



Case No.: ____-____-EL-EEC

Mercantile Customer: Loveland City Schools

Electric Utility: Duke Energy

**Program Title or
Description:** Lighting

Rule 4901:1-39-05(F), Ohio Administrative Code (O.A.C.), permits a mercantile customer to file, either individually or jointly with an electric utility, an application to commit the customer's existing demand reduction, demand response, and energy efficiency programs for integration with the electric utility's programs. The following application form is to be used by mercantile customers, either individually or jointly with their electric utility, to apply for commitment of such programs in accordance with the Commission's pilot program established in Case No. [10-834-EL-POR](#)

Completed applications requesting the cash rebate reasonable arrangement option (Option 1) in lieu of an exemption from the electric utility's energy efficiency and demand reduction (EEDR) rider will be automatically approved on the sixty-first calendar day after filing, unless the Commission, or an attorney examiner, suspends or denies the application prior to that time. Completed applications requesting the exemption from the EEDR rider (Option 2) will also qualify for the 60-day automatic approval so long as the exemption period does not exceed 24 months. Rider exemptions for periods of more than 24 months will be reviewed by the Commission Staff and are only approved up the issuance of a Commission order.

Complete a separate application for each customer program. Projects undertaken by a customer as a single program at a single location or at various locations within the same service territory should be submitted together as a single program filing, when possible. Check all boxes that are applicable to your program. For each box checked, be sure to complete all subparts of the question, and provide all requested additional information. Submittal of incomplete applications may result in a suspension of the automatic approval process or denial of the application.

Any confidential or trade secret information may be submitted to Staff on disc or via email at ee-pdr@puc.state.oh.us.

Section 1: Mercantile Customer Information

Name: **Loveland City Schools**

Principal address: **757 South Lebanon Rd, Loveland, Ohio 45140**

Address of facility for which this energy efficiency program applies:

600 Loveland Madeira Rd, Loveland, Ohio 45140

6740 Loveland Miamiville Rd, Loveland, Ohio 45140

1 Tiger Trail, Loveland, Ohio 45140

757 South Lebanon Rd, Loveland, Ohio 45140

801 South Lebanon Rd, Loveland, Ohio 45140

550 Loveland Madeira Rd, Loveland, Ohio 45140

570 Loveland Madeira Rd, Loveland, Ohio 45140

Name and telephone number for responses to questions:

Grady Reid, Jr. 513-287-1038

Electricity use by the customer (check the box(es) that apply):

- The customer uses more than seven hundred thousand kilowatt hours per year at the above facility. **(See Attachment 1 - Appendix 1)**
- The customer is part of a national account involving multiple facilities in one or more states. (Please attach documentation.)

Section 2: Application Information

A) The customer is filing this application (choose which applies):

- Individually, without electric utility participation.
- Jointly with the electric utility.**

B) The electric utility is: **Duke Energy**

C) The customer is offering to commit (check any that apply):

- Energy savings from the customer's energy efficiency program. (Complete Sections 3, 5, 6, and 7.)
- Capacity savings from the customer's demand response/demand reduction program. (Complete Sections 4, 5, 6, and 7.)
- Both the energy savings and the capacity savings from the customer's

energy efficiency program. (Complete all sections of the Application.)

Section 3: Energy Efficiency Programs

A) The customer's energy efficiency program involves (check those that apply):

- Early replacement of fully functioning equipment with new equipment. (Provide the date on which the customer replaced fully functioning equipment, and the date on which the customer would have replaced such equipment if it had not been replaced early. Please include a brief explanation for how the customer determined this future replacement date (or, if not known, please explain why this is not known)).
- Installation of new equipment to replace equipment that needed to be replaced. The customer installed new equipment on the following date(s): _____.
- Installation of new equipment for new construction or facility expansion. The customer installed new equipment on the following date(s): _____.
- Behavioral or operational improvement.

B) Energy savings achieved/to be achieved by the energy efficiency program:

- 1) If you checked the box indicating that the project involves the early replacement of fully functioning equipment replaced with new equipment, then calculate the annual savings [(kWh used by the original equipment) - (kWh used by new equipment) = (kWh per year saved)]. Please attach your calculations and record the results below:

Annual savings: **1,299,665 kWh gross with losses (See Attachment 1 - Appendix 2)**

- 2) If you checked the box indicating that the customer installed new equipment to replace equipment that needed to be replaced, then calculate the annual savings [(kWh used by less efficient new equipment) - (kWh used by the higher efficiency new equipment) = (kWh per year saved)]. Please attach your calculations and record the results below:

Annual savings: _____kWh

Please describe any less efficient new equipment that was rejected in favor of the more efficient new equipment.

- 3) If you checked the box indicating that the project involves equipment for new construction or facility expansion, then calculate the annual savings [(kWh used by less efficient new equipment) - (kWh used by higher efficiency new equipment) = (kWh per year saved)]. Please attach your calculations and record the results below:

Annual savings: _____kWh

Please describe the less efficient new equipment that was rejected in favor of the more efficient new equipment.

- 4) If you checked the box indicating that the project involves behavioral or operational improvements, provide a description of how the annual savings were determined.

Section 4: Demand Reduction/Demand Response Programs

- A) The customer's program involves (check the one that applies):

- Coincident peak-demand savings from the customer's energy efficiency program.
- Actual peak-demand reduction. (Attach a description and documentation of the peak-demand reduction.)
- Potential peak-demand reduction (check the one that applies):
 - The customer's peak-demand reduction program meets the requirements to be counted as a capacity resource under a tariff of a regional transmission organization (RTO) approved by the Federal Energy Regulatory Commission.
 - The customer's peak-demand reduction program meets the requirements to be counted as a capacity resource under a program that is equivalent to an RTO program, which has been approved by the Public Utilities Commission of Ohio.

- B) On what date did the customer initiate its demand reduction program?

May 2009

- C) What is the peak demand reduction achieved or capable of being achieved (show calculations through which this was determined):

261.6 KW (See Attachment 1 - Appendix 2)

Section 5: Request for Cash Rebate Reasonable Arrangement (Option 1) or Exemption from Rider (Option 2)

Under this section, check the box that applies and fill in all blanks relating to that choice.

Note: If Option 2 is selected, the application will not qualify for the 60-day automatic approval. All applications, however, will be considered on a timely basis by the Commission.

A) The customer is applying for:

Option 1: A cash rebate reasonable arrangement.

OR

Option 2: An exemption from the energy efficiency cost recovery mechanism implemented by the electric utility.

OR

Commitment payment

B) The value of the option that the customer is seeking is:

Option 1: A cash rebate reasonable arrangement, which is the lesser of (show both amounts):

A cash rebate of **\$50,349.25 (See Attachment 1 - Appendix 3)** (Rebate shall not exceed 50% project cost. Attach documentation showing the methodology used to determine the cash rebate value and calculations showing how this payment amount was determined.)

Option 2: An exemption from payment of the electric utility's energy efficiency/peak demand reduction rider.

An exemption from payment of the electric utility's energy efficiency/peak demand reduction rider for ____ months (not to exceed 24 months). (Attach calculations showing how this time period was determined.)

OR

A commitment payment valued at no more than

\$_____ (Attach documentation and

calculations showing how this payment amount was determined.)

OR

- Ongoing exemption from payment of the electric utility's energy efficiency/peak demand reduction rider for an initial period of 24 months because this program is part of the customer's ongoing efficiency program. (Attach documentation that establishes the ongoing nature of the program.) In order to continue the exemption beyond the initial 24 month period, the customer will need to provide a future application establishing additional energy savings and the continuance of the organization's energy efficiency program.)

Section 6: Cost Effectiveness

The program is cost effective because it has a benefit/cost ratio greater than 1 using the (choose which applies):

- Total Resource Cost (TRC) Test. The calculated TRC value is: _____
(Continue to Subsection 1, then skip Subsection 2)
- ✓ Utility Cost Test (UCT) . The calculated UCT value is: **(See Attachment 1 - Appendix 4)** (Skip to Subsection 2.)

Subsection 1: TRC Test Used (please fill in all blanks).

The TRC value of the program is calculated by dividing the value of our avoided supply costs (generation capacity, energy, and any transmission or distribution) by the sum of our program overhead and installation costs and any incremental measure costs paid by either the customer or the electric utility.

The electric utility's avoided supply costs were _____.

Our program costs were _____.

The incremental measure costs were _____.

Subsection 2: UCT Used (please fill in all blanks).

We calculated the UCT value of our program by dividing the value of our avoided supply costs (capacity and energy) by the costs to our electric utility (including administrative costs and incentives paid or rider exemption costs) to obtain our commitment.

Our avoided supply costs were **\$512,563(See Attachment 1 - Appendix 5)**

The utility's program costs were **\$31,452(See Attachment 1 - Appendix 6)**

The utility's incentive costs/rebate costs were **\$50,349.25 (See Attachment 1 - Appendix 3)**

Section 7: Additional Information

Please attach the following supporting documentation to this application:

Narrative description of the program including, but not limited to, make, model, and year of any installed and replaced equipment.

A copy of the formal declaration or agreement that commits the program or measure to the electric utility, including:

- 1) any confidentiality requirements associated with the agreement;
- 2) a description of any consequences of noncompliance with the terms of the commitment;
- 3) a description of coordination requirements between the customer and the electric utility with regard to peak demand reduction;
- 4) permission by the customer to the electric utility and Commission staff and consultants to measure and verify energy savings and/or peak-demand reductions resulting from your program; and,
- 5) a commitment by the customer to provide an annual report on your energy savings and electric utility peak-demand reductions achieved.

