

August 22, 2012

Ms. Barcy F. McNeal, Secretary Public Utilities Commission of Ohio 180 East Broad Street, 11th Floor Columbus, OH 43215-3716

Re: Case No. 12-003-GE-RPT

Dear Ms. McNeal,

Please find enclosed the Annual Reports of Duke Energy Retail Sales, LLC, as a Competitive Retail Electric Service provider and a Competitive Retail Natural Gas Service provider. These reports are being re-filed as requested, in order to provide the Commission with more legible copies.

Should there be any questions, please contact me.

Sincerely,

Jeanne W. Kingery

Associate General Counsel

155 East Broad St

21st Floor

Columbus, OH 43215

Public Utilities Commission Ohio Annual Reports

Step 1: Cover Page

Step 2: Identity of Respondent

Step 4: Contact Persons

Step 5: Verification and Oath

Duke Energy Retail Sales, LLC

Cover Page

Step 3: Intrastate Gross Earnings For the provision of (check all

that apply):

Aggregator F

Governmental Aggregator

Power Marketer

Retail Electric Generation Provider

Power Broker

Principal Place of Business:

Legal Name Duke Energy Retail Sales, LLC

Website URL http://retail.duke-energy.com

Address 139 East Fourth Street

City Cincinnati

County Hamilton

State Ohio

Zip Code 45202

Phone '(999) 9999-9999' (513) 287-2725

Address of Principal Business Office at end of year Cincinnati,OH 45202

Filer:

Name John Finnigan

Title Account Executive

Address 139 East Fourth Street

Email john.finnigan@duke-energy.com

Phone '(999) 9999-9999' (513) 287-2725

Fax (513) 287-2706

Public Utilities Commission Ohio Annual Reports

Step 1: Cover Page

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Duke Energy Retail Sales, LLC Identity of Respondent

- State whether respondent is a corporation, a joint stock association, a firm or partnership, or an individual.

 Limited liability corporation a joint stock association, a firm or partnership, or an individual.
- 2. Identify names of affiliate and subsidiary companies of the respondent.
- 3. Date 'mm/dd/yyyy' when respondent began operations in Ohio.
- 4. If a consolidated or merged company, give names of each such incident, date, and Commission authority. If a reorganized company, give name of original corporation.
- 5. If incorporated specify:
- a. Date 'mm/dd/yyyy' of filing of articles of incorporation.
- b. State in which incorporated.
- Ohio certificate number, case number granting authority and date issued.
- 7. State whether or not the respondent during the year conducted any part of its business under a name or names other than that shown on Title Page. If so, give full particulars.
- 8. Description of Ohio service territory served by respondent.

Duke Energy Retail Sales, LLC is an indirect subsidiary of Duke Energy Corporation and is also an affiliate of Duke Energy Ohio, Inc. 10/7/2004

Duke Energy Retail Sales, LLC was formerly known as Cinergy Retail Sales, LLC. Duke Energy Retail Sales, LLC notified the

12/9/2003

Delaware

Certificate No. 04-124E(4)

Case No. 04-1323-EL-CRS
Issued on 12/3/2010

No

All of Ohio

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Duke Energy Retail Sales, LLC

Statement of Intrastate Gross Receipts and kWh Sales*

Step 3: Intrastate Gross Earnings NOTE: Please enter whole numbers without dollar signs

or commas

Sales:

Residential (kWh) 1177513 Commercial (kWh) 3610671 Industrial (kWh) 3841102 Other (kWh) 805891 TOTAL SALES (kWh) 9435177

Earnings:

Residential (\$) \$82,190,935 Commercial (\$) \$214,491,650 Industrial (\$) \$179,749,671 Other (\$) \$43,298,326

TOTAL EARNINGS (\$) \$519,730,582

* Instructions: This information is used for PUCO annual assessment purposes pursuant to Section 4905.10, Revised Code. The reporting company shall report its intrastate gross earnings for the provision of retail services (e.g. Retail Electric Generation, Broker, Marketer, Governmental Aggregator) for which it is subject to certification by the PUCO under Section 4928, Revised Code. In addition, power providers please provide all corresponding sales of kilowatt hours of electricity. Sales of kilowatt hours of electricity are deemed to occur at the meter of the retail customer. The reporting company shall maintain supporting and/or subsidiary records to separately record receipts and sales of electricity derived from operations other than in Ohio. Information presented herein is subject to audit by the PUCO.

Edit

Public Utilities Commission Ohio Annual Reports

Step 1: Cover Page **Duke Energy Retail Sales, LLC** Step 2: Identity of Respondent **Contact Persons** Step 3: Intrastate Gross Earnings IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE/INVOICES IS/ARE DIRECTED TO Step 4: Contact Persons THE APPROPRIATE PERSON AT THE CORRECT Step 5: Verification and Oath ADDRESS, PLEASE COMPLETE THE FOLLOWING. Name, Title, E-mail, Address, and Phone Number of the Company's Contact Person Name John Finnigan Title Account Executive Email john.finnigan@duke-energy.com 139 East Fourth Street Cincinnati, Ohio 45202 Address Phone Number '(999) 9999-9999' (513) 287-2725 Name, Title, E-mail, Address, and Phone Number of Person to whom Invoice should be Directed Name Mark Chastain Title Manager, Accounting Email mark.chastain@duke-energy.com 139 East Fourth Street Cincinnati, Ohio 45202 Address Phone Number '(999) 9999-9999' (513) 287-2563 Name and Address of the President President's Name Charles R Whitlock, President 139 East Fourth Street Cincinnati, Ohio 45202 President's Address Edit Go to next section >

VERIFICATION

The foregoing report must be verified by the President or Chief Officer of the company.

