

DIS Case Number: 09-0741-EL-AGG

Section A: Application Information

A-1. Provider type:

☒ Power Broker
 ☒ Aggregator
 ☐ Retail Generation Provider
 ☐ Power Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Patriot Energy Group LLC

Phone: 7813761888 **Extension (if applicable):**

Website (if any):
www.mantisinnovation.com

Country: United States

Street: 209 Burlington Road Suite 219

City: Bedford

Province/State: MA

Postal Code: 01730

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Patriot Energy Group, LLC	Official Name	209 Burlington Rd. Bedford, MA 01730	Yes	File
Mantis Innovation	DBA	209 Burlington Rd., Suite 219 Bedford, MA 01730	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Mantis Innovation	DBA	209 Burlington Rd., Suite 219 Bedford, MA 01730	Yes	File



Public Utilities Commission

Patriot Energy Group, LLC	Official Name	209 Burlington Rd. Bedford, MA 01730	Yes	File
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A-5. Contact person for regulatory matters

Matthew Kinney
209 Burlington Road Suite 219
Bedford, MA 01730
US
mkinney@patriotenergygroup.com
7814045928

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Troy Johnson
11011 Richmond Ave. Suite 500
Houston, TX 77042
US
tjohnson@mantisinnovation.com
7135219797

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 8003434110 **Extension (if applicable):** **Country:** United States
Fax: **Extension (if applicable):** **Street:** 209 Burlington Rd., Suite 219
Email: customerservice@mantisinnovation.com **City:** Bedford **Province/State:** MA
Postal Code: 01730

A-8. Applicant's federal employer identification number

27-0021286

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas



Public Utilities Commission

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Duke Energy Ohio
FirstEnergy - Cleveland Electric Illuminating
FirstEnergy - Ohio Edison
FirstEnergy - Toledo Edison
AES Ohio
American Electric Power (AEP)

Class of customer selection

Commercial
Industrial
Mercantile

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 09-24-2009

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Steven Campbell	regulatory@mantisinnovation.com	President/Treasurer	209 Burlington Rd. Suite 219 Bedford, MA 01730 US

A-13. Company history

The Company was organized on June 21, 2002 under the laws of the Commonwealth of Massachusetts, for the principal purpose of procuring competitive electricity and natural gas contracts to commercial, industrial, governmental, and non-profit clients in markets with retail energy choice across North America. Currently, the Company serves clients and/or is capable of serving clients in all restructured/retail choice markets.

In addition to procurement solutions, the Company also offers energy consulting services, including referrals to solar, energy efficiency, energy management and bill auditing partners.

In June 2019, the company was acquired and made a part of the Mantis Innovation family of companies.

A-14. Secretary of State

Secretary of State Link:

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

B-4. Disclosure of consumer protection violations



Public Utilities Commission

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a



balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate



Public Utilities Commission

organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

Merger Information: On December 10, 2021, a transaction involving Patriot's indirect corporate parent, Mantis Innovation Group, LLC (MIG), resulted in all of MIG's assets, including Patriot, to be transferred to an unrelated entity, Mantis Holdings Group, LLC. PUCO was notified of this transaction in a timely fashion.

C-8. Corporate structure



Public Utilities Commission

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

Section D: Applicant Technical Capacity

D-1. Operations

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Operations Description: Patriot Energy Group, LLC is dedicated to building relationships with its clients and helping them manage their energy spend over time. We have a best-in-the-industry Pricing Department, whose responsibilities are to solicit and evaluate bids from competitive suppliers for presentation to our clients. We also have an Operations team that is responsible for ensuring client enrollments and un-enrollments are processed smoothly by suppliers and coordinating with local distribution companies. Our Client Relations staff is dedicated to maintaining current information regarding our clients, as well as investigating and responding to client inquiries regarding supplier matters. We have a full-time Legal and Regulatory Department charged with, among other functions, ensuring all regulatory and compliance procedures are fulfilled.

