

DIS Case Number: 12-0921-GA-AGG

Section A: Application Information

A-1. Provider type:

☒ Retail Natural Gas
Broker

☒ Retail Natural Gas
Aggregator

☐ Retail Natural Gas
Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Summit Power Brokers LLC

Country: United States

Phone: 3302080335 **Extension (if applicable):**

Street: 4381 Hudson Drive

Website (if any):
www.summitpowerbrokers.com

City: Stow

Province/State: OH

Postal Code: 44224

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Summit Power Brokers, LLC	Official Name	4381 Hudson Drive Stow, OH 44224	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Summit Power Brokers, LLC	Official Name	4381 Hudson Drive Stow, OH 44224	Yes	File

A-5. Contact person for regulatory matters

Robert Haehn
4381 Hudson Drive
Stow, OH 44224
US
rob@summitpowerbrokers.com
3302080335

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Robert Haehn
4381 Hudson Drive
Stow, OH 44224
US
rob@summitpowerbrokers.com
3302080335

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 3302771240	Extension (if applicable):	Country: United States
Fax: 330-319-8999	Extension (if applicable):	Street: 4381 Hudson Drive
Email: rob@summitpowerbrokers.com	City: Stow	Province/State: OH
	Postal Code: 44224	

A-8. Applicant's federal employer identification number

45-5045424

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Columbia Gas of Ohio
Dominion Energy Ohio
Duke Energy Ohio
CenterPoint Energy Ohio

Class of customer selection

Industrial
Small Commercial
Large Commercial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 06-01-2012

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Robert Haehn	rob@summitpowerbrokers.com	President	4381 Hudson Drive Stow, OH 44224 US

A-13. Company history

Robert C. Haehn started working in Ohio's deregulated energy industry in October 2009 when he accepted a position as a Business Development Manager with an alternative electric supplier. As a lifelong resident of Ohio, Mr. Haehn was well positioned to work with business customers in his home state. In November 2010 Mr. Haehn became an independent agent and in 2012 he applied and was approved for an electric and natural gas brokerage license by The Public Utilities Commission of Ohio. He became active with his license in June 2012.

Since acquiring his own license and starting Summit Power Brokers, a commercial energy brokerage firm, Mr. Haehn has acquired a large number of commercial accounts as of 12-31-2023. Mr. Haehn aligned his company with an energy brokerage firm that significantly expanded the product offering to his customers. This strategic alliance also positions Mr. Haehn with the ability to broker energy service across all deregulated states as an independent agent. Mr. Haehn benefits from client referrals but mostly uses a strategy of cold calling to expand his customer base.

The principal business interests of Mr. Haehn and his energy brokerage firm are to provide energy brokerage services to commercial customers in Ohio. Summit Power Brokers is also a licensed electric and natural gas broker in Pennsylvania and Texas. The company does have plans to expand services to other deregulated states and will communicate any material changes as they occur to The Public Utilities Commission of Ohio.

A-14. Secretary of State

Secretary of State Link:

A-15. Proof of Ohio Employee and Office

Provide proof of an Ohio Office and Employee in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address

Employee Name: Robert Haehn
4381 Hudson Drive
Stow, OH 44224
US
rob@summitpowerbrokers.com
3302080335

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..



Jurisdiction of Operation: Summit Power Brokers, LLC is licensed as a commercial energy broker in Ohio under the following license numbers:

Ohio

Electric Case Number - 12-828-EL-AGG

Electric Certificate Number – 12-491E (5)

Ohio

Natural Gas Case Number – 12-0921-GA-AGG

Natural Gas Certificate Number – 12-245G(5)

Summit Power Brokers, LLC is also licensed in Texas to provide electric and natural gas brokerage services.

Texas

Broker Number – BR190652

Summit Power Brokers, LLC applied and was approved for Pennsylvania electric and natural gas brokerage license in 2020.

