

FILE



The Public Utilities Commission of Ohio

12-1922-EL-AGG (25)

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Date Received	Case Number	Version
	- EL-AGG	August 2004

CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant's legal name, address, telephone number and web site address

Legal Name NATIONAL1 ENERGY, LLC
Address 2701 E. PRESIDENT GEORGE BUSH HWY SUITE 200 PLANO, TX 75074
Telephone # (972) 782-9052 Web site address (if any) www.national1energy.com

A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name NATIONAL1 ENERGY, LLC
Address 2701 E. PRESIDENT GEORGE BUSH HWY SUITE 200 PLANO, TX 75074
Telephone # (972) 782-9052 Web site address (if any) www.national1energy.com

A-3 List all names under which the applicant does business in North America

NATIONAL1 ENERGY, LLC

A-4 Contact person for regulatory or emergency matters

Name ETHAN KORTKAMP
Title COMPTROLLER

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician Date Processed JUN 25 2012

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2012 JUN 25 PM 2:09
PUCO

Business address 2701 E. PRESIDENT GEORGE BUSH HWY SUITE 200 PLANO, TX 75074
Telephone # (972) 782-9052 Fax # (972) 782-4139
E-mail address (if any) ekortkamp@national1energy.com

A-5 Contact person for Commission Staff use in investigating customer complaints

Name ETHAN KORTKAMP
Title COMPTROLLER
Business address 2701 E. PRESIDENT GEORGE BUSH HWY SUITE 200 PLANO, TX 75074
Telephone # (972) 782-9052 Fax # (972) 782-4139
E-mail address (if any) ekortkamp@national1energy.com

A-6 Applicant's address and toll-free number for customer service and complaints

Customer Service address 2701 E. PRESIDENT GEORGE BUSH HWY SUITE 200 PLANO, TX 75074
Toll-free Telephone # (877) 383-6374 Fax # (972) 782-9052
E-mail address (if any) ekortkamp@national1energy.com

A-7 Applicant's federal employer identification number # 320220988

A-8 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|--|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input type="checkbox"/> First Energy | | | | |
| <input type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Cincinnati Gas & Electric | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

A-10 Provide the approximate start date that the applicant proposes to begin delivering services

9-1-2012

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).
- B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
- No Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

- B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.
- No Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.
- C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3** **Exhibit C-3 “Financial Statements.”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4** **Exhibit C-4 “Financial Arrangements.”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).
- C-5** **Exhibit C-5 “Forecasted Financial Statements.”** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant’s CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6** **Exhibit C-6 “Credit Rating.”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.
- C-7** **Exhibit C-7 “Credit Report.”** provide a copy of the applicant’s credit report from Experion, Dun and Bradstreet or a similar organization.
- C-8** **Exhibit C-8 “Bankruptcy Information.”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

AFFIDAVIT

State of TEXAS :

PLANO ss.
(Town)

County of COLLIN :

STEPHANE BITTON, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the PRESIDENT (Office of Affiant) of NATIONAL ENERGY LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

[Signature] PRESIDENT
Signature of Affiant & Title

Sworn and subscribed before me this 21ST day of June 2012
Month Year

[Signature]
Signature of official administering oath

ELAINE DAVIS - NOTARY
Print Name and Title



My Commission expires on 2-29-16



PUCO
ATTACHMENTS TO APPLICATION
NATIONAL1 ENERGY, LLC



**PUCO
AGGREGATORS/POWER BROKERS APPLICATION
SUPPORTING DOCUMENTATION**

EXHIBIT A-11 "Principal Officers, Directors and Partners":

Mr. Stephane Bitton, President (70% owner)
2701 E. President George Bush Hwy Suite 200
Plano, TX 75074
Ph 972-782-9052
sbitton@national1energy.com

Ms. Debbie James, Vice-President (30% owner)
2701 E. President George Bush Hwy Suite 200
Plano, TX 75074
Ph 972-782-9052
djames@national1energy.com

Mr. Ramiro Gonzales, Vice President of Sales
2701 E. President George Bush Hwy Suite 200
Plano, TX 75074
Ph 972-782-9052
rgonzales@national1energy.com

Mr. Ethan Kortkamp, Comptroller
2701 E. President George Bush Hwy Suite 200
Plano, TX 75074
Ph 972-782-9052
ekortkamp@national1energy.com

EXHIBIT A-12 "Corporate Structure"

National1 Energy LLC is a standalone entity with no affiliates or parent companies.

