

FILE

# The Public Utilities Commission of Ohio TELECOMMUNICATIONS APPLICATION FORM

(Effective: 10/01/2004)

(Pursuant to Case Nos. 99-998-TP-COI and 99-563-TP-COI)

20  
19In the Matter of the Application of Windstream Western Reserve, Inc.

To increase rates for Tier 1 Non-Core and Tier 2 services in \_\_\_\_\_  
 Accordance with 4901:1-04 of the Ohio Administrative Code \_\_\_\_\_  
 \_\_\_\_\_  
 \_\_\_\_\_

Case No. \_\_\_\_\_ - TP - \_\_\_\_\_

Name of Registrant(s) Windstream Western Reserve, Inc.

DBA(s) of Registrant(s) \_\_\_\_\_

Address of Registrant(s) 4001 Rodney Parham Road, Little Rock, AR 72212Company Web Address www.windstream.comRegulatory Contact Person(s) Kathy Hobbs Phone (614) 228-9484 Fax (614) 224-6832Regulatory Contact Person's Email Address kathy.hobbs@windstream.comContact Person for Annual Report Kathy Hobbs Phone (614) 228-9484Consumer Contact Information Margie Hubbard Phone (704) 814-2023Date November 1, 2006 TRF Docket No. \_\_\_\_\_ - CT-TRF or 90 - 5045 - TP-TRFMotion for protective order included with filing? ☐ Yes ☒ NoMotion for waiver(s) filed affecting this case? ☐ Yes ☐ No [Note: waiver(s) tolls any automatic timeframe]Company Type (check all applicable): ☐ CTS (IXC) ☒ ILEC ☐ CLEC ☐ CMRS ☐ AOS☐ Other (explain) \_\_\_\_\_

**NOTE:** This form must accompany all applications filed by telecommunication service providers subject to the Commission's rules promulgated in Case No. 99-998-TP-COI, as well as by ILECs filing an ARB or NAG case pursuant to the guidelines established in Case No. 96-463-TP-UC. **It is preferable NOT to combine different types of filings, but if you do so, you must file under the process with the longest applicable review period.**

**I. Please indicate the reason for submitting this form (check one)**

- ☐ 1 (AAC) Application to Amend Certificate by a CLEC to modify Serving Area (0-day notice, 7 copies)
- ☐ 2 (ABN) Abandonment of all Services  
☐ a. CLEC (90-day approval, 10 copies) ☐ b. CTS (14-day approval, 10 copies) ☐ c. ILEC (NOT automatic, 10 copies)
- ☐ 3 (ACE) New Operating Authority for providers other than CMRS (30-day approval, 7 copies); for CMRS, see item No. 15 on this page.  
☐ a. Switched Local ☐ b. Non-switched local ☐ c. CTS ☐ d. Local and CTS ☐ e. Other (explain) \_\_\_\_\_
- ☐ 4 (ACO) LEC Application to Change Ownership (30-day approval, 10 copies)
- ☐ 5 (ACN) LEC Application to Change Name (30-day approval, 10 copies)
- ☐ 6 (AEC) Carrier-to-Carrier Contract Amendment to an agreement approved in a NAG or ARB case (30-day approval, 7 copies)  
**NOTE: see item 25 (CTR) on page two of this form for all other contract filings.**
- ☐ 7 (AMT) LEC Merger (30-day approval, 10 copies)
- ☐ 8 (ARB) Application for Arbitration (see 96-463-TP-COI for applicable process, 10 copies)
- ☐ 9 (ATA) Application for Tariff Amendment for Tier 1 Services, Application to Reclassify Service Among Tiers, or Change to Non-Tier Service  
☐ a. Tier 1 (and Carrier-to-Carrier tariff filings as set forth in 95-845-TP-COI)  
☐ i. Pre-filing submittal (30-day pre-filing submittal with Staff and OCC; **Do Not Docket**, 4 copies)  
☐ ii. New End User Service which has been preceded by a 30-day pre-filing submittal with Staff for all submittals and also OCC for Tier 1 residential services (0-day filing, 10 copies)  
☐ iii. New End User Service (NOT preceded by a 30-day filing submittal, 30-day approval, 10 copies)  
☐ iv. New Carrier-to-Carrier Service which has been preceded by a 30-day pre-filing with Staff (0-day filing, 10 copies)  
☐ v. Change in Terms and Conditions, textual revision, correction of error, etc. (30-day approval, 10 copies)  
☐ vi. Grandfather service (30-day approval, 10 copies)  
☐ vii. Initial Carrier-to-Carrier Services Tariff subsequent to ACE approval (60-day approval, 10 copies)  
☐ viii. Withdrawal of Tier 1 service must be filed as an "ATW", not an "ATA" - see item 12, below  
☐ b. Reclassification of Service Among Tiers (NOT automatic, 10 copies)  
☐ c. Textual revision with no effect on rates for non-specific or non-tier service (30-day approval, 10 copies)
- ☐ 10 (ATC) Application to Transfer Certificate (30-day approval, 7 copies)
- ☐ 11 (ATR) LEC Application to Conduct a Transaction Between Utilities (30-day approval, 10 copies)
- ☐ 12 (ATW) Application to Withdraw a Tier 1 Service  
☐ a. CLEC (60-day approval, 10 copies) ☐ b. ILEC (NOT automatic, 10 copies)
- ☐ 13 (CIO) Application for Change in Operations by Non-LEC Providers (0-day notice, 7 copies)
- ☐ 14 (NAG) Negotiated Interconnection Agreement Between Carriers (0-day effective, 90-day approval, 8 copies)
- ☐ 15 (RCC) For CMRS providers only to Register or to Notify of a Change in Operations (0-day notice, 7 copies)
- ☐ 16 (SLF) Self-complaint Application  
☐ a. CLEC only - Tier 1 (60-day automatic, 10 copies)  
☐ b. Introduce or increase maximum price range for Non-Specific Service Charge (60-day approval, 10 copies)
- ☐ 17 (UNC) Unclassified (explain) \_\_\_\_\_ (NOT automatic, 15 copies)
- ☐ 18 (ZTA) Tariff Notification Involving only Tier 2 Services  
**NOTE: Notifications do not require or imply Commission Approval.**  
☐ a. New End User Service (0-day notice, 10 copies)  
☐ b. Change in Terms and Conditions, textual revision, correction of error, etc. (0-day notice, 10 copies)  
☐ c. Withdrawal of service (0-day notice, 10 copies)
- ☐ 19 Other (explain) \_\_\_\_\_ (NOT automatic, 15 copies)