A description of all methodologies, protocols, and practices used or proposed to be used in measuring and verifying program results. Additionally, identify and explain all deviations from any program measurement and verification guidelines that may be published by the Commission.



**Public Utilities
Commission**

**Application to Commit Energy
Efficiency/Peak Demand
Reduction Programs
(Mercantile Customers Only)**

Case No.: ____-____-EL-EEC

State of _____:

_____, Affiant, being duly sworn according to law, deposes and says that:

1. I am the duly authorized representative of:

[insert customer or EDU company name and any applicable name(s) doing business as]

2. I have personally examined all the information contained in the foregoing application, including any exhibits and attachments. Based upon my examination and inquiry of those persons immediately responsible for obtaining the information contained in the application, I believe that the information is true, accurate and complete.

Signature of Affiant & Title

Sworn and subscribed before me this _____ day of _____, _____Month/Year

Signature of official administering oath

Print Name and Title

My commission expires on _____



DUKE ENERGY CORPORATION
Mercantile Self Direct Program
139 East Fourth Street
Cincinnati, OH 45202
513 629 5572 fax

December 20, 2011

Mr. Brett Griffith
Loveland City Schools
757 S. Lebanon Rd
Loveland, Ohio 45140

Subject: Your Application for a Duke Energy Mercantile Self-Direct Rebate

Dear Mr. Griffith:

Thank you for your Duke Energy Mercantile Self Direct rebate application. As noted in the Energy Conservation Measure (ECM) chart on page two, a total rebate of \$50,349.25 has been proposed for your lighting projects completed in the 2009 calendar year. All Self Direct Rebates are contingent upon approval by the Public Utilities Commission of Ohio (PUCO).

At your earliest convenience, please indicate if you accept this rebate by

- providing your signature on page three
- completing the PUCO-required affidavit on page four.

Please return the documents to my attention via fax at 513-629-5572 or e-mail to SelfDirect@Duke-Energy.com. Upon receipt, Duke Energy will submit the necessary documentation to PUCO. Following PUCO's approval, Duke Energy will remit payment.

At Duke Energy, we value your business and look forward to working with you on this and future energy efficiency projects. We hope you will consider our Smart Saver® incentives, when applicable. Please contact me if you have any questions.

Sincerely,

A handwritten signature in black ink, appearing to read 'Grady Reid, Jr.'.

Grady Reid, Jr
Product Manager
Mercantile Self Direct Rebates

cc: Mike Heath, Duke Energy
Rob Jung, WECC
Stephanie Drenten, Bruner Corporation

Please indicate your response to this rebate offer within 30 days of receipt.

Rebate is accepted.

Rebate is declined.

By accepting this rebate, Loveland City Schools affirms its intention to commit and integrate the energy efficiency projects listed on the following pages into Duke Energy's peak demand reduction, demand response and/or energy efficiency programs.

Additionally, Loveland City Schools also agrees to serve as joint applicant in any future filings necessary to secure approval of this arrangement as required by PUCO and to comply with any information and reporting requirements imposed by rule or as part of that approval.

Finally, Loveland City Schools affirms that all application information submitted to Duke Energy pursuant to this rebate offer is true and accurate. Information in question would include, but not be limited to, project scope, equipment specifications, equipment operational details, project costs, project completion dates, and the quantity of energy conservation measures installed.

If rebate is accepted, will you use the monies to fund future energy efficiency and/or demand reduction projects?

YES

NO

If rebate is declined, please indicate reason (optional):



BRET GRIFITH

1-6-12

Customer Signature

Printed Name

Date

Proposed Rebate Amounts

Measure Id	Energy Conservation Measure (ECM)	Quantity	Proposed Rebate Amount \$
ECM 1	CFL - Screw In	89	\$66.75
ECM 2	Exterior HID replacement above 175W to 250W HID retrofit	17	\$425.00
ECM 3	Exterior HID replacement above 250W to 400W HID retrofit	119	\$4,760.00
ECM 4	LED Exit Sign - Electronic Fixture	114	\$570.00
ECM 5	Low Watt HP T-8 - 2 lamp ballast <=28W replace T8 4ft 2 lamp	2484	\$7,452.00
ECM 6	Low Watt HP T-8 - 3 lamp ballast <=28W replace T8 4ft 3 lamp	2182	\$10,910.00
ECM 7	Low Watt HP T-8 - 4 lamp ballast <=28W replace T8 4ft 4 lamp	2109	\$12,654.00
ECM 8	Low Watt HP T-8 Re-lamp T8 fixtures w/ low watt T8 lamps <=28W	6	\$1.50
ECM 9	Occupancy Sensor - <500 W	320	\$3,200.00
ECM 10	Occupancy Sensor ->500 W	152	\$3,040.00
ECM 11	T12 4ft replaced by Reduced Wattage, HP T8 - LW HPT8 4ft 2 lamp	58	\$290.00
ECM 12	T-8 w/ Electronic Ballast – Hi-Bay Fluorescent 4LF32	29	\$580.00
ECM 13	T-8 w/ Electronic Ballast – Hi-Bay Fluorescent 6LF32	256	\$6,400.00
TOTAL		7935	\$50,349.25

Brett Duffith, TREASURER



Public Utilities Commission

Application to Commit Energy Efficiency/Peak Demand Reduction Programs (Mercantile Customers Only)

Case No.: ___ - ___ -EL-EEC

State of OHIO :

BRETT GRIFFITH, Affiant, being duly sworn according to law, deposes and says that:

- 1. I am the duly authorized representative of: LOVELAND CITY SCHOOLS [insert customer or EDU company name and any applicable name(s) doing business as]
2. I have personally examined all the information contained in the foregoing application, including any exhibits and attachments. Based upon my examination and inquiry of those persons immediately responsible for obtaining the information contained in the application, I believe that the information is true, accurate and complete.
3. I am aware of fines and penalties which may be imposed under Ohio Revised Code Sections 2921.11, 2921.31, 4903.02, 4903.03, and 4903.99 for submitting false information.

Brett Griffith - TREASURER/CFO
Signature of Affiant & Title

Sworn and subscribed before me this 3 day of January, 2012 Month/Year

Judith A. McDaniel
Signature of official administering oath

JUDITH A. McDANIEL
NOTARY PUBLIC, STATE OF OHIO
MY COMMISSION EXPIRES FEB. 10, 2012

My commission expires on _____

Attachment 1 – Loveland City Schools

Appendix 1 – Electric History

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LOVELAND BD OF ED		
1 TIGER TR		
LOVELAND, OH 45140		
Date	Days	Actual KWH
10/26/2011	29	162,985
9/27/2011	32	281,516
8/26/2011	29	312,449
7/28/2011	30	237,334
6/28/2011	32	165,153
5/27/2011	29	193,895
4/28/2011	30	177,916
3/29/2011	29	156,204
2/28/2011	31	159,183
1/28/2011	30	135,200
12/29/2010	35	152,543
11/24/2010	29	165,464
Total		2,299,842

Appendix 2 – Annual kWh losses and annual KW losses

Measure	Annual kWh Gross with losses	Upload Amount	TOTAL Annual kWh losses	KW Per Measure	Total Kw Savings
CFL - Screw In	362.04	89	32221.56	0.08	7.12
LED Exit Sign - Electronic Fixture	245.91	114	28033.74	0.03	3.42
Low Watt HP T-8 - 2 lamp ballast <=28W replace T8 4ft 2 lamp	48.78	2484	121169.52	0.01	24.84
Low Watt HP T-8 - 3 lamp ballast <=28W replace T8 4ft 3 lamp	82.17	2182	179294.94	0.02	43.64
Low Watt HP T-8 - 4 lamp ballast <=28W replace T8 4ft 4 lamp	87.3	2109	184115.7	0.02	42.18
Low Watt HP T-8 - Relamp T8 fixtures w/ low watt T8 lamps <=28W	19.26	6	115.56	0	0
Occupancy Sensor - <500 W	525.15	320	168048	0.11	35.2
Occupancy Sensor - >500 W	1313.35	152	199629.2	0.27	41.04
Outdoor Lighting - Exterior HID replacement above 175W to 250W HID retrofit	443.88	17	7545.96	0	0
Outdoor Lighting - Exterior HID replacement above 250W to 400W HID retrofit	760.39	119	90486.41	0	0
T12 4ft replaced by Reduced Wattage, HP T8 - LW HPT8 4ft 2 lamp	111.69	58	6478.02	0.02	1.16
T-8 w/ Electronic Ballast - HiBay Fluorescent 4LF32	658.87	29	19107.23	0.14	4.06
T-8 w/ Electronic Ballast - HiBay Fluorescent 6LF32	1028.98	256	263418.88	0.23	58.88
Total			1,299,665		261.54

Appendix 3 – Cash Rebate

Measure	Amount
CFL - Screw In	\$66.75
LED Exit Sign - Electronic Fixture	\$570.00
Low Watt HP T-8 - 2 lamp ballast <=28W replace T8 4ft 2 lamp	\$7,452.00
Low Watt HP T-8 - 3 lamp ballast <=28W replace T8 4ft 3 lamp	\$10,910.00
Low Watt HP T-8 - 4 lamp ballast <=28W replace T8 4ft 4 lamp	\$12,654.00
Low Watt HP T-8 - Relamp T8 fixtures w/ low watt T8 lamps <=28W	\$1.50
Occupancy Sensor - <500 W	\$3,200.00
Occupancy Sensor - >500 W	\$3,040.00
Outdoor Lighting - Exterior HID replacement above 175W to 250W HID retrofit	\$425.00
Outdoor Lighting - Exterior HID replacement above 250W to 400W HID retrofit	\$4,760.00
T12 4ft replaced by Reduced Wattage, HP T8 - LW HPT8 4ft 2 lamp	\$290.00
T-8 w/ Electronic Ballast - HiBay Fluorescent 4LF32	\$580.00
T-8 w/ Electronic Ballast - HiBay Fluorescent 6LF32	\$6,400.00
Total	\$50,349.25