See also Exhibit B-2 and Exhibit D-2 for further information regarding our operations.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.



**Public Utilities
Commission**

File(s) attached



Public Utilities
Commission

Application Attachments

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show PATRIOT ENERGY GROUP, LLC, a Massachusetts Limited Liability Company, Registration Number 1817278, was registered in the State of Ohio on November 7, 2008, is currently authorized to transact business in this state.



*Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 12th day of July, A.D. 2023.*

A handwritten signature in blue ink, appearing to read "Frank LaRose".

Ohio Secretary of State

Validation Number: 202319303884

Exhibit B-2 "Summary of Experience"

Company Overview:

Patriot Energy Group is one of the largest and fastest growing retail electricity and natural gas management firms operating in all competitive markets throughout the United States. Since its inception in 2002, and continuing as part of the Mantis Innovation brand, Patriot Energy Group is a client-side energy broker/advisor that works closely with our clients to help manage risks associated with energy costs. We offer professionally managed and diverse energy purchasing strategies, which combine unique fixed, index, and hybrid rate structures, as well as a comprehensive suite of solar, energy efficiency, and management options.

Our Sophistication

- As a limited “buyer’s agent” for our clients, Patriot has the ability to respond to market conditions and quickly act at our clients’ direction to obtain and execute supplier contracts on their behalf
- We make available a wide variety of product offerings for large, medium and small customers given the size of our client base and influence with suppliers
- We have the capacity to address both the supply and demand side of the client’s energy—how they buy and how they use their energy
- Patriot collaborates with energy efficiency and monitoring organizations to offer a comprehensive suite of energy efficiency and management options

Our Experience

- Patriot is a trusted resource, advising clients for nearly 20 years
- We have long standing relationships with nearly all major electricity and natural gas suppliers
- Patriot is a member of the The Energy Professionals Association (TEPA)
- We have a proven track record of energy procurement representing \$500 million in energy spending

Our Client Focus

- Patriot is committed to seeking the best long-term energy strategy for our clients, without allegiance to any particular supplier
- We help clients navigate the many options available to them based on risk tolerance, consumption profile and financial goals and advise on supplier contract terms and length
- We analyze trends and monitor industry developments to provide regular market intelligence to clients
- Patriot serves as a single point of contact and trusted advisor regarding all aspects of energy supply and management

Exhibit B-1 – Jurisdictions of Operations

Patriot Energy Group, LLC maintains the following licenses and registrations to provide competitive electricity service or natural gas service:

<u>State/Agency</u>	<u>License Identifier</u>	<u>Type of License/Registration</u>
Delaware Public Service Commission	Order No. 7911	Electricity Broker
District of Columbia Public Service Commission	Order No. 16773	Electricity Broker
District of Columbia Public Service Commission	Order No. 16955	Natural Gas Broker
Illinois Commerce Commission	Dkt. 10-0665	Electricity Agent/Broker/Consultant
Maine Public Utilities Commission	Dkt. 2007-515	Electricity Broker
Maine Public Utilities Commission	n/a	Natural Gas Broker
Maryland Public Service Commission	IR-2187	Electricity Broker
Maryland Public Service Commission	IR-3057	Natural Gas Broker
Massachusetts Department of Public Utilities	CS-048	Electricity Supplier
Massachusetts Department of Public Utilities	EB-031	Electricity Broker
Massachusetts Department of Public Utilities	RA-027	Natural Gas Retail Agent
New Hampshire Public Utilities Commission	DM 20-207	Electricity Aggregator
New Hampshire Public Utilities Commission	n/a	Natural Gas Aggregator
New Jersey Board of Public Utilities	EA-0078	Energy Agent
New Jersey Board of Public Utilities	PA-0072	Private Aggregator
Public Utilities Commission of Ohio	09-0741E	Electricity Broker/Aggregator
Public Utilities Commission of Ohio	10-2840G	Natural Gas Broker/Aggregator
Ontario Energy Board (Canada)	ER-2019-0160	Electricity Retailer
Pennsylvania Public Utility Commission	A-2009-2128946, A-2015-2497430	Electricity Broker
Pennsylvania Public Utility Commission	A-2009-2130754, A-2015-2499633	Natural Gas Broker
Texas Public Utility Commission	80265	Electricity Aggregator
Texas Public Utility Commission	BR190337	Electricity Broker

