



The Public Utilities Commission of Ohio

PUCO USE ONLY		
Date Received	Case Number	Version
	11 -1017-EL-AGG	August 2004

## CERTIFICATION APPLICATION FOR AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-5 Experience). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.

### A. APPLICANT INFORMATION

#### A-1 Applicant's legal name, address, telephone number and web site address

Legal Name STONEPILLAR ENERGY LLC  
Address 3982 POWELL ROAD, STE 102, POWELL, OHIO 43065  
Telephone # (877) 842-3480 Web site address (if any) WWW.STONEPILLARENERGY.COM

#### A-2 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name STONEPILLAR ENERGY LLC  
Address 3982 POWELL ROAD, STE 102, POWELL, OHIO 43065  
Telephone # (877) 842-3480 Web site address (if any) WWW.STONEPILLARENERGY.COM

#### A-3 List all names under which the applicant does business in North America

STONEPILLAR ENERGY LLC  
\_\_\_\_\_  
\_\_\_\_\_

#### A-4 Contact person for regulatory or emergency matters

Name MATTHEW W ALLYN  
Title PRESIDENT

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

Technician [Signature] Date Processed 2-25-11

RECEIVED-DOCKETING DIV  
2011 FEB 25 AM 11:49  
PUCO

Business address 3982 POWELL ROAD, STE 102, POWELL, OHIO 43065

Telephone # (614) 467-4448

Fax # (877) 389-5806

E-mail address (if any) MALLYN@STONEPILLARENERGY.COM

**A-5 Contact person for Commission Staff use in investigating customer complaints**

Name MATTHEW W ALLYN

Title PRESIDENT

Business address 3982 POWELL ROAD, STE 102, POWELL, OHIO 43065

Telephone # (614) 467-4448

Fax # (877) 389-5806

E-mail address (if any) MALLYN@STONEPILLARENERGY.COM

**A-6 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 3982 POWELL ROAD, STE 102, POWELL OHIO 43065

Toll-free Telephone # (877) 842-3480

Fax # (877) 389-5806

E-mail address (if any) INFO@STONEPILLARENERGY.COM

**A-7 Applicant's federal employer identification number # 270993626**

**A-8 Applicant's form of ownership (check one)**

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☐ Limited Liability Company (LLC)

☐ Corporation

☐ Other \_\_\_\_\_

**A-9 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).**

**☐ First Energy**

<input type="checkbox"/> Ohio Edison	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input type="checkbox"/> Toledo Edison	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input type="checkbox"/> Cleveland Electric Illuminating	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input type="checkbox"/> Cincinnati Gas & Electric	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input type="checkbox"/> Monongahela Power	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<b><input type="checkbox"/> American Electric Power</b>				
<input type="checkbox"/> Ohio Power	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input type="checkbox"/> Columbus Southern Power	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input type="checkbox"/> Dayton Power and Light	<input type="checkbox"/> Residential	<input type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial

- A-10 Provide the approximate start date that the applicant proposes to begin delivering services

March 1, 2011

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- A-11 **Exhibit A-11 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-12 **Exhibit A-12 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers and companies that aggregate customers in North America.
- A-13 **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14 **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15 **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

**B-4** **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-5** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☐ No            ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-6** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☐ No            ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.
- C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,).
- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the name, address, e-mail address, and telephone number of the preparer.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

Matthew Wally President  
Signature of Applicant & Title

Sworn and subscribed before me this 23 day of February, 2011  
Month Year

[Signature]  
Signature of official administering oath

Jana Gingerich, CSR  
Print Name and Title



My commission expires on Aug 3, 2014

JANA GINGERICH  
Notary Public, State of Ohio  
My Commission Expires  
August 3, 2014

# **AFFIDAVIT**

State of Ohio :

Powell ss.  
(Town)

County of Delaware :

Matthew W. Atty Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the CEO (Office of Affiant) of StonePillar Energy (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

*Justin Wally, President + CEO*  
Signature of Affiant & Title

Sworn and subscribed before me this 23 day of February, 2011  
Month Year

*[Signature]*  
Signature of official administering oath

Jana Gingerich, CSR  
Print Name and Title

My commission expires on Aug 3, 2014



**JANA GINGERICH**  
Notary Public, State of Ohio  
My Commission Expires  
August 3, 2014



**Exhibit A11 "Principal Officers, Directors & Partners"**

Provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or similar officials.

