



DIS Case Number: 16-0661-EL-AGG

Section A: Application Information

A-1. Provider type:

☒ Power Broker ☒ Aggregator ☐ Retail Generation Provider ☐ Power Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Lighthouse Power Partners, LLC **Country:** United States
Phone: 9377090096 **Extension (if applicable):** 701 **Street:** 251 W. Central Ave #412
Website (if any): www.lighthousepowerpartners.com **City:** Springboro **Province/State:** OH
Postal Code: 45066

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Lighthouse Power Partners	Official Name	251 W. Central Ave. #412 Springboro, OH 45066	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Lighthouse Power Partners	Official Name	251 W. Central Ave. #412 Springboro, OH 45066	Yes	File



A-5. Contact person for regulatory matters

Chris Smith
251 W Central Ave
Springboro, OH 45066
US
chris.smith@lighthousepowerpartners.com
9377090098

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Chris Smith
251 W Central Ave
Springboro, OH 45066
US
chris.smith@lighthousepowerpartners.com
9377090098

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: 9377090098	Extension (if applicable): 701	Country: United States
Fax: 9377090098	Extension (if applicable): 701	Street: 251 W. Central Ave. #412
Email: chris.smith@lighthousepowerpartners.com	City: Springboro	Province/State: OH
	Postal Code: 45066	

A-8. Applicant's federal employer identification number

81-1761123

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Duke Energy Ohio
FirstEnergy - Cleveland Electric Illuminating
FirstEnergy - Ohio Edison
FirstEnergy - Toledo Edison
AES Ohio
American Electric Power (AEP)

Class of customer selection

Commercial
Industrial
Mercantile
Residential

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 05-02-2016

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Chris Smith	chris.smith@lighthousepowerpartners.com		251 W Central Ave Springboro, OH 45066 US

A-13. Company history

Lighthouse Power Partners has been in business under PUCO license since 2016, and is led by a former Vice President of DPL Energy Resources. We provide energy efficiency and distributed solar power, along with electricity and natural gas rate/contract management, primarily to commercial customers.

A-14. Secretary of State



Secretary of State Link:

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

Jurisdiction of Operation: Lighthouse Power Partners is not licensed to provide services in any state other than Ohio.

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: Lighthouse Power Partners intends to continue to provide energy efficiency, distributed solar power, and electricity and natural gas rate/contract management primarily for commercial customers in Ohio. Other offerings and territories outside of the energy sector and outside of Ohio may be added to these plans, as is found to be advisable.

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: Lighthouse Power Partners and the principal officer of the company do not have any existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction.

B-4. Disclosure of consumer protection violations



Public Utilities Commission

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a



balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

File(s) attached

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

File(s) attached

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate



Public Utilities Commission

organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

This does not apply.

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies,



subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Operations Description: Lighthouse Power Partners LLC is an electricity broker and does not produce, purchase, schedule or deliver power to customers. Lighthouse has numerous agreements in place with reputable and proficient electricity and natural gas providers, and is engaged in the business of assisting customers in contracting for supply with those suppliers.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

Operations Expertise & Personnel Description: Lighthouse Power Partner has been functioning as a PUCO-licensed power broker since 2016. Lighthouse's Managing Director is a 20-year veteran of the deregulated power and natural gas industry who, prior to founding Lighthouse, served in the role of Vice President at DPL Energy Resources, the deregulated affiliate of Dayton Power & Light.



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Commission

Application Attachments



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
03/03/2016	201606103166	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment

LEGALZOOM.COM, INC.
101 N. BRAND BLVD
GLENDALE, CA 91203

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
3873029

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
LIGHTHOUSE POWER PARTNERS, LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG
Effective Date: 03/01/2016

Document No(s):

201606103166

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
3rd day of March, A.D. 2016.

