### The Public Utilities Commission of Ohio **TELECOMMUNICATIONS APPLICATION FORM**

(Effective: 10/01/2004) (Pursuant to Case Nos. 99-998-TP-COI and 99-563-TP-COI)

			<u>4</u>
DBA(s) of Re Address of Re	egistrant(s) 4001 Rodney Parham Road, Little Rock, AR 72212		
Regulatory C	contact Person's Email Address kathy hobbs Phone (614) 228-9484 Fax (614) 228-6832 Phone (614) 228-9484 Fax (614) 228-6832		
Contact Perso	on for Annual Report Kathy Hobbs Phone (614) 228-9484 ontact Information Margie Hubbard Phone (704) 814-2023		
Motion for w	rotective order included with filing?   Yes  No  No  Note: waiver(s) tolls any automatic timeframe  Pe (check all applicable):   CTS (IXC)   ILEC   CLEC   CMRS   AOS  Other (explain)		
Case No. 99-	form must accompany all applications filed by telecommunication service providers subject to the Commission's 998-TP-COI, as well as by ILECs filing an ARB or NAG case pursuant to the guidelines established in Case No. 96 OT to combine different types of filings, but if you do so, you must file under the process with the longest applicable.	5-463-TP-U	NC. <i>It i</i> s
□ 1 (AAC)	dicate the reason for submitting this form (check one)  Application to Amend Certificate by a CLEC to modify Serving Area (0-day notice, 7 copies)  Abandonment of all Services		
	□ a. Switched Local □ b. Non-switched local □ c. CTS □ d. Local and CTS □ e. Other (explain)  LEC Application to Change Ownership (30-day approval, 10 copies)  LEC Application to Change Name (30-day approval, 10 copies)  Carrier-to-Carrier Contract Amendment to an agreement approved in a NAG or ARB case (30-day approval, 7 copi	n this page.	
0 8 (ARB)	NOTE: see item 25 (CTR) on page two of this form for all other contract filings.  LEC Merger (30-day approval, 10 copies)  Application for Arbitration (see 96-463-TP-COI for applicable process, 10 copies)  Application for Tariff Amendment for Tier 1 Services, Application to Reclassify Service Among Tiers, or Change to a. Tier 1 (and Carrier-to-Carrier tariff filings as set-forth in 95-845-TP-COI)  Die Pre-filing submittal (30-day pre-filing submittal with Staff and OCC; Do Not Docket, 4 copies)  Die New End User Service which has been preceded by a 30-day pre-filing submittal with Staff for all submittal with Staff fo		
	OCC for Tier 1 residential services (0-day filing, 10 copies)  liii. New End User Service (NOT preceded by a 30-day filing submittal, 30-day approval, 10 copies)  liv. New Carrier-to-Carrier Service which has been preceded by a 30-day pre-filing with Staff (0-day filing v. Change in Terms and Conditions, textual revision, correction of error, etc. (30-day approval, 10 copies)  vii. Grandfather service (30-day approval, 10 copies)  linitial Carrier-to-Carrier Services Tariff subsequent to ACE approval (60-day approval, 10 copies)	g, 10 copies )	)
	□ viii. Withdrawal of Tier 1 service must be filed as an "ATW", not an "ATA" - see item 12, below □ b. Reclassification of Service Among Tiers (NOT automatic, 10 copies) □ c. Textual revision with no effect on rates for non-specific or non-tier service (30-day approval, 10 copies)	2	20
□ 10 (ATC) □ 11 (ATR) □ 12 (ATW)	Application to Transfer Certificate (30-day approval, 7 copies)  LEC Application to Conduct a Transaction Between Utilities (30-day approval, 10 copies)  Application to Withdraw a Tier 1 Service	2037 AUG	ECEIVER
□ 13 (CIO) □ 14 (NAG) □ 15 (RCC)	a. CLEC (60-day approval, 10 copies)  Application for Change in Operations by Non-LEC Providers (0-day notice, 7 copies)  Negotiated Interconnection Agreement Between Carriers (0-day effective, 90-day approval, 8 copies)  For CMRS providers only to Register or to Notify of a Change in Operations (0-day notice, 7 copies)	<u> </u>	RECEIVED-DOCKETING DIV
n 16(SLF)	□ a. CLEC only -Tier 1 (60-day automatic, 10 copies)  □ b. Introduce or increase maximum price range for Non-Specific Service Charge (60-day approval, 10 copies)  Unclassified (explain)(NOT automatic, 15 copies)	2: 08	AIG SH
x 18 (ZTA)	Tariff Notification Involving only Tier 2 Services  NOTE: Notifications do not require or imply Commission Approval.  a. New End User Service (0-day notice, 10 copies)  b. Change in Terms and Conditions, textual revision, present the forces: October 10 copies)  c. Withdrawal of service (0-day notice, 10 copies)  accurate and complete reproduction	appear	ing are a