Pennsylvania

Electric – A-2020-3021973

Natural Gas – A-2020-3022180

Robert C. Haehn is authorized to provide retail electric and natural gas service in all deregulated states as an authorized individual agent for brokers and direct suppliers.

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: Since acquiring his own license and starting Summit Power Brokers, a commercial energy brokerage firm, Mr. Haehn has acquired a large number of commercial accounts as of 12-31-2021. Summit Power Brokers operates primarily as a commercial electric broker in Northeast Ohio. The company also services commercial natural gas accounts in the Columbia Gas of Ohio and Dominion East Ohio markets. Summit Power Brokers currently services commercial gas accounts representing over 30,000 mcf annually.

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: Summit Power Brokers does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the company's financial or operational status or ability to provide the services it is licensed to provide.

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is

expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

Preferred to file this information confidentially

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Operations Description: Renewal Applicant Summit Power Brokers operates as a broker only in providing commercial customers with natural gas procurement. Applicant contracts with direct suppliers and commercial customers by brokering natural gas supply from direct suppliers to commercial customers.

Renewal Applicant will not nominate and schedule retail gas for delivery. Applicant will contract with direct suppliers and direct suppliers will be responsible for the nomination and scheduling of retail natural gas for delivery.

The direct suppliers are responsible for retail ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers. Applicant will not act in a capacity to provide retail ancillary services as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

Operations Expertise & Personnel Description: Summit Power Brokers began operating as a commercial energy broker in June 2012. The Ohio based brokerage firm is headed by Robert C. Haehn. Mr. Haehn has been employed in Ohio's Deregulated Energy Industry since 2009 and has experience contracting with commercial energy users. Since obtaining his license and starting Summit Power Brokers, Mr. Haehn has acquired a large number of commercial clients.

Summit Power Brokers
Robert C. Haehn – President
rob@summitpowerbrokers.com
330-208-0335

Robert C. Haehn has been employed in Ohio's deregulated energy market since 2009.

Application Attachments

Entity#: 2078238
Filing Type: DOMESTIC LIMITED LIABILITY COMPANY
Original Filing Date: 01/24/2012
Location: ---
Business Name: SUMMIT POWER BROKERS, LLC

Status: Active
Exp. Date: -

Agent/Registrant Information

ROBERT C. HAEHN
P.O. BOX 2285
STOW OH 44224
01/24/2012
Active

Filings

Filing Type	Date of Filing	Document ID
OHIO LLC - ARTICLES OF ORGANIZATION	01/24/2012	201203000962
AGENT ADDRESS CHANGE/LIMITED/LIABILITY/PARTNERS	02/13/2020	202004203526
FICTITIOUS NAME/ORIGINAL FILING	02/13/2020	202004203598
FICTITIOUS NAME/ORIGINAL FILING	02/14/2020	202004203460
FICTITIOUS NAME/ORIGINAL FILING	02/14/2020	202004502554
FICTITIOUS NAME/ORIGINAL FILING	02/18/2020	202004904854

Thu Jan 25 2024

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF SECRETARY OF STATE

I, Frank LaRose, Secretary of State of the State of Ohio, do hereby certify that this is a list of all records approved on this business entity and in the custody of the Secretary of State.



*Witness my hand and the seal of the
Secretary of State at Columbus,
Ohio this 25th of January, A.D. 2024*

Ohio Secretary of State

A handwritten signature in blue ink, reading "Frank LaRose".

Competitive Retail Natural Gas Service Affidavit

County of Columbiana :

State of Ohio :

Robert C. Haehn, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

Robert C. Haehn - President
Signature of Affiant & Title

Sworn and subscribed before me this 26th day of February, 2024
Month Year

Lisa Bloor
Signature of official administering oath

Lisa Bloor, Notary
Print Name and Title

My commission expires on 11/23/24



LISA BLOOR
Notary Public, State of Ohio
My Commission Expires:
November 23, 2024

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

3/6/2024 12:21:36 PM

in

Case No(s). 12-0921-GA-AGG

Summary: In the Matter of the Application of Summit Power Brokers LLC