EXHIBIT A-13 "Company History"

Established in 2008, National1 Energy is an Energy Advisory firm created to cater to today's demands in the US energy markets. The company's management team has over 100 years of combined energy deregulation expertise and is one of the most successful brokerage and direct marketing groups in North



America and Europe. Since inception nearly 20 years ago, we have specialized in opening deregulated energy markets, helped establish government energy industry policies, and launched and managed large scale direct sales marketing campaigns. Our primary focus is commercial customer acquisition.

Worldwide deregulated energy markets are our specialty, as we have helped over 1.5 million clients of all types and sizes reduce their energy costs while assisting some of the world's largest energy companies substantially increase their customer base in Europe and North America.

We provide a free direct consulting service to our commercial and industrial customers who are looking to improve and reduce their energy spending and we allow solid and reputable energy companies direct access to the their potential customer base to completely fulfill the enrollment process of new customers.

Refer to our website at www.national1energy.com for more information.

National1 Energy drives medium and long term cost benefit for our clients by reducing their electricity expenses based on "per kilowatt-hour" usage charges. National1 Energy is a true energy advisor, and we work hard to maintain an ongoing relationship with customers throughout the terms of service.

In addition to electricity contract cost management advice, we also offer;

- Continuous contract review which ensures you are always maximizing your Electricity dollar;
- Quarterly Energy updates keeping you abreast of the latest money saving developments and technologies;
- Access to competitive pricing for natural gas (where allowed);
- Tailored service packages for our client's management and employee personnel;
- and, insider "fast-track" communication lines directly to Electricity providers, giving you VIP customer service and responsiveness.

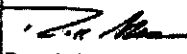
EXHIBIT A-14 "Articles of Incorporation and Bylaws"

See next page marked "Exhibit A-14"

EXHIBIT A-14 - ARTICLES OF INCORPORATION

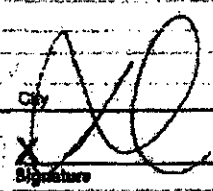
ROSS MILLER
 Secretary of State
 206 North Carson Street
 Carson City, Nevada 89701-4299
 (775) 684 6706
 Website: secretaryofstate.biz

Articles of Organization Limited-Liability Company (PURSUANT TO NRS 88)

Filed in the office of 	Document Number 20070711995-51
Ross Miller Secretary of State State of Nevada	Filing Date and Time 10/18/2007 11:00 AM
	Entry Number E0727602007-1

USE BLACK INK ONLY - DO NOT HIGHLIGHT

ABOVE SPACE IS FOR OFFICE USE ONLY

1. Name of Limited Liability Company: <i>(Must comply with limited liability company wording see instructions)</i>	National Energy, LLC	Check box if a Series Limited-Liability Company <input type="checkbox"/>
2. Resident Agent Name and Street Address: <i>(Must be a Nevada address where notices may be served)</i>	United States Corporation Agents, Inc. Name 500 N. Rainbow Blvd. Ste. 300 A (MANDATORY) Physical Street Address (OPTIONAL) Mailing Address	Las Vegas City Nevada State 89107 Zip Code
3. Expiration Date: <i>(OPTIONAL: see instructions)</i>	Latest date upon which the company is to dissolve (if existence is not perpetual):	
4. Management:	Company shall be managed by <input checked="" type="checkbox"/> Manager(s) OR <input type="checkbox"/> Members <i>(check only one box)</i>	
5. Name and Address of each Manager or Managing Member: <i>(Check each one.)</i>	Ethan Kortkamp Name 120 E. Em 544, Ste. 72, Pmb 274 Address Murphy City TX State 75094 Zip Code	
6. Name, Address and Signature of Organizer: <i>(Check additional ones. (NRS 88.01)</i>	Tania Lemus Name 7083 Hollywood Blvd., Suite 180 Address Los Angeles City CA State 90028 Zip Code 	
7. Certificate of Acceptance of Appointment of Resident Agent:	I hereby accept appointment as Resident Agent for the above named limited liability company. <input checked="" type="checkbox"/> Authorized Signature of R.A. or On Behalf of R.A. Company Date 10/17/2007	

This form must be accompanied by appropriate fees.