Appendix 4 – Utility Cost Test

Measure	UCT
CFL - Screw In	16.07
LED Exit Sign - Electronic Fixture	10.49
Low Watt HP T-8 - 2 lamp ballast <=28W replace T8 4ft 2 lamp	4.18
Low Watt HP T-8 - 3 lamp ballast <=28W replace T8 4ft 3 lamp	4.23
Low Watt HP T-8 - 4 lamp ballast <=28W replace T8 4ft 4 lamp	3.74
Low Watt HP T-8 - Relamp T8 fixtures w/ low watt T8 lamps <=28W	8.42
Occupancy Sensor - <500 W	10.21
Occupancy Sensor - >500 W	12.72
Outdoor Lighting - Exterior HID replacement above 175W to 250W HID retrofit	2.39
Outdoor Lighting - Exterior HID replacement above 250W to 400W HID retrofit	2.56
T12 4ft replaced by Reduced Wattage, HP T8 - LW HPT8 4ft 2 lamp	5.74
T-8 w/ Electronic Ballast - HiBay Fluorescent 4LF32	9.52
T-8 w/ Electronic Ballast - HiBay Fluorescent 6LF32	11.98

Appendix 5 – Avoided Supply Costs

Measure	T&D	Production	Capacity	Quantity	Total Avoided Costs
CFL - Screw In	\$13.00	\$92.00	\$30.00	89	\$12,015
LED Exit Sign - Electronic Fixture	\$5.00	\$67.00	\$17.00	114	\$10,146
Low Watt HP T-8 - 2 lamp ballast <=28W replace T8 4ft 2 lamp	\$2.00	\$14.00	\$5.00	2484	\$52,164
Low Watt HP T-8 - 3 lamp ballast <=28W replace T8 4ft 3 lamp	\$3.00	\$24.00	\$8.00	2182	\$76,370
Low Watt HP T-8 - 4 lamp ballast <=28W replace T8 4ft 4 lamp	\$4.00	\$26.00	\$8.00	2109	\$80,142
Low Watt HP T-8 - Relamp T8 fixtures w/ low watt T8 lamps <=28W	\$0.00	\$2.00	\$1.00	6	\$18
Occupancy Sensor - <500 W	\$17.00	\$117.00	\$30.00	320	\$52,480
Occupancy Sensor - >500 W	\$41.00	\$293.00	\$95.00	152	\$65,208
Outdoor Lighting - Exterior HID replacement above 175W to 250W HID retrofit	\$0.00	\$101.00	\$0.00	17	\$1,717
Outdoor Lighting - Exterior HID replacement above 250W to 400W HID retrofit	\$0.00	\$173.00	\$0.00	119	\$20,587
T12 4ft replaced by Reduced Wattage, HP T8 - LW HPT8 4ft 2 lamp	\$5.00	\$33.00	\$11.00	58	\$2,842
T-8 w/ Electronic Ballast - HiBay Fluorescent 4LF32	\$31.00	\$216.00	\$75.00	29	\$9,338
T-8 w/ Electronic Ballast - HiBay Fluorescent 6LF32	\$48.00	\$337.00	\$121.00	256	\$129,536
Total					\$512,563

Appendix 6 – Utility Program Costs

Measure	Qty	Admin Costs	Total Costs
CFL - Screw In	89	\$4.00	\$356
LED Exit Sign - Electronic Fixture	114	\$4.00	\$456
Low Watt HP T-8 - 2 lamp ballast <=28W replace T8 4ft 2 lamp	2484	\$2.00	\$4,968
Low Watt HP T-8 - 3 lamp ballast <=28W replace T8 4ft 3 lamp	2182	\$4.00	\$8,728
Low Watt HP T-8 - 4 lamp ballast <=28W replace T8 4ft 4 lamp	2109	\$4.00	\$8,436
Low Watt HP T-8 - Relamp T8 fixtures w/ low watt T8 lamps <=28W	6	\$0.00	\$0
Occupancy Sensor - <500 W	320	\$7.00	\$2,240
Occupancy Sensor - >500 W	152	\$14.00	\$2,128
Outdoor Lighting - Exterior HID replacement above 175W to 250W HID retrofit	17	\$17.00	\$289
Outdoor Lighting - Exterior HID replacement above 250W to 400W HID retrofit	119	\$27.00	\$3,213
T12 4ft replaced by Reduced Wattage, HP T8 - LW HPT8 4ft 2 lamp	58	\$4.00	\$232
T-8 w/ Electronic Ballast - HiBay Fluorescent 4LF32	29	\$14.00	\$406
Total			\$31,452

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0 8280-226-01-9	360,369 kWh 57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	0610-2191-01-1		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		550 Loveland Madeira Rd			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	0610-2191-01-1
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
		Zip Code	45140
Installation Street Address	550 Loveland Madeira Rd		
City	Loveland	State	Ohio
		Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
		Zip Code	43026
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/28/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie J. Drenten</i>
Date	<i>11/28/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# GE332-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$25.00	20	2000 Hrs.		05/09	500
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	56	2000Hrs.		05/09	168
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	31	2000Hrs.		05/09	155
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$6.00	685	2000Hrs.		05/09	4110
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number FLE20HT3/2/SW/CD	\$0.75 / lamp	70	2000Hrs.		05/09	52
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50			Hrs.		
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00			Hrs.		
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00			Hrs.		
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00			Hrs.		
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00			Hrs.		
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00			Hrs.		
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00			Hrs.		
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp			Hrs.		

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number SLX	\$5.00 / fixture	22	8760		05/09	110
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number TS-400	\$10.00 / sensor	49	2000		05/09	490
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-355	\$20.00 / sensor	19	2000		05/09	380

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number	\$40 / fixture					
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to 513-419-5572.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call 1-866.380.9580 or visit www.duke-energy.com.



Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0 8280-226-01-9	360,369 kWh 57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools		Contact	Brett Griffith, Treasurer	
Phone	513-683-5600		Account Number	8810-2191-01-8	
Street Address (Where incentive should be mailed)			757 S. Lebanon Rd.		
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		801 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation		Contact	Stephanie Drenten	
Phone	614-334-9000		Fax	614-334-9001	
Street Address			3637 Lacon Rd		
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	8810-2191-01-8
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
		Zip Code	45140
Installation Street Address	801 S. Lebanon Rd.		
City	Loveland	State	Ohio
		Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
		Zip Code	43026
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/28/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie J. Drenten</i>
Date	<i>11/28/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# GE432MAX-H/Ultra Lamp model # F32T8XLSPX50HCVG	\$20.00	11	2000 Hrs.		05/09	220
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# GE332-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$25.00	44	2000 Hrs.	9487	05/09	1100
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	383	2000Hrs.		05/09	1149
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE32MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	757	2000Hrs.		05/09	3785
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$6.00	102	2000Hrs.		05/09	612
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$2.50 / lamp	6	2000Hrs.		05/09	15

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number FLE26HT32SWCD	\$0.75 / lamp	4	2000Hrs.		05/09	3
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50		Hrs.			
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00		Hrs.			
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00		Hrs.			
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00		Hrs.			
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00		Hrs.			
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00		Hrs.			
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00		Hrs.			
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number SLX	\$5.00 / fixture	13	8760		05/09	65
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number TS-400	\$10.00 / sensor	38	2000		05/09	380
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-355	\$20.00 / sensor	38	2000		05/09	760

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number 37250	\$40 / fixture	27	3650		05/09	1080
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage LED and induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to 513-419-5572.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call 1-866.380.9580 or visit www.duke-energy.com.



Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0	360,369 kWh
		8280-226-01-9	57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	7900-2180-01-0		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address	757 S. Lebanon				
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	7900-2180-01-0		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		757 S. Lebanon			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address		amesjo@lovelandschools.org (John Ames, contact with questions)			

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address		sdrenten@brunercorp.com			

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	<i>Brett Griffith</i>
	Date	11/28/11
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie J. Drenten</i>
Date	11/28/11	Date	11/28/11
Title	Treasurer	Title	Energy Engineer

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# GE332-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$25.00	52	2000 Hrs.		05/09	1300
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	856	2000Hrs.		05/09	2568
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	718	2000Hrs.		05/09	3590
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$6.00	4	2000Hrs.		05/09	24
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number	\$0.75 / lamp		Hrs.			
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50			Hrs.		
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00			Hrs.		
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00			Hrs.		
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00			Hrs.		
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00			Hrs.		
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00			Hrs.		
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00			Hrs.		
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp			Hrs.		

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number SLX	\$5.00 / fixture	12	8760		05/09	60
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-355	\$10.00 / sensor	55	2000		05/09	550
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-355	\$20.00 / sensor	30	2000		05/09	600

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number OV-26080RK-UNV-E	\$40 / fixture	12	3650		05/09	480
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to **513-419-5572**.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.

Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0 8280-226-01-9	360,369 kWh 57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	8090-08870-01-2		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address	1 tiger Trail				
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	8090-08870-01-2
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
		Zip Code	45140
Installation Street Address	1 tiger Trail		
City	Loveland	State	Ohio
		Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
		Zip Code	43026
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/28/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie L. Drenten</i>
Date	<i>11/28/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# GE432-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$20.00	12	2000 Hrs.		05/09	240
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# GE332-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$25.00	106	2000 Hrs.		05/09	2650
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	58	2000Hrs.		05/09	290
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	554	200Hrs.		05/09	1662
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	645	2000Hrs.		05/09	3225
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$6.00	717	2000Hrs.		05/09	4302
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number FLE26HT32SWCD	\$0.75 / lamp	7	2000Hrs.		05/09	5
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input checked="" type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50			Hrs.		
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00			Hrs.		
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00			Hrs.		
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00			Hrs.		
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00			Hrs.		
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00			Hrs.		
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00			Hrs.		
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp			Hrs.		

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input checked="" type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number SLX	\$5.00 / fixture	42	2000		05/09	210
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$10.00 / sensor	86	2000		05/09	860
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-255	\$20.00 / sensor	59	2000		05/09	1180

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number OV-26150RK-UNV-E	\$25 / fixture	17	3760		05/09	425
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number 37250	\$40 / fixture	47	3760		05/09	1880
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to **513-419-5572**.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.



Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0 8280-226-01-9	360,369 kWh 57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	1610-2191-01-7		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		600 Loveland Madeira Rd			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	1610-2191-01-7
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
Zip Code	45140		
Installation Street Address	600 Loveland Madeira Rd		
City	Loveland	State	Ohio
Zip Code	45140		
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
Zip Code	43026		
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/28/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie J. Drenten</i>
Date	<i>11/28/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# GE332-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$25.00	16	2000 Hrs.	3559	05/09	400
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	236	2000Hrs.		05/09	708
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	5	2000Hrs.		05/09	25
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$6.00	367	2000Hrs.		05/09	2202
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number	\$0.75 / lamp		Hrs.			
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50			Hrs.		
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00			Hrs.		
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00			Hrs.		
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00			Hrs.		
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00			Hrs.		
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00			Hrs.		
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00			Hrs.		
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp			Hrs.		

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number SLX	\$5.00 / fixture	22	8760		05/09	110
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number TS-400	\$10.00 / sensor	45	2000		05/09	450
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-355	\$20.00 / sensor	36	2000		05/09	720

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number 37250	\$40 / fixture	6	3760		05/09	240
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to **513-419-5572**.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.



Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0 8280-226-01-9	360,369 kWh 57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools		Contact	Brett Griffith, Treasurer	
Phone	513-683-5600		Account Number	4720-0190-20-0	
Street Address (Where incentive should be mailed)			757 S. Lebanon Rd.		
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		6740 Loveland Miamiville Rd			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation		Contact	Stephanie Drenten	
Phone	614-334-9000		Fax	614-334-9001	
Street Address			3637 Lacon Rd		
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	4720-0190-20-0
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
		Zip Code	45140
Installation Street Address		6740 Loveland Miamiville Rd	
City	Loveland	State	Ohio
		Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
		Zip Code	43026
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/28/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie F. Drenten</i>
Date	<i>11/28/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# GE332-MVPS-H Lamp model # F32T8XLSPX50HCVG	\$25.00	18	2000 Hrs.		05/09	450
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance							
Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting							
Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	397	2000Hrs.		05/09	1191
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	26	2000Hrs.		05/09	130
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$6.00	205	2000Hrs.		05/09	1230
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number FLE20HT3/2/SW/CD	\$0.75 / lamp	8	2000Hrs.		05/09	6
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50		Hrs.			
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00		Hrs.			
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00		Hrs.			
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00		Hrs.			
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00		Hrs.			
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00		Hrs.			
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescents or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00		Hrs.			
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$5.00 / fixture					
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number TS-400	\$10.00 / sensor	47	2000		05/09	470
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number DT-355	\$20.00 / sensor	26	2000			520

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number 37250	\$40 / fixture	19	3760		05/09	760
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to **513-419-5572**.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.

Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0 8280-226-01-9	360,369 kWh 57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input checked="" type="checkbox"/> Office
<input type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	1610-2191-01-7		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		600 Loveland Madeira Rd			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input checked="" type="checkbox"/> Office
<input type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	1610-2191-01-7
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
Zip Code	45140		
Installation Street Address	600 Loveland Madeira Rd		
City	Loveland	State	Ohio
Zip Code	45140		
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
Zip Code	43026		
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/28/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie Drenten</i>
Date	<i>11/28/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# Lamp model #	\$25.00		Hrs.			
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# Lamp model #	\$12.50		Hrs.			
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# Lamp model #	\$4.00		Hrs.			
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$3.00	24	2000Hrs.		05/09	72
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# GE332MAX-L/Ultra Lamp model # F28T8/XL/SPX41/ECO	\$5.00	150	2000Hrs.		05/09	750
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# Lamp model #	\$6.00		Hrs.			
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number FLE23HT3/2/XL/CD	\$0.75 / lamp	6	2000Hrs.		05/09	4
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number FLE26HT32SWCD	\$2.50 / lamp	18	2000Hrs.		05/09	45
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50			Hrs.		
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00			Hrs.		
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00			Hrs.		
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00			Hrs.		
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00			Hrs.		
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00			Hrs.		
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00			Hrs.		
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp			Hrs.		

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$5.00 / fixture					
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number TS-400	\$10.00 / sensor	13	2000		05/09	130
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$20.00 / sensor					

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number	\$40 / fixture					
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number 37250	\$125/ fixture	6	3285		05/09	750
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to **513-419-5572**.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.



Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Ohio Mercantile Self Direct Program

Application Guide & Cover Sheet

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email this form along with completed Mercantile Self Direct Prescriptive or Custom applications, proof of payment, energy savings calculations and spec sheets to SelfDirect@Duke-Energy.com. You may also fax to 1-513-419-5572.

Mercantile customers, defined as using at least 700,000 kWh annually are eligible for the Mercantile Self Direct program. Please indicate mercantile qualification:

- a single Duke Energy Ohio account
 multiple accounts in Ohio (energy usage with other utilities may be counted toward the total)

Please list Duke Energy account numbers below (attach listing of multiple accounts an/or billing history for other utilities as required):

Account Number	Annual Usage	Account Number	Annual Usage
8090-0887-01-2	2,166,022 kWh	7900-218-01-0	1,152,141 kWh
7730-2002-01	69,130 kWh	1610-2191-01-7	390,270 kWh
8810-2191-01-8	607,630 kWh	0610-2191-01-1	574,472 kWh
0910-2191-01-0	511,707 kWh	4720-0190-20-0	360,369 kWh
		8280-226-01-9	57,360 kWh

Self Direct rebates are available for completed Custom projects that have not previously received a Duke Energy Smart Saver® Custom Incentive. Self Direct incentives are applicable to Prescriptive measures that were installed more than 90 days prior to submission to Duke Energy and have not previously received a Duke Energy Prescriptive rebate.

Self Direct Program requirements dictate that certain projects that may be Prescriptive in nature under the Smart Saver program must be evaluated using the Custom process. Use the table on page two as a guide to determine which Self Direct program fits your project(s). Apply for Self Direct projects using the appropriate application forms in conjunction with this cover sheet. Where Mercantile Self Direct Prescriptive applications are listed, please refer to the measure list on that application. If your measure is not listed, you may be eligible for a Self Direct Custom rebate. Self Direct Custom applications, like Smart Saver Custom applications, should include detailed analysis of pre-project and post-project energy usage and project costs. Please indicate which type of rebate applications are included in the table provided on page two.

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of appropriate application(s) are completed	<input checked="" type="checkbox"/> Proof of payment.*	<input checked="" type="checkbox"/> Manufacturer's Spec sheets	<input type="checkbox"/> Energy model/calculations and detailed inputs for Custom applications
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* If a single payment record is intended to demonstrate the costs of both Prescriptive & Custom projects, please include an additional document with an estimated breakout of costs for each Prescriptive and Custom energy conservation measure.

Application Type	Replaced equipment at end of lifetime or because equipment failed**	Replaced fully operational equipment to improve efficiency***	New Construction
Lighting	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Prescriptive Lighting <input checked="" type="checkbox"/>	MSD Prescriptive Lighting <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> Custom Lighting Worksheet <input type="checkbox"/>
Heating & Cooling	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Window Films, Programmable Thermostats, & Guest Room Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>	MSD Prescriptive Heating & Cooling <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General and/or EMS Worksheet(s) <input type="checkbox"/>
Chillers & Thermal Storage	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Chillers & Thermal Storage <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Motors & Pumps	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
VFDs	Not Applicable	MSD Prescriptive Motors, Pumps & Drives <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom VFD Worksheet <input type="checkbox"/>	
Food Service	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Food Service <input type="checkbox"/>
			MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
Process	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	MSD Prescriptive Process <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>
		MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>	
Energy Management Systems	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>	MSD Custom Part 1 <input type="checkbox"/> MSD Custom EMS Worksheet <input type="checkbox"/>
Behavioral*** & No/Low Cost	MSD Custom Part 1 <input type="checkbox"/> MSD Custom General Worksheet <input type="checkbox"/>		

** Under the Self Direct program, failed equipment and equipment at the end of its useful life are evaluated differently than early replacement of fully functioning equipment. **All equipment replacements due to failure or old age will be evaluated via the Custom program.**

*** Please ensure that you include the age of the replaced equipment for measures classified as "Early Replacement" in your application as well as the estimated date that you would have otherwise replaced the existing equipment if you had not chosen a more energy efficient option.