to certify that the images appearing on this page are accurate and complete reproduction of a case file document delivered in the regular course of business.  
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**THE FOLLOWING ARE TRF FILINGS ONLY, NOT NEW CASES (0-day notice, 3 copies)**

- ☐ 20 Introduction or Extension of Promotional Offering
- ☒ 21 New Price List Rate for Existing Service
- ☒ a. Tier 1      ☒ b. Tier 2
- ☐ 22 Designation of Registrant's Process Agent(s)
- ☐ 23 Update to Registrant's Maps
- ☐ 24 Annual Tariff Option For Tier 2 Services – indicate which option you intend to adopt to maintain the tariff. NOTE, changing options is only permitted once per calendar year.
- ☐ Paper Tariff      ☐ Electronic Tariff. If electronic, provide the tariff's web address: \_\_\_\_\_

**THE FOLLOWING ARE CTR FILINGS ONLY, NOT NEW CASES (0-day notice, 7 copies)**

- ☐ 25 Application to establish, revise, or cancel an end-user contract. (NOTE: see item 6 on page 1 of this form for carrier-to-carrier contract amendments)
- CTR Docket No. \_\_\_\_\_ - \_\_\_\_\_ - TP – CTR      (Use same CTR number throughout calendar year)

**II. Please indicate which of the following exhibits have been filed. The numbers (corresponding to the list on page (1) and above) indicate, at a minimum, the types of cases in which the exhibit is required:**

