

# The Public Utilities Commission of Ohio **TELECOMMUNICATIONS APPLICATION FORM**

(Effective: 10/01/2004) (Pursuant to Case Nos. 99-998-TP-COI and 99-563-TP-COI)

In the Matter	of the Application of Windstream Western Reserve Inc.)					
In the Matter of the Application of Windstream Western Reserve Inc.)  to add a new residential bundle  Case No. 07 - 129 - TP - ZTA						
	<del></del>					
	strant(s) Windstream Western Reserve, Inc.					
	DBA(s) of Registrant(s)  Address of Registrant(s) 4001 Rodney Parham Road, Little Rock, AR 72212					
Company We	b Address www.alltel.com					
	ontact Person(s) Kathy Hobbs Phone (614) 228-9484 Fax (614) 224-6832					
Regulatory Co	ontact Person's Email Address <u>kathy.hobbs@windstream.com</u> on for Annual Report <u>Kathy Hobbs</u> Phone (614) 228-9484					
Consumer Co	ntact Information Margie Hubbard Phone (704) 841-4004					
Date_July 14,	2006 TRF Docket No CT-TRF or 90 - 5045 - TP-TRF					
Motion for pr	otective order included with filing?   Yes   No					
Motion for wa	aiver(s) filed affecting this case?   Yes   No [Note: waiver(s) tolls any automatic timeframe]					
Company Typ	to the control of the					
	□ Other (explain)					
	form must accompany all applications filed by telecommunication service providers subject to the Commission's rules promulgated in					
	998-TP-COI, as well as by ILECs filing an ARB or NAG case pursuant to the guidelines established in Case No. 96-463-TP-UNC. It is DT to combine different types of filings, but if you do so, you must file under the process with the longest applicable review period.					
	licate the reason for submitting this form <i>(check <u>one</u>)</i> Application to Amend Certificate by a CLEC to modify Serving Area (0-day notice, 7 copies)					
□ 2 (ABN)	Abandonment of all Services					
	a. CLEC (90-day approval, 10 copies) b. CTS (14-day approval, 10 copies) c. ILEC (NOT automatic, 10 copies)					
□ 3 (ACE)	New Operating Authority for providers other than CMRS (30-day approval, 7 copies); for CMRS, see item No.15 on this page.					
n 4 (ACO)	□ a. Switched Local □ b. Non-switched local □ c. CTS □ d. Local and CTS □ e. Other (explain)  LEC Application to Change Ownership (30-day approval, 10 copies)					
□ 5 (ACN)	LEC Application to Change Name (30-day approval, 10 copies)					
□ 6 (AEC)	Carrier-to-Carrier Contract Amendment to an agreement approved in a NAG or ARB case (30-day approval, 7 copies)					
- 7 (AM/T)	NOTE: see item 25 (CTR) on page two of this form for all other contract filings.					
□ 7 (AMT) □ 8 (ARB)	LEC Merger (30-day approval, 10 copies) Application for Arbitration (see 96-463-TP-COI for applicable process, 10 copies)					
□ 9 (ATA)	Application for Tariff Amendment for Tier 1 Services, Application to Reclassify Service Among Tiers, or Change to Non-Tier Service					
