



FILE

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Date Received	Case Number	Certification Number
	10-0020 - GA-AGG	

## CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-16 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 13<sup>th</sup> Floor, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

### SECTION A - APPLICANT INFORMATION AND SERVICES

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2010 JAN -7 PM 1:40

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**A-1 Applicant intends to be certified as: (check all that apply)**

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

**A-2 Applicant information:**

Legal Name **STONEPILLAR ENERGY LLC**  
Address **8321 TRICIA PRICE DRIVE, #100, POWELL, OHIO 43065**  
Telephone No. **614-352-2867** Web site Address **WWW.STONEPILLARENERGY.COM**

**A-3 Applicant information under which applicant will do business in Ohio:**

Name **STONEPILLAR ENERGY LLC**  
Address **8321 TRICIA PRICE DRIVE, #100, POWELL, OHIO 43065**  
Web site Address **WWW.STONEPILLARENERGY.COM** Telephone No. **614-352-2867**

**A-4 List all names under which the applicant does business in North America:**

STONEPILLAR ENERGY LLC

**A-5 Contact person for regulatory or emergency matters:**

Name **MATTHEW ALLYN** Title **CEO**  
Business Address **8321 TRICIA PRICE DRIVE, #100, POWELL, OHIO 43065**  
Telephone No. **614-352-2867** Fax No. **480-287-8156** Email Address **MALLYN@STONEPILLARENERGY.COM**

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business

Technician pmn Date Processed 01-07-10

(CRNGS Broker/Aggregator - Version 1.07) Page 1 of 7

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name **TIFFANY ALLYN** Title **PRESIDENT**  
Business address **8321 TRICIA PRICE DRIVE, #100, POWELL, OHIO 43065**  
Telephone No. **614-467-4090** Fax No. **480-287-8156** Email Address **TALLYN@STONEPILLARENE**

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address **8321 TRICIA PRICE DRIVE, #100, POWELL, OHIO 43065**  
Toll-Free Telephone No. **877-842-3480** Fax No. **480-287-8156** Email Address **INFO@STONEPILLARENE**

**A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee**

Name **MATTHEW ALLYN** Title **CEO**  
Business address **8321 TRICIA PRICE DRIVE, #100, POWELL, OHIO 43065**  
Telephone No. **614-352-2867** Fax No. **480-287-8156** Email Address **MALLYN@STONEPILLARENERGY**

**A-9 Applicant's federal employer identification number** **27-0993626**

**A-10 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)**

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Dominion East Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Duke Energy Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/>	Residential	<input checked="" type="checkbox"/>	Small Commercial	<input checked="" type="checkbox"/>	Large Commercial / Industrial

**A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.**

☐ Columbia Gas of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Dominion East Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Duke Energy Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/>	Residential	Beginning Date of Service		End Date	
<input type="checkbox"/>	Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/>	Industrial	Beginning Date of Service		End Date	

**A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:**

<input checked="" type="checkbox"/>	Columbus Gas of Ohio	Intended Start Date	1/15/10
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	1/15/10
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	1/15/10
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	1/15/10

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Corporate Structure,"** provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.
- A-16 **Exhibit A-16 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-17 **Exhibit A-17 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.
- A-18 **Exhibit A-18 "Secretary of State,"** provide evidence that the applicant is currently registered with the Ohio Secretary of the State.

## **SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services it is seeking to be certified to provide.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

### **SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)
- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

### SECTION D - APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 **Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 **Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 **Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

*Matthew W. Kelly* President & CEO

Sworn and subscribed before me this

5<sup>th</sup>

day of

January

Month

2010

Year

*Michelle L. Tenney*

Signature of official administering oath

Michelle L. Tenney, FCMA

Print Name and Title



Notary  
MICHELLE L. TENNEY  
Notary Public, State of Ohio  
My Commission Expires 10-23-2011

My commission expires on

10/23/2011



# The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service  
Affidavit Form  
(Version 1.07)

In the Matter of the Application of )

STONEPILLAR ENERGY LLC )

for a Certificate or Renewal Certificate to Provide )

Competitive Retail Natural Gas Service in Ohio. )

Case No.

-GA-AGG

County of DELAWARE

State of OHIO

STONEPILLAR ENERGY LLC

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

*Justin Walby* CEO / President

Sworn and subscribed before me this

5<sup>th</sup>

day of

January

Month

2010

Year

*Michelle L. Tenney*  
Signature of Official Administering Oath

Michelle L. Tenney, FCM  
Print Name and Title



Notary MICHELLE L. TENNEY  
Notary Public, State of Ohio  
My Commission Expires 10-23-2011

My commission expires on

10/23/2011

(CRNGS Broker/Aggregator -Version 1.07) Page 7 of 7

**Exhibit A-14 "Principal Officers, Directors & Partners"**

**Provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

1. Matthew W Allyn  
CEO  
8321 Tricia Price Drive  
Powell, Ohio 43065  
614.467.4448
2. Tiffany N Allyn  
President  
8321 Tricia Price Drive  
Powell, Ohio 43065  
614.467.4090



**Exhibit A-15 "Corporate Structure"**

Provide a description of the applicant's corporate structure, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale natural gas or electricity to customers in North America.