Nevada Secretary of State Form LLC-Art. 3257
 Revised 09/27/07

" EXHIBIT A-14 " Notices (cont)

ANNUAL LIST OF MANAGERS OR MANAGING MEMBERS AND REGISTERED AGENT AND STATE BUSINESS LICENSE APPLICATION OF:

FILE NUMBER E0727602007-1

National1 Energy, LLC
NAME OF LIMITED-LIABILITY COMPANY

FOR THE FILING PERIOD OF 10/2011 TO 10/2012



YOU MAY FILE THIS FORM ONLINE AT www.nvsos.gov

The entity's duly appointed registered agent in the State of Nevada upon whom process can be served is:

InCorp Services, Inc.
2360 Corporate Circle, Suite 400
Henderson, NV 89074
702.866.2500 Phone · 702.866.2689 Fax
A FORM TO CHANGE REGISTERED AGENT INFORMATION IS FOUND AT: www.nvsos.gov

USE BLACK INK ONLY - DO NOT HIGHLIGHT

ABOVE SPACE IS FOR OFFICE USE ONLY

Return one file stamped copy. (If filing not accompanied by order instructions, file stamped copy will be sent to registered agent.)

IMPORTANT: Read instructions before completing and returning this form.

- 1. Print or type names and addresses, either residence or business, for all manager or managing members. A Manager, or if none, a Managing Member of the LLC must sign the form. FORM WILL BE RETURNED IF UNSIGNED.
2. If there are additional managers or managing members, attach a list of them to this form.
3. Return completed form with the fee of \$125.00. A \$75.00 penalty must be added for failure to file this form by the deadline.
4. State business license fee is \$200.00. Effective 2/1/2010, \$100.00 must be added for failure to file form by deadline.
5. Make your check payable to the Secretary of State.
6. Ordering Copies: If requested above, one file stamped copy will be returned at no additional charge. To receive a certified copy, enclose an additional \$30.00 per certification. A copy fee of \$2.00 per page is required for each additional copy generated when ordering 2 or more file stamped or certified copies.
7. Return the completed form to: Secretary of State, 202 North Carson Street, Carson City, Nevada 89701-4201, (775) 684-5708.
8. Form must be in the possession of the Secretary of State on or before the last day of the month in which it is due. (Postmark date is not accepted as receipt date.) Forms received after due date will be returned for additional fees and penalties. Failure to include annual list and business license fees will result in rejection of filing.

ANNUAL LIST FILING FEE: \$125.00 LATE PENALTY: \$75.00 BUSINESS LICENSE FEE: \$200.00 LATE PENALTY: \$100.00

Complete only if applicable

Pursuant to NRS Chapter 76, this entity is exempt from the business license fee. Exemption code:

- NRS 76.020 Exemption Codes
001 - Governmental Entity
003 - Home-based Business
005 - Motion Picture Company
006 - NRS 680B.020 Insurance Co.

NOTE: If claiming an exemption, a notarized Declaration of Eligibility form must be attached. Failure to attach the Declaration of Eligibility form will result in rejection, which could result in late fees.

NAME: B1 HOLDINGS, INC. (DOCUMENT WILL BE REJECTED IF TITLE NOT INDICATED)
MANAGER [] MANAGING MEMBER [X]
ADDRESS: 2360 Corporate Circle, Suite 400
CITY: Henderson STATE: NV ZIP CODE: 89074

NAME: STEPHANE BITTON (DOCUMENT WILL BE REJECTED IF TITLE NOT INDICATED)
MANAGER [X] MANAGING MEMBER []
ADDRESS: 2360 Corporate Circle, Suite 400
CITY: Henderson STATE: NV ZIP CODE: 89074

NAME: RAMIRO GONZALES (DOCUMENT WILL BE REJECTED IF TITLE NOT INDICATED)
MANAGER [X] MANAGING MEMBER []
ADDRESS: 2360 Corporate Circle, Suite 400
CITY: Henderson STATE: NV ZIP CODE: 89074

NAME: DEBORAH C JAMES (DOCUMENT WILL BE REJECTED IF TITLE NOT INDICATED)
MANAGER [] MANAGING MEMBER []
ADDRESS: 2360 Corporate Circle, Suite 400
CITY: Henderson STATE: NV ZIP CODE: 89074

I declare, to the best of my knowledge under penalty of perjury, that the above mentioned entity has complied with the provisions of NRS Chapter 76 and acknowledge that pursuant to NRS 239.330, it is a category C felony to knowingly offer any false or forged instrument for filing in the Office of the Secretary of State.