	□ a. Tier 1 (and Carrier-to-Carrier tariff filings as set-forth in 95-845-TP-COI)					
	<ul> <li>□ i. Pre-filing submittal (30-day pre-filing submittal with Staff and OCC; Do Not Docket, 4 copies)</li> <li>□ ii. New End User Service which has been preceded by a 30-day pre-filing submittal with Staff for all submittals and also with</li> </ul>					
	☐ ii. New End User Service which has been preceded by a 30-day pre-filing submittal with Staff for all submittals and also with OCC for Tier 1 residential services (0-day filing, 10 copies)					
	□ iii. New End User Service (NOT preceded by a 30-day filing submittal, 30-day approval, 10 copies)					
	iv. New Carrier-to-Carrier Service which has been preceded by a 30-day pre-filing with Staff (0-day filing, 10 copies)					
	v. Change in Terms and Conditions, textual revision, correction of error, etc. (30-day approval, 10 copies)					
	□ vi. Grandfather service (30-day approval, 10 copies) □ vii. Initial Carrier-to-Carrier Services Tariff subsequent to ACE approval (60-day approval, 10 copies)					
	🗅 viii. Withdrawal of Tier 1 service must be filed as an "ATW", not an "ATA" - see item 12, below					
	□ b. Reclassification of Service Among Tiers (NOT automatic, 10 copies)					
= 10(ATC)	c. Textual revision with no effect on rates for non-specific or non-tier service (30-day approval, 10 copies)     Application to Transfer Certificate (30-day approval, 7 copies)					
□ 10 (ATC) □ 11 (ATR)	LEC Application to Conduct a Transaction Between Utilities (30-day approval, 10 copies)					
□ 12 (ATW)	Application to Withdraw a Tier 1 Service					
10 (070)	□ a. CLEC (60-day approval, 10 copies) □ b. ILEC ( <u>NOT</u> automatic, 10 copies)					
□ 13 (CIO) □ 14 (NAG)	Application for Change in Operations by Non-LEC Providers (0-day notice, 7 copies)  Negotiated Interconnection Agreement Between Carriers (0-day effective, 90-day approval, 8 copies)					
□ 15 (RCC)						
□ 16(SLF)	Self-complaint Application					
	a. CLEC only -Tier 1 (60-day automatic, 10 copies)					
□ 17 (UNC)	□ b. Introduce or increase maximum price range for Non-Specific Service Charge (60-day approval, 10 copies)  Unclassified (explain) (NOT automatic, 15 copies)					
■ 18 (ZTA)	Tariff Notification Involving only Tier 2 Services					
, ,	NOTE: Notifications do not require or imply Commission Approval.					
	■ a. New End User Service (0-day notice, 10 copies)					
	☐ b. Change in Terms and Conditions, textual revision, correction of error, etc. (0-day notice, 10 copies) ☐ c. Withdrawal of service (0-day notice, 10 copies)					
□ 19 Other	(explain) (NOT automatic, 15 copies)					
	This is to certify that the images appearing are an					
	accurate and complete reproduction of a case file					
	document delivered in the regular course of kusiness.					

