive, Suite 102, Mechanicsburg PA 17055	PRhoads@AchieveEnergySolutions.com	717.856.4934



Achieve Energy Solutions, LLC

A-13 Exhibit A-13 : “Company History.” provide a concise description of the applicant’s company history and principal business interests.

Answer:

Achieve Energy Solutions, LLC (AES) was officially established May 29th 2012, in the State of Pennsylvania. AES was founded with the primary intent of helping our business clients manage their property’s energy needs on both the supply and demand sides of the meter. Our mission is to educate our clients on today’s energy choices and market conditions, so they can save money from deregulation while continuing to focus on their core business needs. Our company specializes in utility auditing and energy procurement.

Doug Berry, CEO of Achieve Energy Solutions, is also CEO of a solar development firm called Solar Renewable Energy, LLC (SRE). SRE has designed, developed, financed and operates over 40 solar energy systems in the Mid-Atlantic and Northeast regions of the U.S. SRE has a total asset value greater than \$50 million, and has annual electricity production greater than 10 MW.



Achieve Energy Solutions, LLC

A-14 Exhibit A-14 : "Articles of Incorporation and Bylaws." If applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.

Answer:

Achieve Energy Solutions was filed as a Limited Liability Company in Pennsylvania. Therefore, our company currently cannot provide the articles of incorporated filed with the state since there is none. We can however provide a PA Certificate of Good Standing stipulated we are registered with the state and have paid all fees and taxes.

**COMMONWEALTH OF PENNSYLVANIA
DEPARTMENT OF STATE**

AUGUST 16, 2012

TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY THAT,

Achieve Energy Solutions, LLC

is duly organized as a Pennsylvania Limited Liability Company under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY THAT, This Subsistence Certificate shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

Secretary of the Commonwealth

Certification Number: 10508936-1
Verify this certificate online at <http://www.corporations.state.pa.us/corp/soskb/verify.asp>

AES

Achieve Energy Solutions, LLC

A-15 Exhibit A-15 : "Secretary of State," provide evidence that the applicant has registered with the Ohio Secretary of the State.

Answer:

201223401063

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
08/22/2012	201223401063	REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

ACHIEVE ENERGY SOLUTIONS, LLC
4550 LENA DRIVE
SUITE 102
MECHANICSBURG, OH 17055

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted

2130235

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ACHIEVE ENERGY SOLUTIONS, LLC

and, that said business records show the filing and recording of:

Document(s)
REGISTRATION OF FOREIGN FOR PROFIT LLC

Document No(s):
201223401063



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 20th day of August, A.D. 2012.

Jon Husted

Ohio Secretary of State



Achieve Energy Solutions, LLC

B-1 Exhibit B-1 : “Jurisdictions of Operations,” provide a list of all jurisdictions in which the Applicant or any affiliated interest of the applicant is , at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

Answer:

None, Achieve Energy Solutions, LLC recently applied for the following states’ “Electric Broker License”, PA, NJ, MD and now OH. We are currently waiting until each state holds a public meeting to vote on our application.



Achieve Energy Solutions, LLC

B-2 Exhibit B-2 : “Experience & Plans,” provide a description of the applicant’s experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complains in accordance with commission rules adopted pursuant to section 4928.10 of the Revised Code.

Answer:

Once Achieve Energy Solutions, LLC receives a license from Ohio Public Utility Commission, we plan on contracting with customers through relationship based selling. Doug Berry, President of AES, will use his prior business relationships he formed when acting as KPMG and ParenteBeard Senior Partner.

Furthermore, Achieve Energy Solutions will also seek out employees who have developed a similarly large network of business connections, with the purpose of having the employee solicit competitive energy procurement contracts to a potential client. Our company will act like a power broker for our clients and shop around their electric procurement contracts to various electric generation providers/suppliers who are licensed in Ohio.

Once Achieve Energy Solutions has a client that wishes to bind an energy procurement contract, we will refer the client to that particular electric generation provider/supplier contracting forms and procedures. Either the alternative electric generation provider or default service provider will be responsible for the billing statement. Achieve Energy Solutions and the chosen electric generation provider/supplier will both respond to customer inquiries and complains in accordance with commission rules adopted pursuant to section 4928.10.



Achieve Energy Solutions, LLC

B-3 Exhibit B-3 : “Summary of Experience,” provide a concise summary of the applicant’s experience providing aggregation service(s) including contracting with customer to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.)

Answer:

Achieve Energy Solutions, LLC has experience of providing aggregation services, including contracting with customer to combine electric load and representing customers in the purchase of retail electric services, to commercial clients located In PA (PPL Territory) and NJ (PSE&G Territory). Currently Achieve Energy Solutions, LLC exclusively works with one electric service provider/ supplier when quoting clients. Our company has had tremendous success in representing customers in the purchase of retail electric services. Just by working with one supplier as a marketer, we have saved our clients over \$170,000 a year in electricity cost. In total, our current book of business for electricity is about 10 MW. Our success with working with one supplier in a limited market has driven us to become licensed Electric/Power broker in each deregulated state. Our company goal is to have our clients energy procurement contracts bid on from several providers, instead of just representing on exclusive provider.



Achieve Energy Solutions, LLC

B-4 Exhibit B-4 : “Disclosure of Liabilities and Investigations,” provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigation, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide services it is seeking to be certified to provide.

Answer:

None, Achieve Energy Solutions, LLC doesn’t have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigation, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide services it is seeking to be certified to provide.



