



Duke Energy Corporation
139 East Fourth Street
P.O. Box 960
Cincinnati, Ohio 45201-0960

September 28, 2012

The Public Utilities Commission of Ohio
Attention: Docketing Division
180 East Broad Street
11th Floor
Columbus, OH 43215-3793

RE: In the Matter of the Report of Duke)
Energy Ohio, Inc. Concerning its)
Energy Efficiency and Peak-Demand) Case No. 11-4393-EL-RDR
Response Recovery and Rate and)
Energy Efficiency Recovery Rate)

Docketing Division:

Enclosed for review and approval in compliance with the Commission's Order dated August 15, 2012 in the above referenced case are four copies of Rider EE-PDR (Energy Efficiency and Peak Demand Response Recovery Rider), Rider DR-SAWR (Energy Efficiency Recovery Rate) and Rider EE-PDRR (Energy Efficiency and Peak Demand Response Recovery Rate). Also enclosed are four (4) copies of the revised Index.

One copy of the enclosed tariffs is for filing with TRF Docket Number 89-6002-EL-TRF.

Please time-stamp the enclosed extra copy and return for our file. Thank you.

Very truly yours,

Jim Ziolkowski
Manager, Rate Services

Enclosures

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Issued: September 27, 2012

Effective: September 28, 2012

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**RIDER EE-PDR
ENERGY EFFICIENCY AND PEAK DEMAND RESPONSE RECOVERY RIDER**

APPLICABILITY

Applicable to service rendered under the provisions of the following Rates to retail jurisdictional customers in the Company's electric service territory including those customers taking generation service from a Certified Retail Electric Service (CRES) provider:

Rate RS
Rate ORH
Rate TD-AM
Rate TD
Rate CUR
Rate RS3P
Rate RSLI
Rate TD-CPP_LITE
Rate TD-LITE
Rate TD-2012
Rate DS
Rate GS-FL
Rate EH
Rate DM
Rate DP
Rate SFL-ADPL
Rate TS

CHARGES

The monthly amount computed under each of the rate schedules to which this rider is applicable shall be increased or decreased by the EE-PDR Charge at a rate per kilowatt-hour of monthly consumption and, where applicable, a rate per kilowatt of monthly billing demand, in accordance with the following formula:

$$\text{EE-PDR Charge} = \text{PC} + \text{LR} + \text{PI} + \text{BA}$$

Where: PC = PROGRAM COST RECOVERY.
LR = LOST BASE DISTRIBUTION REVENUE FROM LOST SALES RECOVERY.
PI = PDR PROGRAM INCENTIVE RECOVERY.
BA = BALANCE ADJUSTMENT.

For each twelve month period, the PC shall include all expected costs for the energy efficiency and peak demand response programs. Such program costs shall include the cost of planning, developing, implementing, monitoring, and evaluating the EE-PDR programs. Program costs will be assigned for recovery purposes to the rate classes whose customers are directly participating in the program. In addition, all costs incurred by or on behalf of the collaborative process, including but not limited to costs for consultants, employees and administrative expenses, will be recovered through the PC. Administrative costs that are allocable to more than one rate class will be recovered from those classes and allocated by rate class on the basis of the estimated avoided capacity and energy costs resulting from each program.

The PC applicable to each rate class shall be determined by dividing the costs of approved programs allocated or assigned to that class by the expected kilowatt-hour sales for the upcoming twelve-month period.

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Issued: September 27, 2012

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CHARGES (Cont'd)

Lost base distribution revenues (LR) from lost sales due to EE-PDR programs shall be computed by 1) multiplying the amount of kilowatt-hour sales that will be lost during the year as a result of the implementation of the approved programs times the base distribution charge for the applicable rate schedule, and 2) dividing that product by the expected kilowatt-hour sales for the upcoming twelve-month period. Base distribution charges include only those charges related to distribution base rates, and they do not include any generation-related charges or transmission charges. Recovery of base distribution revenues from lost sales for each rate class shall be included in the LR for three years from the implementation of the measures or until terminated by the implementation of new rates pursuant to a general rate case, whichever comes first. Base distribution revenues from lost sales will be assigned for recovery purposes to the rate classes whose programs resulted in the lost sales. Only those rate classes (e.g., Rates DS, DP, and TS) that are excluded from Rider DDR, Distribution Decoupling Rider, are subject to the LR charge.

The EE-PDR Program Incentive (PI) amount shall be computed by multiplying the net resource savings expected from the approved programs which are to be installed during the upcoming twelve-month period times the allowed shared savings percentage. The allowed shared savings percentages are as follows: 0% for achievement level of 100% or less, 7.5% for achievement level greater than 100% and less than or equal to 110%, 10% for achievement level greater than 110% and less than or equal to 115%, and 15% for achievement level greater than 115%. Net resource savings are defined as program benefits less the costs of the program, where program benefits will be calculated on the basis of the present value of the Company's avoided costs over the expected life of the program, and will include both capacity and energy savings. The amount related to programs for each rate class shall be divided by the expected kilowatt-hour sales for the upcoming twelve-month period to determine the PI for that rate class. EE-PDR incentive amounts will be assigned for recovery purposes to the rate classes whose programs created the incentive.