StonePillar Energy LLC is a Limited Liability Company with one single location with an address of 8321 Tricia Price Drive, Ste 100, Powell, Ohio 43065.

### **Exhibit A-16 "Company History"**

Provide a concise description of the applicant's company history and principal business interests.

StonePillar Energy LLC was founded 9/11/2009 as a "spin off" of StonePillar Capital LLC. StonePillar Capital LLC was founded 11/26/2007 as a general utility consultant. StonePillar Capital LLC focuses on the recovery of overages paid in taxes and or surcharges for clients across the Country. StonePillar Energy was formed with the purpose of placing existing StonePillar Capital LLC and new clients with lower cost utility providers to further the savings opportunities for the Client.

Founder, Matthew Allyn has worked in the utility and auditing industry for 10+ years and has employed the services of industry experts offering auditing and procurement consulting to residential, small business and large commercial Clients.

**Exhibit A-17 "Articles of Incorporation and Bylaws"**

If applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.

Please see attached.



Form 533A Prescribed by the:  
Ohio Secretary of State

Central Ohio: (614) 466-3910  
Toll Free: (877) SOS-FILE (767-3453)

www.sos.state.oh.us  
Busserv@sos.state.oh.us

Expedite this form: (select one)  
Mail form to one of the following:

☐ Expedite PO Box 1380  
Columbus, OH 43216  
\*\*\* Requires an additional fee of \$100 \*\*\*

☒ Non Expedite PO Box 670  
Columbus, OH 43216

**ARTICLES OF ORGANIZATION FOR A DOMESTIC  
LIMITED LIABILITY COMPANY**

Filing Fee: \$125.00

(CHECK ONLY ONE (1) BOX)

(1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)  
ORC 1705

(2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)  
ORC 1705

RECEIVED  
 SECRETARY OF STATE  
 2009 SEP 11 PM 2:30  
 CLIENT SERVICE CENTER

Name of limited liability company

StonePillar Energy, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd.," or "ltd."

Effective Date

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing  
of the articles or on a later date specified that is not more than ninety days  
after filing)

This limited liability company shall exist for

(Optional)

Period of Existence

Purpose

(Optional)

☐ Check here if additional provisions are attached

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

StonePillar Energy, LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Charles F. Freiburger

Name of Agent

2435 Lane Woods Drive

Mailing Address

Columbus

City

Ohio

State

43221

Zip Code

☐ If the agent is an individual and using a P.O. Box, check this box to certify the agent is an Ohio resident.

**ACCEPTANCE OF APPOINTMENT**

The undersigned, named herein as the statutory agent for

StonePillar Energy, LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company



Agent's Signature

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document on behalf of the limited liability company identified above.

**REQUIRED**

Articles and original appointment of agent must be authenticated (signed) by a member, manager or other representative.

  
Signature

09/11/2009

Date

Charles F. Freiburger, Esq.

Print Name

Signature

Date

Print Name

Signature

Date

Print Name

(See Instructions Below)

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
09/15/2009	200925701018	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	125.00	.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

THOMPSON HINE LLP  
ATTN C R RUSSELL  
41 S HIGH ST STE 1700  
COLUMBUS, OH 43215

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner

1882727

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**STONEPILLAR ENERGY, LLC**

and, that said business records show the filing and recording of:

Document(s)

**ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.**

Document No(s):

**200925701018**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 11th day of September,  
A.D. 2009.

Handwritten signature of Jennifer Brunner in cursive script.

Ohio Secretary of State

**Exhibit A-18 "Secretary of State"**

**Provide evidence that the applicant is currently registered with the Ohio Secretary of the State.**

**Please see attached.**





DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
10/02/2009	200927400482	SUBSEQNT AGENT APPOINT/LIMITED/LIABILITY/PARTNER (LSA)	25.00	.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

STONEPILLAR CAPITAL LLC  
PO BOX 1822  
POWELL, OH 43065

# STATE OF OHIO CERTIFICATE

**Ohio Secretary of State, Jennifer Brunner**

1882727

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**STONEPILLAR ENERGY, LLC**

and, that said business records show the filing and recording of:

Document(s)

**SUBSEQNT AGENT APPOINT/LIMITED/LIABILITY/PARTNER**

Document No(s):

**200927400482**

United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of  
the Secretary of State at Columbus,  
Ohio this 1st day of October, A.D.  
2009.

