

**FILE DNR** Indiana Department of Natural Resources

Mitchell E. Daniels, Jr., Governor  
Robert E. Carter, Jr., Director

Division of Historic Preservation & Archaeology • 402 W. Washington Street, W274 • Indianapolis, IN 46204-2739  
Phone 317-232-1646 • Fax 317-232-0693 • [dhpa@dnr.IN.gov](mailto:dhpa@dnr.IN.gov)

July 2, 2008

Ryan Childs  
Rockies Express Pipeline  
500 Dallas Street, Suite 100  
Houston, Texas 77002

106-1142-GA-BIN  
**ORIGINAL**

Federal Agency: Federal Energy Regulatory Commission

Re: Request to enter into an agreement of additional project activities after the start of construction pertaining to the Rockies Express Pipeline LLC's installation of a 622 mile long natural gas pipeline through Illinois, Indiana, and Ohio (FERC Docket #PF06-30-000; DHPA#1562)

Dear Mr. Childs:

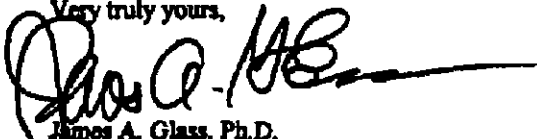
Pursuant to Section 106 of the National Historic Preservation Act (16 U.S.C. § 470f) and 36 C.F.R. Part 800, the staff of the Indiana State Historic Preservation Officer ("Indiana SHPO") has conducted an analysis of the materials dated June 3, 2008 and received on June 6, 2008, for the above indicated project in Vermillion, Parke, Putnam, Hendricks, Morgan, Johnson, Shelby, Decatur, and Franklin counties, Indiana.

Although we prefer that project activities be reviewed by our office prior to their clearance, even if cultural resources are not encountered during the archaeological and architectural investigations, we are willing to agree to the proposed agreement with the following conditions:

1. All areas will be archaeologically and architecturally investigated. Even if archaeological resources are not encountered during these investigations, a summary of the archaeological investigations will still be required. If any cultural resources are encountered, then a formal review will be necessary.
2. The archaeological investigations must be done in accordance with the "Secretary of the Interior's Standards and Guidelines for Archaeology and Historic Preservation" (48 F.R. 44716) and must be directly supervised in the field by an archaeologist meeting the "Secretary of the Interior's Professional Qualifications Standards."
3. If architectural resources not previously identified are present, information on those resources that may be potentially eligible for the National Register of Historic Places must be provided to our office for review.

We acknowledge the importance of the timeliness of this project and appreciate the levels of effort for identifying and evaluating cultural resources for this project. We look forward to continuing to work with you on this project. A copy of the revised 36 C.F.R. Part 800 that went into effect on August 5, 2004, may be found on the Internet at [www.achp.gov](http://www.achp.gov) for your reference. If you have questions about archaeological issues please contact Cathy Draeger at (317) 234-3791. Additionally, in all future correspondence regarding the above indicated project, please refer to DHPA #1562.

Very truly yours,

  
James A. Glass, Ph.D.

Deputy State Historic Preservation Officer

JAG:CLD:cid

cc: Kimberly Bose, Federal Energy Regulatory Commission  
Kari Krause, Natural Resource Group, Inc.  
enc: Ellen Saint Ours, Federal Energy Regulatory Commission

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# DNR

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July 3, 2008

Ellen Saint Onge  
Federal Energy Regulatory Commission  
888 First Street Northeast  
Washington, D.C. 20426

Federal Agency: Federal Energy Regulatory Commission

Re: Signature request for the Memorandum of Agreement (MOA) pertaining to the Rockies Express Pipeline LLC's installation of a 622 mile long natural gas pipeline through Illinois, Indiana, and Ohio (FERC Docket #PF06-30-000; DHPA#1562)

Dear Ms. Saint Onge:

Pursuant to Section 106 of the National Historic Preservation Act (16 U.S.C. § 470f) and 36 C.F.R. Part 800, the staff of the Indiana State Historic Preservation Officer ("Indiana SHPO") has conducted an analysis of the materials received on June 18 and July 2, 2008, for the above indicated project in Vermillion, Parke, Putnam, Hendricks, Morgan, Johnson, Shelby, Decatur, and Franklin counties, Indiana.