**** Behavioral energy efficiency and demand reduction projects must be both measurable and verifiable. Provide justification with your application.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: **NEW** (original) or **REVISED** (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other _____	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information					
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer		
Phone	513-683-5600	Account Number	8280-2226-01-9		
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.			
City	Loveland	State	Ohio	Zip Code	45140
Installation Street Address		570 Loveland Madeira Rd			
City	Loveland	State	Ohio	Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)				

**Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.*

Vendor Information					
Vendor	Bruner Corporation	Contact	Stephanie Drenten		
Phone	614-334-9000	Fax	614-334-9001		
Street Address		3637 Lacon Rd			
City	Hilliard	State	Oh	Zip Code	43026
E-mail Address	sdrenten@brunercorp.com				

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information		
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer	<input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature)	
	Date	
Provide Tax ID Number for Payee	Customer Tax ID #	31-6000858 (Federal); 51-1601049 (State)
	Vendor Tax ID #	

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature		Vendor Signature	
Date		Date	
Title		Title	

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.



MERCANTILE SELF DIRECT Ohio Lighting Incentive Application

Questions? Call 1-866-380-9580 or visit www.duke-energy.com.

Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.

Is this application: NEW (original) or REVISED (changes made to original application)

Building Type – Required (check one)		
<input type="checkbox"/> Data Centers	<input type="checkbox"/> Full Service Restaurant	<input type="checkbox"/> Office
<input checked="" type="checkbox"/> Education/K-12	<input type="checkbox"/> Healthcare	<input type="checkbox"/> Public Assembly
<input type="checkbox"/> Education Other	<input type="checkbox"/> Industrial	<input type="checkbox"/> Public Order/Safety
<input type="checkbox"/> Elder Care/Nursing Home	<input type="checkbox"/> Lodging	<input type="checkbox"/> Religious Worship/Church
<input type="checkbox"/> Food Sales/Grocery	<input type="checkbox"/> Retail (Small Box)	<input type="checkbox"/> Service
<input type="checkbox"/> Fast Food Restaurant	<input type="checkbox"/> Retail (Big Box)	<input type="checkbox"/> Warehouse
<input type="checkbox"/> Other:		
How did you hear about the program? (check one)		
<input type="checkbox"/> Duke Energy Representative	<input type="checkbox"/> Web Site	<input type="checkbox"/> Radio
<input checked="" type="checkbox"/> Contractor / Vendor	<input type="checkbox"/> Other	

Please check each box to indicate completion of the following program requirements:

<input checked="" type="checkbox"/> All sections of application	<input checked="" type="checkbox"/> Invoice with make, model number, quantity and equipment manufacturer	<input checked="" type="checkbox"/> Tax ID number for payee	<input checked="" type="checkbox"/> Customer/vendor agree to Terms and Conditions
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Customer Information			
Customer/Business	Loveland City Schools	Contact	Brett Griffith, Treasurer
Phone	513-683-5600	Account Number	8280-2226-01-9
Street Address (Where incentive should be mailed)		757 S. Lebanon Rd.	
City	Loveland	State	Ohio
		Zip Code	45140
Installation Street Address	570 Loveland Madeira Rd		
City	Loveland	State	Ohio
		Zip Code	45140
E-mail Address	amesjo@lovelandschools.org (John Ames, contact with questions)		

*Failure to provide the account number associated with the location where the installation took place will result in rejection of the application.

Vendor Information			
Vendor	Bruner Corporation	Contact	Stephanie Drenten
Phone	614-334-9000	Fax	614-334-9001
Street Address		3637 Lacon Rd	
City	Hilliard	State	Oh
		Zip Code	43026
E-mail Address	sdrenten@brunercorp.com		

If Duke Energy has questions about this application, who should we contact? Customer Vendor

Payment Information	
Who should receive incentive payment?	<input checked="" type="checkbox"/> Customer <input type="checkbox"/> Vendor (Customer must sign below)
I hereby authorize payment of incentive directly to the vendor:	Customer Signature (written signature) <i>Brett Griffith</i>
	Date <i>11/20/11</i>
Provide Tax ID Number for Payee	Customer Tax ID # <i>31-6000858 (Federal); 51-1601049 (State)</i>
	Vendor Tax ID #

Terms and Conditions			
I have read and hereby agree to the Terms & Conditions and Program Requirements.			
Customer Signature	<i>Brett Griffith</i>	Vendor Signature	<i>Stephanie J. Drenten</i>
Date	<i>11/20/11</i>	Date	<i>11/28/11</i>
Title	<i>Treasurer</i>	Title	<i>Energy Engineer</i>

Incentives are subject to change and may be discontinued at the sole discretion of Duke Energy. Equipment must be installed and operable to be eligible for incentives. As Federal Energy Policy Law changes, equipment efficiency requirements are subject to change.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 8ft 2 lamp replacing T12 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.50		Hrs.			
T8 8ft 1 lamp replacing T12 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T8 4ft 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 3ft 4 lamp replacing T12 3ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$3.25		Hrs.			
T8 3ft 2 lamp replacing T12 3ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 4 lamp replacing T12 2ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 2ft 3 lamp replacing T12 2ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$2.10		Hrs.			
T8 2ft 2 lamp replacing T12 2ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