Technician Bim Date Processed

⊓ 19³	Other	(explain)	(NOT automatic, 15 copies)			
		` '	•			
□ 20 □ 21 □ 22 □ 23 □ 24	Introdu New P a. Ti Design Update Annua option	action or Extension of Promotional Offer rice List Rate for Existing Service er I	indicate which option you intend to adopt to maintain the tariff. NOTE, changing			
□ 25			end-user contract. (NOTE: see item 6 on page 1 of this form for carrier-to-carrier contract amendments) P - CTR (Use same CTR number throughout calendar year)			
	II. Please indicate which of the following exhibits have been filed. The numbers (corresponding to the list on page (1) and above) indicate, at a minimum, the types of cases in which the exhibit is required:					
	[all]	A copy of any motion for wany automatic timeframe as	aiver of O.A.C. rule(s) associated with this filing. NOTE: the filing of a motion for waiver tolls sociated with this filing.			
	[3]	Completed Service Requires	ments Form.			
	[3, 9(vi		sed tariffs. (Carrier-to-Carrier resale tariff also required if facilities-based)			
	[3]	utility in the State of Ohio.	has notified the Ohio Department of Taxation of its intent to conduct operations as a telephone			
	[3]	Brief description of service(				
	[3a-b,3c	Explanation of whether apple based services.	licant intends to provide a resold services, a facilities-based services, or a both resold and facilities-			
Ö	[3a-b,3		LEC currently offers CTS services under separate CTS authority, and whether it will be including EC filing, or maintaining such CTS services under a separate affiliate.			
	[3a-b,3e		posed services in the proposed market area are in the public interest.			
	[3a-b,3c	Description of the proposed	market area.			
	[3a-b,3c	Description of the class of c	ustomers (e.g., residence, business) that the applicant intends to serve.			
	[3a-b,36	1) An executive Sum Describe internally are the subject of 2) Copy of financial statements are bas	the applicant's financial viability, including the following: among describing the applicant's current financial condition, liquidity, and capital resources.  y generated sources of cash and external funds available to support the applicant's operations that this certification application.  statements (actual and pro forma income statement and a balance sheet). Indicate if financial sed on a certain geographical area(s) or information in other jurisdictions support the applicant's cash an funding sources.			
0	[3a-d]	Documentation attesting to to proposed service area.	the applicant's technical and managerial expertise relative to the proposed service offering(s) and			
	[3a-d]		ne applicant's corporate structure and ownership.			
0	[3a-b.3c	Information regarding any s	imilar operations in other states. Also, if this company has been previously certified in the State of			

Verification that the applicant will maintain local telephony records separate and apart from any other accounting records in

Tariff sheet(s) listing the services and associated charges that must be paid prior to customer receiving dial tone (if applicable).

Letters requesting negotiation pursuant to Sections 251 and 252 of the Telecommunications Act of 1996 and a proposed

Certification from Ohio Secretary of State as to party's proper standing (domestic or foreign corporation, authorized use of

Description of and rationale for proposed tariff changes, including a complete description of the service(s) proposed or affected.

Specify for each service affected whether it is 🗆 business; 🗆 residence; or 🗖 both. Also indicate whether it is a 🗒 switched or 🗅

Explanation as to which service areas company currently has an approved interconnection or resale agreement.

Explanation of whether applicant intends to provide Local Services which require payment in advance of

fictitious name, etc.). In transfer of certificate cases, the transferee's good standing must be established.

Provide a copy of any customer application form required in order to establish residential service, if applicable.

Ohio, include that certification number.

Verification of compliance with any affiliate transaction requirements.

□ interconnection agreement, □ retail tariffs, or □ resale tariffs.

Copy of revised tariff sheets & price lists, marked as Exhibit B.

Explanation as to whether rates are derived through (check all applicable):

timeline for construction, interconnection, and offering of services to end users.

List of names, addresses, and phone numbers of officers and directors, or partners.

Copy of superseded tariff sheet(s) & price list(s), if applicable, marked as Exhibit A.

dedicated service. Include this information in either the cover letter or Exhibit C.

A sample copy of the customer bill and disconnection notice the applicant plans to utilize.

accordance with the GAAP.

Customer receiving dial tone.