OATH

State of Ohio County of Hamilton

makes oath and says that Matthew M. Walz

s/he is VP Marketing

of Duke Energy Retail Sales, LLC

that s/he has examined the foregoing report; that to the best of her/his knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including January 1, 2011 to and including December 31, 2011.

(Signature of affiant.)

Sworn and subscribed before me this 27^{11} day of

My commission expires on

JOHN J. FINNIGAN, JR. Attorney at Law NOTARY PUBLIC. STATE OF THE

date, Section 147.05 O.F.

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Duke Energy Retail Sales, LLC

Cover Page

Step 3: Intrastate Gross Earnings For the provision of (check all

that apply):

Retail Natural Gas Supplier

Retail Natural Gas Marketer 🔽

Retail Natural Gas Broker

Retail Natural Gas Aggregator

Other [

Other Description

Principal Place of Business:

Legal Name Duke Energy Retail Sales, LLC

Website URL http://retail.duke-energy.com

Address 139 East Fourth Street

City Cincinnati

County Hamilton

State Ohio

Zip Code 45202

Phone '(999) 9999-9999' (513) 287-2725

Address of Principal Business Office at end of year Cincinnati, OH 45202

Filer:

Name John Finnigan

Title Account Executive

Address 139 East Fourth Street, Cincinnati, OH 45202

Email john.finnigan@duke-energy.com

Phone '(999) 9999-9999' (513) 287-2725

Fax (513) 287-2706

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Duke Energy Retail Sales, LLC Identity of Respondent

- Step 3: Intrastate Gross Earnings 1. Identify respondent's form of business organization: sole proprietorship, partnership, corporation, or other (explain).
 - shown on title page) under which respondent Duke Energy Retail Sales, LLC is an indirect 2. Identify any other names (other than conducted any part of its business during the year. Provide full particulars.
 - 3. Identify PUCO Case Number (and date issued 'mm/dd/yyyy') granting authority to operate as a CRNGS provider.
 - 4. Check all service territories in Ohio served by respondent:

subsidiary of Duke Energy Corporation and is also an affiliate of Duke Energy Ohio, Inc. Duke 💽

Case No. 10-0880-GA-CRS 10-192G(1) - 07/27/2010 10-193G(1) - 09/14/2010

Limited liability corporation

Columbia Gas of Ohio

Dominion East Ohio Duke Energy Ohio F Vectren Energy Delivery of Ohio □ Other |

Other Description

5. Website URL.

http://retail.duke-energy.com

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Duke Energy Retail Sales, LLC

Statement of Intrastate Gross Earning *

Step 3: Intrastate Gross Earnings NOTE: Please enter whole numbers without dollar signs

or commas

Choice Program Sales:

Retail Sales (Mcf) 23321 Aggregation Sales (Mcf) 0 TOTAL SALES (Mcf) 23321

Choice Program Revenues:

Retail Revenues (\$)\$116,990 Aggregation Revenues (\$) \$0 **TOTAL REVENUES (\$) \$116,990**

* The information reported on this form should refer only to those sales and revenues for which certification pursuant to Section 4929.20, Revised Code, is required. Natural gas Standard Choice Offer (SCO) providers should include such SCO sales and revenues as part of Choice Program Retail Sales.

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Duke Energy Retail Sales, LLC

Contact Persons

IN ORDER TO ENSURE THAT PUCO CORRESPONDENCE/INVOICES IS/ARE DIRECTED TO THE APPROPRIATE PERSON AT THE CORRECT ADDRESS, PLEASE COMPLETE THE FOLLOWING.

Name, Title, E-mail, Address, and Phone Number of the Company's Contact Person

Name John Finnigan
Title Account Executive

Email john.finnigan@duke-energy.com

139 East Fourth Street Cincinnati, Ohio 45202

Phone Number '(999) 9999-9999' (513) 287-2725

Name, Title, E-mail, Address, and Phone Number of Person to whom Invoice should be Directed

Name Mark Chastain
Title Manager, Accounting

Email mark.chastain@duke-energy.com

139 East Fourth Street Cincinnati, Ohio 45202

Phone Number '(999) 9999-9999' (513) 287-2563

Name and Address of the President

President's Name Charles R Whitlock, President

President's Address Cincinnati, Ohio 45202

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VERIFICATION

The foregoing report must be verified by the President or Chief Officer of the company.

OATH

State of Ohio County of Hamilton

Matthew M. Walz makes oath and says that

s/he is VP Marketing

of Duke Energy Retail Sales, LLC

that s/he has examined the foregoing report; that to the best of her/his knowledge, information, and belief, all statements of fact contained in the said report are true and the said report is a correct statement of the business and affairs of the above-named respondent in respect to each and every matter set forth therein during the period from and including January 1, 2011 to and including December 31, 2011.

My commission expires on

JOHN J. FINNIGAN, JR. Attorney at Law NOTARY PUBLIC, STATE OF THIO My commission has no expiration note. Section 187,03 Oct. 1.