NOTE: All Fixtures must be installed indoors, with the exception of Traffic and Pedestrian Signals and where otherwise noted.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 (T8 U tube lamps are eligible for incentives based on the total measured length of the lamp.)							
T8 HO 8ft 1 lamp replacing T12 HO 8ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 HO 8ft 2 lamp replacing T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$7.00		Hrs.			
T8 HB 4ft 3L replacing 150-249W HID(retrofit only)	Ballast model# Lamp model #	\$15.00		Hrs.			
T8 HB 4ft 4L a replacing 250-399W HID(retrofit only)	Ballast model# GE432MAX-H/Ultra Lamp model # F32T8XLSPX50HCVG	\$20.00	6	2000 Hrs.		05/09	120
T8 HB 4ft 6L replacing 400-999W HID (retrofit only)	Ballast model# Lamp model #	\$25.00		Hrs.			
T8 HB 4ft 8L replacing a 400-999W HID(retrofit only)	Ballast model# Lamp model #	\$20.00		Hrs.			
2 fixtures – T8 HB 4ft 8 Lamp (32W) replacing 1,000 W HID (2 for 1 replacement (retrofit only)	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors (heated and cooled enclosed space).
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Eligible T8 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%.
- *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast <i>Fixtures must be permanently retrofitted to the lamp count specified. Reflectors may be utilized to maintain necessary lighting levels.</i>	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced by T8 with delamping							
T8 8ft 1 lamp replacing T12 8 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$5.00		Hrs.			
T8 4ft 2 lamp replacing T12 4ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 4ft 1 lamp replacing T12 4ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.50		Hrs.			
T8 3ft 3 lamp replacing T12 3ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 2 lamp replacing T12 3 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 3ft 1 lamp replacing T12 3 ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 2ft 3 lamp replacing T12 2 ft 4 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 2 lamp replacing T12 2 ft 3 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			
T8 2ft 1 lamp replacing T12 2ft 2 lamp (retrofit only)*	Ballast model# Lamp model #	\$1.50		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%. For 8-foot fluorescent ballasts, the total harmonic distortion shall not exceed 30%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T12 8ft and 4ft fixture replaced by T8 High Performance							
Replace T12 and T12 HO 8' fixtures with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
High Performance T8 4ft 2 lamp fixture replacing T12 8ft 1 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 8ft 2 lamp fixture	Ballast model# Lamp model #	\$5.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 High Output 8ft 1 lamp fixture	Ballast model# Lamp model #	\$10.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 High Output 8ft 2 lamp fixture	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XLSPX41ECO	\$12.50	63	2000Hrs.		05/09	787.5
High Performance T8 4ft 1 lamp fixture replacing T12 4ft 1 lamp	Ballast model# Lamp model #	\$3.00		Hrs.			
High Performance T8 4ft 2 lamp fixture replacing T12 4ft 2 lamp	Ballast model# F28T8/XLSPX41ECO Lamp model # GE232MAX-H/Ultra	\$4.00	22	2000Hrs.		05/09	88
High Performance T8 4ft 3 lamp fixture replacing T12 4 ft 3 lamp	Ballast model# Lamp model #	\$6.00		Hrs.			
High Performance T8 4ft 4 lamp fixture replacing T12 4 ft 4 lamp	Ballast model# Lamp model #	\$8.00		Hrs.			
T-12 4ft fixture replaced by Reduced Wattage T8 Lighting							
Replace standard T12 systems with 4' 25W lamps, 28W lamps, and approved CEE ballast. In order to qualify for incentives, bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: Reduced Watt T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T12 4ft 1 lamp – 34 W	Ballast model# Lamp model #	\$4.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28 W or less & ballast replacing standard T12 4 ft 2 lamp – 34 W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 3 lamp of 28 W or less & ballast replacing standard T12 4 ft 3 lamp – 34 W	Ballast model# Lamp model #	\$7.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28 W or less & ballast replacing standard T12 4 ft 4 lamp – 34 W	Ballast model# Lamp model #	\$9.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Normal or low ballast factor ballasts must be utilized to be eligible.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-12 fixtures replaced with T5 Electronic Ballasts							
T5 4ft (28 watt) 1 lamp replacing T12 4ft 1 lamp (retrofit only)	Ballast model# Lamp model #	\$2.50		Hrs.			
T5 4ft (28 watt) 2 lamp replacing T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.00		Hrs.			
T5 4ft (28 watt) 3 lamp replacing T12 4ft 3 lamp (retrofit only)	Ballast model# Lamp model #	\$5.00		Hrs.			
T5 4ft (28 watt) 4 lamp replacing T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.00		Hrs.			
T5 HO 4ft 1 (54 watt) lamp replacing 34W T12 4ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$3.00		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 34W T12 4ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 2 (54 watt) lamp replacing 60W T12 8 ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$4.50		Hrs.			
T5 HO 4ft 3 (54 watt) lamp replacing 95W T12 HO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$5.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 60W T12 8ft 4 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO 4ft 4 (54 watt) lamp replacing 95W T12 VHO 8ft 2 lamp (retrofit only)	Ballast model# Lamp model #	\$6.50		Hrs.			
T5 HO HB 2L replacing 150-249W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$15.00		Hrs.			
T5 HO HB 3L replacing 250-399W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 4L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$25.00		Hrs.			
T5 HO HB 6L replacing 400-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$20.00		Hrs.			
T5 HO HB 8L replacing 750-999W HID (retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$37.50		Hrs.			
2 fixtures – T5 HO HB 6 Lamp replacing 1,000 W HID (2 for 1 retrofit only) Fixture efficiency	Ballast model# Lamp model #	\$60.00		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-5 lamps.
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- Eligible T5 High Bays must have specular/mirror like or white reflectors and fixture efficiency must be >90%. *Manufacturers spec sheet is required and must indicate that it is a High Bay fixture and the fixture efficiency is > than 90%. If spec sheet does not list efficiency, a photometric report will be required that indicates total fixture (Luminaire) efficiency rating or the 0-180 degree of lamp rating included in the zonal lumen summary chart.*
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Fixtures = Lamps + Ballast Retrofit fixture replacement – 1:1 ratio (except where otherwise indicated)	Ballast and Model Numbers	Incentive per fixture	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
T-8 Fixtures replaced by High Performance T8 Lighting Replace standard T8 systems with High Performance T8 4ft lamps and ballast. Approved lamps and ballasts must be listed on the CEE High performance T8 qualified product list found on the web at www.cee1.org .							
T8 4ft High Performance 1 lamp & ballast replacing standard T8 4ft 1 lamp fixture	Ballast model# Lamp model #	\$2.00		Hrs.			
T8 4ft High Performance 2 lamp & ballast replacing standard T8 4ft 2 lamp fixture	Ballast model# Lamp model #	\$3.00		Hrs.			
T8 4ft High Performance 3 lamp & ballast replacing standard T8 4ft 3 lamp fixture	Ballast model# Lamp model #	\$3.10		Hrs.			
T8 4ft High Performance 4 lamp & ballast replacing standard T8 4ft 4 lamp fixture	Ballast model# Lamp model #	\$6.00		Hrs.			
T-8 Fixtures replaced by Reduced Wattage High Performance T8 Lighting Replace standard T8 systems with 4' 25W lamps, 28W lamps approved CEE ballast OR relamp existing T8 fixtures with reduced wattage T8 lamps 28W or less. In order to qualify for incentives bulbs and ballasts must be from CEE reduced-wattage approved list. To view the CEE Reduced Wattage T8 qualified product list, go to www.cee1.org . Note: reduced wattage T8 compatibility varies; consult manufacturer's literature before specifying products.							
Reduced Wattage T8 4ft 1 lamp of 28W or less & ballast replacing standard T8 4ft 1 lamp – 32W	Ballast model# Lamp model #	\$2.00		Hrs.			
Reduced Wattage T8 4ft 2 lamp of 28W or less & ballast replacing standard T8 4ft 2 lamp – 32W	Ballast model# GE232MAX-L/Ultra Lamp model # F28T8/XLSPX41ECO	\$3.00	2	2000Hrs.		05/09	6
Reduced Wattage T8 4ft 3 lamp of 28W or less & ballast replacing standard T8 4ft 3 lamp – 32W	Ballast model# Lamp model #	\$5.00		Hrs.			
Reduced Wattage T8 4ft 4 lamp of 28W or less & ballast replacing standard T8 4ft 4 lamp – 32W	Ballast model# GE432MAX-L/Ultra Lamp model # F28T8/XLSPX41ECO	\$6.00	29	2000Hrs.		05/09	174
Relamp T8 4ft 32W fixtures with Reduced Wattage T8 lamps 28 watts or less	Ballast model# Lamp model #	\$2.50 / lamp		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All fluorescent fixtures shall utilize electronic ballast and T-8 lamps .
- Ballasts shall have a power factor greater than 90%.
- Ballasts, harmonic distortion shall not exceed 20%.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- Replacement must result in energy savings to qualify.
- High lumen lamp and low ballast factor ballast combinations are expected.
- Reduced watt T8 lamps should not be used in dimming applications unless the lamp and ballast manufacturers have approved a specific application for dimming or frequent switching. May demonstrate dim light, spiraling, pulsing and other undesirable behavior in cooler temperature rooms and while warming up. System performance varies based on lamp or ballast components.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

CFL Lamps and Fixtures	Incentive	Qty	Annual Operating Hours (minimum of 1800)	Equipment Cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
42W 8 lamp HB CFL replacing 400W HID (retrofit only) Model Number	\$25.00		Hrs.			
CFL – Screw In (lamp only) replacing an incandescent (retrofit only) Model Number	\$0.75 / lamp		Hrs.			
CFL – Screw-In dimmable or 3-way bulb replacing an incandescent dimmable or 3-way bulb (retrofit only) Model Number	\$1.00 / lamp		Hrs.			
CFL – Hardwired Fixture replacing incandescent fixture (only pin based CFL's qualify) Model Number	\$5.00 / fixture		Hrs.			
Up to 30W CFL Flood Lamp with Reflector replacing 100W or less incandescent (retrofit only) Model Number	\$1.50 / lamp		Hrs.			
33W – 115W CFL lamp replacing 100 W or more incandescent Model Number	\$2.50 / lamp		Hrs.			
Energy Star LED Lamps						
Replace incandescent bulbs with Energy Star LED (retrofit only) <i>LED lamps must be listed on the Energy Star Qualified Light Bulbs list to qualify.</i> http://www.energystar.gov/index.cfm?fuseaction=iledl.display_products_pdf Model Number	\$5.00 / lamp		Hrs.			
Replace 60-100W incandescent with ENERGY STAR qualified LED downlight 18 Watts or less. (retrofit only) <i>Product must appear on ENERGY STAR Qualified LED Lighting qualified products list, and must contain the word "downlight".</i> http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pd Model Number	\$7.50 / fixture		Hrs.			

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.

Metal Halide						
320W Pulse Start Halide replacing 400W HID (retrofit only) **check one <input type="checkbox"/> R <input type="checkbox"/> FE Model Number	\$12.50			Hrs.		
Ceramic Metal Halide						
20W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 100 W Model Number	\$15.00			Hrs.		
39W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen of at least 150 W Model Number	\$15.00			Hrs.		
50W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 195W Model Number	\$15.00			Hrs.		
70W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogen for a total of 225W Model Number	\$15.00			Hrs.		
100W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 270W Model Number	\$15.00			Hrs.		
150W Ceramic Metal Halide fixture replacing <input type="checkbox"/> Incandescent or <input type="checkbox"/> Halogens for a total of 360W Model Number	\$15.00			Hrs.		
25 W or less Ceramic Metal Halide with integral ballast replacing 70 W or greater incandescent flood light Model Number	\$5.00/lamp			Hrs.		

- Replacement must result in energy savings to qualify.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Incentives for pulse start metal halide fixtures are for 320w pulse start metal halide lamp/ballast combinations. In a retrofit application, the fixture must be hard-wired ballast retrofit or new fixture. Screw in retrofit lamps do not qualify. Pulse start lamp wattage must be lower than existing probe start lamp wattage.
- Ceramic Metal Halide Incentive is for complete hardwired fixtures containing ceramic metal halide lamp and electronic ceramic metal halide ballast.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Measure	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
21" Tubular Skylight/Light Tube (at least one light fixture per light tube must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies) Check One ** <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$37.50 / fixture					
LED Exit Signs (replacing or retrofitting existing incandescent or compact fluorescent exit sign) Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$5.00 / fixture	3	8760		05/09	15
LED Lighting In Reach-in Freezer or Cooler Case (replacing fluorescent fixtures) Model Number	\$25.00 / door					
LED Case Lighting Sensor Controls Check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number Model Number	5.00 / sensor					
Under 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$10.00 / sensor					
Over 500 W connected to sensor check one <input type="checkbox"/> R <input type="checkbox"/> NC <input type="checkbox"/> FE Model Number	\$20.00 / sensor					