Q

x

[3a-b,3d]

[3a-b,3d]

[3a-b,3d]

[1,3a-b,3d]

[3a, 3b, 3d,

9a,(i-iii)]

[3]

[3]

 ${3a-b,3d,8}$ 

[3-5,7,10-11,13]

[3-4,7,10-11,13]

[1,4,9,10-13,16-21]

[1,4,9,10-13,16-21]

[1-2,4-7,9,12-

13,16,18-23,25]

[3a-b,3d, 9a(i-iii)]

x	[1,2,4,9a(v-vi),	Specify which notice procedure has been/will be utilized:   direct mail;   bill insert;   bill notation or   electronic mail.
l	5,10,16,18(b-c),	NOTE:
	21]	☐ Tier I price list increases must be within an approved range of rates.
		☐ SLF Filings – Do NOT send customer notice until it has been reviewed and approved by Commission Staff
	[2,4-5,9a(v),	Copy of real time notice which has been/will be provided to customers.
-	9ь, 10,12-13,16,	NOTE: SLF Filings - Do NOT send customer notice until it has been reviewed and approved by Commission Staff
<u> </u>	18(b-c),20-21]	
k	[1,2,5,9a(v),11-13,	Affidavit attesting that customer notice has been provided.
1 -	18, 21 (increase	
	only)]	
	[2,12]	Copy of Notice which has been provided to ILEC(s).
	[2,12]	Listing of Assigned (NPA) NXX's where in the LECs (NPA) NXX's would be reassigned.
	[2,4,10,12-13,]	List of Ohio exchanges specifically involved or affected.
	[14]	The interconnection agreement adopted by negotiation or mediation.
	[15]	For commercial mobile radio service providers, a statement affirming that registrant has obtained all necessary federal authority
		to conduct operations being proposed, and that copies have been furnished by cellular, paging, and mobile companies to this
]		Commission of any Form 401, 463, and / or 489 which the applicant has filed with the Federal Communications Commission.
	[15]	Exhibits must include company name, address, contact person, service description, and evidence of registration with the Ohio
	- "	Secretary of State.
	[24]	Affidavit that total price of contract exceeds total cost of all regulated services.
	[5,13]	New title sheet with proposed new company name.
	[1,3,13]	For CLECs, List of Ohio Exchanges the applicant intends to serve (Use spreadsheet from:
1 :		http://www.puc.state.oh.us/puco/forms/form.cfm?doc_id=357).
	[1,3a-b,3d,7,	Maps depicting the proposed serving and calling areas of the applicant.
1	10,13, 23]	If Mirroring Large ILEC exchanges for both serving area and local calling areas: • Serving area must be clearly reflected
		on an Ohio map attached to tariffs and textually described in tariffs by noting that it is reflecting a particular large
		ILEC/CLEC territory, and listing the involved exchanges. • Local calling areas must be clearly reflected on an Ohio map
		attached to the tariffs, and/or clearly delineated in tariffs, including a complete listing of each exchange being served and all
		exchanges to which local calls can be made from each of those exchanges.
		If Self-defining serving area and/or local calling area as an area other than that of the established ILEC exchange(s):
1		Serving Area must be clearly reflected on an Ohio map attached to the tariffs, and textually described in tariffs by listing the
		involved exchanges. • Local Calling Areas must be described in the tariff through textual delineation and clear maps. Maps
		for self-defined serving and local calling areas are required to be traced on United States Geological Survey topography
		maps. These maps are the Standard Topographic Quadrangle maps, 7.5 minute 1:24,000.
		Other information requested by the Commission staff.
	[3]	Initial certification that includes Tier 2 Services, indicate which option you intend to adopt to maintain the tariff:
J		Paper Tariff     Electronic Tariff - If electronic, provide the web address for the tariff:

III. Registrant hereby attests to its compliance with the following requirements in the Service Requirements Form, as well as all pertinent entries and orders issued by the Commission with respect to these issues. Further, registrant hereby affirms that it will maintain with its TRF docket an up-to-date, properly marked, copy of the Service Requirements Form available for public inspection.

