

February 14, 2023

Public Utilities Commission of Ohio  
Docketing Division  
180 East Broad Street  
Columbus, Ohio 43215-3793

RE: Competitive Retail Natural Gas Service (CRNGS) Provider  
Renewal Application

To Whom it May Concern,

Please find enclosed the Competitive Retail Natural Gas Service (CRNGS) Provider Renewal Application for Natural Gas Power Brokers. The following financial sections will be submitted via mail and be considered under seal: Exhibit C-2 "Financial Statements," Exhibit C-3 "Forecasted Financial Statements," and Exhibit C-5 "Credit Reports."

Should there be any questions and/or additional information needs, please contact: John Ney, Business Development Director, Regor Energy Corporation, at 813-210-7184 or through email at [john.ney@regorenergycorp.com](mailto:john.ney@regorenergycorp.com).

Sincerely,

John Ney, Business Development Director  
Regor Energy Corporation  
9604 Cliffview Street, NW  
Clinton, Ohio 44216

Enclosures

**Submitted to:**  
**The Public Utilities Commission of Ohio**

**RENEWAL APPLICATION  
FOR COMPETITIVE RETAIL NATURAL  
GAS BROKERS**

**Regor Energy Corporation**

Regor Energy Corporation  
9604 Cliffview St., NW  
Clinton, Ohio 44216



# Public Utilities Commission

Competitive Retail Natural Gas Service  
(CRNGS) Provider Application

Case Number: 17 -0706 -GA-AGG

Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

## A. Application Information

### A-1. Provider Type.

Select the competitive retail natural gas service (CRNGS) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Retail Natural Gas Aggregator

☐

Retail Natural Gas Broker

☒

Retail Natural Gas Marketer

☐

### A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: Regor Energy Corporation  
Street Address: 9604 Cliffview Street NW  
City: Clinton State: Ohio Zip: 44216  
Telephone: 813-210-7184 Website: www.regorenergycorp.com

### A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: John Ney  
Street Address: 9604 Cliffview Street NW  
City: Clinton State: Ohio Zip: 44216  
Telephone: 813-210-7184 Email: john.ney@regorenergycorp.com

### A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): \_\_\_\_\_  
\_\_\_\_\_

**A-5. Contact person for regulatory matters.**

Name: John Ney Title: Business Development Director  
Street Address: 9604 Cliffview Street NW  
City: Clinton State: Ohio Zip: 44216  
Telephone: 813-210-7184 Email: john.ney@regoreenergycorp.com

**A-6. Contact person for PUCO Staff use in investigating consumer complaints.**

Name: John Ney Title: Business Development Director  
Street Address: 9604 Cliffview Street NW  
City: Clinton State: Ohio Zip: 44216  
Telephone: 813-210-7184 Email: john.ney@regoreenergycorp.com

**A-7. Applicant's address and toll-free number for customer service and complaints.**

Street Address: 9604 Cliffview Street NW  
City: Clinton State: Ohio Zip: 44216  
Toll-free Telephone: 813-210-7184 Email: john.ney@regoreenergycorp.com

**A-8. Applicant's federal employer identification number.**

FEIN: 47-3489972

**A-9. Applicant's form of ownership (select one).**

Sole Proprietorship ☐ Limited Liability Partnership (LLP) ☐ Corporation ☒ Partnership ☐

Limited Liability Company (LLC) ☐ Other: \_\_\_\_\_

**A-10. Identify current or proposed service areas.**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection:**

Columbia Gas of Ohio ☒ Dominion Energy Ohio ☒ Duke Energy Ohio ☒ Vectren Energy Delivery of Ohio ☒

**Class of customer selection:**

Industrial



Residential



Small Commercial



Large Commercial



**A-11. Start Date.**

Indicate the approximate start date the applicant began/will begin offering services.

Date: April 11, 2017

**A-12. Principal officers, directors and partners.**

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

**A-13. Company history.**

Provide an attachment with a concise description of the applicant's company history and principal business interests.

**A-14. Secretary of State.**

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

**A-15. Proof of Ohio office and employee.**

Provide "Proof of an Ohio Office and Employee" in accordance with Section 4929.22 of the Ohio Revised Code. List the designated Ohio employee's name, Ohio office address, telephone number and web site address.

Name: John Ney Title: Business Development Director  
Street Address: 9604 Cliffview Street NW  
City: Clinton State: Ohio Zip: 44216  
Telephone: 813-210-7184 Email: john.ney@regoreenergycorp.com

**B. Managerial Capability**

Provide a response or attachment for each of the sections below.