EMEX, LLC is an affiliate of Patriot Energy Group, LLC (under indirect common ownership). It possesses the following licenses and registrations to provide competitive electricity or natural gas service:

<u>State/Agency</u>	<u>License Identifier</u>	<u>Type of License/Registration</u>
Delaware Public Service Commission	Order No. 8147	Electricity Broker
District of Columbia Public Service Commission	Order No. 16355	Electricity Broker
District of Columbia Public Service Commission	Order No. 17189	Natural Gas Broker
Illinois Commerce Commission	Dkt. 10-0455	Electricity Agent/Broker/Consultant
Maine Public Utilities Commission	Dkt. 2012-163	Electricity Broker
Maryland Public Service Commission	IR-2065	Electricity Broker
Maryland Public Service Commission	IR-3115	Natural Gas Broker
Massachusetts Department of Public Utilities	EB-176	Electricity Broker
Massachusetts Department of Public Utilities	RA-101	Natural Gas Retail Agent
New Hampshire Public Utilities Commission	DM 21-084	Electricity Aggregator
New Jersey Board of Public Utilities	EA-0083	Energy Agent
New Jersey Board of Public Utilities	PA-0125	Private Aggregator
New Jersey Board of Public Utilities	EC-0024	Energy Consultant
Public Utilities Commission of Ohio	11-414E	Electricity Broker/Aggregator
Public Utilities Commission of Ohio	13-309G	Natural Gas Broker/Aggregator
Oregon Public Utilities Commission	EA-49	Electricity Aggregator
Pennsylvania Public Utility Commission	A-2019-3014305	Electricity Broker
Pennsylvania Public Utility Commission	A-2013-2365773	Natural Gas Broker
Public Utility Commission of Texas	80368	Electricity Aggregator
Public Utility Commission of Texas	BR190088	Electricity Broker

Exhibit D-2 "Key Personnel"

Patriot's Key Individuals with Technical and Managerial Experience

Steven Campbell, President: As President of Mantis Energy, Steve is responsible for leading operational and strategic direction of Mantis Innovation's (including Patriot's) energy procurement business. Steve joined Patriot Energy Group in July 2018. Steve has over thirty years of experience as an executive in public and private software companies ranging from Raytheon, IBM Software Division, Genuity, Enterasys Networks, Juniper and Progress Software. A results-oriented executive, Steve has a depth of expertise in financial reporting, financial planning and analysis, Sales and Marketing Operations, Back Office process improvements, performance management, mergers and acquisitions, and building high performance teams. Steve received his Bachelor of Science Degree in Financial Management from Franklin Pierce University and a master's degree in business from Franklin Pierce University.

Craig Wall, Director of Supplier and Product Management: Craig is responsible for the strategy, training, implementation, and overall growth of the energy services and commodity solutions provided through Patriot Energy Group and Mantis Energy. In the five years prior to becoming Director of Supplier and Product Management he held the position of Director of Energy Services, where he leads the company's solar, efficiency, and integrated solutions program development. He also had experience managing Patriot's successful channel partner agency program, providing education, training, and assistance to support channel sales. In this role, he worked with clients to effectively manage their risk to volatile electricity and natural gas commodity markets. From 2018-2020, Craig was President of the Northeast Chapter of The Energy Professionals Association ("TEPA") and currently sits on TEPA's national Board.