1. Matthew W Allyn  
CEO  
8321 Tricia Price Drive  
Powell, OH 43065  
614-507-1268
2. Tiffany N Allyn  
President  
8321 Tricia Price Drive  
Powell, OH 43065  
614-507-1509

**Exhibit 12 "Corporate Structure"**

Provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

StonePillar Energy LLC is a Limited Liability Company with one single location with an address of 3982 Powell Road, Ste 102, Powell, Ohio 43065.

**Exhibit A-13 "Company History"**

Provide a concise description of the applicant's company history and principal business interests.

StonePillar Energy LLC was founded 9/11/2009 as a "spin off" of StonePillar Capital LLC. StonePillar Capital LLC was founded 11/26/2007 as a general utility consultant. StonePillar Capital has since ceased its sales operations. MARN Enterprise LLC dba URC was since formed to carry on the general utility consultant operations with the focus on recovery of overages paid in taxes and or surcharges for clients across the county.

StonePillar Energy LLC was formed with the purpose of placing existing and new clients with lower cost or better aligned utility providers to further the savings opportunities for the client.

Founder, Matthew Allyn has worked in the utility and auditing industry for 12+ years and has employed the services of industry experts offering auditing and procurement consulting to residential, small business and large commercial clients.

**Exhibit A-14 "Articles of Incorporation and Bylaws"**

If applicable, provide the articles of incorporation filed with the state of jurisdiction in which the applicant is incorporated and any amendments thereto.

Please see attached



Form 533A Prescribed by the:  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us  
Busserv@sos.state.oh.us

Expedite this form: (select one)  
Mail form to one of the following:

☐ Expedite PO Box 1390  
Columbus, OH 43216  
--- Requires an additional fee of \$100 ---  
☒ Non Expedite PO Box 670  
Columbus, OH 43216

## ARTICLES OF ORGANIZATION FOR A DOMESTIC LIMITED LIABILITY COMPANY

Filing Fee: \$125.00

(CHECK ONLY ONE (1) BOX)

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(118-LCA)  
ORC 1705

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(119-LCA)  
ORC 1706

Name of limited liability company

StonePillar Energy, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "LLC," "L.L.C.," "L.L.C.," "LLC," or "Ltd."

Effective Date

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for

(Optional)

Period of Existence

Purpose

(Optional)

☐ Check here if additional provisions are attached

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

StonePillar Energy, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Charles F. Freiburger

Name of Agent

2435 Lane Woods Drive

Mailing Address

Columbus

City

Ohio

State

43221

Zip Code

☐ If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent for

StonePillar Energy, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company



Agent's Signature

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

### REQUIRED

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.

Ch. E. Long  
Signature

09/11/2009

Date \_\_\_\_\_

**Charles F. Freiburger, Esq.**

Print Name \_\_\_\_\_

**Signature**

Date \_\_\_\_\_

---

**Print Name**

**Signature**

Date \_\_\_\_\_

Print Name \_\_\_\_\_

**(See Instructions Below)**

**Exhibit A-15 "Secretary of State"**

Provide evidence that the applicant has registered with the Ohio Secretary of State.

Please see attached.





DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
09/15/2009	200925701018	ARTICLES OF ORGNZTN/DOM. PROFIT LIMLIAB. CO. (LCP)	125.00	.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

THOMPSON HINE LLP  
ATTN C R RUSSELL  
41 S HIGH ST STE 1700  
COLUMBUS, OH 43215

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner

1882727

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**STONEPILLAR ENERGY, LLC**

and, that said business records show the filing and recording of:

Document(s)

**ARTICLES OF ORGNZTN/DOM. PROFIT LIMLIAB. CO.**

Document No(s):

**200925701018**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 11th day of September,  
A.D. 2009.

Ohio Secretary of State

**Exhibit B-1 "Jurisdictions of Operations"**

Provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

StonePillar Energy LLC is NOT currently authorized to provide retail electric service.