Thank you for providing our office the opportunity to comment on the draft memorandum of agreement (MOA). We generally agree to the essential terms proposed in the agreement. However, we do have some revisions and comments. Please refer to the attached document for our recommended revisions for the memorandum of agreement.

*A copy of the revised 36 C.F.R. Part 800 that went into effect on August 5, 2004, may be found on the Internet at [www.achp.gov](http://www.achp.gov) for your reference. If you have questions about archaeological issues please contact Cathy Draeger at (317) 234-3791. Additionally, in all future correspondence regarding the above indicated project, please refer to DHPA #1562.*

Very truly yours,

James A. Glass, Ph.D.  
Deputy State Historic Preservation Officer

JAG:CLD:cld

Enclosure (1)

cc: Kimberly Bose, Federal Energy Regulatory Commission  
Kari Krause, Natural Resource Group, Inc.  
Don Klima, Advisory Council on Historic Preservation

**MEMORANDUM OF AGREEMENT  
AMONG THE FEDERAL ENERGY REGULATORY COMMISSION, THE  
INDIANA STATE HISTORIC PRESERVATION OFFICE, AND ROCKIES  
EXPRESS PIPELINE LLC,  
REGARDING THE ROCKIES EXPRESS EAST PROJECT  
IN INDIANA**

Rockies Express Pipeline LLC – Rockies Express East Project  
Docket No. CP07-208-000

Federal Energy Regulatory Commission

Whereas, the Federal Energy Regulatory Commission (FERC or Commission) acknowledges and accepts the guidance outlined in the Advisory Council on Historic Preservation's (Council) "Recommended Approach for Consultation on Recovery of Significant Information from Archaeological Sites," published in the Federal Register on May 18, 1999 (vol. 64, no. 95, p. 27085) as part of its revised regulations (36 CFR Part 800) for implementing Section 106 of the National Historic Preservation Act of 1966, as amended (NHPA, 16 U.S.C. 470); and

Whereas, the consulting parties, which include the FERC, the Indiana State Historic Preservation Office (SHPO), and Rockies Express Pipeline LLC (Rockies Express or Applicant) agree that it is in the public interest to implement this project; and

Whereas, the FERC has determined that several archaeological sites considered eligible for the National Register of Historic Places may be adversely affected by the project; and

Whereas, the consulting parties agree that recovery of significant information from these archaeological sites shall be done in accordance with the Council's guidance to satisfy Part 800.6; and

Whereas, Rockies Express documented consultations with Indian tribes that may attach religious or cultural importance to properties in the project area, and no objections have been raised to the work proposed; and

Now, therefore, the FERC shall ensure that the following terms and conditions, including the measures outlined in approved archaeological data-recovery-plans treatment plans referenced below, will be implemented in a timely manner and with adequate resources in compliance with the NHPA:

Comment (SHPO): The applicant has not submitted a plan to ensure that the archaeological data recovery plans are consistent with the NHPA. Please confirm.

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Comment (SHPO): The applicant has not submitted a plan to ensure that the archaeological data recovery plans are consistent with the NHPA. Please confirm.