<input type="checkbox"/>	[all]	A copy of any motion for waiver of O.A.C. rule(s) associated with this filing. NOTE: the filing of a motion for waiver tolls any automatic timeframe associated with this filing.
<input type="checkbox"/>	[3]	Completed Service Requirements Form.
<input type="checkbox"/>	[3, 9(vii)]	A copy of registrant's proposed tariffs. (Carrier-to-Carrier resale tariff also required if facilities-based)
<input type="checkbox"/>	[3]	Evidence that the registrant has notified the Ohio Department of Taxation of its intent to conduct operations as a telephone utility in the State of Ohio.
<input type="checkbox"/>	[3]	Brief description of service(s) proposed.
<input type="checkbox"/>	[3a-b,3d]	Explanation of whether applicant intends to provide <input type="checkbox"/> resold services, <input type="checkbox"/> facilities-based services, or <input type="checkbox"/> both resold and facilities-based services.
<input type="checkbox"/>	[3a-b,3d]	Explanation as to whether CLEC currently offers CTS services under separate CTS authority, and whether it will be including those services within its CLEC filing, or maintaining such CTS services under a separate affiliate.
<input type="checkbox"/>	[3a-b,3d]	Explanation of how the proposed services in the proposed market area are in the public interest.
<input type="checkbox"/>	[3a-b,3d]	Description of the proposed market area.
<input type="checkbox"/>	[3a-b,3d]	Description of the class of customers (e.g., residence, business) that the applicant intends to serve.
<input type="checkbox"/>	[3a-b,3d]	Documentation attesting to the applicant's financial viability, including the following: 1) An executive Summary describing the applicant's current financial condition, liquidity, and capital resources. Describe internally generated sources of cash and external funds available to support the applicant's operations that are the subject of this certification application. 2) Copy of financial statements (actual and pro forma income statement and a balance sheet). Indicate if financial statements are based on a certain geographical area(s) or information in other jurisdictions 3) Documentation to support the applicant's cash and funding sources.
<input type="checkbox"/>	[3a-d]	Documentation attesting to the applicant's technical and managerial expertise relative to the proposed service offering(s) and proposed service area.
<input type="checkbox"/>	[3a-d]	Documentation indicating the applicant's corporate structure and ownership.
<input type="checkbox"/>	[3a-b,3d]	Information regarding any similar operations in other states. Also, if this company has been previously certified in the State of Ohio, include that certification number.
<input type="checkbox"/>	[3a-b,3d]	Verification that the applicant will maintain local telephony records separate and apart from any other accounting records in accordance with the GAAP.
<input type="checkbox"/>	[3a-b,3d]	Verification of compliance with any affiliate transaction requirements.
<input type="checkbox"/>	[3a-b,3d]	Explanation as to whether rates are derived through (check all applicable): <input type="checkbox"/> interconnection agreement, <input type="checkbox"/> retail tariffs, or <input type="checkbox"/> resale tariffs.
<input type="checkbox"/>	[1,3a-b,3d]	Explanation as to which service areas company currently has an approved interconnection or resale agreement.
<input type="checkbox"/>	[3a-b,3d, 9a(i-iii)]	Explanation of whether applicant intends to provide Local Services which require payment in advance of Customer receiving dial tone.
<input type="checkbox"/>	[3a,3b,3d, 9a,(i-iii)]	Tariff sheet(s) listing the services and associated charges that must be paid prior to customer receiving dial tone (if applicable).
<input type="checkbox"/>	[3a-b,3d,8]	Letters requesting negotiation pursuant to Sections 251 and 252 of the Telecommunications Act of 1996 and a proposed timeline for construction, interconnection, and offering of services to end users.
<input type="checkbox"/>	[3-5,7,10-11,13]	Certification from Ohio Secretary of State as to party's proper standing (domestic or foreign corporation, authorized use of fictitious name, etc.). In transfer of certificate cases, the transferee's good standing must be established.
<input type="checkbox"/>	[3-4,7,10-11,13]	List of names, addresses, and phone numbers of officers and directors, or partners.
<input type="checkbox"/>	[3]	A sample copy of the customer bill and disconnection notice the applicant plans to utilize.
<input checked="" type="checkbox"/>	[1,4,9,10-13,16-21]	Copy of superseded tariff sheet(s) & price list(s), if applicable, marked as Exhibit A.
<input checked="" type="checkbox"/>	[1,4,9,10-13,16-21]	Copy of revised tariff sheets & price lists, marked as Exhibit B.
<input type="checkbox"/>	[3]	Provide a copy of any customer application form required in order to establish residential service, if applicable.
<input checked="" type="checkbox"/>	[1-2,4-7,9,12-13,16,18-23,25]	Description of and rationale for proposed tariff changes, including a complete description of the service(s) proposed or affected. Specify for each service affected whether it is <input type="checkbox"/> business; <input type="checkbox"/> residence; or <input checked="" type="checkbox"/> both. Also indicate whether it is a <input checked="" type="checkbox"/> switched or <input type="checkbox"/> dedicated service. Include this information in either the cover letter or Exhibit C.

<input checked="" type="checkbox"/>	[1,2,4,9a(v-vi), 5,10,16,18(b-c), 21]	Specify which notice procedure has been/will be utilized: <input type="checkbox"/> direct mail; <input type="checkbox"/> bill insert; <input checked="" type="checkbox"/> bill notation or <input type="checkbox"/> electronic mail. NOTE: <input type="checkbox"/> Tier 1 price list increases <b>must</b> be within an approved range of rates. <input type="checkbox"/> SLF Filings – Do NOT send customer notice until it has been reviewed and approved by Commission Staff
<input checked="" type="checkbox"/>	[2,4-5,9a(v), 9b, 10,12-13,16, 18(b-c),20-21]	Copy of real time notice which has been/will be provided to customers. NOTE: SLF Filings – Do NOT send customer notice until it has been reviewed and approved by Commission Staff
<input checked="" type="checkbox"/>	[1,2,5,9a(v),11-13, 18, 21(increase only)]	Affidavit attesting that customer notice has been provided.
<input type="checkbox"/>	[2,12]	Copy of Notice which has been provided to ILEC(s).
<input type="checkbox"/>	[2,12]	Listing of Assigned (NPA) NXX's where in the LECs (NPA) NXX's would be reassigned.
<input type="checkbox"/>	[2,4,10,12-13,]	List of Ohio exchanges specifically involved or affected.
<input type="checkbox"/>	[14]	The interconnection agreement adopted by negotiation or mediation.
<input type="checkbox"/>	[15]	For commercial mobile radio service providers, a statement affirming that registrant has obtained all necessary federal authority to conduct operations being proposed, and that copies have been furnished by cellular, paging, and mobile companies to this Commission of any Form 401, 463, and / or 489 which the applicant has filed with the Federal Communications Commission.
<input type="checkbox"/>	[15]	Exhibits must include company name, address, contact person, service description, and evidence of registration with the Ohio Secretary of State.
<input type="checkbox"/>	[24]	Affidavit that total price of contract exceeds total cost of all regulated services.
<input type="checkbox"/>	[5,13]	New title sheet with proposed new company name.
<input type="checkbox"/>	[1,3,13]	For CLECs, List of Ohio Exchanges the applicant intends to serve (Use spreadsheet from: <a href="http://www.puc.state.oh.us/puco/forms/form.cfm?doc_id=357">http://www.puc.state.oh.us/puco/forms/form.cfm?doc_id=357</a> ).
<input type="checkbox"/>	[1,3a-b,3d,7, 10,13, 23]	Maps depicting the proposed serving and calling areas of the applicant.  <b>If Mirroring Large ILEC</b> exchanges for both serving area and local calling areas: • <b>Serving area</b> must be clearly reflected on an Ohio map attached to tariffs and textually described in tariffs by noting that it is reflecting a particular large ILEC/CLEC territory, and listing the involved exchanges. • <b>Local calling areas</b> must be clearly reflected on an Ohio map attached to the tariffs, and/or clearly delineated in tariffs, including a complete listing of each exchange being served and all exchanges to which local calls can be made from each of those exchanges.  <b>If Self-defining</b> serving area and/or local calling area as an area other than that of the established ILEC exchange(s): • <b>Serving Area</b> must be clearly reflected on an Ohio map attached to the tariffs, and textually described in tariffs by listing the involved exchanges. • <b>Local Calling Areas</b> must be described in the tariff through textual delineation and clear maps. Maps for self-defined <b>serving and local calling areas</b> are required to be traced on United States Geological Survey topography maps. These maps are the Standard Topographic Quadrangle maps, 7.5 minute 1:24,000.
<input type="checkbox"/>		Other information requested by the Commission staff.
<input type="checkbox"/>	[3]	Initial certification that includes Tier 2 Services, indicate which option you intend to adopt to maintain the tariff: <input type="checkbox"/> Paper Tariff <input type="checkbox"/> Electronic Tariff - If electronic, provide the web address for the tariff: _____