Matthew T. Kinney, Assistant General Counsel & V.P. of Regulatory Affairs: Matt has been with Patriot since 2011, and his current position encompasses all Mantis Innovation companies, for which he is responsible for advising executives and staff on legal and regulatory matters and working closely with sales, operations, finance, HR and other functional groups. He is also responsible for ensuring corporate and regulatory compliance, advocacy before public utility commissions, and dispute resolution. Since 2016, he has been the Legislative/Regulatory Committee Co-Chair for the Northeast Chapter of The Energy Professionals Association and he has presented at industry conferences on regulatory issues important to energy professionals. He graduated with a J.D. from New England School of Law, has a Bachelor of Science degree in Mathematics from Syracuse University and has a Master of Education degree from Canisius College in Buffalo, NY. He is admitted to the bar in the Commonwealth of Massachusetts.

Exhibit B-3 "Disclosure of Liabilities and Investigations"

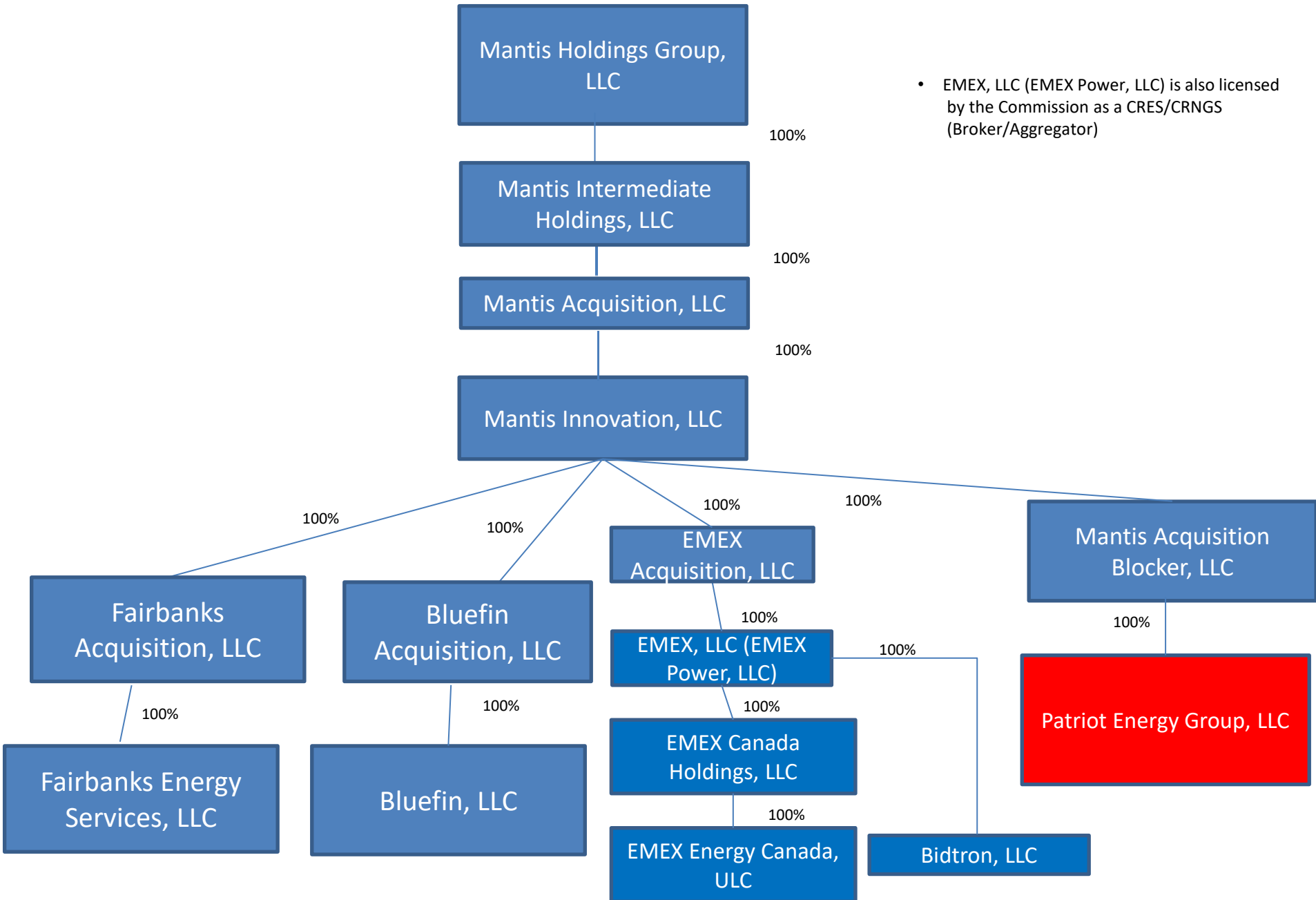
Patriot Energy Group has no such liabilities or investigations since the filing of its last renewal application.

