



DIS Case Number: 19-1430-EL-AGG

## Section A: Application Information

### A-1. Provider type:

☒ Power Broker    ☐ Aggregator    ☐ Retail Generation Provider    ☐ Power Marketer

### A-2. Applicant's legal name and contact information.

**Legal Name:** Upstream Renewable LLC

**Phone:** 6187716149

**Extension (if applicable):**

**Country:** United States

**Street:** 1621 Central Ave.

**Website (if any):**

**City:** Cheyenne

**Province/State:** WY

**Postal Code:** 82001

### A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
UPSTREAM RENEWABLE LLC	Official Name	PO BOX 274 MARION, IL 62959	Yes	File

### A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
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### A-5. Contact person for regulatory matters

Kayla Bredar  
PO BOX 274



## Public Utilities Commission

Marion, IL 62959

US

kaylab@laketreeenergy.com

6187716149

### A-6. Contact person for PUCO Staff use in investigating consumer complaints

Kayla Bredar

PO BOX 274

Marion, IL 62959

US

kaylab@laketreeenergy.com

6187716149

### A-7. Applicant's address and toll-free number for customer service and complaints

**Phone:** 8882326206

**Extension (if  
applicable):**

**Country:** United States

**Fax:**

**Extension (if applicable):**

**Street:** 1990 Post Oak Blvd. Ste 1990m

**Email:** custserv@na.engie.com

**City:** Houston

**Province/State:** TX

**Postal Code:** 77056

### A-8. Applicant's federal employer identification number

842182877

### A-9. Applicant's form of ownership

**Form of ownership:** Limited Liability Company (LLC)

### A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

#### Service area selection

Duke Energy Ohio

FirstEnergy - Cleveland Electric Illuminating

FirstEnergy - Ohio Edison

FirstEnergy - Toledo Edison



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AES Ohio  
American Electric Power (AEP)

### Class of customer selection

Commercial  
Residential

### A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 01-01-2020

### A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Travis Loyd	tloyd71@yahoo.com	Managing Member	290 Old Highway 8N Ste 3 Brookeland, TX 75931 US

### A-13. Company history

Upstream Renewables LLC was established on June 19, 2019 to participate in the procurement or sale of retail electricity.

### A-14. Secretary of State

Secretary of State Link:

## Section B: Applicant Managerial Capability and Experience

### B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..



Jurisdiction of Operation: Lake Tree Energy LLC, State of Illinois – Illinois Commerce Commission,  
Agents, Brokers, and Consultants Docket Number 19-0043, February 22, 2019

## **B-2. Experience and plans**

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: Upstream Renewable LLC works on behalf of Ohio Schools Council (OSC), Ohio School Board Association(OSBA), Ohio Association of School Business Officials (OASBO) and the Buckeye Association of School Administrators (BASA) collectively operating under the name Power 4 Schools (P4S). Upstream Renewable LLC through its business relationship with Enconergy LLC has a supply agreement with ENGIE Resources to provide electricity supply, billing statements, and responding to inquiries and complaints in accordance with consumer protection provisions of Ohio law.

We broker approximately 1700 meters.

## **B-3. Disclosure of liabilities and investigations**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: Not applicable to Upstream Renewable LLC.

No applicant or principal officer, nor their predecessors, have ever been convicted or held liable for

fraud or violation of any consumer protection or antitrust laws within the past five years.

No applicant, nor their predecessor, has had any certification, license, or application to provide retail or

wholesale electric service (including aggregation service) denied, curtailed, suspended, revoked, or cancelled.

## **B-4. Disclosure of consumer protection violations**





## Public Utilities Commission

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

**No**

### **B-5. Disclosure of certification, denial, curtailment, suspension or revocation**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

**No**

## Section C: Applicant Financial Capability and Experience

### **C-1. Financial reporting**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

### **C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a



balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

File(s) attached

### **C-3. Forecasted financial statements**

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

File(s) attached

### **C-4. Credit rating**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate



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organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

### C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

### C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

### C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

### C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply



retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

File(s) attached

## Section D: Applicant Technical Capacity

### D-1. Operations

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

**Operations Description:** Upstream Renewable LLC provides brokerage services to consumers through negotiation of long term rates. Long-term contracts are provided at locked-in rates to offer energy savings to consumer.