- Replacement must result in energy savings to qualify
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Lighting circuits should be installed with a neutral wire that has the same size conductor as the line load.
- All fixtures shall be installed indoors except where specifically stated.
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- Tubular Skylight requires at least one light fixture per light tube that must be controlled by a "daylight" sensor (no additional daylight sensor incentive applies)
- LED exit signs shall use 5 watts or less including the battery charger when active. They must meet State Fire Marshal codes and be UL rated.
- Occupancy Sensors (under and over 500) must be either wall, ceiling, or fixture mounted. Rapid or programmed start ballasts are recommended for fluorescent fixtures.
- Occupancy Sensors (under 500W) installed on or built into High Bay fixtures are eligible for incentives.
- LED Lighting in Reach-in Freezer or Cooler Case: Must install a LED lighting system and replace (or in lieu of) a fluorescent lighting system for reach-in refrigerated display case.
- Fluorescent magnetic ballasts cannot be used to power the LED case lighting system. Existing fluorescent fixture end connectors and ballasts must be removed.
- LED case lighting system must be a permanently installed luminaire. LED lamps that install into fluorescent lamp sockets are not eligible for incentives.
- LED Case Lighting Sensor Controls may only be installed with LED lighting systems. End of aisle and individual case sensors qualify.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Outdoor Lighting	Incentive	Qty	Annual Operating Hrs (minimum of 1800)	Equipment cost (w/o labor)	Date Installed and Operable (mm/yy)	Total Incentive
Exterior LED or Induction fixture replacing up to 175W HID Model Number	\$20 / fixture					
Exterior LED or Induction fixture replacing 176W – 250W HID Model Number	\$25 / fixture					
Exterior LED or Induction fixture replacing 251W – 400W HID Model Number HH-ILS-CDS250-5K	\$40 / fixture	8	2000	3629	05/09	320
Exterior LED or Induction fixture replacing > 400 W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing up to 175 W HID Model Number	\$50/ fixture					
Garage LED or Induction fixture replacing 176W – 250W HID Model Number	\$75/ fixture					
Garage LED or Induction fixture replacing 251W – 400 W HID Model Number	\$125/ fixture					
Garage LED or Induction fixture replacing > 400 W HID Model Number	\$200/ fixture					
LED Auto Traffic Signals (replacing incandescent) Model Number	\$6.25 / lamp					
LED Pedestrian Signals (replacing incandescent) Model Number	\$12.50/ signal					

- Replacement must result in energy savings to qualify
- All fixtures, lamps and ballasts must be UL certified and meet all applicable codes and regulations.
- All fixtures must operate a minimum of 1,800 hours to be eligible.
- All equipment must be **new** to be eligible for incentives. Used equipment is **not** eligible for incentives.
- Outdoor and garage **LED and** induction lighting must result in a total power **reduction** of 40% or more.
- Outdoor and garage LEDs should be listed on either the Energy Star or Design Lights consortium qualifying products lists:
 1. http://www.energystar.gov/index.cfm?fuseaction=ssl.display_products_com_pdf
 2. <http://www.designlights.org/documents/NEEPDLCQPL.xls>
- Traffic and pedestrian signals using LED lights must replace conventional incandescent signals.
- Incentive capped at 50% of the equipment cost.
- New construction or replacement of failed equipment must apply for Self Direct Custom program.

Program Requirements

Incentive Eligibility

- Incentives are only available to customers on a Duke Energy Ohio non-residential rate.
- Duke Energy Customers who purchase electric generation from an alternative supplier are eligible to participate.
- Incentive will not be paid until eligible equipment has been installed, is available to operate, and verification has been completed by Duke Energy staff as noted in the Term & Conditions stated below.
- Duke Energy reserves the right to revise incentive levels and/or qualifying efficiency levels at any time.
- Customer may assign the incentive to the vendor who installed/supplied the equipment. The customer's signature is required in the Payment Information section on page 1 of this form to assign the incentive to the vendor. Customer agrees that such an action constitutes an irrevocable assignment of the incentive. This assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount.
- Leased equipment is eligible for incentives providing the equipment meets the program requirements and the customer provides the required documentation noted on the Incentive Application Process page of this application.
- Any equipment which, either separately or as part of a project, has or will receive an incentive from any other Duke Energy program is ineligible.
- In no case will Duke Energy pay an incentive above the actual cost of the new equipment.
- Incentive recipient assumes all responsibilities for any tax consequences resulting from Duke Energy incentive payment.
- To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a 3rd party vendor. The 3rd party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the 3rd party vendor have signed a confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Terms and Conditions

I certify that this premise is served by Duke Energy (or an affiliate of Duke Energy), that the information provided herein is accurate and complete, and that I have purchased and installed the high efficiency equipment (indicated herein) for the business facility listed herein and not for resale. Attached is an itemized invoice for the indicated installed equipment. I understand that the proposed incentive payment from Duke Energy is subject to change based on verification and Duke Energy approval. I agree to Duke Energy verification of both the sales transaction and equipment installation which may include a site inspection from a Duke Energy representative or Duke Energy agent. I understand that I am not allowed to receive more than one incentive from Duke Energy on any piece of equipment. I also understand that my participation in the program may be taxable and that my company is solely responsible for paying all such taxes. I hereby agree to indemnify, hold harmless and release Duke Energy and its affiliates from any actions or claims in regards to the installation, operation and disposal of equipment (and related materials) covered herein including liability from an incidental or consequential damages. Duke Energy does not endorse any particular manufacturer, product or system design within these programs; does not expressly or implicitly warrant the performance of installed equipment (Contact your contractor for details regarding equipment warranties) and is not liable for any damage caused by the installation of the equipment nor for any damage caused by the malfunction of the installed equipment.

Incentive Application Instructions

IMPORTANT NOTICE

Delays in processing incentive payments will occur if required documentation is not included with completed application(s).

1. Contact Duke Energy toll free at 866-380-9580 to confirm customer eligibility. Applications are available for download at www.duke-energy.com.
2. Review program and equipment requirements on the incentive application. (Page7)
3. Purchase and install eligible energy-efficient equipment.
4. Complete and submit application for equipment that was installed after 1/1/2008.
5. **The following items must be included to verify projects. If they are not included, it will delay payment of incentive.**
 - A. Itemized invoice for all equipment installed to include:
 - a. Equipment cost
 - b. Quantity per equipment type installed
 - c. Model # for each equipment type
 - d. Manufacturer's data sheet for each equipment model #.
 - B. **Make sure the account number provided on the cover page (customer information section) is associated with the location where the equipment was installed. If the account # does not match the address where the equipment was installed, the application will be rejected as ineligible.**
 - C. Provide required tax ID# for payee.
 - D. Customer must sign and date the application after reviewing the Terms and Conditions. If customer wishes to **assign payment of the incentive directly to the vendor**, the customer should circle the appropriate payee in the Payment Information section of the application and sign their name to authorize payment.
6. Duke Energy may require site verification of projects that have been self-installed, prior to payment of incentive.
8. Email the complete, signed application with all required documents to SelfDirect@duke-energy.com or fax to 513-419-5572.
8. A percentage of equipment installations will be site verified for quality assurance purposes. Once selected, a Duke Energy representative will contact the customer to arrange for the inspection. All incentive payments related to the project will be withheld until site verification is complete. There is no charge to the customer for these inspections.

Mercantile Self Direct Rebate Program Requirements for Vendor Participation

Program Overview

- Duke Energy offers its eligible non-residential customers the opportunity to increase profitability through energy cost savings and contribute to a cleaner environment by participating in our Mercantile Self Direct Incentive Program.
- Under the Duke Energy Mercantile Self Direct Incentive Program, Vendor is defined as any third party who:
 - Promotes the sale and installation of the high efficiency equipment for the customer. The Vendor will ensure that the eligible equipment is installed and operating before submitting the application or assisting the customer in completing the application.
 - Is responsible for the product sale only and is not required to ensure installation of the eligible equipment.
- All license requirements, if any, are solely the Vendor's responsibility. Participating Vendors include equipment contractors, equipment Vendors, equipment manufacturers and distributors, energy service companies, etc. The typical Vendor role is to contact/solicit eligible customers building new or retrofitting existing facilities and encourage the installation of the energy-efficient equipment offered in Duke Energy's program.
- Incentives are paid directly to customers unless the customer assigns the incentive to the Vendor. The assigned incentive must reduce the purchase price paid for the equipment by an equivalent amount. Incentives are taxable to the entity who receives the rebate check. Rebates greater than \$600 will be reported to the IRS unless documentation of tax exempt status is provided.

Vendors can sign up to be on Duke Energy's Web site as a participating Vendor and be added to Duke Energy's e-mail distribution by emailing the Vendor Participation Agreement (VPA) to SelfDirect@duke-energy.com or faxing to **513-419-5572**.
- Vendors may not represent to customers that Duke Energy endorses their specific products or services. Duke Energy does not endorse specific products, services, or companies – only energy-efficient technologies.
- Vendors may advise customers of their option to have Duke Energy make their rebate check(s) payable to the Vendor if the customer's rebate amount is being deducted from the total sale price in advance. The customer must complete and sign the Payment Release Authorization section of the Mercantile Self Direct Incentive Program Application.
- Vendors may use the words "Duke Energy's Mercantile Self Direct Incentive Program" in promotional materials or advertisements. Vendors may use the name Duke Energy in a text format to describe the Mercantile Self Direct Incentive Program, but are not permitted to use Duke Energy's logos.
- For Vendors who properly install the qualifying equipment, the equipment shall be installed and operating prior to an application being submitted. A percentage of each Vendor's installations will be subject to inspection by Duke Energy for verifying that the equipment is installed and operating. Vendors demonstrating high failure rates (based on a statistically significant sample) will have 100% of subsequent jobs inspected or may have their participation in the Mercantile Self Direct Incentive Program revoked by Duke Energy in its sole discretion.
- Vendors shall provide customers with applicable equipment warranty information for all measures installed. Vendors shall provide the required documentation for customers to apply for the rebate (invoices with model numbers and quantities, specification sheets for installed equipment, etc.) and assist customers in filling out the application.
- Vendors shall comply with all applicable local, state, and federal laws and codes when performing installation and related functions.