However, since the question refers to affiliates of Patriot Energy Group, the following matter pertains to EMEX, LLC (under common indirect ownership with Patriot since June 2019).

On October 21, 2010, the Pennsylvania Public Utility Commission ("PA PUC") granted EMEX, LLC ("EMEX") a License for Electric Generation Supplier as an electricity broker/marketer in docket A-2010-2179537. Subsequently, the PA PUC issued an order cancelling EMEX's electric generation supplier license # A-2010-2179537 on July 16, 2019, for failure to provide proof of financial security (the PA PUC requires all licensed electric generation suppliers to furnish the original bond demonstrating financial security). However, EMEX immediately filed a Petition for Reconsideration of this cancellation based on the fact that the Pennsylvania Public Utility Commission's request for the bond was sent to the wrong regulatory contact, such that EMEX had no actual notice of the request or the pending cancellation. Moreover, the required security bond had been in place continuously with no interruption throughout the time in question. On August 8, 2019, the PA PUC granted EMEX's Petition for Reconsideration, pending review of the merits. This matter was favorably resolved with EMEX being issued a new electric generation license # A-2019-3014305 on December 19, 2019.

Patriot Energy Group, LLC Corporate Structure (2023)

- EMEX, LLC (EMEX Power, LLC) is also licensed by the Commission as a CRES/CRNGS (Broker/Aggregator)



Patriot Energy Group, LLC

Case No. 09-0741-EL-AGG

Exhibit C-5 "Credit Report"

Attached is the credit report for Patriot Energy Group, LLC by Dun and Bradstreet, as of October 2022.

Credit Evaluator Plus

PATRIOT ENERGY GROUP, LLC

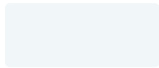
D-U-N-S: 13-221-2197
ADDRESS: 209 Burlington Rd Ste. 219, Bedford, MA, 01730, United States
Date: 08/10/2023

RISK ASSESSMENT

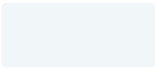
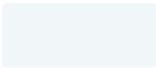
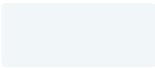
SCORES AND RATINGS	
Max. Credit Recommendation	PAYDEX® SCORE
US\$ 105,000	27 MODERATE-HIGH RISK

MAXIMUM CREDIT RECOMMENDATION	
Overall Business Risk	Maximum Credit Recommendation
<div><div>LOW</div><div>LOW-MODERATE</div><div>MODERATE</div><div>MODERATE-HIGH</div><div>HIGH</div></div>	<div>US\$ 105,000</div> <div>The recommended limit is based on a low-moderate probability of severe delinquency.</div>
Dun & Bradstreet Thinks...	
<ul style="list-style-type: none">Overall assessment of this organization over the next 12 months: STABILITY CONCERNSBased on the predicted risk of business discontinuation: MODERATELY HIGHER THAN AVERAGE RISK OF DISCONTINUED OPERATIONS OR BUSINESS INACTIVITYBased on the predicted risk of severely delinquent payments: LOW POTENTIAL FOR SEVERELY DELINQUENT PAYMENTS	