The BA is used to reconcile the difference between the amount of revenues actually billed through the respective EE-PDR Charge components; namely, the PC, LR, and PI and previous application of the BA and the revenues which should have been billed, as follows:

For the PC, the balance adjustment amount will be the difference between the actual amount billed in a twelve-month period due to the application of the PC unit charge and the actual costs of the approved programs during the same twelve-month period.

For the LR, the balance adjustment amount will be the difference between the amount billed during the twelve-month period from the application of the LR unit charge and the LR amount established for the same twelve-month period.

For the PI, the balance adjustment amount will be the difference between the actual amount billed during the twelve-month period due to application of the PI unit charge and the program incentive amount determined for the actual EE-PDR programs or measures implemented during the twelve-month period.

For the BA the balance adjustment amount will be the difference between the actual amount billed during the twelve-month period due to the application of the BA unit charge and the balance adjustment amount estimated for the same twelve-month period.

The balance adjustment amounts determined above shall include interest. The interest applied to the monthly amounts, shall be calculated at a rate equal to the average of the "3-month Commercial Paper

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Rate" for the immediately preceding 12-month period. EE-PDR balance adjustment amounts will be assigned for recovery purposes to the rate classes to which over or under-recoveries of EE-PDR amounts were realized.

All costs recovered through the EE-PDR Charge will be assigned or allocated to Duke Energy Ohio, Inc.'s electric on the basis of the estimated net electric savings resulting from each program.

FILINGS

The filing of modifications to the EE-PDR Charge shall be made at least thirty days prior to the beginning of the effective period for billing. Each filing will include the following information as needed:

A detailed description of each EE-PDR program.

The total cost of each program over the twelve-month period.

An analysis of expected resource savings.

Information concerning the specific EE-PDR or efficiency measures to be installed.

Any applicable studies which have been performed, as available.

A statement setting forth the detailed calculation of each component of the EE-PDR Charge.

Each change in the EE-PDR Charge shall be applied to customers' bills with the first billing cycle of the revenue month which coincides with, or is subsequent to, the effective date of such change.

SERVICE REGULATIONS

The supplying of, and billing for, service and all conditions applying thereto, are subject to the jurisdiction of the Public Utilities Commission of Ohio, and to the Company's Service Regulations currently in effect, as filed with the Public Utilities Commission of Ohio.

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Issued: September 27, 2012

Effective: September 28, 2012

Issued by Julie Janson, President

Duke Energy Ohio
139 East Fourth Street
Cincinnati, Ohio 45202

P.U.C.O. Electric No. 19
Sheet No. 106.2
Cancels and Supersedes
Sheet No. 106.1
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RIDER DR-SAWR

ENERGY EFFICIENCY RECOVERY RATE

The DR-SAWR rate shall be determined in accordance with the provisions of Rider DR-SAWR, Energy Efficiency Cost Recovery Rider, Sheet No. 107 of this Tariff.

The DR-SAWR to be applied to residential customer bills beginning with the October 2012 revenue month is \$0.000000 per kilowatt-hour.

The DR-SAWR to be applied to non-residential service customer bills, including transmission service customers participating in SAW programs, beginning with the October 2012 revenue month for distribution service is \$0.000000 per kilowatt-hour.

The DR-SAWR to be applied to transmission service customer bills, not participating in SAW programs, beginning with the October 2012 revenue month is \$0.000000 per kilowatt-hour.

Issued by authority of an Order by the Public Utilities Commission of Ohio dated August 15, 2012 in Case No. 11-4393-EL-RDR.

Issued: September 27, 2012

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**RIDER EE-PDRR
ENERGY EFFICIENCY AND PEAK DEMAND RESPONSE RECOVERY RATE**

The EE-PDRR rate shall be determined in accordance with the provisions of Rider EE-PDR, Energy Efficiency and Peak Demand Response Recovery rider, Sheet No. 120 of this Tariff.

The EE-PDRR rate to be applied to residential service customer bills beginning with the October 2012 revenue month is \$0.002317 per kilowatt-hour.

The EE-PDRR rate to be applied to non-residential service customer bills, other than service under Rates DS, DP, TS, and RTP, beginning with the October 2012 revenue month for distribution service is \$0.001301 per kilowatt-hour.

The EE-PDRR rate to be applied to non-residential service customer bills, for service under Rates DS, DP, TS, and RTP, beginning with the October 2012 revenue month for distribution service is \$0.001334 per kilowatt-hour.

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