Achieve Energy Solutions, LLC

C-1 Exhibit C-1: “Annual Reports,” provide the two most recent Annual Reports to shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicated Exhibit C-1 is not applicable and why.

Answer:

Not applicable, Achieve Energy Solutions, LLC has been in business for less than 1 year. Our company was established May 29th, 2012 in Pennsylvania.



Achieve Energy Solutions, LLC

C-2 Exhibit C-2: “SEC Filings,” provide the two most recent 10-K/ 8-K Filings with the SEC. If applicant doesn't not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Answer:

Achieve Energy Solutions, LLC is not required to file with the SEC due to being organized as a Domestic (Pennsylvania) Limited Liability Company.



Achieve Energy Solutions, LLC

C-3 Exhibit C-3: "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall filed audited or officer certified financial statements cover the life of the business.

Answer:

Applicant has not been in business long enough to satisfy this requirement. Below is an officer certified financial statements covering the life of the business since May 29th, 2012. Please note that Achieve Energy Solutions, LLC will have no income statement and cash flow statement as of today's date due to the fact Achieve Energy Solutions has not received any commission checks from their energy procurement contracts.



Achieve Energy Solutions, LLC

Current Financial Statements

<i>For the period ending 8/15/12</i>	2012
Income Statement	
Net sales Commission	\$0
Cost of goods sold	<u>0</u>
Net Operating Income	<u>0</u>
Operating expenses	<u>0</u>
<i>Net Income</i>	<u><u>\$0</u></u>

<i>For the period ending 8/15/12</i>	
Cash Flow Statement	
Beginning balance	\$5,000
Cash inflow	0
Cash outflow	<u>0</u>
<i>Ending Cash Balance</i>	<u><u>\$5,000</u></u>

<i>As of 8/15/12</i>	
Balance Sheet	
Total Assets	<u>\$5,000</u>
Total Liabilities	<u>0</u>
Shareholders' equity	<u>5,000</u>
Total Liabilities and Equity	<u><u>\$5,000</u></u>



This is to certify that I have reviewed this accounting statement and to the best of my knowledge I believe this information to be accurate.



Signature

August 15, 2012
Date

Douglas R. Berry (President & CEO)
Print Name/ Title



Achieve Energy Solutions, LLC

C-4 Exhibit C-4: “Financial Arrangements,” provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g. guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Answer:

None.



Achieve Energy Solutions, LLC

C-5 Exhibit C-5: "Forecasted Financial Statements," provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the Applicant's CRES operation, along with a list of assumptions, and the name, address, mailing address, and telephone number of the preparer.

Answer:



Achieve Energy Solutions, LLC

Forecasted Financial Statements

For the period ending 12/31/2012

Income Statement

Net sales Commission	585,000
Cost of goods sold	<u>0</u>
Net Operating Income	585,000
Operating expenses	<u>170,000</u>
Net Income	<u>\$415,000</u>

For the period ending 12/31/2013

Income Statement

Net sales Commission	1,690,000
Cost of goods sold	<u>0</u>
Net Operating Income	1,690,000
Operating expenses	<u>498,550</u>
Net Income	<u>\$1,191,450</u>

For the period ending 12/31/2012

Cash Flow Statement

Beginning balance	\$5,000
Cash inflow	585,000
Cash outflow	<u>(170,000)</u>
Ending Cash Balance	<u>\$420,000</u>

For the period ending 12/31/2013

Cash Flow Statement

Beginning balance	\$420,000
Cash inflow	1,690,000
Cash outflow	<u>(498,550)</u>
Ending Cash	<u>\$1,611,450</u>

As of 12/31/2012

Balance Sheet

Total Assets	<u>\$420,000</u>
Total Liabilities	<u>0</u>
Shareholders' equity	<u>420,000</u>
Total Liabilities and Equity	<u>\$420,000</u>

As of 12/31/2013

Balance Sheet

Total Assets	<u>\$1,611,450</u>
Total Liabilities	<u>0</u>
Shareholders' equity	<u>1,611,450</u>
Total Liabilities and Equity	<u>\$1,611,450</u>

This is to certify that I have reviewed this accounting statement and to the best of my knowledge I believe this information to be accurate.


Signature

August 15, 2012

Date

Douglas R. Berry (President & CEO)

Print Name/ Title



C-5 Exhibit C-5: "Forecasted Financial Statements" Continued

Preparer:

Name: Douglas R. Berry

Address: 4550 Lena Drive, Suite 102, Mechanicsburg PA 17055

Phone: 717-571-1151.

Assumptions:

Forecasted sales numbers were determine by AES top management team. Management team believes these numbers to be accurate due to the planned amount of sales force we are dedicating to energy procurement contracts.



Achieve Energy Solutions, LLC

C-6 Exhibit C-6: "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Services, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization., provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

Answer:

Not applicable, Entity is less than 1 year old. No credit history available.



Achieve Energy Solutions, LLC

C-7 Exhibit C-7: "Credit Report," provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

Answer:

Not applicable, Entity is less than 1 year old. No credit history available.



Achieve Energy Solutions, LLC

C-8 Exhibit C-8: “Bankruptcy Information,” provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Answer:

Achieve Energy Solutions, LLC or any other affiliated company has never declared bankruptcy.



Achieve Energy Solutions, LLC

C-9 Exhibit C-9: “Merger Information,” provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Answer:

Not Applicable. Achieve Energy Solutions, LLC doesn’t have any records of dissolution or merger or acquisition.