III. Registrant hereby attests to its compliance with the following requirements in the Service Requirements Form, as well as all pertinent entries and orders issued by the Commission with respect to these issues. Further, registrant hereby affirms that it will maintain with its TRF docket an up-to-date, properly marked, copy of the Service Requirements Form available for public inspection.

**MANDATORY REQUIREMENTS FOR ALL BASIC LOCAL EXCHANGE AND CTS PROVIDERS:**

- ☒ Sales tax
- ☒ Minimum Telephone Service Standards (MTSS)
- ☒ Surcharges

**MANDATORY REQUIREMENTS FOR ALL BASIC LOCAL EXCHANGE PROVIDERS:**

- ☒ 1+ IntraLATA Presubscription

**SERVICE REQUIREMENTS FOR PROVISION OF CERTAIN SERVICES (CHECK ALL APPLICABLE):**

- ☐ Discounts for Persons with Communication Disabilities and the Telecommunication Relay Service [Required if toll service provided]
- ☐ Emergency Services Calling Plan [Required if toll service provided]
- ☐ Alternative Operator Service (AOS) requirements [Required for all providing AOS (including inmate services) service]
- ☐ Limitation of Liability Language [Required for all who have tariff language that may limit their liability]
- ☐ Termination Liability Language [Required for all who have early termination liability language in their tariffs]
- ☐ Service Connection Assistance (SCA) [Required for all LECs]
- ☐ Local Number Portability and Number Pooling [Required for facilities-based LECs]
- ☐ Package Language [Required for tariffs containing packages or service bundles containing both local and toll and/or non-regulated services]

IV. List names, titles, phone numbers, and addresses of those persons authorized to respond to inquiries from the Consumer Services Department on behalf of the applicant regarding end-user complaints:

Kathy Hobbs, Vice President - External Affairs, (614) 228-9484, 21 East State Street Columbus, OH 43215  
Margie Hubbard, Coordinator-Reports Charlotte Call Center, (704) 814-2023, 1720 Galleria Blvd, Charlotte, NC 28270

V. List names, titles, phone numbers, and addresses of those persons authorized to make and/or affirm or verify filings at the Commission on behalf of the applicant:

Kathy Hobbs, Vice President - External Affairs, (614) 228-9484, 21 East State Street Columbus, OH 43215

*NOTE: An annual report is required to be filed with the Commission by each company on an annual basis. The annual report form will be sent for completion to the address and individual(s) identified in this Section unless another address or individual is so indicated.*

VI. List Name(s), DBA(s) and PUCO Certification Number(s) of any affiliates you have operating in Ohio under PUCO authority, whether Telecommunication or other. (If needed, use a separate sheet and check here: ☐)

See Attached

**AFFIDAVIT**

**Compliance with Commission Rules and Service Standards**

VP  
I am an officer of the applicant corporation, WINDSTREAM COMMUNICATIONS, and am authorized to make this statement  
(Name of Company)  
on its behalf. I attest that these tariffs comply with all applicable rules, including the Minimum Telephone Service Standards (MTSS) for the state of Ohio. I understand that tariff notification filings do not imply Commission approval and that the Commission's rules, including the Minimum Telephone Service Standards, as modified and clarified from time to time, supersede any contradictory provisions in our tariff. We will fully comply with the rules of the state of Ohio and understand that noncompliance can result in various penalties, including the suspension of our certificate to operate within the state of Ohio.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on Nov. 30 2006 at Columbus OHio  
(Date) (Location)

Kathy E. Hobbs 11-30-06  
(Signature and Title) (Date)

*\* This affidavit is required for every tariff-affecting filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

**VERIFICATION**

I, Kathy E. Hobbs, verify that I have utilized, verbatim, the Commission's Telecommunications Application Form and that all of the information submitted here, and all additional information submitted in connection with this case, is true and correct to the best of my knowledge.