X [Signature]
Signature of Manager or Managing Member

Title: President

Date: 6/21/12

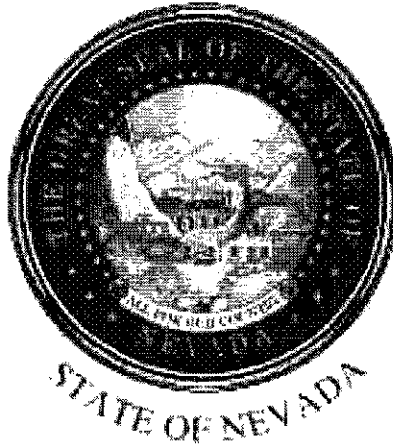


EXHIBIT A-15 "Secretary of State"

See next pages marked "Exhibit A-15"

" EXHIBIT A-15 "
SECRETARY OF STATE

SECRETARY OF STATE



CERTIFICATE OF EXISTENCE WITH STATUS IN GOOD STANDING

I, ROSS MILLER, the duly elected and qualified Nevada Secretary of State, do hereby certify that I am, by the laws of said State, the custodian of the records relating to filings by corporations, non-profit corporations, corporation soles, limited-liability companies, limited partnerships, limited-liability partnerships and business trusts pursuant to Title 7 of the Nevada Revised Statutes which are either presently in a status of good standing or were in good standing for a time period subsequent of 1976 and am the proper officer to execute this certificate.

I further certify that the records of the Nevada Secretary of State, at the date of this certificate, evidence, **NATIONAL1 ENERGY, LLC**, as a limited liability company duly organized under the laws of Nevada and existing under and by virtue of the laws of the State of Nevada since October 18, 2007, and is in good standing in this state.

IN WITNESS WHEREOF, I have hereunto set my hand and affixed the Great Seal of State, at my office on May 10, 2012.



A handwritten signature in black ink, appearing to read "Ross Miller".

ROSS MILLER
Secretary of State

Electronic Certificate
Certificate Number: C20120510-0922
You may verify this electronic certificate
online at <http://www.nvsos.gov/>



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
05/22/2012	201214200958	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

INCORP SERVICES, INC.
WENDY HEFLEY
2360 CORPORATE CIRCLE, STE 400
HENDERSON, NV 89074

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted

2108641

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

NATIONAL1 ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s):
REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):
201214200958




United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 17th day of May, A.D. 2012.

Jon Husted

Ohio Secretary of State



 1.800.246.2677

Registered Agent Service Order

Thank you for your purchase!

The Agent address for Ohio to use on your documents is:

InCorp Services, Inc.
9435 Waterstone Boulevard Suite 140
Cincinnati, OH 45249

County: Hamilton

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EXHIBIT B-1 “Jurisdictions of Operation”

National1 Energy LLC is solely a broker of electricity and does not take title, nor generate electricity. We have direct sales and brokerage operations in the following de-regulated US States:

Texas	Operations since 2008	no State license required
Illinois	Operations since 2011	License #11-0733
Maryland	Operations since 2012	License #IR-2491
Pennsylvania	Operations since 2012	License #A-2011-2276675

EXHIBIT B-2 “Experience and Plans”

Our intent as a broker is to be involved with the direct sales of supplier services to customers, but National1 Energy will not take title nor enter into contracts with customer directly. We will not bill customers directly. We do agree to act in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

EXHIBIT B-3 “Summary of Experience”

With 38 full time employees, 75 national certified account managers, and more than 200 direct sales agents, we have achieved a customer/member base of over 5,000 commercial accounts in the past 4 years in the US, providing Electricity enrollment services to small business, medium enterprise and industrial customers.

We have 11 locations in the United States currently serving 4 de-regulated States. Each office is composed of several key people, each of whom has acquired extensive knowledge in all fields of the utility markets, sales and customer service. Our sales force have been sourced from experienced sales professionals from around the country who have been subject to rigorous screening and training processes before earning the right to wear the National1 Energy badge.

Our US management team has reached 100 years of combined experience in utility deregulation, and counts among its consultants over 200 years achievements in North America and Europe. The team management group has led the way in newly de-regulated markets and navigated the ultra-competitive waters of more mature markets for customers throughout the globe.

We are not aggregators, but brokers of electricity who operate within the scope of a sales contract to help licensed electricity suppliers build a strong commercial customer base.



EXHIBIT B-4 "Disclosure of Liabilities and Investigations"

Currently there are NO existing, pending or past rulings, judgments, contingent liabilities, regulatory investigations, or any other matters that could adversely impact the financial or operational status or ability for National1 Energy LLC to provide the services we are seeking certification to provide.