### MANDATORY REQUIREMENTS FOR ALL BASIC LOCAL EXCHANGE AND CTS PROVIDERS:

- [x] Sales tax
- [x] Minimum Telephone Service Standards (MTSS)
- [x] Surcharges

### MANDATORY REQUIREMENTS FOR ALL BASIC LOCAL EXCHANGE PROVIDERS:

[x] 1+ IntraLATA Presubscription

### SERVICE REQUIREMENTS FOR PROVISION OF CERTAIN SERVICES (CHECK ALL APPLICABLE):

- □ Discounts for Persons with Communication Disabilities and the Telecommunication Relay Service [Required if toll service provided]
- ☐ Emergency Services Calling Plan [Required if toll service provided]
- ☐ Alternative Operator Service (AOS) requirements [Required for all providing AOS (including inmate services) service]
- □ Limitation of Liability Language [Required for all who have tariff language that may limit their liability]
- ☐ Termination Liability Language [Required for all who have early termination liability language in their tariffs]
- ☐ Service Connection Assistance (SCA) [Required for all LECs]
- ☐ Local Number Portability and Number Pooling [Required for facilities-based LECs]
- □ Package Language [Required for tariffs containing packages or service bundles containing both local and toll and/or non-regulated services]

Consumer Services Department on behalf of the applicant regarding end-user complaints:
Kathy Hobbs, Vice President - External Affairs, (614) 228-9484, 21 East State Street Columbus, OH 43215  Margie Hubbard, Coordinator-Reports Charlotte Call Center, (704) 841-4004, 1720 Galleria Blvd, Charlotte, NC 28270
V. List names, titles, phone numbers, and addresses of those persons authorized to make and/or affirm or verify filings at the Commission on behalf of the applicant:
Kathy Hobbs, Vice President - External Affairs, (614) 228-9484, 21 East State Street Columbus, OH 43215
<u>NOTE</u> : An annual report is required to be filed with the Commission by each company on an annual basis. The annual report form will be sent for completion to the address and individual(s) identified in this Section unless another address or individual is so indicated.
VI. List Name(s), DBA(s) and PUCO Certification Number(s) of any affiliates you have operating in Ohio under PUCO authority, whether Telecommunication or other. (If needed, use a separate sheet and check here: a)
AFFIDAVIT
Compliance with Commission Rules and Service Standards
I am an officer of the applicant corporation, WINSHAM COMMUNIC A AND AUTHORIZED to make this statement
on its behalf. I attest that these tariffs comply with all applicable rules, including the Minimum Telephone Service Standards (MTSS) for the state
Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules, including the Minim
Telephone Service Standards, as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comp
with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate
operate within the state of Ohio.
I declare under penalty of perjury that the foregoing is true and correct.
Executed on 9-31-07 at COLUMBIA OH 43215
Executed on 9-31-07 at Columbia OH 43215 (Location)
(4)
Hother . Hosp 8-31-61
*(Signature and Title) (Date)
* This affidavit is required for every tariff-affecting filing. It may be signed by counselfor an officer of the applicant, or an authorized agent of the applicant.
VERIFICATION
ICAN G HAMM
I, verify that I have utilized, verbatim, the Commission's Telecommunications Applications
Form and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the be
of my knowledge. 8-31-07
*(Signature and Title) (Date)
*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent the applicant.

List names, titles, phone numbers, and addresses of those persons authorized to respond to inquiries from the

IV.

### ATTACHMENT VI

Name of Affiliate	Certificate Number
Windstream Ohio, Inc. Windstream Western Resreve, Inc. Windstream Communications, Inc.	90-5002 90-5045 90-6346

## **EXHIBIT A**

### **CURRENT TARIFF SHEETS**

### GENERAL EXCHANGE TARIFF P.U.C.O. No. 1

P.U.C.O. No. 1

## MASTER INDEX WINDSTREAM OHIO GENERAL EXCHANGE TARIFF (Cont'd)

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Custom Calling Services	–	89.3	3	
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Establishment of Identity		\$2.2.2	1	
Flat Rate Service	See Tariff No.2	S12.1.2	1A	
Note that The A.O. State of the Total Co.				

Note:

Rates for Tier 1 Core services are capped at current rates. Rates for Tier 1 Non-Core services are capped at current rates until October 15, 2006. After October 15, 2006, Tier 1 Non-Core rates can be increased to a maximum cap of double the initial rate, other than the second local exchange access line and call waiting, which are limited to a ten percent increase per year until they cap at double the initial rate. This pricing flexibility is in accordance with O.A.C. 4901:1-4 and Alt Reg Case # 04-1358-TP-ALT effective October 15, 2004.

Filed under authority of Order No. 07-639-TP-ZTA Issued by the Public Utilities Commission of Ohlo

Issued by: Vice President Little Rock, Arkansas Issued: June 8, 2007 Effective: June 8, 2007

### GENERAL EXCHANGE TARIFF P.U.C.O. No. 1

### **S2. GENERAL REGULATIONS**

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## **EXHIBIT B**

**Revised Tariff Sheets** 

(N)

### GENERAL EXCHANGE TARIFF P.U.C.O. No. 1

# MASTER INDEX WINDSTREAM OHIO GENERAL EXCHANGE TARIFF (Cont'd) P.U.C.O. No. 1

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· ·ma i susse WWITIWW	· // 170/2		

Note: Rates for Tier 1 Core services are capped at current rates. Rates for Tier 1 Non-Core services are capped at current rates until October 15, 2006. After October 15, 2006, Tier 1 Non-Core rates can be increased to a maximum cap of double the initial rate, other than the second local exchange access line and call waiting, which are limited to a ten percent increase per year until they cap at double the initial rate. This pricing flexibility is in accordance with O.A.C. 4901:1-4 and Alt Reg Case # 04-1358-TP-ALT effective October 15, 2004.