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		RE TRF FILINGS ONLY, NOT NEW CASES (0-day notice, 3 copies)
□ 20		xtension of Promotional Offering
□ <b>21</b>		ate for Existing Service  □ b. Tier 2
<sub>22</sub>	□ a. Tier I	egistrant's Process Agent(s)
□ 23	_	
□ 24		tion For Tier 2 Services – indicate which option you intend to adopt to maintain the tariff. NOTE, changing options is only
	permitted once pe	
	□ Paper Tariff	□ Electronic Tariff. If electronic, provide the tariff's web address:
	·	
		RE CTR FILINGS ONLY, NOT NEW CASES (0-day notice, 7 copies)
□ 25		tablish, revise, or cancel an end-user contract. (NOTE: see item 6 on page 1 of this form for carrier-to-carrier contract amendments)
	CTR Docket No.	TP - CTR (Use same CTR number throughout calendar year)
TT	Planca indicata	which of the following exhibits have been filed. The numbers (corresponding to the list on page (1)
	and above) indi	cate, at a minimum, the types of cases in which the exhibit is required:
	[all]	A copy of any motion for waiver of O.A.C. rule(s) associated with this filing. NOTE: the filing of a motion for waiver tolls
	` '	any automatic timeframe associated with this filing.
	[3]	Completed Service Requirements Form.
0	[3, 9(vii)]	A copy of registrant's proposed tariffs. (Carrier-to-Carrier resale tariff also required if facilities-based)
	[3]	Evidence that the registrant has notified the Ohio Department of Taxation of its intent to conduct operations as a telephone
		utility in the State of Ohio.
	[3]	Brief description of service(s) proposed.
	[3a-b,3d]	Explanation of whether applicant intends to provide resold services, facilities-based services, or both resold and facilities-based services.
	[3a-b,3d]	Explanation as to whether CLEC currently offers CTS services under separate CTS authority, and whether it will be including
		those services within its CLEC filing, or maintaining such CTS services under a separate affiliate.
0	[3a-b,3d]	Explanation of how the proposed services in the proposed market area are in the public interest.
	[3a-b,3d]	Description of the proposed market area.
	[3a-b,3d]	Description of the class of customers (e.g., residence, business) that the applicant intends to serve.
	[3a-b,3d]	Documentation attesting to the applicant's financial viability, including the following:
		<ol> <li>An executive Summary describing the applicant's current financial condition, liquidity, and capital resources.</li> </ol>
		Describe internally generated sources of cash and external funds available to support the applicant's operations that
		are the subject of this certification application.
		2) Copy of financial statements (actual and pro forma income statement and a balance sheet). Indicate if financial
		statements are based on a certain geographical area(s) or information in other jurisdictions
	[30, 4]	<ol> <li>Documentation to support the applicant's cash an funding sources.</li> <li>Documentation attesting to the applicant's technical and managerial expertise relative to the proposed service offering(s) and</li> </ol>
	[3a-d]	proposed service area.
0	[3a-d]	Documentation indicating the applicant's corporate structure and ownership.
0	[3a-b,3d]	Information regarding any similar operations in other states. Also, if this company has been previously certified in the State of
		Ohio, include that certification number.
0	[3a-b,3d]	Verification that the applicant will maintain local telephony records separate and apart from any other accounting records in accordance with the GAAP.
D	[3a-b,3d]	Verification of compliance with any affiliate transaction requirements.
	[3a-b,3d]	Explanation as to whether rates are derived through (check all applicable):
_	[54 0,54]	□ interconnection agreement, □ retail tariffs, or □ resale tariffs.
0	[1,3a-b,3d]	Explanation as to which service areas company currently has an approved interconnection or resale agreement.
0	[3a-b,3d, 9a(i-iii)]	Explanation of whether applicant intends to provide Local Services which require payment in advance of
	[2 - 21 24	Customer receiving dial tone.
0	[3a,3b,3d,	Tariff sheet(s) listing the services and associated charges that must be paid prior to customer receiving dial tone (if applicable).
_	9a,(i-iii)] [3a-b,3d,8]	Letters requesting negotiation pursuant to Sections 251 and 252 of the Telecommunications Act of 1996 and a proposed
0	[58-0,54,6]	timeline for construction, interconnection, and offering of services to end users.
	[3-5,7,10-11,13]	Certification from Ohio Secretary of State as to party's proper standing (domestic or foreign corporation, authorized use of
-	[0,1,10-11,13]	Continuation from Sine accretary of State as to party's proper standing (domestic of foreign corporation, authorized use of

