

# COMBINED ELECTRIC AND NATURAL GAS COMPETITIVE RETAIL SERVICE PROVIDERS

For the provision of (check all that apply):

	ELECTRIC	NATURAL GAS
BROKER	<input type="checkbox"/> N	<input type="checkbox"/> N
MARKETER	<input type="checkbox"/> Y	<input type="checkbox"/> Y
AGGREGATOR	<input type="checkbox"/> Y	<input type="checkbox"/> N
GOVERNMENTAL AGGREGATOR	<input type="checkbox"/> N	<input type="checkbox"/> N
RETAIL ELECTRIC GENERATION PROVIDER	<input type="checkbox"/> Y	
OTHER (Describe): _____	<input type="checkbox"/> N	<input type="checkbox"/> N

## INTRASTATE ANNUAL REPORT

OF

Duke Energy Retail Sales, LLC

(Exact legal name of respondent)

If name was changed during year, show also the  
previous name and date of change.

139 E 4th ST, EA600. Cincinnati, Hamilton OH 45202

Address \_\_\_\_\_ City \_\_\_\_\_ County \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_

(513) 419-6931

(513) 419-6931

Phone: (Area Code) Number

139 E Fourth St EA 600 Cincinnati, OH 45202

(Address of principal business office at end of year)

TO THE  
PUBLIC UTILITIES COMMISSION OF OHIO



FOR THE  
YEAR ENDED DECEMBER 31, 2010

## IDENTITY OF RESPONDENT

1. Identify respondent's form of business organization: sole proprietorship, partnership, corporation, or other (explain). If incorporated, specify the date of filing articles of incorporation and the state in which incorporated.

Respondent is a Delaware limited liability company which was formed with the filing of its articles of organization on December 9, 2003

2. Identify any other names (other than shown on title page) under which respondent conducted any part of its business during the year. Provide full particulars.

dba Duke Energy Retail

3. Identify the names of affiliate and subsidiary companies of the respondent.

Duke Energy Retail Sales, LLC is a wholly owned subsidiary of Duke Energy Commercial Enterprises, Inc. Duke Energy Commercial Enterprises, Inc. is a wholly owned subsidiary of Cinergy Investments, Inc. Cinergy Investments, Inc. is a wholly owned subsidiary of Cinergy Corp. Duke Energy Corporation owns 100% of the shares of Cinergy Corp. Duke Energy Retail Sales, LLC has no subsidiaries but has numerous affiliated companies. To the extent more specific information is required, Respondent seeks waiver or is willing to provide it upon request.

4. Identify the PUCO Case Numbers (and dates issued) granting respondent authority to operate as a CRNGS and CRES, and respondent's certificate numbers.

CRES Certificate 04-124E (4) issued December 3, 2010 in case 04-1323-EL-CRS

CRNGS certificate 10-193G (1) issued September 14, 2010 in case 10-880-GA-CRS

5. Identify the dates when respondent began CRNGS and CRES operations in Ohio.

CRNGS operations: January 01, 2011

CRES operations: June 01, 2009

6. Provide a list of Ohio service territories served by respondent.

Respondent serves electric supply in Duke Energy Ohio, First Energy, Dayton Power and Light, and American Electric Power service territories

7. Identify respondent's website URL.

[www.dukesavings.com](http://www.dukesavings.com)

8. Identify the name, title, address, e-mail address, and telephone number (including area code) of the person to be contacted concerning this report.

Chris Chandler  
Manager, Accounting  
139 E Fourth St, EA600  
Cincinnati OH 45202  
513-419-5436

## Instructions:

Schedule 1 is used for PUCO annual assessment purposes pursuant to Sections 4905.10 and 4911.18, Revised Code. The reporting company shall maintain supporting and/or subsidiary records to separately record revenues derived from total operations and from its Ohio intrastate operations. Information presented herein is subject to audit by the PUCO.

For the purpose of this report, sales of natural gas and electricity are deemed to occur at the meter of a retail customer.

Any competitive retail natural gas and electricity supplier that reports zero gross revenues will not be assessed.

## SCHEDULE: 1

## STATEMENT OF INTRASTATE GROSS SALES AND REVENUES \*

<u>Natural Gas</u>	<u>Sales (Mcf)</u>	<u>Revenues (\$)</u>
Choice Program Retail Sales	0	\$0
Choice Program Aggregation Sales	0	0
<b>Total Gas</b>	<b>0</b>	<b>\$0</b>
 <u>Electric</u>	 <u>Sales (kWh)</u>	 <u>Gross Receipts (\$)</u>
Total Sales	8,204,588,199	\$468,376,657
All Other Sales	0	0
<b>Total Electric</b>	<b>8,204,588,199</b>	<b>\$468,376,657</b>
 <b>Total Electric and Natural Gas</b>		 <b>\$468,376,657</b>

\* The information reported on this form should refer only to those sales / revenues / intrastate gross receipts for which certification pursuant to Sections 4929.20 or 4928.20, Revised Code, is required. Natural gas Standard Choice Offer (SCO) providers should include such SCO sales and revenues as part of Choice Program Retail Sales.

**IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE/INVOICES IS/ARE DIRECTED TO THE APPROPRIATE PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.**

**Name, Title, E-mail, Address, and Phone Number of the Company's Contact Persons**

Paul Smith  
Name

Vice President  
Title

paul.smith@duke-energy.com  
E-mail

139 East Fourth St, EA600 Cincinnati OH 45202  
Address

(513) 419-5180  
Phone Number (Including Area Code)

**Name, Title, E-mail, Address, and Phone Number of Person to whom Invoice  
should be Directed**

Chris Chandler  
Name

Manager, Accounting  
Title

chris.chandler@duke-energy.com  
E-mail

139 East Fourth St, EA600 Cincinnati OH 45202  
Address

(513) 419-5436  
Phone Number (Including Area Code)

**Name and Address of the President**

Charles R. Whitlock  
Name

139 East Fourth St, EA600 Cincinnati OH 45202  
Address

**VERIFICATION**

The foregoing report must be verified by the President or Chief Officer of the company.

**OATH**

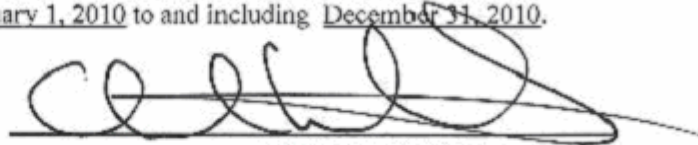
State of Ohio  
County of Hamilton

makes oath and says that Charles R. Whitlock

s/he is President

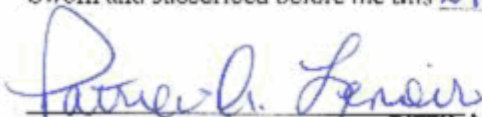
of Duke Energy Retail Sales, LLC

that s/he has examined the foregoing report; that to the best of her/his knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including January 1, 2010 to and including December 31, 2010.



(Signature of affiant.)

Sworn and subscribed before me this 20<sup>th</sup> day of April, 2011 Month/Year



Signature of notary

**PATRIA A. LENOIR**  
Notary Public, State of Ohio  
My Commission Expires 03-21-2016

My commission expires on \_\_\_\_\_



**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**4/29/2011 5:11:29 PM**

**in**

**Case No(s). 11-0003-GE-RPT**

Summary: Annual Report electronically filed by Carys Cochern on behalf of Duke Energy