**Ohio Secretary of State**

**Exhibit B-1 "Jurisdictions of Operation"**

Provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

StonePillar Energy LLC is NOT currently authorized to provide retail natural gas service

### **Exhibit B-2 "Experience & Plans"**

Provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

StonePillar Energy LLC does not seek approval to service or maintain any residential or commercial natural gas accounts. StonePillar Energy LLC is owned and operated by Matthew Allyn, an experienced consultant and auditor within the energy auditing and procurement industry. StonePillar Energy LLC will simply review client utility usage billings to determine if a network of existing approved markets can offer savings opportunities for StonePillar's Clients.

All billing, transfer of service requests and customer credit and account maintenance will be handled by the supplier or marketer in which StonePillar Energy places its Client with for service.

### **Exhibit B-3 "Summary of Experience"**

Provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking to be certified to provide (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Matthew Allyn, Founder and registered Agents of StonePillar Energy seek to provide general utility savings studies for various clients and then refer them to a natural gas reseller, supplier or marketer. StonePillar Energy's Agents have vast experience in providing general utility consulting to various Client's including but not limited to General Electric, Scotts, Worthington Industries, The Columbus Dispatch, Del Monte Foods and Silgan Containers.

**B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations"**

Provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

NONE

### **Exhibit C-1 "Annual Reports"**

Provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.

Not Applicable as StonePillar Energy LLC was formed 9/11/09

### **Exhibit C-2 "SEC Filings"**

Provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.

StonePillar Energy LLC is not required to file reports with the SEC as it is not a participating member.

**Exhibit C-3 "Financial Statements"**

Provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer-certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business.

**This is to serve as notice that StonePillar Energy does not maintain any financial statements as of 1/4/2010 as the company was formed in 9/11/09 and has yet to generate any revenue through consulting income nor have any expenses been incurred.**



#### **Exhibit C-4 "Financial Arrangements"**

Provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

StonePillar Energy LLC does not seek to offer competitive retail natural gas service, but rather review customer utility billings and determine if a lower cost provider would better meet the customer's needs.

**Exhibit C-5 "Forecasted Financial Statements"**

Provide two years of forecasted financial statements (balance sheet, income statement, and cash flow statement) for the applicant's CRNGS operation, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer.

At this point in time, StonePillar Energy does not maintain any forecasted financial statements as the markets in which StonePillar will operate within have not yet been determined. Upon approval, StonePillar Energy will seek referral or sourcing agreements with various marketers and or suppliers to refer StonePillar Energy customers to for service.

**Exhibit C-6 "Credit Rating"**

Provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant.

StonePillar Energy LLC is not currently being reported to any credit rating agency as it was formed 9/11/09 and has yet to generate revenue as of 1/4/10.

**Exhibit C-7 "Credit Report"**

Provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization.

StonePillar Energy LLC is not currently being reported to any credit rating agency as it was formed 9/11/09 and has yet to generate revenue as of 1/4/10.

**Exhibit C-8 "Bankruptcy Information"**

Provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or since applicant last filed for certification.

NONE

**Exhibit C-9 "Merger Information"**

Provide a statement describing any dissolution or merger or acquisition of the applicant since applicant last filed for certification.

NONE

### **Exhibit D-1 "Operations"**

Provide a current written description of the operational nature of the applicant's business functions.

StonePillar Energy LLC will act in the capacity of a utility consultant. Upon reviewing of consumer, residential, and commercial utility usage utilized or utility billings, StonePillar Energy will work with a network of suppliers (i.e. IGS, Direct Energy, Volunteer Energy etc...) to locate a lower cost (if any) solutions that would better fit the needs of StonePillar Energy's client.

All credit, customer service, billings and service will be facilitated by the end supplier. StonePillar Energy simply connects two parties.

**Exhibit D-2 "Operations Expertise"**

Given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.

Matthew Allyn, Founder and CEO and his Agents have a deep and broad background of utility tax auditing and predominate usage studies. This experience and customer base will be the foundation of initial customers for StonePillar Energy's consulting program.



**Exhibit D-3 "Key Technical Personnel"**

Provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

**Matthew Allyn**

**CEO**

**614-352-2867 x101**

**Background in auditing, financial analysis and Six Sigma cost saving opportunities**

**Tiffany Allyn**

**President**

**614-352-2867 x301**

**Background in sales and marketing with an emphasis on direct mail, internet and telephone marketing.**

**Robert Nichols Jr.**

**Vice President – Sales**

**614-352-2867 x105**

**Background in utility usage studies and cost recovery of taxes and surcharges.**