EXHIBIT C-1 "Annual Reports"

National1 Energy, LLC is a closely held corporation and does not have shareholders or annual reporting requirements. Exhibit C-1 is therefore not applicable.

EXHIBIT C-2 "SEC Filings"

National1 Energy, LLC is not a publicly listed business and SEC filings are not required.

EXHIBIT C-3 "Financial Statements"

Profit and Loss and Balance Sheet statements attached for the past 2 fiscal/calendar years. (see next pages marked Exhibit C-3).

" EXHIBIT C-3 FINANCIAL STATEMENTS "

7:00 PM
08/01/11
Accrual Basis

National1 Energy LLC
Balance Sheet
As of January 1, 2010

	<u>Jan 1, 10</u>	<u>Jan 1, 09</u>
ASSETS		
Current Assets		
Checking/Savings		
101.00 BoA - 2135	62,896.80	1,866.50
Total Checking/Savings	<u>62,896.80</u>	<u>1,866.50</u>
Total Current Assets	<u>62,896.80</u>	<u>1,866.50</u>
TOTAL ASSETS	<u>62,896.80</u>	<u>1,866.50</u>
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Accounts Payable		
210.00 - Accounts Payable	-2,773.54	0.00
Total Accounts Payable	<u>-2,773.54</u>	<u>0.00</u>
Total Current Liabilities	<u>-2,773.54</u>	<u>0.00</u>
Total Liabilities	<u>-2,773.54</u>	<u>0.00</u>
Equity		
Contributions "SBitton"	22,700.00	2,200.00
Distributions "DJames"	-21,765.00	0.00
Distributions "SBitton"	-70,300.00	-7,200.00
Members Equity	135,035.34	6,866.50
Total Equity	<u>65,670.34</u>	<u>1,866.50</u>
TOTAL LIABILITIES & EQUITY	<u>62,896.80</u>	<u>1,866.50</u>

" EXHIBIT C-3 FINANCIAL STATEMENTS "

6:59 PM
08/01/11
Accrual Basis

National1 Energy LLC
Profit & Loss
January through December 2010

	<u>Jan - Dec 10</u>	<u>Jan - Dec 09</u>
Ordinary Income/Expense		
Income		
110.01 - Income - Green Mountain	867,337.44	483,502.55
110.02 - Income - TXU	0.00	92,913.96
110.03 - Income - Star Tex	108,757.12	19,845.00
110.04 - Income - Reliant	82,170.29	0.00
Total Income	<u>1,058,264.85</u>	<u>596,261.51</u>
Cost of Goods Sold		
512.00 - Commissions	550,384.26	356,884.85
Total COGS	<u>550,384.26</u>	<u>356,884.85</u>
Gross Profit	507,880.59	239,376.66
Expense		
505.00 - Advertising	38,897.48	8,327.60
565.00 - Office Supplies	13,269.30	1,491.26
568.00 - Travel	4,753.53	3,422.44
711.00 - Auto - Gas	271.00	0.00
734.00 - Computers and Internet	6,526.16	350.00
735.00 - Consulting	12,400.00	0.00
736.00 - Administrative	132,000.00	33,700.00
761.00 - Meals and Entertainmen	3,201.50	1,016.29
818.00 - Postage	1,028.90	60.32
819.00 - Professional Fee	6,344.00	0.00
822.00 - Rent	171,981.25	60,568.82
849.00 - Bank Service Charge	394.05	726.32
865.00 - Telephone	3,519.38	1,544.77
876.00 - Cleaning	2,850.00	0.00
989.00 - nt identified expenses	20,000.00	0.00
Total Expense	<u>417,436.55</u>	<u>111,207.82</u>
Net Ordinary Income	<u>90,444.04</u>	<u>128,168.84</u>
Net Income	<u>90,444.04</u>	<u>128,168.84</u>

