



Please complete all information. Identify all attachments with a label and title (example: Exhibit C-2 Financial Statements). For paper filing, you can mail the original and two complete copies to the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

## A. Application Information

### A-1. Provider Type.

Select the competitive retail electric service (CRES) provider type(s) for which the applicant is seeking certification. Please note you can select more than one.

Aggregator

☒

Power Broker

☒

Power Marketer

☐

Retail Electric  
Generation Provider

☐

### A-2. Applicant's legal name and contact information.

Provide the name and contact information of the business entity.

Legal Name: Smart Columbus Energy LLC  
Street Address: 150 S Front St Suite #200  
City: Columbus State: OH Zip: 43215  
Telephone: 650-269-3080 Website: \_\_\_\_\_

### A-3. Names and contact information under which the applicant will do business in Ohio.

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name: Clean Energy Partners  
Street Address: 150 S Front St Suite #200  
City: Columbus State: OH Zip: 43215  
Telephone: 650-269-3080 Website: \_\_\_\_\_

### A-4. Names under which the applicant does business in North America.

Provide all business names the applicant uses in North America. You do not need to include the names provided in A-2 and A-3.

Name(s): \_\_\_\_\_  
\_\_\_\_\_

**A-5. Contact person for regulatory matters.**

Name: Mark Patton Title: VP  
Street Address: 150 S Front St Suite #200  
City: Columbus State: OH Zip: 43215  
Telephone: 650-269-3080 Email: mp@columbuspartnership.com

**A-6. Contact person for PUCO Staff use in investigating consumer complaints.**

Name: Zach McGuire Title: Director  
Street Address: 150 S Front St Suite #200  
City: Columbus State: OH Zip: 43215  
Telephone: 6145511021 Email: zm@columbuspartnership.com

**A-7. Applicant's address and toll-free number for customer service and complaints.**

Street Address: 150 S Front St Suite #200  
City: Columbus State: OH Zip: 43215  
Toll-free  
Telephone: 614-223-2170 Email: 614-225-9300

**A-8. Applicant's federal employer identification number.**

FEIN: 85-0551857

**A-9. Applicant's form of ownership (select one).**

Sole Proprietorship <input type="checkbox"/>	Limited Liability Partnership (LLP) <input type="checkbox"/>	Corporation <input type="checkbox"/>	Partnership <input type="checkbox"/>
Limited Liability Company (LLC) <input checked="" type="checkbox"/>	Other: _____		

**A-10. Identify current or proposed service areas.**

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

**Service area selection:**

AES Ohio <input checked="" type="checkbox"/>	American Electric Power (AEP Ohio) <input checked="" type="checkbox"/>	Duke Energy Ohio <input checked="" type="checkbox"/>	FirstEnergy – Cleveland Electric Illuminating <input checked="" type="checkbox"/>
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FirstEnergy – Ohio  
Edison



FirstEnergy – Toledo  
Edison



**Class of customer selection:**

Commercial



Industrial



Mercantile



Residential



**A-11. Start Date.**

Indicate the approximate start date the applicant began/will begin offering services.

Date: 05/04/2020

**A-12. Principal officers, directors and partners.**

Please provide an attachment for all contacts that should be listed as an officer, director or partner.

**A-13. Company history.**

Provide an attachment with a concise description of the applicant's company history and principal business interests.

**A-14. Secretary of State.**

Provide evidence that the applicant is currently registered with the Ohio Secretary of State.

## **B. Managerial Capability**

Provide a response or attachment for each of the sections below.

**B-1. Jurisdiction of operations.**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application.

**B-2. Experience and plans.**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections [4928.10](#) and/or [4929.22](#) of the Ohio Revised Code.

**B-3. Disclosure of liabilities and investigations.**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

**B-4. Disclosure of consumer protection violations.**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years? If yes, attach a document detailing the information.

Yes

☐

No

☒**B-5. Disclosure of certification denial, curtailment, suspension, or revocation.**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years? If yes, attach a document detailing the information.