Kathy E. Hobbs 11-30-06  
(Signature and Title) (Date)

*\*Verification is required for every filing. It may be signed by counsel or an officer of the applicant, or an authorized agent of the applicant.*

Send your completed Application Form, including all required attachments as well as the required number of copies, to:

Public Utilities Commission of Ohio  
Attention: Docketing Division (or to the Telecommunications Division Chief if a prefiling submittal)  
180 East Broad Street, Columbus, OH 43215-3793

STATE OF OHIO           )  
                                  )  
COUNTY OF FRANKLIN )

SS.

**AFFIDAVIT**

I, Kathy E. Hobbs, am an authorized agent of the applicant corporation, Windstream Western Reserve, Inc. and am authorized to make this statement on its behalf. I attest that a customer notice, as attached, was provided to affected customers in the State of Ohio, during bill cycles November 1-28, 2006. I declare under penalty of perjury that the foregoing is true and correct.

Executed on November 30, 2006 Columbus, Ohio  
                                    Date                                      Location

\_\_\_\_\_  
Signature and Title

Subscribed and sworn to before me this 1st day of December, 2006.

\_\_\_\_\_  
Notary Public  
My Commission Expires:

## ATTACHMENT VI

<u>Name of Affiliate</u>	<u>Certificate Number</u>
Windstream Ohio, Inc.	90-5002
Windstream Western Resreve, Inc.	90-5045
Windstream Communications, Inc.	90-6346

## **Exhibit A**

### **Existing Tariff Pages**

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S5. DIRECTORY LISTINGS

S5.9 National and Reverse Directory Assistance Service

(N)

S5.9.1 General

- A. National Directory Assistance is a service whereby customers may dial 1411 and request assistance in determining listing information of subscribers who are located outside the customer's local calling area (exchange).
- B. There are no billing exemptions or allowances for National Directory Assistance or Reverse Directory Assistance service requests. However, once it is brought to the Company's attention, the customer will receive a credit for any call that is disconnected prior to the customer receiving the requested information, or in the case that the customer receives incorrect information.

S5.9.2 Conditions

The following conditions and rates apply to all calls from customers who request National Directory Assistance or Reverse Directory Assistance.

- A. The customer will be charged for each call made to National Directory Assistance or Reverse Directory Assistance. Customers may receive one listing per call. The National and/or Reverse Directory Assistance rate applies per call, whether or not a number, name, or address is provided; this includes requests for numbers, names, or address that are non-published or unlisted.
- B. There are no billing exemptions or allowances for National Directory Assistance or Reverse Directory Assistance service requests.
- C. Charges for National Directory Assistance or Reverse Directory Assistance service are not applicable to calls placed from hospitals, or to calls placed by customers who certify they are unable to use a directory because of visual or physical handicap.
- D. National Directory Assistance and Reverse Directory Assistance services will not be available from Hotel/Motel and Pay Telephones.

S5.9.3 Rates – Tier 2

	<u>Per Request</u>
A. National Directory Assistance	\$1.25
B. Reverse Directory Assistance	\$1.25

(N)



GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S16. OTHER RATES AND REGULATIONS

S16.2 Directory Listings

<u>Directory Listing</u>		<u>Monthly Rates</u>		<u>Nonrecurring†</u>		<u>Classification</u>
		<u>Current</u>	<u>Max.</u>	<u>Current</u>	<u>Max.</u>	
S16.2.1 Nonpublished Number						
A.	Nonpublished service, each line	\$1.00	\$2.00			Tier 1 Non Core
B.	Emergency nonpublished			\$1.55	\$3.10	Tier 1 Non Core
C.	Non-List Service	1.00	N/A			Tier2
		<u>Monthly rate</u>				
S16.2.2 Additional Directory Listing						
A.	Business, each line		\$1.75			Tier 2
B.	Residence, each line		1.75			Tier 2
C.	Extra line		1.00			Tier 2
S16.2.3 Foreign Listing						
A.	Business, each line		2.50			Tier 2
B.	Residence, each line		1.50			Tier 2
S16.2.4 Transfer of Toll Service (Enterprise)						
			6.70			Tier 2
S16.2.5 Directory Assistance Service						
A.	Direct dial to directory assistance number, each call		1.10			Tier 2
B.	Operator-assisted call to directory assistance number, each call		1.50			Tier 2
S16.2.6 Information Call Completion Service, per call						
			.30			Tier 2

S16.3 Service Arrangements

S16.3.1	Special Recording Trunks, each	30.10				Tier 2
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Note: Rates for Tier 1 Core services are capped at current rates. Rates for Tier 1 Non-Core services are capped at current rates until October 15, 2006. After October 15, 2006, Tier 1 Non-Core rates can be increased to a maximum cap of double the initial rate, other than the second local exchange access line and call waiting, which are limited to a ten percent increase per year until they cap at double the initial rate. This pricing flexibility is in accordance with O.A.C. 4901:1-4 and Alt Reg Case # 04-1359-TP-ALT effective October 15, 2004.

†Applies in addition to regular service charge.

