

The Public Utilities Commission of Ohio
TELECOMMUNICATIONS FILING FORM

(Effective: 01/20/2011)

This form is intended to be used with most types of required filings. It provides check boxes with rule references for the most common types of filings. It does not replace or supersede Commission rules in any way.

In the Matter of the Application of ALEC, Inc.) TRF Docket No. 90-_____
to Change its Official Name to ALEC, LLC) Case No. **11-3536-TP-ACN**
) NOTE: Unless you have reserved a Case #, leave the "Case No" fields
) BLANK.

Name of Registrant(s) ALEC, Inc.

DBA(s) of Registrant(s) _____

Address of Registrant(s) 250 W. Main Street, Lexington KY, 40507

Company Web Address www.alec.net

Regulatory Contact Person(s) Mark Hayes

Phone (859) 721-4224 Fax (859) 721-4201

Regulatory Contact Person's Email Address mhayes@alec.net

Contact Person for Annual Report Mark Hayes

Phone _____

Address (if different from above) _____

Consumer Contact Information Mark Hayes

Phone _____

Address (if different from above) _____

Motion for protective order included with filing? ☐ Yes ☒ No

Motion for waiver(s) filed affecting this case? ☐ Yes ☒ No [Note: Waivers may toll any automatic timeframe.]

Notes:

Section I and II are Pursuant to Chapter [4901:1-6 OAC](#)

Section III – Carrier to Carrier is Pursuant to [4901:1-7 OAC](#), and Wireless is Pursuant to [4901:1-6-24 OAC](#).

Section IV – Attestation

(1) Indicate the Carrier Type and the reason for submitting this form by checking the boxes below.

(2) For requirements for various applications, see the identified section of Ohio Administrative Code Section 4901 and/or the supplemental application form noted.

(3) Information regarding the number of copies required by the Commission may be obtained from the Commission's web site at www.puco.ohio.gov under the docketing information system section, by calling the docketing division at 614-466-4095, or by visiting the docketing division at the offices of the Commission.

(4) An Incumbent Local Exchange Carrier (ILEC) offering basic local exchange service (BLES) outside its traditional service area should choose CLEC designation when proposing to offer BLES outside its traditional service area or when proposing to make changes to that service.

All Filings that result in a change to one or more tariff pages require, at a minimum, the following exhibits.

Exhibit	Description:
A	The tariff pages subject to the proposed change(s) as they exist before the change(s)
B	The Tariff pages subject to the proposed change(s), reflecting the change, with the change(s) marked in the right margin.
C	A short description of the nature of the change(s), the intent of the change(s), and the customers affected.
D	A copy of the notice provided to customers, along with an affidavit that the notice was provided according to the applicable rule(s).

Section I – Part I - Common Filings

Carrier Type <input type="checkbox"/> Other (explain below)	<input type="checkbox"/> For Profit ILEC	<input type="checkbox"/> Not For Profit ILEC	<input type="checkbox"/> CLEC
Change terms & conditions of existing BLES	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)
Introduce non-recurring charge, surcharge, or fee to BLES			<input type="checkbox"/> ATA 1-6-14(H) (Auto 30 days)
Introduce or Increase Late Payment	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)	<input type="checkbox"/> ATA 1-6-14(I) (Auto 30 days)
Revisions to BLES Cap.	<input type="checkbox"/> ZTA 1-6-14(F) (0 day Notice)		
Introduce BLES or expand local service area (calling area)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-14(H) (0 day Notice)
Notice of no obligation to construct facilities and provide BLES	<input type="checkbox"/> ZTA 1-6-27(C) (0 day Notice)	<input type="checkbox"/> ZTA 1-6-27(C) (0 day Notice)	
Change BLES Rates	<input type="checkbox"/> TRF 1-6-14(F) (0 day Notice)	<input type="checkbox"/> TRF 1-6-14(F)(4) (0 day Notice)	<input type="checkbox"/> TRF 1-6-14(G) (0 day Notice)
To obtain BLES pricing flexibility	<input type="checkbox"/> BLS 1-6-14(C)(1)(c) (Auto 30 days)		
Change in boundary	<input type="checkbox"/> ACB 1-6-32 (Auto 14 days)	<input type="checkbox"/> ACB 1-6-32 (Auto 14 days)	
Expand service operation area			<input type="checkbox"/> TRF 1-6-08(G) (0 day)
BLES withdrawal			<input type="checkbox"/> ZTA 1-6-25(B) (0 day Notice)
Other* (explain) _____			