PAYDEX® SUMMARY	
<div>3 Months</div> <div><div>80</div><div></div><div></div><div></div><div></div></div> <div>Low Risk (100)High Risk (1)</div> <div>When weighted by dollar amount, payments to suppliers on average, are on time. Value is based on payments collected over the last 3 months.</div>	<div>24 Months</div> <div><div></div><div></div><div></div><div>27</div><div></div></div> <div>Low Risk (100)High Risk (1)</div> <div>When weighted by dollar amount, payments to suppliers average 99 days beyond terms. Value is based on payments collected over the last 24 months.</div>



Low Risk (100)



High Risk (1)

Based on a D&B PAYDEX® of V

Risk of Slow Pay

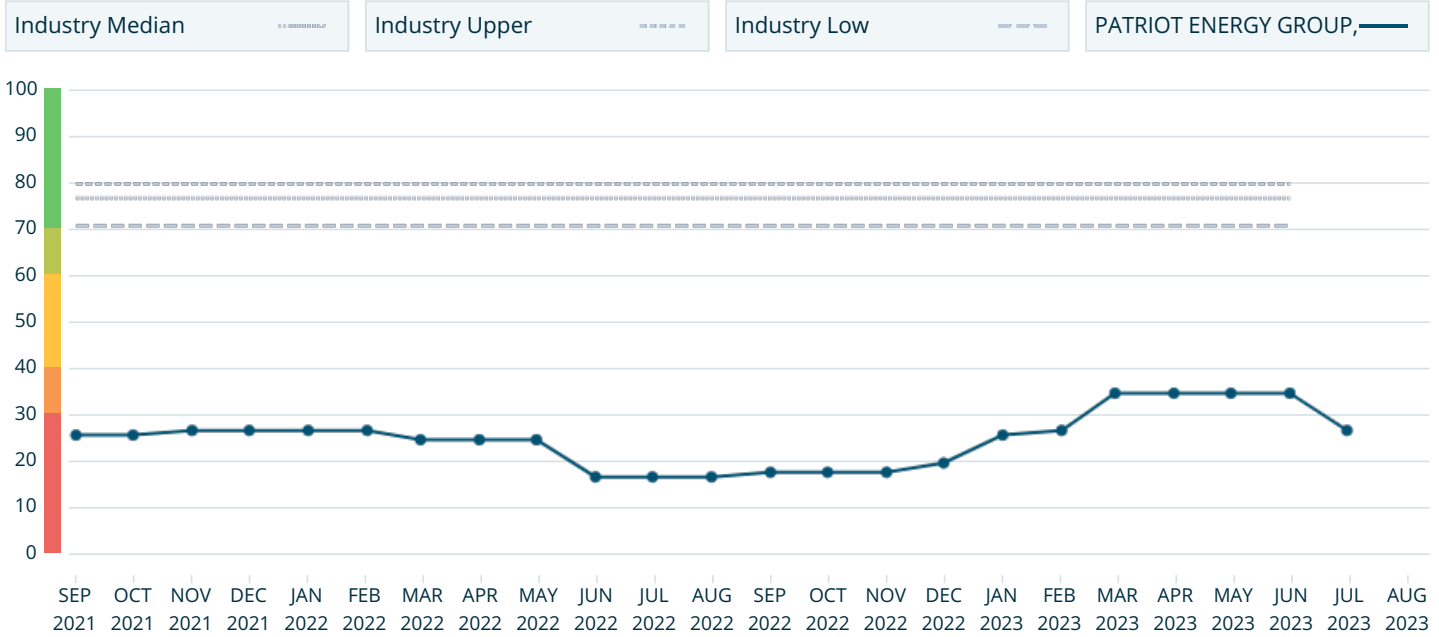
MODERATE-HIGH

Payment Behavior

99 Days Beyond Terms

Business and Industry Trends

4911 - Electric services



TRADE PAYMENTS

TRADE PAYMENTS SUMMARY		Based on 24 months of data
<div>Overall Payment Behavior</div> <div>99</div> <div>Days Beyond Terms</div> <div>Highest Now Owing: US\$ 5,000</div>	<div>% of Trade Within Terms</div> <div>73%</div> <div>Total Trade Experiences: 18</div> <div>Largest High Credit: US\$ 5,000</div> <div>Average High Credit: US\$ 1,078</div>	<div>Highest Past Due</div> <div>US\$ 5,000</div> <div>Total Unfavorable Comments : 0</div> <div>Largest High Credit: US\$ 0</div> <div>Total Placed in Collections: 0</div> <div>Largest High Credit: US\$ 0</div>

TRADE PAYMENTS BY CREDIT EXTENDED			
\$ CREDIT EXTENDED	% OF PAYMENTS WITHIN TERMS	# PAYMENT EXPERIENCES	TOTAL & DOLLAR AMOUNT
OVER 100,000	<div><div></div>0%</div>	0	\$0
50,000 - 100,000	<div><div></div>0%</div>	0	\$0
15,000 - 49,999	<div><div></div>0%</div>	0	\$0
5,000 - 14,999	<div><div></div>0%</div>	1	\$5,000
1,000 - 4,999	<div><div></div>100%</div>	1	\$1,000
UNDER 1,000	<div><div></div>97%</div>	5	\$1,550

EVENTS

LEGAL EVENTS			
The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.			
SUITS	JUDGEMENTS	LIENS	UCC FILINGS
TOTAL0	TOTAL0	TOTAL0	TOTAL3
LAST FILING DATE-	LAST FILING DATE-	LAST FILING DATE-	LAST FILING DATE07/23/2018