Filed under authority of  
Order No.  
issued by the Public Utilities  
Commission of Ohio

Issued by:  
Vice President  
Little Rock, Arkansas

Issued: November 1, 2006  
Effective: November 1, 2006

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S16. OTHER RATES AND REGULATIONS

S16.3	Service Arrangements (Continued)	Monthly	Nonrecurring†	Classification	
S16.3.7	Demarcation Point	N/C			
S16.3.8					
S16.3.9	Service at more than one Location				
	A. Off Premises Extension	\$12.00		Tier 2	(I)
	B. Interoffice Intraexchange Facility	17.50		Tier 2	(I)
	C. Interexchange Facility (See S12.9.2.C and S12.9.2.D)				
S16.3.10	Special Intercept	5.60		Tier 2	
S16.3.11	Vacation Rate (See S12.11.2)			Tier 2	
S16.3.12	RESERVED FOR FUTURE USE				
S16.4	Enhanced Central Office Services	Monthly		Classification	
		Residence	Business		
S16.4.1	Custom Individual Line Services				
	A. Specialty Line	\$2.95	N/A	Tier 2	
	B. Success Line	3.95	6.95	Tier 2	
S16.4.2	Tel-Touch Calling Service	Monthly	Nonrecurring†		
	A. Residential Access Lines	N/A			
	B. Business, Individual Line, per line			Tier 1 Core	
	Current	\$ 5.00	N/A		
	Maximum	\$ 5.00	N/A		
	C. Business Type B Trunk, per trunk	7.50	N/A	Tier 2	
	D. Business Type A Trunk, per trunk			Tier 1 Non Core	
	Current	10.00	N/A		
	Maximum	20.00	N/A		
S16.4.3	Custom Calling Services	Monthly		Classification	
		Business	Residence		
	A. Call Waiting, each line - Current	\$ 6.00	\$3.00	Tier 1 Non Core	
	Maximum	12.00	6.00		
	B. Enhanced Call Waiting, each line	7.00	3.50	Tier 2	
	C. Three Way Calling, each line	4.50	3.00	Tier 2	
	D. Call Forwarding, each line	4.50	3.00	Tier 2	
	E. Speed Calling, each line				
	Short (8 number capacity)	3.50	2.25	Tier 2	(I)
	Long (30 number capacity)	4.50	3.00	Tier 2	(I)

Note: Rates for Tier 1 Core services are capped at current rates. Rates for Tier 1 Non-Core services are capped at current rates until October 15, 2006. After October 15, 2006, Tier 1 Non-Core rates can be increased to a maximum cap of double the initial rate, other than the second local exchange access line and call waiting, which are limited to a ten percent increase per year until they cap at double the initial rate. This pricing flexibility is in accordance with O.A.C. 4901:1-4 and Alt Reg Case # 04-1359-TP-ALT effective October 15, 2004.

† Applies in addition to regular service charge.

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S16. OTHER RATES AND REGULATIONS

S16.4.5 Business Calling Package s (Continued)

C. Caller ID Ultimate Package -- Tier 2 \$19.95  
Includes the Following Features:

Caller ID Deluxe	Call Forwarding
Call Waiting	3-Way Calling
Enhanced Call Waiting	Call Return
Basic Voice Mail **	Speed Dial 30
Repeat Dial	Stutter Dial Tone
Caller ID on Call Waiting	Call Forward Busy
Selective Call Acceptance	Call Forward No Answer
Call Selector	Selective Call Rejection
Preferred Call Forwarding	Anonymous Call Rejection

D. Voice Mail Link Package -- Tier 2 \$3.50  
Includes the Following Features:

Call Forwarding - Busy  
Call Forwarding - No Answer  
Stutter Dial Tone

E. Voice Mail Link Package (DID) -- Tier 2 \$2.50  
Includes the Following Features:

Call Forwarding - Busy  
Call Forwarding - No Answer

(N)  
|  
(N)

S16.5	Per Call Number Privacy/Per Line Number Privacy	Classification	Monthly	
			Business	Residence
1.	Per Call Number Privacy*		N/C	N/C (Note)
2.	Per Line Number Privacy*			
	A. Each line associated with non-published service (customer must request service)	Tier 1 Non Core		
	Current		N/C	N/C
	Maximum		N/C	N/C
	B. Each line associated with other than non-published service (i.e. non-listed service)	Tier 1 Non Core		
	Current		\$1.00	\$1.00
	Maximum		2.00	2.00

NOTE: Provided automatically to each line in a suitably equipped central office.

\* Not eligible for discount.

\*\* Basic voice mail is a non-regulated service.

Note: Rates for Tier 1 Core services are capped at current rates. Rates for Tier 1 Non-Core services are capped at current rates until October 15, 2006. After October 15, 2006, Tier 1 Non-Core rates can be increased to a maximum cap of double the initial rate, other than the second local exchange access line and call waiting, which are limited to a ten percent increase per year until they cap at double the initial rate. This pricing flexibility is in accordance with O.A.C. 4901:1-4 and Alt Reg Case # 04-1359-TP-ALT effective October 15, 2004.

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Little Rock, Arkansas

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## **Exhibit B**

### **Revised Tariff Pages**

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

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S5. DIRECTORY LISTINGS

S5.9 National and Reverse Directory Assistance Service

S5.9.1 General

- A. National Directory Assistance is a service whereby customers may dial 1411 and request assistance in determining listing information of subscribers who are located outside the customer's local calling area (exchange).
- B. There are no billing exemptions or allowances for National Directory Assistance or Reverse Directory Assistance service requests. However, once it is brought to the Company's attention, the customer will receive a credit for any call that is disconnected prior to the customer receiving the requested information, or in the case that the customer receives incorrect information.