### D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

**Operations Expertise & Personnel Description:** Upstream Renewable LLC is an affiliate of Econergy LLC, and benefits from their experience serving as the Program Manager of the Future Green Energy Consortium (FGEC). The FGEC is a non-profit organization founded by the Illinois Association of School Administrators, The Illinois Association of School Boards and the Illinois Association of School Business Officials, established to ensure that Illinois schools can enjoy the benefits of renewable energy. FGEC educates, coordinates and facilitates the contracting of



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energy supply, and the development of 'behind-the-meter' onsite solar generation assets for individual

school districts. In performance as program manager, we directly contact member schools and ensure the contracting of energy supply, manage the relationships with our retail electricity supply

partner, and other solar developers. Ohio's P4S (Power 4 Schools) association regulates and completes the same task but in Ohio.

Haj Young - CEO - 312-545-5363 - Served as CEO since 2020 - 2 years in managerial experience

Barry McArthur - Relationship Manager - 601-297-6607 -- Served as technical manager for contracts and business relationship manager since start of company (2019).



Public Utilities  
Commission

# Application Attachments

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show UPSTREAM RENEWABLE LLC, a Wyoming Limited Liability Company, Registration Number 4352027, was registered in the State of Ohio on June 26, 2019, is currently authorized to transact business in this state.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 17th day of May, A.D. 2022.*

A handwritten signature in blue ink, appearing to read "Frank LaRose".

**Ohio Secretary of State**

**Validation Number: 202213702510**

**Schedule B Other Information** (see instructions)

<b>1</b>	Check accounting method: <b>a</b> <input checked="" type="checkbox"/> Cash <b>b</b> <input type="checkbox"/> Accrual <b>c</b> <input type="checkbox"/> Other (specify) ▶ _____	<b>Yes</b>	<b>No</b>																									
<b>2</b>	See the instructions and enter the: <b>a</b> Business activity ▶ <b>RENEWABLE ENERGY</b> <b>b</b> Product or service ▶ <b>SOLAR</b>																											
<b>3</b>	At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation . . . . .		<b>X</b>																									
<b>4</b>	At the end of the tax year, did the corporation: <b>a</b> Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below . . . . .		<b>X</b>																									
	<table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:30%;">(i) Name of Corporation</th> <th style="width:15%;">(ii) Employer Identification Number (if any)</th> <th style="width:15%;">(iii) Country of Incorporation</th> <th style="width:15%;">(iv) Percentage of Stock Owned</th> <th style="width:25%;">(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made</th> </tr> </thead> <tbody> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> <tr><td> </td><td> </td><td> </td><td> </td><td> </td></tr> </tbody> </table>	(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made																						
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<b>b</b>	Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below . . . . .		<b>X</b>																									
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<b>5 a</b>	At the end of the tax year, did the corporation have any outstanding shares of restricted stock? . . . . . If "Yes," complete lines (i) and (ii) below. <b>(i)</b> Total shares of restricted stock . . . . . ▶ _____ <b>(ii)</b> Total shares of non-restricted stock . . . . . ▶ _____		<b>X</b>																									
<b>b</b>	At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments? . . . . . If "Yes," complete lines (i) and (ii) below. <b>(i)</b> Total shares of stock outstanding at the end of the tax year . . . . . ▶ _____ <b>(ii)</b> Total shares of stock outstanding if all instruments were executed . . . . . ▶ _____		<b>X</b>																									
<b>6</b>	Has this corporation filed, or is it required to file, <b>Form 8918</b> , Material Advisor Disclosure Statement, to provide information on any reportable transaction? . . . . .		<b>X</b>																									
<b>7</b>	Check this box if the corporation issued publicly offered debt instruments with original issue discount . . . . . <input type="checkbox"/> If checked, the corporation may have to file <b>Form 8281</b> , Information Return for Publicly Offered Original Issue Discount Instruments.																											
<b>8</b>	If the corporation <b>(a)</b> was a C corporation before it elected to be an S corporation <b>or</b> the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation, <b>and</b> <b>(b)</b> has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years. See instructions . . . . . ▶ \$ _____																											
<b>9</b>	Did the corporation have an election under section 163(j) for any real property trade or business or any farming business in effect during the tax year? See instructions . . . . .		<b>X</b>																									
<b>10</b>	Does the corporation satisfy one or more of the following? See instructions . . . . .		<b>X</b>																									
<b>a</b>	The corporation owns a pass-through entity with current, or prior year carryover, excess business interest expense.																											
<b>b</b>	The corporation's aggregate average annual gross receipts (determined under section 448(c)) for the 3 tax years preceding the current tax year are more than \$26 million and the corporation has business interest expense.																											
<b>c</b>	The corporation is a tax shelter and the corporation has business interest expense. If "Yes," complete and attach Form 8990.																											
<b>11</b>	Does the corporation satisfy <b>both</b> of the following conditions? . . . . .		<b>X</b>																									
<b>a</b>	The corporation's total receipts (see instructions) for the tax year were less than \$250,000.																											
<b>b</b>	The corporation's total assets at the end of the tax year were less than \$250,000. If "Yes," the corporation is not required to complete Schedules L and M-1.																											