**B-1. Jurisdiction of operations.**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

**B-2. Experience and plans.**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

**B-3. Disclosure of liabilities and investigations.**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

**B-4. Disclosure of consumer protection violations.**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒

**B-5. Disclosure of certification denial, curtailment, suspension, or revocation.**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒

## **C. Financial Capability**

Provide a response or attachment for each of the sections below.

**C-1. Financial reporting.**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow

statement, the applicant may provide a copy of its two most recent years of tax returns with social security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

**C-3. Forecasted financial statements.**

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

**C-4. Credit rating.**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

**C-5. Credit report.**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

**C-6. Bankruptcy information.**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

Applicant

Parent company of the applicant

Affiliate company that guarantees the financial obligations of the applicant

Any owner or officer of the applicant

Yes

☐

No

☒

**C-7. Merger information.**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

**C-8. Corporate structure.**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

**C-9. Financial arrangements.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.



2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

## D. Technical Capability

Provide an attachment for each of the sections below.

### D-1. Operations.

Retail natural gas brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of natural gas to retail customers.

Gas Marketers: Describe the operational nature of the applicant's business, specifying whether operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and/or the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

### D-2. Operations expertise and key technical personnel.

Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business. If vendors or third parties are or will be utilized for any activities listed in this application, provide the name and contact information for each and list which activities they will perform. Also indicate which activities will be performed directly by the company. Please note that this information is required to be updated within 30 days of any changes.

**As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.**

John Nuy                      2/13/2023  
Signature                                      Date

Business Development Director  
Title

# Competitive Retail Natural Gas Service Affidavit

County of Stark :

State of Ohio :

John Ney, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10 and 4911.18(A), Ohio Revised Code.
4. Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. Applicant will cooperate fully with the Public Utilities Commission of Ohio and its staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
7. Applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.
9. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
10. Affiant further sayeth naught.

John Ney Business Development Director  
Signature of Affiant & Title

Sworn and subscribed before me this 13<sup>th</sup> day of Feb, 2023  
Month Year

Micah Frye  
Signature of official administering oath

Micah Frye  
Print Name and Title



My commission expires on 1/31/2027

**Exhibit A-12:**  
**“Principal Officers, Directors, & Partners”**

Regor Energy Corp has the following officials in its company:

Valerie Lepore-Ney  
Principal Officer  
9604 Cliffview Street NW  
Clinton, OH 44216  
(813) 210-4593 Mobile

John Ney  
Business Development Director  
9604 Cliffview Street NW  
Clinton, OH 44216  
(813) 210-7184 Mobile

**Exhibit A-13:  
“Company History”**

Regor Energy Corporation has the principal business interest in representing its customers in the purchase of retail natural gas.

Additionally, the company engages with municipalities in creating natural gas aggregation programs. Tasks include ballot initiatives, certification, and choice in the selection of a retail electric supplier. Moreover, Regor Energy assists municipalities in certification renewal and reselection of a retail natural gas supplier.

Others services offered include alternative energy services, such as solar power, LED lighting, demand response and other technologies that may reduce a municipalities or company's energy load.

**Exhibit A-14:**  
**“Secretary of State”**



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
04/01/2015	201509001552	DOMESTIC FOR PROFIT CORP - ARTICLES (ARF)	125.00	0.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

REGOR ENERGY CORPORATION  
VALERIE LEPORE-NEY  
9604 CLIFFVIEW STREET NW  
CLINTON, OH 44216

# STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted  
2380489

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**REGOR ENERGY CORPORATION**

and, that said business records show the filing and recording of:

Document(s)

**DOMESTIC FOR PROFIT CORP - ARTICLES**

Effective Date: 03/27/2015

Document No(s):

**201509001552**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
1st day of April, A.D. 2015.

Ohio Secretary of State





Form 532A Prescribed by:

**JON HUSTED**  
**Ohio Secretary of State**

 Central Ohio: (614) 466-3910  
 Toll Free: (877) SOS-FILE (767-3453)  
[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov)  
[Busserv@OhioSecretaryofState.gov](mailto:Busserv@OhioSecretaryofState.gov)

Mail this form to one of the following:

 Regular Filing (non expedite)  
 P.O. Box 870  
 Columbus, OH 43216

 Expedite Filing (Two-business day processing  
 time requires an additional \$100.00).  
 P.O. Box 1390  
 Columbus, OH 43216

## Initial Articles of Incorporation

### (For Profit, Domestic Corporation)

**Filing Fee: \$125**  
**(113 - ARF)**

2015 MAR 27 AM 11:2

**First:** Name of Corporation   
 (Name must include the following word or abbreviation: company, co., corporation, corp., incorporated, or inc.)