fictitious name, etc.). In transfer of certificate cases, the transferee's good standing must be established.

Provide a copy of any customer application form required in order to establish residential service, if applicable.

Description of and rationale for proposed tariff changes, including a complete description of the service(s) proposed or affected.

Specify for each service affected whether it is  $\Box$  business;  $\blacksquare$  residence; or  $\Box$  both. Also indicate whether it is a  $\blacksquare$  switched or  $\Box$ 

List of names, addresses, and phone numbers of officers and directors, or partners.

Copy of superseded tariff sheet(s) & price list(s), if applicable, marked as Exhibit A.

dedicated service. Include this information in either the cover letter or Exhibit C.

Copy of revised tariff sheets & price lists, marked as Exhibit B.

A sample copy of the customer bill and disconnection notice the applicant plans to utilize.

[3-4,7,10-11,13]

[1,4,9,10-13,16-21]

[1,4,9,10-13,16-21]

[1-2,4-7,9,12-

13,16,18-23,25]

[3]

[3]

0	[1,2,4,9a(v-vi),	Specify which notice procedure has been/will be utilized;   direct mail;   bill insert;   bill notation or   electronic mail.
	5,10,16,18(b-c),	NOTE:
	21]	☐ Tier 1 price list increases must be within an approved range of rates.
	•	☐ SLF Filings – Do NOT send customer notice until it has been reviewed and approved by Commission Staff
	[2,4-5,9a(v),	Copy of real time notice which has been/will be provided to customers.
	9b, 10,12-13,16,	NOTE: SLF Filings - Do NOT send customer notice until it has been reviewed and approved by Commission Staff
	18(b-c),20-21]	
	[1,2,5,9a(v),11-13,	Affidavit attesting that customer notice has been provided.
	18, 21(increase	
ii	only)]	
	[2,12]	Copy of Notice which has been provided to ILEC(s).
•	[2,12]	Listing of Assigned (NPA) NXX's where in the LECs (NPA) NXX's would be reassigned.
0	[2,4,10,12-13,]	List of Ohio exchanges specifically involved or affected.
	[14]	The interconnection agreement adopted by negotiation or mediation.
	[15]	For commercial mobile radio service providers, a statement affirming that registrant has obtained all necessary federal authority
		to conduct operations being proposed, and that copies have been furnished by cellular, paging, and mobile companies to this
		Commission of any Form 401, 463, and / or 489 which the applicant has filed with the Federal Communications Commission.
0	[15]	Exhibits must include company name, address, contact person, service description, and evidence of registration with the Ohio
		Secretary of State.
а	[24]	Affidavit that total price of contract exceeds total cost of all regulated services.
	[5,13]	New title sheet with proposed new company name.
	[1,3,13]	For CLECs, List of Ohio Exchanges the applicant intends to serve (Use spreadsheet from:
		http://www.puc.state.oh.us/puco/forms/form.cfm?doc_id=357).
	[1,3a-b,3d,7,	Maps depicting the proposed serving and calling areas of the applicant.
	10,13, 23]	If Mirroring Large ILEC exchanges for both serving area and local calling areas: • Serving area must be clearly reflected
0		on an Ohio map attached to tariffs and textually described in tariffs by noting that it is reflecting a particular large
		ILEC/CLEC territory, and listing the involved exchanges. • Local calling areas must be clearly reflected on an Ohio map
		attached to the tariffs, and/or clearly delineated in tariffs, including a complete listing of each exchange being served and all
		exchanges to which local calls can be made from each of those exchanges.
		If Self-defining serving area and/or local calling area as an area other than that of the established ILEC exchange(s): •
ŀ į		Serving Area must be clearly reflected on an Ohio map attached to the tariffs, and textually described in tariffs by listing the
		involved exchanges. • Local Calling Areas must be described in the tariff through textual delineation and clear maps. Maps
		for self-defined serving and local calling areas are required to be traced on United States Geological Survey topography
		maps. These maps are the Standard Topographic Quadrangle maps, 7.5 minute 1:24,000.
		Other information requested by the Commission staff.
	[3]	Initial certification that includes Tier 2 Services, indicate which option you intend to adopt to maintain the tariff:
		□ Paper Tariff □ Electronic Tariff - If electronic, provide the web address for the tariff:

III. Registrant hereby attests to its compliance with the following requirements in the Service Requirements Form, as well as all pertinent entries and orders issued by the Commission with respect to these issues. Further, registrant hereby affirms that it will maintain with its TRF docket an up-to-date, properly marked, copy of the Service Requirements Form available for public inspection.

# MANDATORY REQUIREMENTS FOR ALL BASIC LOCAL EXCHANGE AND CTS PROVIDERS:

- [x] Sales tax
- [x] Minimum Telephone Service Standards (MTSS)
- [x] Surcharges

# MANDATORY REQUIREMENTS FOR ALL BASIC LOCAL EXCHANGE PROVIDERS:

[x] 1+ IntraLATA Presubscription

# SERVICE REQUIREMENTS FOR PROVISION OF CERTAIN SERVICES (CHECK ALL APPLICABLE):

- □ Discounts for Persons with Communication Disabilities and the Telecommunication Relay Service [Required if toll service provided]
- ☐ Emergency Services Calling Plan [Required if toll service provided]
- n Alternative Operator Service (AOS) requirements [Required for all providing AOS (including inmate services) service]
- □ Limitation of Liability Language [Required for all who have tariff language that may limit their liability]
- Termination Liability Language [Required for all who have early termination liability language in their tariffs]
- ☐ Service Connection Assistance (SCA) [Required for all LECs]
- □ Local Number Portability and Number Pooling [Required for facilities-based LECs]
- ☐ Package Language [Required for tariffs containing packages or service bundles containing both local and toll and/or non-regulated services]