Filed under authority of Order No. Issued by the Public Utilities Commission of Ohio

Issued by: Vice President Little Rock, Arkansas Issued: August 31, 2007 Effective: September 1, 2007

### GENERAL EXCHANGE TARIFF P.U.C.O. No. 1

### \$2. GENERAL REGULATIONS

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### GENERAL EXCHANGE TARIFF P.U.C.O. NO. 1

### S2. GENERAL REGULATIONS

### S2.4 Payment Arrangements and Credit Allowances (Continued)

### S2.4.5 Miscellaneous Fees Associated with Payments

(N)

A Payment Convenience Fee for Payment Made Via Telephone Call

A fee will apply for each instance of payment of outstanding charges when authorized by the residence subscriber by telephone (whether such telephone call was initiated by the subscriber or by the company) and when the method of payment would allow the payment to be immediately credited to the subscriber's account, such as payment via a credit card, an electronic check (eCheck), or any other discretionary type payment that may be accepted by the Company through such telephone contacts. This fee will not apply for payments taken directly by subscribers to authorized Company payment locations, payments mailed in, automatic funds transfer, and other conventional methods of payments. The subscriber will be informed of any applicable charges prior to processing the subscriber's request and given the opportunity to be transferred to the automated payment system to avoid the payment convenience fee charge.

Rates and Charges

Per Telephone Request

Classification Tier 2

<u>.</u>

Rate \$1.95

(N)

### **EXHIBIT C**

With this tariff revisions, Windstream Ohio, Inc. introduces a new convenience fee that is applicable when, via a telephone call, subscribers request that a company representative take a payment (whether such telephone call was initiated by the subscriber or by the company) and when the method of payment would allow the payment to be immediately credited to the subscriber's account, such as payment via a credit card, an electronic check (eCheck), or any other discretionary type payment that may be accepted by the Company through such telephone contacts. This fee will not apply for payments taken directly by subscribers to authorized Company payment locations, payments mailed in, automatic funds transfer, and other conventional methods of payments. The subscriber will be informed of any applicable charges prior to processing the subscriber's request and given the opportunity to be transferred to the automated payment system to avoid the payment convenience fee charge.

STATE OF OHIO	)	
	)	SS
COUNTY OF FRANKLIN	)	

### <u>AFFIDAVIT</u>

I, Kathy E. Hobbs, am an authorized agent of the applicant corporation, Windstream Ohio Inc. and am authorized to make this statement on its behalf. I attest that a customer notice was provided to affected customers in the State of Ohio, during bill cycles July 4-August 1, 2007. I declare under penalty of perjury that the foregoing is true and correct.

Executed on August 31, 2007 Columbus, Ohio
Date Location

Subscribed and sworn to before me this 31st day of August, 2007:

Notary Public

My Commission Expires:

LOIS A. GRUHIN, ATTORNEY AT LAW

NOTARY PUBLIC, STATE OF OHIO
My commission has no expiration date.

Section 147.03 R.C.

Bill message re: OH Convenience Fee

Co. #: 080, 158 NPA/NXXs: ALL

Indicator: Res and Bus; ILEC

Billing System: CAMS

Billing Cycles: July 4-August 1, 2007

#### Text:

Beginning September 1, 2007, there will be a \$1.95 "convenience" fee for each payment by phone where the payment is immediately credited to your account. This includes payments by credit card, electronic check or any other discretionary type of payment that may be accepted by Windstream through live telephone contact.

This fee will not apply for payments made at authorized Windstream payment locations, payments by mail, automatic funds transfers or other conventional methods of payments, including online and IVR (interactive voice response). IVR is a telephone payment method that plays a pre-recorded message and requests you to select options from a voice menu.

You will always be advised of the charge prior to payment processing and given an opportunity to transfer to the automated payment system to avoid paying the convenience fee.

For questions regarding this fee, please call us toll free at 1-800-347-1991 (residential customers) or 1-800-843-9214 (business customers) or visit our Web site at www.windstream.com.

Thank you for being a valued Windstream customer. We appreciate your business.