S5.9.2 Conditions

The following conditions and rates apply to all calls from customers who request National Directory Assistance or Reverse Directory Assistance.

- E. The customer will be charged for each call made to National Directory Assistance or Reverse Directory Assistance. Customers may receive one listing per call. The National and/or Reverse Directory Assistance rate applies per call, whether or not a number, name, or address is provided; this includes requests for numbers, names, or address that are non-published or unlisted.
- F. There are no billing exemptions or allowances for National Directory Assistance or Reverse Directory Assistance service requests.
- G. Charges for National Directory Assistance or Reverse Directory Assistance service are not applicable to calls placed from hospitals, or to calls placed by customers who certify they are unable to use a directory because of visual or physical handicap.
- H. National Directory Assistance and Reverse Directory Assistance services will not be available from Hotel/Motel and Pay Telephones.

S5.9.3 Rates – Tier 2

	<u>Per Request</u>	
A. National Directory Assistance	\$1.50	(I)
B. Reverse Directory Assistance	\$1.50	(I)

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S16. OTHER RATES AND REGULATIONS

S16.2 Directory Listings

		<u>Monthly Rates</u>		<u>Nonrecurring†</u>		<u>Classification</u>	
		<u>Current</u>	<u>Max.</u>	<u>Current</u>	<u>Max.</u>		
S16.2.1 Nonpublished Number							
A.	Nonpublished service, each line	\$2.00	\$2.00			Tier 1 Non Core	(I)
B.	Emergency nonpublished	\$3.10	\$3.10			Tier 1 Non Core	(I)
C.	Non-List Service	2.00	N/A			Tier2	(I)
S16.2.2 Additional Directory Listing							
		<u>Monthly rate</u>					
A.	Business, each line		\$1.75			Tier 2	
B.	Residence, each line		1.75			Tier 2	
C.	Extra line		1.00			Tier 2	
S16.2.3 Foreign Listing							
A.	Business, each line		2.50			Tier 2	
B.	Residence, each line		1.50			Tier 2	
S16.2.4 Transfer of Toll Service (Enterprise)							
			6.70			Tier 2	
S16.2.5 Directory Assistance Service							
A.	Direct dial to directory assistance number, each call		1.50			Tier 2	(I)
B.	Operator-assisted call to directory assistance number, each call		1.50			Tier 2	
S16.2.6 Information Call Completion Service, per call							
			.30			Tier 2	
S16.3 <u>Service Arrangements</u>							
S16.3.1 Special Recording Trunks, each							
			30.10			Tier 2	

Note: Rates for Tier 1 Core services are capped at current rates. Rates for Tier 1 Non-Core services are capped at current rates until October 15, 2006. After October 15, 2006, Tier 1 Non-Core rates can be increased to a maximum cap of double the initial rate, other than the second local exchange access line and call waiting, which are limited to a ten percent increase per year until they cap at double the initial rate. This pricing flexibility is in accordance with O.A.C. 4901:1-4 and Alt Reg Case # 04-1359-TP-ALT effective October 15, 2004.

†Applies in addition to regular service charge.

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GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S16. OTHER RATES AND REGULATIONS

S16.3	<u>Service Arrangements</u> (Continued)	<u>Monthly</u>	<u>Nonrecurring†</u>	<u>Classification</u>
S16.3.7	Demarcation Point	N/C		
S16.3.8				
S16.3.9	Service at more than one Location			
	A. Off Premises Extension	\$12.00		Tier 2
	B. Interoffice Intraexchange Facility	17.50		Tier 2
	C. Interexchange Facility (See S12.9.2.C and S12.9.2.D)			
S16.3.10	Special Intercept	5.60		Tier 2
S16.3.11	Vacation Rate (See S12.11.2)			Tier 2
S16.3.12	RESERVED FOR FUTURE USE			
S16.4	Enhanced Central Office Services	<u>Monthly</u>		<u>Classification</u>
		<u>Residence</u>	<u>Business</u>	
S16.4.1	Custom Individual Line Services			
	A. Specialty Line	\$2.95	N/A	Tier 2
	B. Success Line	3.95	6.95	Tier 2
S16.4.2	Tel-Touch Calling Service	<u>Monthly</u>	<u>Nonrecurring†</u>	
	A. Residential Access Lines	N/A		
	B. Business, Individual Line, per line			Tier 1 Core
	Current	\$ 5.00	N/A	
	Maximum	\$ 5.00	N/A	
	C. Business Type B Trunk, per trunk	7.50	N/A	Tier 2
	D. Business Type A Trunk, per trunk			Tier 1 Non Core
	Current	10.00	N/A	
	Maximum	20.00	N/A	
S16.4.3	Custom Calling Services	<u>Monthly</u>		<u>Classification</u>
		<u>Business</u>	<u>Residence</u>	
	A. Call Waiting, each line - Current	\$ 6.60	\$3.30	Tier 1 Non Core
	Maximum	12.00	6.00	
	B. Enhanced Call Waiting, each line	7.00	3.50	Tier 2
	C. Three Way Calling, each line	4.50	3.00	Tier 2
	D. Call Forwarding, each line	4.50	3.00	Tier 2
	E. Speed Calling, each line			
	Short (8 number capacity)	3.50	2.25	Tier 2
	Long (30 number capacity)	4.50	3.00	Tier 2

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† Applies in addition to regular service charge.