Yes

☐

No

☒**B-6. Environmental disclosure.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide a detailed description of how the applicant intends to determine its generation resource mix and environmental characteristics, including air emissions and radioactive waste. Include the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. See [4901:1-21-09](#) of the Ohio Administrative Code for additional details of this requirement.

**C. Financial Capability**

Provide a response or attachment for each of the sections below.

**C-1. Financial reporting.**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or attach a copy of the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with social

security numbers and bank account numbers redacted.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

**C-3. Forecasted financial statements.**

Provide two years of forecasted income statements based solely on the applicant's anticipated business activities in the state of Ohio.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in business activities only in the state of Ohio for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

**C-4. Credit rating.**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "Not Rated".

**C-5. Credit report.**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. Bank/credit account numbers and highly sensitive identification information must be redacted. If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select "This does not apply" and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

**C-6. Bankruptcy information.**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy? If yes, attach a document detailing the information.

Applicant

Parent company of the applicant

Affiliate company that guarantees the financial obligations of the applicant

Any owner or officer of the applicant

Yes

☐

No

☒

**C-7. Merger information.**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months? If yes, attach a document detailing the information.

Yes

☐

No

☒

**C-8. Corporate structure.**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

**C-9. Financial arrangements.**

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).

Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.

2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

## D. Technical Capability

Provide an attachment for each of the sections below.

### D-1. Operations.

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Power Marketers/Generators: Describe the operational nature of the applicant's business, specifying whether operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services, as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

### D-2. Operations expertise and key technical personnel.

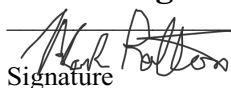
Provide evidence of the applicant's experience and technical expertise in performing the operations described in this application. Include the names, titles, e-mail addresses, telephone numbers and background of key personnel involved in the operational aspects of the applicant's business. If vendors or third parties are or will be utilized for any activities listed in this application, provide the name, contact information for each, and list which activities they will perform. Also, indicate which activities will be performed directly by the company. Please note that this information is required to be updated within 30 days of any changes.

### D-3. FERC power marketer authorization.

This section is only applicable if power marketer or retail electric generation provider has been selected in A-1.

Provide the FERC docket granting the applicant power marketer authority.

**As authorized representative for the above company/organization, I certify that all the information contained in this application is true, accurate and complete. I also understand that failure to report completely and accurately may result in penalties or other legal actions.**

  
Signature

09/28/2022

Date

VP

Title

# **Competitive Retail Electric Service Affidavit**

County of Franklin :

State of Ohio :

Mark Patton, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections [4905.10\(A\)](#), [4911.18\(A\)](#), and [4928.06\(F\)](#), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections [4905.10](#), [4911.18](#), and [4928.06\(F\)](#), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to [Title 49](#), Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section [4928.09](#), Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.



12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

Mark Patton



09/28/2022 02:46 PM  
EDT

Signature of Affiant & Title

Sworn and subscribed before me this 28th day of September, 2022  
Month Year

Christine Schulenberg



09/28/2022 02:48 PM  
EDT

Signature of official administering oath

Christine Schulenberg, Notary

Print Name and Title



My commission expires on March 28, 2023

Online Notary Public. This notarial act involved the use of online audio/video communication technology.

**Exhibit A-12**  
**“Principal Officers, Directors and Partners”**

Mark Patton  
VP Smart Cities  
150 S Front Street, Suite #200  
Columbus, OH 43215  
Telephone No. (650) 269-3080  
E-mail: mp@columbuspartnership.com

Zach McGuire  
Director  
150 S Front Street, Suite #200  
Columbus, OH 43215  
Telephone No. (614) 551-1021  
E-mail: zm@columbuspartnership.com

### **Exhibit A-13**

#### **“Company History”**

Smart Columbus Energy LLC (SCE) was formed in 2020 to provide clean energy solutions to companies in the Central Ohio region through electricity aggregation. Smart Columbus utilizes its industry partnerships and membership to scale buying power to offer cost effective clean energy for participating customers.