Section I – Part II – Customer Notification Offerings Pursuant to Chapter [4901:1-6-7 OAC](#)

Type of Notice	Direct Mail	Bill Insert	Bill Notation	Electronic Mail
<input type="checkbox"/> 15-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/> 30-day Notice	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Date Notice Sent:				

Section I – Part III –IOS Offerings Pursuant to Chapter [4901:1-6-22 OAC](#)

IOS	Introduce New	Tariff Change	Price Change	Withdraw
<input type="checkbox"/> IOS	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

Section II – Part I – Carrier Certification - Pursuant to Chapter [4901:1-6-08, 09 & 10 OAC](#)

Certification	ILEC (Out of Territory)	CLEC	Carrier's Not Offering BLES	CESTC	CETC
* See Supplemental form	<input type="checkbox"/> ACE 1-6-08 * (Auto 30- day)	<input type="checkbox"/> ACE 1-6-08 * (Auto 30 day)	<input type="checkbox"/> ACE 1-6-08 * (Auto 30 day)	<input type="checkbox"/> ACE 1-6-10 (Auto 30 day)	<input type="checkbox"/> UNC 1-6-09 * (Non-Auto)

*Supplemental Certification forms can be found on the Commission Web Page.

Section II – Part II – Certificate Status & Procedural

Certificate Status	ILEC	CLEC	Carrier's Not Offering BLES
Abandon all Services		<input type="checkbox"/> ABN 1-6-26 (Auto 30 days)	<input type="checkbox"/> ABN 1-6-26 (Auto 30 days)
Change of Official Name *	<input type="checkbox"/> ACN 1-6-29(B) (Auto 30 days)	<input checked="" type="checkbox"/> ACN 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Change in Ownership *	<input type="checkbox"/> ACO 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> ACO 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Merger *	<input type="checkbox"/> AMT 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> AMT 1-6-29(E) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Transfer a Certificate *	<input type="checkbox"/> ATC 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> ATC 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)
Transaction for transfer or lease of property, plant or business *	<input type="checkbox"/> ATR 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> ATR 1-6-29(B) (Auto 30 days)	<input type="checkbox"/> CIO 1-6-29(C) (0 day Notice)

* Other exhibits may be required under the applicable rule(s). ACN, ACO, AMT, ATC, ATR and CIO applications see [the 4901:1-6-29 Filing Requirements on the Commission's Web Page](#) for a complete list of exhibits.

Section III – Carrier to Carrier (Pursuant to [4901:1-7](#)), and Wireless (Pursuant to [4901:1-6-24](#))

Carrier to Carrier	ILEC	CLEC
Interconnection agreement, or amendment to an approved agreement	<input type="checkbox"/> NAG 1-7-07 (Auto 90 day)	<input type="checkbox"/> NAG 1-7-07 (Auto 90 day)
Request for Arbitration	<input type="checkbox"/> ARB 1-7-09 (Non-Auto)	<input type="checkbox"/> ARB 1-7-09 (Non-Auto)
Introduce or change c-t-c service tariffs,	<input type="checkbox"/> ATA 1-7-14 (Auto 30 day)	<input type="checkbox"/> ATA 1-7-14 (Auto 30 day)
Request rural carrier exemption, rural carrier suspension or modification	<input type="checkbox"/> UNC 1-7-04 or 05 (Non-Auto)	
Changes in rates, terms & conditions to Pole Attachment, Conduit Occupancy and Rights- of-Way.	<input type="checkbox"/> UNC 1-7-23(B) (Non-Auto)	
Wireless Providers See 4901:1-6-24	<input type="checkbox"/> RCC [Registration & Change in Operations]	<input type="checkbox"/> NAG [Interconnection Agreement or

Section IV. – Attestation

Registrant hereby attests to its compliance with pertinent entries and orders issued by the Commission.

VERIFICATION

I, Mark Hayes, verify that I have utilized the Telecommunications Filing Form for most proceedings provided by the Commission and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

*(Signature and Title) Mark J. Hayes

(Date) 6-13-11

*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.