Guidelines for Vendor Activities

- Vendors shall sign and return the attached VPA to Duke Energy prior to soliciting customer participation or when submitting an application. Rebate payments will not be released to a Vendor unless a signed VPA is on file.
- Vendors shall not misrepresent the nature of their role in the program. In particular, Vendors shall not state or imply to customers, or any persons, that the Vendor is employed by or working on Duke Energy's behalf.
- Duke Energy reserves the right to revoke a Vendor's participation in Mercantile Self Direct Incentive Program if, in Duke Energy's sole judgment, the Vendor fails to comply with the program's guidelines and requirements.
- Mercantile Self Direct Incentive Program offerings may be modified or terminated without prior notice. Check Duke Energy's Web site for current program status.

For more information, call **1-866.380.9580** or visit www.duke-energy.com.



Mercantile Self Direct Incentive Program

Technology	Responsible for sales and not installs*	Responsible for sales and Installation*	Technology	Responsible for sales and not installs*	Responsible for sales and Installation*
Lighting	<input type="checkbox"/>	<input type="checkbox"/>	Thermal Storage	<input type="checkbox"/>	<input type="checkbox"/>
Heating Ventilation & Cooling	<input type="checkbox"/>	<input type="checkbox"/>	Pumps/Motors/VFD's	<input type="checkbox"/>	<input type="checkbox"/>
Food Service	<input type="checkbox"/>	<input type="checkbox"/>	Chillers	<input type="checkbox"/>	<input type="checkbox"/>
Water Heating	<input type="checkbox"/>	<input type="checkbox"/>	Refrigeration	<input type="checkbox"/>	<input type="checkbox"/>
Process Equipment (air compressors, injection molding, etc.)	<input type="checkbox"/>	<input type="checkbox"/>	Window Film	<input type="checkbox"/>	<input type="checkbox"/>

* Check all that apply

Vendors who wish to be listed as a Mercantile Self Direct Incentive Program participating Vendor shall complete this form. A signed copy of this form must be on file at Duke Energy in order for the Vendor to receive incentive payments. Fax form to **513-419-5572** or email to SelfDirect@duke-energy.com.

I have read and understand the Mercantile Self Direct Incentive Program Requirements for Vendor Participation, and I agree to comply with all requirements set forth therein. By signing this agreement, I agree to provide my customers with information and documentation that is true and accurate to the best of my knowledge. I hereby represent and warrant that the Tax ID and Vendor Tax Status provided below are true and accurate. I agree that any confidential information concerning my customer, including but not limited to Duke Energy service account information, will be used for the sole purpose of facilitating the customer's participation in the Mercantile Self Direct Incentive Program. Further, I understand that I am responsible for making sure everyone working for me understands the requirements prior to soliciting customer participation.

Vendor Federal Tax ID Number	
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To qualify for Duke Energy incentives, applicants who provide their social security number as their federal tax identification number for tax purposes must sign and return the "Customer consent to release personal information" form ("Consent Form") along with the application. Incentive applications are processed by a third-party vendor. The third-party vendor is responsible for mailing the 1099 form at the end of the calendar year for tax filing. Duke Energy and the third-party vendor have signed confidentiality agreement to protect your personal information. If your social security number is your federal tax ID number and you elect not to sign the Consent Form, please do not send Duke Energy the application, as you will not be qualified to participate in the incentive program.

Vendor Tax Status	<input type="checkbox"/> Corporation	<input type="checkbox"/> Individual/Sole Proprietor	<input type="checkbox"/> Partnership	<input type="checkbox"/> Other
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Contact me via	<input type="checkbox"/> Phone	<input type="checkbox"/> E-Mail	<input type="checkbox"/> Mail
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Company Name	
Mailing Address	
City, State, Zip	
Phone/Fax	
Primary E-mail Address	
Secondary E-mail Address	
Vendor Signature	
Title	
Print Name	
Date	

For more information, call 1-866-380-9580 or visit www.duke-energy.com.

Earthwell Energy Services
4443 Old Springfield Rd
Vandalia OH 45377

Invoice No. x-2
Duke Account # 88102191

Sold To:
 Bruner Corp
 3637 Lacon Rd
 Hilliard OH 43026

PAID

Equipment installed at address below:

Name: Loveland City Schools
Address: 600 Loveland Madeira Rd
City: Loveland **State:** OH **45140**

Date: January, 2010

Quantity	Product Description	Unit Cost	Extended Cost
16	T8 HB 6L IMX 632	\$205.12	\$3,281.92
236	LW T8 4ft 2L (GE 232 MAX L Ultra, F28T8/XL/SPX41ECO)	\$26.00	\$6,136.00
5	Lw T8 4ft 3L (GE 332 MAX L Ultra, F28T8/XL/SPX41ECO)	\$28.92	\$144.60
367	LW T8 4ft 4L (GE 432 MAX L Ultra, F28T8/XL/SPX41ECO)	\$35.00	\$12,845.00
22	LED Exit Skyline SLX	\$72.33	\$1,591.26
81	OCC sensor DT 355	\$143.44	\$11,618.64
6	Induction Shoebox 37250	653.7	\$3,922.20
	Total Product Cost		\$39,539.62
1	Other Costs (Labor, Disposal, Recycling, Lifts/Equipment, Freight, Mobilization, Project Management, Supervision)		
			\$39,539.62

Earthwell Energy Services
4443 Old Springfield Rd
Vandalia OH 45377

Invoice No. a-3
Duke Account # 82802226

PAID

Sold To:
 Bruner Corp
 3637 Lacon Rd
 Hilliard OH 43026

Equipment installed at address below:

Name: Loveland City Schools
Address: 570 Loveland Madeira Rd
City: Loveland **State:** OH **45140**

Date: January, 2010

Quantity	Product Description	Unit Cost	Extended Cost
6	T8 HB 4L IMX 432	\$187.60	\$1,125.60
2	LW T8 4ft 2L (GE 232 MAX L Ultra, F28T8/XL/SPX41ECO)	\$26.00	\$52.00
29	LW T8 4ft 4L (GE 432 MAX L Ultra, F28T8/XL/SPX41ECO)	\$35.00	\$1,015.00
3	LED Exit Skyline SLX	\$72.33	\$216.99
8	Induction HH II S GDS 250	\$556.60	\$4,444.80
	Total Product Cost		\$6,854.39
1	Other Costs (Labor, Disposal, Recycling, Lifts/Equipment, Freight, Mobilization, Project Management, Supervision)		
			\$6,854.39

Earthwell Energy Services
4443 Old Springfield Rd
Vandalia OH 45377

Invoice No. x-2
Duke Account # 6102191

Sold To:
 Bruner Corp
 3637 Lacon Rd
 Hilliard OH 43026

PAID

Equipment installed at address below:

Name: Loveland City Schools
Address: 550 Loveland Madeira Rd
City: Loveland **State:** OH **45140**

Date: January, 2010

Quantity	Product Description	Unit Cost	Extended Cost
20	T8 HB 6L IMX 632	\$205.12	\$4,102.40
55	LW T8 4ft 2L (GE 232 MAX L Ultra, F28T8/XL/SPX41ECO)	\$26.00	\$1,430.00
31	Lw T8 4ft 3L (GE 332 MAX L Ultra, F28T8/XL/SPX41ECO)	\$28.92	\$896.52
685	LW T8 4ft 4L (GE 432 MAX L Ultra, F28T8/XL/SPX41ECO)	\$35.00	\$23,975.00
22	LED Exit Skyline SLX	\$72.33	\$1,591.26
68	OCC sensor DT 355	\$143.44	\$9,753.92
70	CFL GE15516	7.35	\$514.50
	Total Product Cost		\$42,263.60
1	Other Costs (Labor, Disposal, Recycling, Lifts/Equipment, Freight, Mobilization, Project Management, Supervision)		
			\$42,263.60

Earthwell Energy Services
4443 Old Springfield Rd
Vandalia OH 45377

Invoice No. rev
Duke Account # 80900887

Sold To:
 Bruner Corp
 3637 Lacon Rd
 Hilliard OH 43026

PAID

Equipment installed at address below:

Name: **Loveland City Schools**
 Address: **1 Tiger Trace**
 City: **Loveland** State **OH** 45140

Date: January, 2010

Quantity	Product Description	Unit Cost	Extended Cost
106	T8 HB 6L IMX 632	\$205.12	\$21,742.72
12	T8 HB 4L IMX 432	\$187.60	\$2,251.20
612	LW T8 4ft 2L (GE 232 MAX L Ultra, F28T8/XL/SPX41ECO)	\$26.00	\$15,912.00
645	Lw T8 4ft 3L (GE 332 MAX L Ultra, F28T8/XL/SPX41ECO)	\$28.92	\$18,653.40
717	LW T8 4ft 4L (GE 432 MAX L Ultra, F28T8/XL/SPX41ECO)	\$35.00	\$25,095.00
42	LED Exit Skyline SLX	\$72.33	\$3,037.86
145	OCC sensor DT 355	\$143.44	\$20,798.80
17	Induction Wall Pack OV 26150FK	\$659.42	\$11,210.14
47	Induction Shoebox 37250	653.7	\$30,723.90
7	CFL GE15516	7.35	\$51.45
	Total Product Cost		\$149,425.02
1	Other Costs (Labor, Disposal, Recycling, Lifts/Equipment, Freight, Mobilization, Project Management, Supervision)		
			\$149,425.02