SCE is a non-profit LLC with a team of directors and advisers that are made up of experts in corporate sustainability, energy procurement and electricity supply generation. SCE’s team has years of experience in creating successful corporate sustainability campaigns and project management, delivering high value return to its clients.

In June 2020, SCE was certified pursuant to Certificate Number 20-1479E (1) in Case No. 20-920-EL-AGG as a competitive retail electric service provider to provide aggregation and power broker services within the state of Ohio. This certificate expired in June 2022. The purpose of this application is to obtain a new certificate because SCE did not renew its original certificate in a timely manner.

SCE focuses its aggregation and broker efforts in Central Ohio. These efforts will focus on mercantile commercial and industrial customers located in Central Ohio. However, the applicant may also provide broker services to these customer accounts and facilities located outside of Central Ohio,

**Exhibit A-14**  
**“Secretary of State”**

Attached is a copy of Smart Columbus Energy LLC Secretary of State documentation.



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	CERT	COPY
03/23/2020	202007903500	LIMITED LIABILITY COMPANY - RESTATEMENT (LRA)	50.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

BRICKER & ECKLER LLP  
100 S. THIRD ST.  
COLUMBUS, OH 43215

# STATE OF OHIO CERTIFICATE

**Ohio Secretary of State, Frank LaRose**  
**4449042**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for  
**SMART COLUMBUS ENERGY, LLC**

and, that said business records show the filing and recording of:

Document(s)

**LIMITED LIABILITY COMPANY - RESTATEMENT**

Effective Date: 03/19/2020

Document No(s):

**202007903500**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
23rd day of March, A.D. 2020.

**Ohio Secretary of State**

Form 543A Prescribed by:



Toll Free: 877.767.3453 | Central Ohio: 614.466.3910

[OhioSoS.gov](http://OhioSoS.gov) | [business@OhioSoS.gov](mailto:business@OhioSoS.gov)File online or for more information: [OhioBusinessCentral.gov](http://OhioBusinessCentral.gov)

## Domestic Limited Liability Company Certificate of Amendment or Restatement

**Filing Fee: \$50****Form Must Be Typed****(CHECK ONLY ONE (1) BOX)****(1) Domestic Limited Liability Company**☐ Amendment (129-LAM)

MM/DD/YYYY

Date of Formation  
(MM/DD/YYYY)**(2) Domestic Limited Liability Company**☒ Restatement (142-LRA)

03/10/2020

Date of Formation  
(MM/DD/YYYY)

The undersigned authorized representative of:

SMART COLUMBUS ENERGY, LLC

Name of Limited Liability Company

4449042

Registration Number

**If box (1) Amendment is checked, only complete sections that apply. If box (2) Restatement is checked, all sections below must be completed.**

The name of said limited liability company shall be:

SMART COLUMBUS ENERGY, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd." or "Ltd"

This limited liability company shall exist for a period of:

PERPETUAL

Period of Existence

Purpose

See Exhibit A attached hereto and made a part hereof.

**By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.**

**Required**

Must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

Signature

  
By (if applicable)  
Print Name  
Signature  
By (if applicable)  
Print Name  
Signature  
By (if applicable)  
Print Name

**SMART COLUMBUS ENERGY, LLC**  
**(the “Company”)**

**EXHIBIT A**

The Company is organized exclusively for nonprofit purposes within the meaning of section 5701.14 of the Revised Code and section 5703-29-10 of the Ohio Administrative Code. Accordingly, the Company shall not be operated for the pecuniary gain or profit of, and its net earnings or any part of its net earnings are not distributable to, its members, its directors, its officers, or other private persons, except that the payment of reasonable compensation for services rendered, payments and distributions in furtherance of the Company’s nonprofit purpose, and the distribution of assets on dissolution permitted by section 1702.49 of the Revised Code are not pecuniary gain or profit or distribution of net earnings. Notwithstanding anything to the contrary, payments and distributions to the Company’s members shall be permissible as long as all of the members of the Company are operating with a nonprofit purpose.