Send your completed Application Form, including all required attachments as well as the required number of copies, to:

Public Utilities Commission of Ohio
Attention: Docketing Division
180 East Broad Street, Columbus, OH 43215-3793

Or

Make such filing electronically as directed in Case No 06-900-AU-WVR

EXHIBIT A

TITLE PAGE TO LOCAL EXCHANGE TARIFF NO. 1

LOCAL EXCHANGE SERVICES TARIFF

TITLE PAGE

OF

OHIO LOCAL EXCHANGE SERVICES TARIFF

OF

ALEC, INC.

This tariff, filed with the Public Utility Commission of Ohio,
contains the rates, terms, and conditions applicable to
Local Exchange Services offered by ALEC, Inc.
within the State of Ohio.

Copies may be inspected during normal business hours
at the Company's principal place of business

Issued: April 1, 2009

By:

Regulatory Director
250 West Main Street, Suite 1920
Lexington, Kentucky 40507

Effective: April 1, 2009

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EXHIBIT B

REVISED TITLE PAGE TO LOCAL EXCHANGE TARIFF NO. 1

LOCAL EXCHANGE SERVICES TARIFF

TITLE PAGE

OF

OHIO LOCAL EXCHANGE SERVICES TARIFF

OF

ALEC, LLC.

T

This tariff, filed with the Public Utility Commission of Ohio,
contains the rates, terms, and conditions applicable to
Local Exchange Services offered by ALEC, LLC
within the State of Ohio.
Copies may be inspected during normal business hours
at the Company's principal place of business

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Issued: June 13, 2011

By:

Regulatory Director
250 West Main Street, Suite 1920
Lexington, Kentucky 40507

Effective: July 13, 2011

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EXHIBIT C

DESCRIPTION OF NATURE OF CHANGE, INTENT OF CHANGES, AND CUSTOMERS AFFECTED

ALEC submits this ACN application to update the Commission's records to reflect the company's conversion from a corporation (Inc.) to a limited liability company (LLC). Accordingly, ALEC, Inc. is now known as ALEC, LLC. Attached as Exhibit E is a copy of ALEC's Ohio Secretary of State certificate demonstrating its authority to conduct business in Ohio as ALEC, LLC.

ALEC's conversion from an Inc. to an LLC is transparent to all ALEC customers in Ohio. ALEC continues to be known and operate as ALEC, and continues to offer its services under the same rates, terms and conditions as before.

To ensure that the Commission's records are up-to-date, ALEC attaches as Exhibit B a copy of the title page to its local exchange tariff on file with the Commission (PUCO Tariff No. 1), revised to reflect its new name, "ALEC, LLC." The change to the tariff title page is transparent to Ohio customers, and does not change or otherwise have any impact on the rates, terms or conditions of service set forth in Tariff No. 1.

EXHIBIT D
CUSTOMER NOTICE

ALEC respectfully submits that customer notice is not required for its ACN application. The only change was to the corporate structure of ALEC, resulting in a change from ALEC, Inc. to ALEC, LLC. This change is transparent to all ALEC customers in Ohio, and does not change or otherwise have any impact on any rates, terms or conditions of service in Ohio. *See* OAC 4901:1-6-29(D); 4901:1-6-07(A). ALEC continues to be known and operate as ALEC, and continues to offer its services under the same rates, terms and conditions as before.

Similarly, the revised title page to ALEC's PUCO Tariff No. 1 (*see* Exhibit B) reflects only the name change. The change to the tariff title page is transparent to Ohio customers, and does not change or otherwise have any impact on the rates, terms or conditions of service set forth in Tariff No. 1.

EXHIBIT D

**SECRETARY OF STATE
CERTIFICATE**

201108300045

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/24/2011	201108300045	CONVERSION WITHIN SOS RECORDS (CVS)	125.00	100.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

UNISEARCH, INC.
4694 CEMETERY RD
PMB 217
HILLIARD, OH 43026

**STATE OF OHIO
CERTIFICATE
Ohio Secretary of State, Jon Husted**

1540214

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ALEC, LLC

and, that said business records show the filing and recording of:

Document(s)

CONVERSION WITHIN SOS RECORDS
(CHANGE BUSINESS TYPE FOR. PROFIT LIM. LIAB. CO.)

Document No(s):

201108300045



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 23rd day of March, A.D.
2011.

A handwritten signature in cursive script that reads "Jon Husted".

Ohio Secretary of State

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

6/13/2011 2:45:02 PM

in

Case No(s). 11-3536-TP-ACN

Summary: Application Application of ALEC, Inc. to Change its Official Name to ALEC, LLC
electronically filed by Mr. Brian J Hurh on behalf of ALEC, INC