### **Exhibit B-2 "Experience & Plans"**

Provide a description of the applicants experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

StonePillar Energy LLC does not seek approval to service or maintain any residential or commercial electric accounts. StonePillar Energy LLC is owned by Matthew Allyn, an experienced consultant and auditor within the energy and procurement industry. StonePillar Energy will simply review client utility usage billings to determine if a network of existing approved marketers or suppliers can offer savings opportunities for clients.

All billing, transfer of service requests and customer credit and account maintenance will be handled by the supplier or marketer in which StonePillar Energy places its client with for service.

### **Exhibit B-3 "Summary of Experience"**

Provider a concise summary of the applicants experience in providing aggregation services including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load etc.)

Matthew Allyn, founder and registered Agent of StonePillar Energy LLC, seeks to provide general utility savings studies for various clients and then refer them to a electric supplier, reseller or marketer for placement. StonePillar Energy LLC sales agents have vast experience in providing general utility consulting to various Client's including but not limited to General Electric, SYSCO, Scotts, Worthington Industries, Del Monte Food and Silgan Containers.

StonePillar Energy LLC is also a PUCO licensed Ohio Competitive Retail Natural Gas Aggregator / Broker #10-178G(1) issued 2/6/10. (Copy attached)

# **The Public Utilities Commission of Ohio**

## **Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate**

Issued pursuant to Case Number(s):  
**10-0020-GA-AGG**

Is

Ohio Competitive Retail Natural Gas Aggregator/Broker Certificate Number:  
**10-178G(1)**

Granted to:  
**StonePillar Energy LLC**

Whose office or principal place of business is located at:  
**8321 Tricia Drive, #100, Powell, Ohio 43065**

And is hereby certified to provide:  
**Retail Natural Gas Aggregator/Broker Services**  
within the state of Ohio, for a two-year period.

Certification Effective:  
**February 6, 2010 Through February 6, 2012**

The certification of Ohio competitive retail natural gas aggregators/brokers is governed by Chapter 4901:1-27 of the Ohio Administrative Code and section 4929.20 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) as well as those under law, are not met.

Certified entity is subject to all rules and regulations of the commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio  
Dated: February 9, 2010

By Order of  
The Public Utilities Commission of Ohio



Renee J. Jenkins, Secretary  
Betty McCauley, Acting Secretary  
Mariruth C Wright, Acting Secretary

**B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations"**

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

NONE

**Exhibit C-1 "Annual Reports"**

Provide two most recent Annual reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why.

StonePillar Energy LLC was formed 9/11/09 and did not generate any revenue in 2009. A copy of 2010 yearend financial statement is attached.

**STONEPILLAR ENERGY LLC**  
**Profit & Loss**  
 January - December 2010

	<u>Total</u>
Income	
Commission Income	38,141.14
<b>Total Income</b>	<b>\$38,141.14</b>
Expenses	
Automobile	3,949.35
Bank Charges	88.20
Commissions & Fees	6,954.63
<b>Total Expenses</b>	<b>\$10,992.18</b>
<b>Net Operating Income</b>	<b>\$27,148.96</b>
Other Expenses	
Miscellaneous	100.00
<b>Total Other Expenses</b>	<b>\$100.00</b>
<b>Net Other Income</b>	<b>\$ -100.00</b>
<b>Net Income</b>	<b><u>\$27,048.96</u></b>