To the extent consistent with the foregoing purposes and restrictions, the Company: (i) may engage in any lawful act or activity in which a nonprofit limited liability company may be engaged under Chapter 1705 of the Ohio Revised Code; and (ii) shall have and exercise all powers, rights and privileges conferred by the laws of Ohio on nonprofit limited liability companies under Chapter 1705 of the Ohio Revised Code.



UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show SMART COLUMBUS ENERGY, LLC, an Ohio Limited Liability Company, Registration Number 4449042, was organized in the State of Ohio on March 10, 2020, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 27th day of September, A.D. 2022.*

A handwritten signature in blue ink, appearing to read "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 202227002320**

**Exhibit B-1**  
**“Jurisdiction of Operations”**

Smart Columbus Energy LLC is seeking re-authorization to provide retail aggregation and power broker services within Ohio. It has no other licenses or authorizations in other jurisdictions.

## **Exhibit B-2**

### **“Experience & Plans”**

Smart Columbus Energy (SCE) has two years of experience providing brokering services to corporate clients. During the term of its original certification, SCE brokered transactions with three large corporate clients, with contracts that annually consume approximately 62,000 MWh. Also, to date, there have been no customer complaints.

Associates, partners and advisers of Smart Columbus Energy (SCE) have decades of experience in contracting with customers across different industries, including electricity. SCE builds strong relationships between energy suppliers and their customers and will advocate and assure customers receive the best value for their needs.

SCE leverages its ability to build large coalitions to scale buying power to ultimately create high value, competitively priced, clean energy for its customers. Without the ability to scale buying power, clean energy is not financially feasible for many companies and organizations. With SCE, customers are able to access pricing for clean energy from Ohio assets they otherwise would not receive on their own. SCE also provides a simple, turn-key solution for companies to purchase electricity from assets in Ohio and in turn, meet many of their carbon reporting and environmental, social, and governance goals, without the high transaction costs associated with procuring clean power directly from project developers.

SCE will offer advisory services in regard to clean energy procurement to customers. SCE will provide guidance to customers on any incentives or disincentives from a financial or regulatory perspective.

SCE’s team has a longstanding history of working through complex issues with corporate partners, and is prepared to solve any issues, however unlikely, that occur.

The applicant will only provide direct aggregation or broker services to mercantile commercial and industrial customers. The applicant will not aggregate residential or small commercial customers, nor will the applicant provide direct broker services to these customers.

The applicant solicits mercantile commercial and industrial customers through direct solicitation with those customers’ leadership and energy management teams. The applicant’s solicitation strategy focuses on the applicant’s existing relationships with mercantile commercial and industrial customers who are part of The Columbus Partnership, which is the applicant’s parent.

Each mercantile commercial and industrial customer receiving services from the applicant has direct contact information, including telephone and email, for the applicant’s principal director. Further, the primary customers served by the applicant are typically members of The Columbus Partnership, the applicant’s parent company.

Responding to inquiries and complaints is dependent on the nature of the inquiry. All types of calls are accepted by The Columbus Partnership on behalf of the applicant (614-225-0500; <https://columbuspartnership.com/>). In addition, Mark Patton (mp@columbuspartnership.com), Vice President of New Ventures and principal director of the applicant is the primary contact for responding customer inquiries and complaints related to the applicant's energy services. In addition, Zach McGuire (zm@columbuspartnership.com), Director of Clean Energy Initiatives and an employee of The Columbus Partnership, will be available to respond to inquiries and complaints. Calls concerning billing, technical issues, or electric service are directed to competitive retail generation provider or electric distribution utility.

The applicant developed relationships with multiple trusted retail generation suppliers providing services to mercantile commercial or industrial customers with whom the applicant has provided aggregation or broker services. Each supplier is vetted by the applicant on the basis of the supplier's service record and clean energy products, with the particular ability to source clean energy from projects in Ohio and PJM at a competitive price. To this end, the applicant has already entered into negotiations with established suppliers, certified by the Public Utilities Commission of Ohio, and with excellent service records.