GENERAL EXCHANGE TARIFF  
P.U.C.O. No. 8

S16. OTHER RATES AND REGULATIONS

S16.4.5 Business Calling Package s (Continued)

- C. Caller ID Ultimate Package – Tier 2 \$19.95  
Includes the Following Features:
- |                           |                          |
|---------------------------|--------------------------|
| Caller ID Deluxe          | Call Forwarding          |
| Call Waiting              | 3-Way Calling            |
| Enhanced Call Waiting     | Call Return              |
| Basic Voice Mail **       | Speed Dial 30            |
| Repeat Dial               | Stutter Dial Tone        |
| Caller ID on Call Waiting | Call Forward Busy        |
| Selective Call Acceptance | Call Forward No Answer   |
| Call Selector             | Selective Call Rejection |
| Preferred Call Forwarding | Anonymous Call Rejection |
- D. Voice Mail Link Package – Tier 2 \$3.50  
Includes the Following Features:
- Call Forwarding - Busy
  - Call Forwarding - No Answer
  - Stutter Dial Tone
- E. Voice Mail Link Package (DID) – Tier 2 \$2.50  
Includes the Following Features:
- Call Forwarding - Busy
  - Call Forwarding - No Answer

S16.5	<u>Per Call Number Privacy/Per Line Number Privacy</u>	<u>Classification</u>	<u>Monthly</u>	
			<u>Business</u>	<u>Residence</u>
1.	Per Call Number Privacy*		N/C	N/C (Note)
2.	Per Line Number Privacy*			
	A. Each line associated with non-published service (customer must request service)	Tier 1 Non Core		
	Current		N/C	N/C
	Maximum		N/C	N/C
	B. Each line associated with other than non-published service (i.e. non-listed service)	Tier 1 Non Core		
	Current		\$2.00	\$2.00
	Maximum		2.00	2.00

(I)

NOTE: Provided automatically to each line in a suitably equipped central office.

\* Not eligible for discount.

\*\* Basic voice mail is a non-regulated service.

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Issued By:  
Vice President  
Little Rock, Arkansas

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## **EXHIBIT C**

With this tariff revision, Windstream Western Reserve, Inc. is increasing rates for certain Tier One non-Core services and Tier 2 services, in accordance with 4901:1-04 of the Ohio Administrative Code.

Customer Notice and Affidavit are enclosed.

Bill message re: OH rate increases, Tier 1, Non-Core  
Billing system: CAMS  
Co. #: 080  
NPAs/NXXs: ALL  
Indicator: Res & Bus  
ASOCs: NPUB, ENPUB, NLIST, LBRF, LBBF, EF14, EF2  
Bill cycles: November 1-28, 2006

Text:

At Windstream, our goal is to continue to provide the best possible service while keeping the rates for our customers as low as possible. To do this, we must occasionally make adjustments to ensure you have the most reliable service. With this in mind, the following rate adjustments will become effective with next month's billing statement:

Service:	Current Rate:	New Rate:
Non-Published Number	\$1.00	\$2.00
Emergency Non-Published Number	\$1.55	\$3.10
Non-Listed Number	\$1.00	\$2.00
Per-Line Number - Privacy	\$1.00	\$2.00
Call Waiting, Residential	\$3.00	\$3.30
Call Waiting, Business	\$6.00	\$6.60
Local Directory Assistance	\$1.10	\$1.50
National Directory Assistance	\$1.25	\$1.50
Reverse Directory Assistance	\$1.25	\$1.50

Even with these adjustments, our rates are still competitive with other telecommunications providers in Ohio. We will continue our pledge to provide quality service at affordable rates and keep you connected to family, friends and business. If you wish to cancel or add any of these services, please call us toll free at 1-800-347-1991 (residential customers) or 1-800-843-9214 (business customers).

Thank you for being a valued Windstream customer. Visit our Web site at [www.windstream.com](http://www.windstream.com).

STATE OF OHIO )  
 )  
COUNTY OF FRANKLIN )

SS.

**AFFIDAVIT**

I, Kathy E. Hobbs, am an authorized agent of the applicant corporation, Windstream Western Reserve, Inc. and am authorized to make this statement on its behalf. I attest that a customer notice, as attached, was provided to affected customers in the State of Ohio, during bill cycles November 1-28, 2006. I declare under penalty of perjury that the foregoing is true and correct.

Executed on November 30, 2006 Columbus, Ohio  
Date Location

*Kathy E. Hobbs*

Signature and Title

*VP - STATE GOVT. AFFAIRS*

Subscribed and sworn to before me this 30<sup>th</sup> day of November, 2006.

*Lois A. Gruhin*

Notary Public

My Commission Expires:



LOIS A. GRUHIN, ATTORNEY AT LAW  
NOTARY PUBLIC, STATE OF OHIO  
My commission has no expiration date.  
2006-11-30 P.D.C.