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BEFORE

THE PUBLIC UTILITIES COMMISSION OF OHIO

In the Matter of a Settlement Agreement)
Between the Public Utilities Commission of)
Ohio and S B E Trucking, Inc.)

Case No. 09-1055-TR-CVF

SETTLEMENT AGREEMENT

- 1) This agreement was reached between S B E Trucking, Inc., the Respondent in Staff Case No. CR08C260, and the Staff of the Public Utilities Commission of Ohio (Staff), pursuant to Rule 4901:2-7-11(A), Ohio Administrative Code (O.A.C.).
- 2) On April 25, 2008, a compliance review of the Respondent's facility located at 23661 goose Creek Road, South Bloomingville, Ohio, was conducted by Staff. As the result of discovery of the following apparent violations of Rule 4901:2-5-02, O.A.C., Staff timely notified Respondent pursuant to Rule 4901:2-7-07, O.A.C., that it intended to make a civil monetary assessment against Respondent in the following amounts:

<u>Code</u>	<u>Violation</u>	<u>Forfeiture</u>
49 C.F.R. § 40.305(a)	Conducting a return to duty test prior to the Substance Abuse Professional determination that driver has successfully complied with education and/or treatment	\$ 00.00
49 C.F.R. § 382.301(a)	Using a driver before the carrier has received a negative pre-employment controlled substance test result.	\$ 400.00
49 C.F.R. § 382.501(a)	Driver performing safety sensitive function after engaging in prohibited conduct.	\$ 00.00
49 C.F.R. § 390.15(b)	Failing to maintain, for a period of one year after an accident occurs, an accident register.	\$ 00.00
49 C.F.R. § 391.23(a)(2)	Failing to investigate a driver's previous employment record.	\$ 600.00
49 C.F.R. § 391.45(b)(1)	Using a driver not medically examined and certified.	\$ 400.00
49 C.F.R. § 391.51(c)	Failing to keep driver qualification file for at least 3 years after the termination of driver's employment.	\$ 00.00
49 C.F.R. § 396.17(a)	Using a commercial motor vehicle not periodically inspected.	\$ 800.00

- 3) A conference was conducted pursuant to Rule 4901:2-7-10(B), O.A.C., at which the Respondent had a full opportunity to present any reasons why the violations did not occur as alleged, mitigating circumstances regarding the amount of any forfeiture, and any other information relevant to the action proposed to be taken by Staff.
- 4) For purposes of settlement, and not as an admission or evidence that the violations occurred, Respondent agrees that the findings of violations enumerated in paragraph two of this agreement may be included in the Respondent's Safety-Net record, and in Respondent's history of violations insofar as they may be relevant for purposes of determining future penalty actions.

5) The Respondent agrees to make payment of a civil forfeiture of one thousand one hundred dollars (\$1,100.00) within thirty days after the effective date of this settlement agreement.

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- 6) The Respondent agrees to grant consensual access to the Staff to conduct compliance reviews, without notice, of all its facilities located in the State of Ohio, at any time during the period of one year from the effective date of this settlement agreement.
- 7) In the event that the Respondent fails to fulfill its obligations under this settlement agreement, or in the event that compliance reviews of facilities or roadside inspections of vehicles operated by the Respondent, conducted during the period of one year following the effective date of this settlement agreement, reveals a pattern of violations of the Hazardous Materials Regulations, 49 C.F.R. Parts 171 through 180, or the Federal Motor Carrier Safety Rules, 49 C.F.R. Parts 382, 383, 387, 390 through 397, by the Respondent, the Respondent agrees to make payment of an additional civil forfeiture of one thousand one hundred dollars (\$1,100.00).
- 8) Respondent and Staff agree that nothing in this settlement agreement shall prevent Staff from assessing civil forfeitures pursuant to Chapter 4901:2-7, O.A.C., as the result of future compliance reviews or roadside inspections. Respondent and Staff further agree that nothing in this settlement agreement shall prevent Staff from proposing that the Commission make a Compliance Order pursuant to Chapter 4901:2-7, O.A.C., as the result of future compliance reviews or roadside inspections.
- 9) This agreement shall not become effective until adopted by and made the order of the Commission pursuant to Rule 4901:2-7-11(C), O.A.C. The date of any entry or order of the Commission adopting this settlement agreement shall be considered the effective date of this settlement agreement. Respondent further waives its right to rehearing in accordance with Rule 4901-1-35, O.A.C.
- 10) Respondent understands that this agreement may be adopted by the Commission as its order without notice pursuant to Rule 4901:2-7-11(D), O.A.C. If Respondent defaults in its obligations under this agreement, the Commission may set this agreement aside and order the Respondent to pay the amount indicated in the Notice of Intention to Assess Forfeiture served in this case, pursuant to Rule 4901:2-7-11(E), O.A.C.
- 11) This agreement, which is subject to the Rules of the Commission, constitutes the entire agreement of the parties.

Judy Rooker, President
Judy Rooker, President
For S B E Trucking, Inc.

7/28/09
Date

Robert E. Marvin
Robert E. Marvin, Director
Transportation Department
Public Utilities Commission of Ohio
cc: Commission agenda/ CR08C260
AMT

6/9/09
Date