Customer enrollment is completed by the retail generation supplier(s) referenced above and not SCE. Customers enter into the supply contract provided by the retail generation supplier(s). SCE will not take title to the electric product.

**Exhibit B-3**  
**“Disclosure of Liabilities and Investigations”**

There are no existing, pending or past rulings, judgments, contingent liabilities, regulatory investigations, or any other matter that could adversely impact Applicant’s financial or operational status or its ability to provide the services for which it is seeking certification.

**Exhibit C-1**  
**“Financial Reporting”**

Not applicable because Smart Columbus Energy LLC is not a publicly traded company.

**Exhibit C-2**  
**“Financial Statements”**

Smart Columbus Energy LLC financial statements have been filed under seal.

**Exhibit C-3**  
**“Forecasted Financial Statements”**

Smart Columbus Energy LLC forecasted financial statements have been filed under seal.



**Exhibit C-4**  
**“Credit Rating”**

Smart Columbus Energy LLC is not rated.

**Exhibit C-5**  
**“Credit Report”**

Smart Columbus Energy LLC does not have a credit report.

**Exhibit C-8**  
**“Corporate Structure”**

Smart Columbus Energy LLC, is a sole member nonprofit LLC, with its sole member being the Columbus Partnership. SCE is physically located in Columbus, Ohio.

**Exhibit C-9**  
**“Financial Arrangements”**

Not applicable.

## **Exhibit D-1**

### **“Operations”**

Smart Columbus Energy’s partners and advisers have years of experience in Ohio aggregation, and SCE will leverage that experience to deliver high quality service to customers. The Associate Director has multiple years of experience in energy sales and marketing. This person also has years of experience working with electric utilities, energy suppliers and large organizations. The VP of Smart Cites has decades of experience in sales and marketing, and has held multiple CEO roles. Advisers have decades of experience with energy aggregation in Ohio.

SCE works through its network of corporations and other large energy users to engage on clean energy brokering or aggregation.

Once a client is officially engaged with SCE, SCE works with many energy suppliers to find the right solutions that the client is looking for and fits their needs and goals. SCE has a five-step process working with clients to find the right solution, with the right vendor. Through that five step process with the client, SCE is able to make the best decision on which solution to assemble for the client and with the right vendor.

**Exhibit D-2**  
**“Operations Expertise and Key Technical Personnel”**

Smart Columbus Energy’s team has years of experience in clean energy brokering, aggregating and consulting. The team is primarily made up of Zach McGuire and Mark Patton (see descriptions below), who have worked diligently to create a client-oriented model that provides aggregation or brokerage services for the client first. Zach and Mark have combined years of experience working with large corporate entities and other organizations on clean energy planning and sustainability efforts, this experience is leveraged for Smart Columbus Energy.

Zach McGuire – Director, Smart Columbus Energy  
[zm@columbuspartnership.com](mailto:zm@columbuspartnership.com)  
M 614.551.1021

Zach is the Director of Smart Columbus Energy. Zach has over seven years of experience in clean energy. Zach has worked with solar, solar finance, and clean energy brokering firms over the course of his career and brings that experience to his role leading Smart Columbus Energy. Zach leads strategy, solutions and partner relations for the organization.

Mark Patton – Vice President, Columbus Partnership  
[mp@columbuspartnership.com](mailto:mp@columbuspartnership.com)  
650.269.3080

Mark has decades of experience leading companies, teams, and campaigns. Mark brings his experience to Smart Columbus Energy and leads business development and client relations.

**Exhibit D-3**  
**“FERC Power Marketer License Number”**

Not applicable.

**This foregoing document was electronically filed with the Public Utilities  
Commission of Ohio Docketing Information System on**

**9/30/2022 10:36:54 AM**

**in**

**Case No(s). 20-0920-EL-AGG**

Summary: Application of Smart Columbus Energy, LLC for Certification to Provide Aggregation/Power Broker Services electronically filed by Teresa Orahood on behalf of Dylan F. Borchers