Schedule B Other Information (see instructions) (continued)		Yes	No
12	During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt? . . . . . If "Yes," enter the amount of principal reduction . . . . . ▶ \$		X
13	During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions . . . . .		X
14 a	Did the corporation make any payments in 2020 that would require it to file Form(s) 1099? . . . . .	X	
b	If "Yes," did the corporation file or will it file required Form(s) 1099? . . . . .	X	
15	Is the corporation attaching Form 8996 to certify as a Qualified Opportunity Fund? . . . . . If "Yes," enter the amount from Form 8996, line 15 . . . . . ▶ \$		X

Schedule K Shareholders' Pro Rata Share Items		Total amount	
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21) . . . . .	1	(153,091)
	2 Net rental real estate income (loss) (attach Form 8825) . . . . .	2	
	3a Other gross rental income (loss) . . . . . 3a		
	b Expenses from other rental activities (attach statement) . . . . . 3b		
	c Other net rental income (loss). Subtract line 3b from line 3a . . . . . 3c		
	4 Interest income . . . . . 4		
	5 Dividends: a Ordinary dividends . . . . . 5a b Qualified dividends . . . . . 5b		
	6 Royalties . . . . . 6		
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120-S)) . . . . . 7		
	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120-S)) . . . . . 8a		
b Collectibles (28%) gain (loss) . . . . . 8b			
c Unrecaptured section 1250 gain (attach statement) . . . . . 8c			
9 Net section 1231 gain (loss) (attach Form 4797) . . . . . 9			
10 Other income (loss) (see instructions) . . . . . Type ▶	10		
Deductions	11 Section 179 deduction (attach Form 4562) . . . . . 11		
	12a Charitable contributions . . . . . 12a		
	b Investment interest expense . . . . . 12b		
	c Section 59(e)(2) expenditures . . . . . Type ▶ 12c		
d Other deductions (see instructions) . . . . . Type ▶ 12d			
Credits	13a Low-income housing credit (section 42(j)(5)). . . . . 13a		
	b Low-income housing credit (other) . . . . . 13b		
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable) . . . . . 13c		
	d Other rental real estate credits (see instructions) . . . . . Type ▶ 13d		
	e Other rental credits (see instructions) . . . . . Type ▶ 13e		
	f Biofuel producer credit (attach Form 6478) . . . . . 13f		
	g Other credits (see instructions) . . . . . Type ▶ 13g		
Foreign Transactions	14a Name of country or U.S. possession ▶	14b	
	b Gross income from all sources . . . . . 14b		
	c Gross income sourced at shareholder level . . . . . 14c		
	Foreign gross income sourced at corporate level . . . . . 14d		
	d Reserved for future use . . . . . 14d		
	e Foreign branch category . . . . . 14e		
	f Passive category . . . . . 14f		
	g General category . . . . . 14g		
	h Other (attach statement) . . . . . 14h		
	Deductions allocated and apportioned at shareholder level . . . . . 14i		
	i Interest expense . . . . . 14i		
	j Other . . . . . 14j		
	Deductions allocated and apportioned at corporate level to foreign source income . . . . . 14k		
	k Reserved for future use . . . . . 14k		
	l Foreign branch category . . . . . 14l		
	m Passive category . . . . . 14m		
	n General category . . . . . 14n		
	o Other (attach statement) . . . . . 14o		
Other information . . . . .			
p Total foreign taxes (check one): <input type="checkbox"/> Paid <input type="checkbox"/> Accrued . . . . . ▶ 14p			
q Reduction in taxes available for credit (attach statement) . . . . . 14q			
r Other foreign tax information (attach statement) . . . . .			