General: The public record items contained in this report may have been paid, terminated, vacated or released prior to the date this was reported. This information may not be reproduced in whole or in part by any means of reproduction.

UCC Filings: There may be additional UCC Filings in the D&B file on this company which are available by contacting 1-800-234-3867.

Suits, Liens, Judgements: There may be additional suits, liens, or judgements in D&B's file on this company available in the U.S. Public Records Database that are also covered under your contract. If you would like more information on this database, please contact the Customer Resource Center at 1-800-234-3867.

Lien: A lien holder can file the same lien in more than one filing location. The appearance of multiple liens filed by the same lien holder against a debtor may be indicative of such an occurrence.

COMPANY PROFILE

COMPANY OVERVIEW		
<div>Named Principal</div> <div>STEVE CAMPBELL, PRES</div>	<div>Age (Year Started)</div> <div>21 years (2002)</div>	<div>Employees</div> <div>70</div>
<div>Business Form</div> <div>Corporation (US)</div>	<div>Annual Sales</div> <div>US\$ 57,619,596</div>	<div>Line of Business</div> <div>Electric services</div>
<div>SIC</div> <div>4911</div>		

Competitive Retail Electric Service Affidavit

County of Norfolk :

State of Massachusetts :

Matthew T. Kinney, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

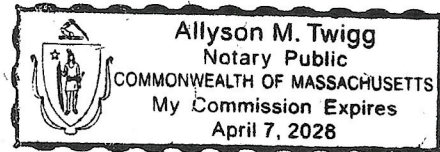
Allyson M. Twigg VP of Regulatory Affairs
Signature of Affiant & Title

Sworn and subscribed before me this 22 day of August, 2023
Month Year

Allyson M. Twigg
Signature of official administering oath

Allyson Twigg
Print Name and Title

My commission expires on April 7, 2028



**This foregoing document was electronically filed with the Public Utilities
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in

Case No(s). 09-0741-EL-AGG

Summary: In the Matter of the Application of Patriot Energy Group LLC