Jon Husted
Ohio Secretary of State

Lighthouse Power Partners

Income Statement for the Period Ending 12/31/2021

Revenue	\$	19,468
Cost of Sales		
Materials & Parts	\$	3,426
Labor	\$	3,891
Total Cost of Revenue	\$	7,317
Gross Profit	\$	12,151
Operating Expenses		
Insurance	\$	402
Licensing	\$	263
Administrative	\$	721
Advertising	\$	125
Office Expenses	\$	856
Total Admin Expenses	\$	2,367
Commissions	\$	9,784
Total Operating Expenses	\$	12,151
Net Income	\$	-

Balance Sheet
Lighthouse Power Partners LLC
As of 12/31/2021

Assets

Current assets

Cash	\$	3,500
Forecasted Revenue	\$	19,432
Inventory	\$	-
Total current assets	\$	22,932

Long-term assets

Machinery	\$	-
Equipment	\$	-
Total long-term assets	\$	-

Total Assets	\$	22,932
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Liabilities

Current liabilities

Accounts payable	\$	-
Current portion- long term debt	\$	-
Total current liabilities	\$	-

Long-term liabilities

Forecast Commissions	\$	5,400
Total long-term liabilities	\$	5,400

Total Liabilities	\$	5,400
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Owner's Equity

Owner's capital	\$	10,000
Retained earnings	\$	7,532

Total Owner's Equity	\$	17,532
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Total Liabilities and Owner's Equity	\$	22,932
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Lighthouse Power Partners

Income Statement for the Period Ending 12/31/2020

Revenue	\$	23,418
Cost of Sales		
Materials & Parts	\$	5,426
Labor	\$	4,721
Total Cost of Revenue	\$	10,147
Gross Profit	\$	13,271
Operating Expenses		
Insurance	\$	402
Licensing	\$	263
Administrative	\$	721
Advertising	\$	125
Office Expenses	\$	798
Total Admin Expenses	\$	2,309
Commissions	\$	10,162
Total Operating Expenses	\$	12,471
Net Income	\$	800.00

Balance Sheet
Lighthouse Power Partners LLC
As of 12/31/2021

Assets

Current assets

Cash	\$	4,300
Forecasted Revenue	\$	23,215
Inventory	\$	-
Total current assets	\$	27,515

Long-term assets

Machinery	\$	-
Equipment	\$	-
Total long-term assets	\$	-

Total Assets	\$	27,515
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Liabilities

Current liabilities

Accounts payable	\$	-
Current portion- long term debt	\$	-
Total current liabilities	\$	-

Long-term liabilities

Forecast Commissions	\$	5,400
Total long-term liabilities	\$	5,400

Total Liabilities	\$	5,400
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Owner's Equity

Owner's capital	\$	10,000
Retained earnings	\$	12,115

Total Owner's Equity	\$	22,115
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Total Liabilities and Owner's Equity	\$	27,515
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	Current Year (Estimated) 2022	Forecast Year 1 2023	Forecast Year 2 2024
Revenues			
Ohio Electric Brokering Revenue	\$ 7,000	\$ 10,000	\$ 13,000
Expenses			
Total Expenses	\$ 6,000	\$ 9,000	\$ 12,000
Taxes			
Provision for Income Taxes	\$ 150	\$ 190	\$ 250
Net Income (Loss)	\$ 850	\$ 810	\$ 750

Forecaast prepared by:

Chris Smith
Managing Director
Lighthouse Power Partners
251 W Central Ave, #251
Springboro, OH 45066
(937) 709-0098
Chris.Smith@LighthousePowerPartners.com

Assumptions:

100% Renewal Rate
Minimal Growth
Existing customer base and special cases only.

Competitive Retail Electric Service Affidavit

County of WARREN :

State of OHIO :

CHRIS SMITH, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

Signature of Affiant & Title

MANAGING DIRECTOR



DEBORAH C. CAIN
NOTARY PUBLIC
STATE OF OHIO
Recorded in
Warren County
My Comm. Exp. 9/24/2024

Sworn and subscribed before me this 20th day of July, 2022
Month Year

Deborah C. Cain
Signature of official administering oath

DEBORAH C. CAIN NOTARY
Print Name and Title

My commission expires on Sept. 24, 2024

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

7/20/2022 12:50:45 PM

in

Case No(s). 16-0661-EL-AGG

Summary: In the Matter of the Application of Lighthouse Power Partners, LLC