**Second:** Location of Principal office in Ohio  
   
 City State  
  
 County

**Effective Date (Optional)**  (The legal existence of the corporation begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)  
 mm/dd/yyyy

**Third:** The number of shares which the corporation is authorized to have outstanding.  
 (Please state if shares are common or preferred and their par value, if any.)

<input type="text" value="1500"/>	<input type="text" value="Common"/>	<input type="text"/>
Number of Shares	Type	Par Value

**Fourth:** If the corporation is to have an initial stated capital, please state the amount of that stated capital  
  
 Amount

**\*\*Note:** ORC Chapter 1701 allows additional provisions to be included in the Articles of Incorporation that are filed with this office. If including any of these additional provisions, please do so by including them in an attachment to this form.\*\*

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Articles and original appointment of agent must be signed by the incorporator(s).

If the incorporator is an individual, then they must sign in the "signature" box and print his/her name in the "Print Name" box.

If the incorporator is a business entity, not an individual, then please print the entity name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print his/her name and title/authority in the "Print Name" box.

*Valerie A. Lepore-Ney*  
Signature

By

Valerie A. Lepore-Ney

Print Name

Signature

By

Print Name

Signature

By

Print Name

**Exhibit B-1:**  
**“Jurisdictions of Operation”**

Regor Energy Corporation is a certified, licensed, and registered broker to provide retail or wholesale natural gas services, including aggregation services in the State of Ohio.

## **Exhibit B-2: “Experience & Plans”**

John Ney, the Business Development Director for Regor Energy Corporation, has ~~ten~~ years of experience working as an energy consultant in some of the largest energy brokerages in the country, including World Energy and EnerNoc. Ney's area of business interest was working with customers to contract with retail natural gas suppliers. Types of customers served included commercial, industrial, and residential.

Additionally, Ney worked with municipalities to become natural gas aggregators and to offer reduced natural gas rates to their residents and small businesses. Services provided to municipalities included preparing ballot initiatives, certification, and retail contracting with natural gas suppliers. Moreover, Ney has experience offering alternative energy sources to customers, such as solar energy to commercial and municipal customers.

Regor Energy Corporation is focusing on similar areas of business, including assisting customers in contracting with retail natural gas suppliers, assisting municipalities with aggregation programs, and exploring alternative energy sources to provide energy conserving technologies to its customers. Presently, Regor Energy Corporation has no plans in providing billings statements.

**Exhibit B-3:**  
**“Disclosure of Liabilities and Investigation”**





**Exhibit B-4:**  
**“Disclosure of Liabilities and Investigations”**

# **Exhibit C-1: “Financial Reporting”**

Regor Energy Corporation is a privately held company and is not required to file Form 10-K with the Securities and Exchange Commission. Therefore, this section is not applicable.

**Exhibit C-2:**  
**“Financial Statements”**

Regor Energy Corporation will file its forecasted financial statements under the sealed process.

**Exhibit C-3:**  
**“Forecasted Financial Statements”**

Regor Energy Corp will file its financial information under the sealed process.

## **Exhibit C-4: “Credit Rating”**



Regor Energy Corporation does not have a credit rating.

## **Exhibit C-5: “Credit Report”**

Regor Energy Corporation will file a credit report under the sealed process.

**Exhibit C-6:**  
**“Bankruptcy Information”**

Regor Energy Corporation has not been involved in any re-organizations, protections from creditors, or any other form of bankruptcy.

**Exhibit C-8:**  
**“Corporate Structure”**

Regor Energy Corporation is a stand-alone entity with no affiliate or subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. Therefore, this section is not applicable.

**Exhibit C-9:**  
**“Financial Arrangements”**



This section is not applicable.

## **Exhibit D-1: “Operations”**

This section is not applicable since Regor Energy Corp is a natural gas brokerage and does not have an operational nature to the business functions.

**Exhibit D-2:**  
**“Operations Expertise and Key Technical  
Personnel”**

This section is not applicable since Regor Energy Corp is a natural gas brokerage and does not have an operational nature to the business functions where key technical personnel is needed.

**This foregoing document was electronically filed with the Public Utilities  
Commission of Ohio Docketing Information System on**

**2/14/2023 2:30:29 PM**

**in**

**Case No(s). 17-0706-GA-AGG**

Summary: Application for a Competitive Retail Natural Gas Service Provider  
Renewal Application electronically filed by Mr. John W Ney on behalf of Regor  
Energy Corporation