Wednesday, Feb 23, 2011 11:12:13 AM PST GMT-8 - Accrual Basis



**STONEPILLAR ENERGY LLC**  
**Balance Sheet**  
As of December 31, 2010

	<u>Total</u>
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
Checking	27,013.77
Total Bank Accounts	<u>\$27,013.77</u>
Other Current Assets	
Uncategorized Asset	35.19
Total Other Current Assets	<u>\$35.19</u>
Total Current Assets	<u>\$27,048.96</u>
<b>TOTAL ASSETS</b>	<u><u>\$27,048.96</u></u>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Total Liabilities	
Equity	
Retained Earnings	
Net Income	27,048.96
Total Equity	<u>\$27,048.96</u>
<b>TOTAL LIABILITIES AND EQUITY</b>	<u><u>\$27,048.96</u></u>

Wednesday, Feb 23, 2011 11:10:52 AM PST GMT-8 - Accrual Basis

**Exhibit C-2 "SEC Filings"**

Provide the most recent 10-K/8-K filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

StonePillar Energy LLC is a privately held LLC and is not required to file reports with the SEC as it is not a participating member.

**Exhibit C-3 "Financial Statements"**

Provide copies of the applicants two most recent years of audited financial statement (balance sheet, income statement and cash flow statement) If audited financial statement are not available, provide officer certified financial statement. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business.

StonePillar Energy LLC was formed 9/11/09 and did not generate any revenue in 2009. Year end 2010 financial statements certified by Matthew Allyn, President, are attached to Exhibit C-3.

**STONEPILLAR ENERGY LLC**  
**Profit & Loss**  
January - December 2010

	<u>Total</u>
<b>Income</b>	
Commission Income	38,141.14
<b>Total Income</b>	<u>\$38,141.14</u>
<b>Expenses</b>	
Automobile	3,949.35
Bank Charges	88.20
Commissions & Fees	6,954.63
<b>Total Expenses</b>	<u>\$10,992.18</u>
<b>Net Operating Income</b>	<u>\$27,148.96</u>
<b>Other Expenses</b>	
Miscellaneous	100.00
<b>Total Other Expenses</b>	<u>\$100.00</u>
<b>Net Other Income</b>	<u>\$ -100.00</u>
<b>Net Income</b>	<u><u>\$27,048.96</u></u>

Wednesday, Feb 23, 2011 11:12:13 AM PST GMT-8 - Accrual Basis

**STONEPILLAR ENERGY LLC**  
**Balance Sheet**  
**As of December 31, 2010**

	<u>Total</u>
<b>ASSETS</b>	
Current Assets	
Bank Accounts	
Checking	27,013.77
Total Bank Accounts	\$27,013.77
Other Current Assets	
Uncategorized Asset	35.19
Total Other Current Assets	\$35.19
Total Current Assets	\$27,048.96
<b>TOTAL ASSETS</b>	<u>\$27,048.96</u>
<b>LIABILITIES AND EQUITY</b>	
Liabilities	
Total Liabilities	
Equity	
Retained Earnings	
Net Income	27,048.96
Total Equity	\$27,048.96
<b>TOTAL LIABILITIES AND EQUITY</b>	<u>\$27,048.96</u>

Wednesday, Feb 23, 2011 11:10:52 AM PST GMT-8 - Accrual Basis

**Exhibit C-4 "Financial Arrangements"**

Provide copies of the applicants financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.,)

StonePillar Energy LLC does not seek to offer CRES service, but rather review customer utility billings and determine if a lower cost or better provider would better meet the customers needs.

**Exhibit C-5 "Forecasted Financial Statements"**

Provide two years of forecasted financial statements (balance sheet, income statement and cash flow statement) for the applicant's CRES operation, along with a list of assumptions, and the names, address, e-mail address, and telephone number of the preparer.

At this point, StonePillar Energy does not maintain any forecasted financial statements.

**Exhibit C-6 "Credit Rating"**

Provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

StonePillar Energy LLC is not currently being reported to any credit rating agency.



**Exhibit C-7 "Credit Report"**

Provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization.

StonePillar Energy LLC is not currently being reported to any credit rating agency

**Exhibit C-8 "Bankruptcy Information"**

Provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

NONE

**Exhibit C-9 "Merger Information"**

Provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.

NONE