<b>Schedule K</b>		<b>Shareholders' Pro Rata Share Items (continued)</b>	<b>Total amount</b>	
<b>Alternative Minimum Tax (AMT) Items</b>	<b>15a</b>	Post-1986 depreciation adjustment . . . . .	<b>15a</b>	
	<b>b</b>	Adjusted gain or loss . . . . .	<b>15b</b>	
	<b>c</b>	Depletion (other than oil and gas) . . . . .	<b>15c</b>	
	<b>d</b>	Oil, gas, and geothermal properties - gross income . . . . .	<b>15d</b>	
	<b>e</b>	Oil, gas, and geothermal properties - deductions . . . . .	<b>15e</b>	
	<b>f</b>	Other AMT items (attach statement) . . . . .	<b>15f</b>	
<b>Items Affecting Shareholder Basis</b>	<b>16a</b>	Tax-exempt interest income . . . . .	<b>16a</b>	
	<b>b</b>	Other tax-exempt income . . . . .	<b>16b</b>	
	<b>c</b>	Nondeductible expenses . . . . .	<b>16c</b>	
	<b>d</b>	Distributions (attach statement if required) (see instructions) . . . . .	<b>16d</b>	
	<b>e</b>	Repayment of loans from shareholders . . . . .	<b>16e</b>	
<b>Other Information</b>	<b>17a</b>	Investment income . . . . .	<b>17a</b>	
	<b>b</b>	Investment expenses . . . . .	<b>17b</b>	
	<b>c</b>	Dividend distributions paid from accumulated earnings and profits . . . . .	<b>17c</b>	
	<b>d</b>	Other items and amounts (attach statement) <b>Statement #18</b>		
<b>Reconciliation</b>	<b>18</b>	<b>Income (loss) reconciliation.</b> Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14p . . . . .	<b>18</b>	<b>(153,091)</b>

<b>Schedule L</b>		<b>Balance Sheets per Books</b>		Beginning of tax year		End of tax year	
<b>Assets</b>		<b>(a)</b>	<b>(b)</b>	<b>(c)</b>	<b>(d)</b>		
<b>1</b>	Cash . . . . .		<b>216,798</b>		<b>159,599</b>		
<b>2a</b>	Trade notes and accounts receivable . . . . .						
<b>b</b>	Less allowance for bad debts . . . . .	( )		( )			
<b>3</b>	Inventories . . . . .						
<b>4</b>	U.S. government obligations . . . . .						
<b>5</b>	Tax-exempt securities (see instructions) . . . . .						
<b>6</b>	Other current assets (attach statement) . . . . .						
<b>7</b>	Loans to shareholders . . . . .						
<b>8</b>	Mortgage and real estate loans . . . . .						
<b>9</b>	Other investments (attach statement) . . . . .	<b>Statement #20</b>	<b>50,000</b>	<b>Statement #20</b>	<b>139,120</b>		
<b>10a</b>	Buildings and other depreciable assets . . . . .						
<b>b</b>	Less accumulated depreciation . . . . .	( )		( )			
<b>11a</b>	Depletable assets . . . . .						
<b>b</b>	Less accumulated depletion . . . . .	( )		( )			
<b>12</b>	Land (net of any amortization) . . . . .						
<b>13a</b>	Intangible assets (amortizable only) . . . . .						
<b>b</b>	Less accumulated amortization . . . . .	( )		( )			
<b>14</b>	Other assets (attach statement) . . . . .						
<b>15</b>	<b>Total assets</b> . . . . .		<b>266,798</b>		<b>298,719</b>		
<b>Liabilities and Shareholders' Equity</b>							
<b>16</b>	Accounts payable . . . . .						
<b>17</b>	Mortgages, notes, bonds payable in less than 1 year . . . . .		<b>529,652</b>		<b>474,652</b>		
<b>18</b>	Other current liabilities (attach statement) . . . . .						
<b>19</b>	Loans from shareholders . . . . .		<b>0</b>		<b>56,300</b>		
<b>20</b>	Mortgages, notes, bonds payable in 1 year or more . . . . .						
<b>21</b>	Other liabilities (attach statement) . . . . .						
<b>22</b>	Capital stock . . . . .						
<b>23</b>	Additional paid-in capital . . . . .						
<b>24</b>	Retained earnings . . . . .		<b>(262,854)</b>		<b>(232,233)</b>		
<b>25</b>	Adjustments to shareholders' equity (attach statement) . . . . .						
<b>26</b>	Less cost of treasury stock . . . . .	( )		( )			
<b>27</b>	<b>Total liabilities and shareholders' equity</b> . . . . .		<b>266,798</b>		<b>298,719</b>		