11 EXHIBIT C-3 FINANCIAL STATEMENTS

4:14 PM
02/07/12
Accrual Basis

National1 Energy LLC
Profit & Loss
January through December 2011

	<u>Jan - Dec 11</u>
Ordinary Income/Expense	
Income	
110.01- Income - Green Mountain	1,045,057.49
110.03 - Income - Star Tex	95,417.71
110.04 - Income - Reliant	23,175.00
110.05 - Income CNE - Res	2,402,354.55
110.06 - Income Champion	2,335.39
110.06 - Income CNE- Com	48,660.30
nt identified income	<u>-58,956.65</u>
Total Income	3,558,043.79
Cost of Goods Sold	
512.00 - Commissions	1,810,682.03
Total COGS	<u>1,810,682.03</u>
Gross Profit	1,747,361.76
Expense	
226.00 - Child Support	1,316.52
505.00 - Advertising	131,976.54
512.00 - RESIDENTIAL Commission	125,926.84
565.00 - Office Supplies	51,887.57
568.00 - Travel	27,052.48
711.00 - Auto - Gas	5,068.66
734.00 - Computers and Internet	649.44
735.00 - Consulting	17,880.28
736.00 - Contract Labor	1,561.17
760.00 - Recruiting	19,566.28
761.00 - Meals and Entertainmen	6,789.48
783.00 - Insuance	4,519.66
800.00 - Licenses, Permits	6,546.20
818.00 - Postage	8,177.31
819.00 - Professional Fee	1,610.00
822.00 - Rent	62,199.76
835.00 - Staff Leasing "Tecomax	120,000.00
835.00 - Staff Leasing "Telga"	160,000.00
849.00 - Bank Service Charge	823.85
865.00 - Telephone	6,004.93
876.00 - Cleaning	3,900.00
989.00 - nt identified expenses	<u>13,108.26</u>
Total Expense	<u>776,565.23</u>
Net Ordinary Income	<u>970,796.53</u>
Net Income	<u><u>970,796.53</u></u>



EXHIBIT C-4 "Financial Arrangements"

National1 Energy, LLC does not seek CRES certification. However a bank Letter of Reference is attached. See attachment marked "Exhibit C-4".

EXHIBIT C-5 "Forecasted Financial Statements"

National1 Energy LLC is not seeking CRES certification. Forecasted statements are unavailable.

EXHIBIT C-6 "Credit Rating"

Dun and Bradstreet number attached. See next page marked "Exhibit C-5"

"EXHIBIT C-5 - D&B NUMBER"

Bret Kortkamp

From: DnBSmallBusinessSolutions@dnb.com
Sent: Friday, April 06, 2012 2:37 PM
To: bkortkamp@national1energy.com
Subject: Your Requested D&B D-U-N-S® Number

Dun & Bradstreet
CREDIBILITY CORP



smallbusiness.dnb.com

Dear Bret Kortkamp,

The following is the DUNS number for NATIONAL1 ENERGY LLC:

DUNS number: 050441698

If this is **YOUR OWN COMPANY**, please note that your D&B business profile is incomplete. If you would like to see what information D&B has on file for you, take advantage of our [DNBi SelfMonitor](#) service and for just \$39/month you can:

- Get unlimited access to your business credit file.
- Ensure you are always aware of the most current D&B information your banks, suppliers and competitors and customers are using to evaluate your business.
- Get alerts when there are changes to your credit file.
- Add credit references to your file to enhance your company's D&B credit scores and ratings.

If this is **NOT YOUR COMPANY**, consider pulling a [Business Credit Report](#) on this company to determine their credit worthiness. If you are doing business or plan to do business with this company, monitoring credit is a way to reduce your company risk.

- Know when another company is experiencing financial distress.
- Know when a company is becoming delinquent on other accounts.

1-877-487-3494, Monday thru Friday, 8:00 AM to 6:00 PM

Local Time or email us at sbssupport@dnb.com.

Sincerely,

Customer Support

Dun & Bradstreet Credibility Corp



EXHIBIT C-7 "Credit Report"

Dun and Bradstreet report is unavailable as National1 Energy is not a subscriber for services as this time.

EXHIBIT C-8 "Bankruptcy Information"

No bankruptcy filings or other reorganizations for the protection from creditors are made currently by National1 Energy, LLC.

EXHIBIT C-9 "Merger Information"

No dissolution or merger, or acquisition of National1 Energy, LLC has occurred within the 5 most recent years preceding this application.

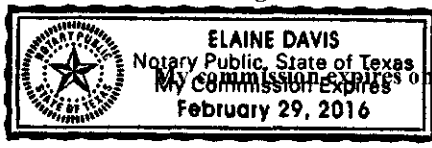
C-9 **Exhibit C-9 "Merger Information."** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

[Signature] PRESIDENT
Signature of Applicant & Title

Sworn and subscribed before me this 21st day of June, 2012
Month Year

[Signature]
Signature of official administering oath

ELAINE DAVIS
Print Name and Title



2-29-16