



PO BOX 194 HARRINGTON PARK, NEW JERSEY 07640

ANNUAL COMPLIANCE STATEMENT

Certified facility name: Mother Ann Lee Hydroelectric Project (FERC No. 539)
Location: Lock and Dam 7 on the Kentucky River, Kentucky
LIHI Certificate Number: 000024
Date of Issuance: December 28, 2012
Statement period: August 11, 2012 - August 11, 2013

Statement

I declare that during the period from August 11, 2012 through August 11, 2013 in regards to the Mother Ann Lee Hydroelectric Project (FERC No. 539), there has been:

1. No violation of the Low Impact criteria (including any special standards described in the certificate);
2. No violation of the Certification Use Requirements;
3. No change in conditions relevant to the certification; and
4. No notice of violation or non-compliance relevant to the facility's certification from any government agency.

I understand that this annual statement is in addition to, and not in lieu of, my responsibility to report any such violations or changes during the course of the year. I understand that this annual statement is a requirement for maintaining the Low Impact certification of the West Springfield Project, and that any material misrepresentation of fact may result in the revocation of that certification.

Date: Sept 8, 2013

Name: David Brown Kinloch
Please print

President/CEO, Lock 7 Hydro Partners, LLC

Signature: *David Brown Kinloch*



PO BOX 194
Harrington Park, New Jersey 07640
Tel. 865-719-4794
www.lowimpacthydro.org

September 3, 2013

David Brown Kinloch, President/CEO
Lock 7 Hydro Partners, LLC
414 South Wenzel Street
Louisville, KY 40204

Subject: **Mother Ann Lee Hydroelectric Project- LIHI Certificate No. 000024
Annual Compliance**

Dear Mr. Kinloch:

Our records show that the annual compliance statement required to maintain your LIHI certification has come up for renewal on August 11, 2013. Please fill out and sign the enclosed compliance statement and return to me at your earliest convenience by US mail or by a digital scan via email to dhall@lowimpacthydro.org.

If you are unable to sign the compliance statement because of changed circumstances or other events, please contact me either by telephone or e-mail.

Sincerely,

A handwritten signature in black ink that reads "Dana Hall". The signature is written in a cursive, flowing style.

Dana Hall, Deputy Director
Low Impact Hydropower Institute