IV.	List names, titles, phone numbers, and addresses of those persons authorized to respond to inquiries from the Consumer Services Department on behalf of the applicant regarding end-user complaints:
Kathy Margi	Hobbs, Vice President - External Affairs, (614) 228-9484, 21 East State Street Columbus, OH 43215 e Hubbard, Coordinator-Reports Charlotte Call Center, (704) 841-4004, 1720 Galleria Blvd, Charlotte, NC 28270
v.	List names, titles, phone numbers, and addresses of those persons authorized to make and/or affirm or verify filings at the Commission on behalf of the applicant:
Kathy	Hobbs, Vice President - External Affairs, (614) 228-9484, 21 East State Street Columbus, OH 43215
	; An annual report is required to be filed with the Commission by each company on an annual basis. The annual report form will be sent for etion to the address and individual(s) identified in this Section unless another address or individual is so indicated.
VI.	List Name(s), DBA(s) and PUCO Certification Number(s) of any affiliates you have operating in Ohio under PUCO authority, whether Telecommunication or other. (If needed, use a separate sheet and check here:   □)
	See Attachment
	<u>AFFIDAVIT</u> Compliance with Commission Rules and Service Standards
	•
	n officer of the applicant corporation, <u>Windstream Communications</u> , and am authorized to make this statement (Name of Company)
	behalf. I attest that these tariffs comply with all applicable rules, including the Minimum Telephone Service Standards (MTSS) for the state of
	I understand that tariff notification filings do not imply Commission approval and that the Commission's rules, including the Minimum
-	none Service Standards, as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply
	he rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to
operat	e within the state of Ohio.
I decla	are under penalty of perjury that the foregoing is true and correct.
Execu	tted on 2-4-07 at Columbus, Ohio (Date) (Location)  (Location)  *(Signature and Title) (Date)
<u> </u>	* This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.
	VERIFICATION
I,	Kathy E. Hobbs verify that I have utilized, verbatim, the Commission's Telecommunications Application Form and that all of the
inform	ation submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.  VP. State Government Affairs  (Date)
	*Verification is required for every filing./It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.

# ATTACHMENT VI

Name of Affiliate	Certificate Number
Windstream Ohio, Inc. Windstream Western Resreve, Inc. Windstream Communications, Inc.	90-5002 90-5045 90-6346
•	

# Exhibit B Revised Tariff Pages

# GENERAL EXCHANGE TARIFF P.U.C.O. No. 8

#### S9, ENHANCED CENTRAL OFFICE SERVICES

#### S9.6 Bundled Service Offerings - Tier 2, Continued

# \$9.6.2 Rates, Continued

# Simple Broadband Bundle

(N)

This bundled offering includes Limited Local Measured Service (LLMS) bundled with toll restriction service as found in Section 12.6 of this tariff, and Windstream Communications, Inc.'s Broadband and Internet Service. This bundle is available to residential customers in all exchanges within the Company where technically available.

Limited Local Measured Service (LLMS) provides a residential one-party access line with certain exceptions. Specifically, LLMS will allow outgoing 911 and other abbreviated dialing calls and unlimited incoming calls. In addition to toll restriction items, all per use custom calling features will also be blocked. Outbound calls within the local, extended area service, and ELCS/MELCS local dialed calling area will be allowed. (ELCS/MELCS will be charged at the appropriate ELCS/MELCS per minute rate rather than the LLMS rate listed below.) LLMS is only available when purchased as part of this bundle.

Residential Monthly Rate LLMS Per Minute Rate

\$34.95 \*

\$.10

Issued: February 6, 2007

Effective: February 6, 2007

(N)

<sup>\*</sup> Internet is a non-regulated service and is included in the bundled rate. This bundle is available where technically feasible.

# **EXHIBIT C**

The Simple Broadband Bundle consists of the following services, all for the monthly recurring rate of \$34.95:

- Limited Local Measured Service (LLMS)
- Toll Restriction Service
- Windstream Communications, Inc.'s Broadband and Internet Services

LLMS provides a residential one-party access line with certain exceptions. Specifically, LLMS will allow outgoing 911 and other abbreviated dialing calls and unlimited incoming calls. In addition to toll restriction items, all per use custom calling features will also be blocked. Outbound calls within the local and extended area service area will be allowed and will be charged an LLMS per minute rate of \$.10 per minute. ELCS/MELCS local dialed calling area will also be allowed and will be charged at the appropriate ELCS/MELCS per minute rate rather than the LLMS per minute rate. LLMS is only available when purchased as part of this bundle.

Toll Restriction Service will not allow 1+, 0+, 0-, 10-XXX, 900 service code, 700 service code toll calls, Local Information or 555 Toll Information calls and any 1 + Local Calls. Subscribing to toll restriction does not relieve customers of responsibility for calls charged to their telephone number(s). Toll restriction does not provide restriction of nonchargeable calls to numbers such as repair service, 911 or 1+800.

This bundle is available to residential customers in all exchanges where technically available.