## Stipulations

- A. Rockies Express shall ~~complete~~ ensure completion of all Phase I surveys, including deep testing, of all temporary and permanent right-of-way, and any additional re-routes, extra work spaces, access roads and other areas as needed. Formatted: Strikethrough  
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- B. Rockies Express shall ~~complete~~ ensure completion of all outstanding Phase II evaluations on properties that may be identified during any additional Phase I survey as necessary. Formatted: Strikethrough
- C. Reports of all outstanding Phase I and Phase II archaeological investigations shall be submitted to the FERC and the SHPO for review of the sites' eligibility to the National Register of Historic Places.
- D. If, after consultation, SHPO, the FERC, and Rockies Express agree that avoidance is not feasible, Rockies Express shall ~~complete~~ ensure completion of Phase III mitigation of all eligible sites in accordance with a Data Recovery Plan the treatment plans submitted to SHPO and the FERC. The data recovery plan treatment plans, including the proposed plans for data recovery, shall be submitted by Rockies Express to the SHPO and to FERC for thirty (30) days review and comment approval prior to the commencement of any phase III mitigation investigations. Formatted: Strikethrough  
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- E. Rockies Express shall ensure that the data recovery plans addressing substantive research questions ~~is~~ are developed in consultation with the Indiana SHPO and the FERC for the recovery of relevant archaeological data. The plans shall be consistent with the "Secretary of the Interior's Standards and Guidelines for Archaeological Documentation" (48FR 44734-37), the most current Guidebook for Indiana Historic Sites and Structures Inventory--Archaeological Sites, and take into account the Council's publication, Treatment of Archaeological Properties. ~~It~~ They shall specify, at a minimum, the following: Formatted: Strikethrough  
Comment [1]: It is our understanding that the treatment plans will cover everything and include the data recovery plans by specific date.  
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1. the property or portion thereof where data recovery is to be carried out;
  2. the research questions to be addressed through the data recovery, with an explanation of their relevance and importance;
  3. the methods to be used, with an explanation of their relevance to the research questions;
  4. proposed methods of disseminating results of the work in the interest of the public; ~~and~~
  5. a proposed schedule for the submission of reports to the SHPO.
- F. The Applicant shall ensure that the data recovery plans ~~is~~ are carried out by or Formatted: Strikethrough  
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under the direct supervision of an archaeologist who meets, at a minimum, the "Secretary of the Interior's Professional Qualifications Standards" (48FR 44738k-9).

- G. All archaeological investigations must conform to the "Secretary of the Interior's Standards and Guidelines for Archaeology and Historic Preservation" and the most current Guidebook for Indiana Historic Sites and Structures Inventory-- Archaeological Sites
- H. Management summaries of all phase III archaeological investigations must be submitted to the FERC and the SHPO for review and approval prior to the commencement of construction activities.
- I. Final reports must be submitted to the FERC and the SHPO for review within two (2) years of the completion of the fieldwork.
- G.J. Curation of records, artifacts, and other items resulting from identification, evaluation, and data recovery efforts shall meet the level of 36CFR79.
- K. Rockies Express shall ensure that the selected use of wooden mats to transport equipment and machinery through a travel lane across selected portions of eligible archaeological sites shall not damage these sites where this method is utilized. These locations shall be submitted to the FERC and the SHPO for review and approval.
- L. Modifications of project activities after the start of construction will be reviewed on a limited basis by the FERC and the SHPO with the following conditions:
  - 1. All areas will be archaeologically and architecturally investigated. Even if archaeological resources are not encountered during these investigations, a summary of the archaeological investigations will still be required. If any cultural resources are encountered, then a formal review will be necessary.
  - 2. The archaeological investigations must be done in accordance with the "Secretary of the Interior's Standards and Guidelines for Archaeology and Historic Preservation" (48 F.R. 44716) and must be directly supervised in the field by an archaeologist meeting the "Secretary of the Interior's Professional Qualifications Standards".
  - 3. If architectural resources not previously identified are present, information on those resources that may be potentially eligible for the National Register of Historic Places must be provided to our office for review.

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## Other Terms and Conditions

### Unanticipated Discoveries

A plan for dealing with unanticipated discoveries of historic properties and human remains has been prepared for the project and will be implemented in the event of such a discovery.

Comment (15): Although the first sentence just discusses this topic, we believe it should be described in the MOA including, but not limited to, obtaining Federal and state laws, conduct of the owner and law enforcement, and consultation with all relevant parties for identification purposes.

### Amendments

Modification, amendment, or termination of this agreement, as necessary, shall be accomplished by the consulting parties in the same manner as the original agreement.

### Dispute Resolution

Disputes regarding the completion of the terms of this agreement shall be resolved by the consulting parties. If the consulting parties cannot agree, any one of the consulting parties may request the participation of the Council to assist in resolving the dispute.

### Termination

This agreement shall be null and void if its terms are not carried out within five (5) years from the date of its execution, unless the consulting parties agree in writing to an extension.

**SIGNATORIES**

**Federal Energy Regulatory Commission**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Title: Director, Division of Gas-Environment and Engineering, Office of Energy  
Projects

**Indiana State Historic Preservation Office**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Title: \_\_\_\_\_

**Rockies Express Pipeline LLC**

By: \_\_\_\_\_ Date: \_\_\_\_\_  
Title: \_\_\_\_\_

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