**Schedule M-1****Reconciliation of Income (Loss) per Books With Income (Loss) per Return**

**Note:** The corporation may be required to file Schedule M-3. See instructions.

<b>1</b> Net income (loss) per books . . . . .	(153,091)	<b>5</b> Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
<b>2</b> Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize): _____		<b>a</b> Tax-exempt interest \$ _____	
<b>3</b> Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14p (itemize):		<b>6</b> Deductions included on Schedule K, lines 1 through 12 and 14p, not charged against book income this year (itemize):	
<b>a</b> Depreciation \$ _____		<b>a</b> Depreciation \$ _____	
<b>b</b> Travel and entertainment \$ _____		<b>7</b> Add lines 5 and 6 . . . . .	
<b>4</b> Add lines 1 through 3 . . . . .	(153,091)	<b>8</b> Income (loss) (Schedule K, line 18). Subtract line 7 from line 4	(153,091)

**Schedule M-2**
**Analysis of Accumulated Adjustments Account, Shareholders' Undistributed Taxable Income Previously Taxed, Accumulated Earnings and Profits, and Other Adjustments Account**  
 (see instructions)

	(a) Accumulated adjustments account	(b) Shareholders' undistributed taxable income previously taxed	(c) Accumulated earnings and profits	(d) Other adjustments account
<b>1</b> Balance at beginning of tax year . . . . .				
<b>2</b> Ordinary income from page 1, line 21 . . . . .				
<b>3</b> Other additions . . . . .				
<b>4</b> Loss from page 1, line 21 . . . . .	(153,091)			
<b>5</b> Other reductions . . . . .	( )			( )
<b>6</b> Combine lines 1 through 5 . . . . .	(153,091)			
<b>7</b> Distributions . . . . .				
<b>8</b> Balance at end of tax year. Subtract line 7 from line 6 . . . . .	(153,091)			

**Cost of Goods Sold**▶ **Attach to Form 1120, 1120-C, 1120-F, 1120S, or 1065.**▶ **Go to [www.irs.gov/Form1125A](http://www.irs.gov/Form1125A) for the latest information.**

OMB No. 1545-0123

Name <b>UPSTREAM RENEWABLE LLC</b>		Employer identification number <b>84-2182877</b>	
<b>1</b>	Inventory at beginning of year . . . . .	<b>1</b>	
<b>2</b>	Purchases . . . . .	<b>2</b>	
<b>3</b>	Cost of labor . . . . .	<b>3</b>	<b>268,627</b>
<b>4</b>	Additional section 263A costs (attach schedule) . . . . .	<b>4</b>	
<b>5</b>	Other costs (attach schedule) . . . . .	<b>5</b>	
<b>6</b>	<b>Total.</b> Add lines 1 through 5 . . . . .	<b>6</b>	<b>268,627</b>
<b>7</b>	Inventory at end of year . . . . .	<b>7</b>	
<b>8</b>	<b>Cost of goods sold.</b> Subtract line 7 from line 6. Enter here and on Form 1120, page 1, line 2 or the appropriate line of your tax return. See instructions . . . . .	<b>8</b>	<b>268,627</b>

**9a** Check all methods used for valuing closing inventory:

(i) ☐ Cost

(ii) ☐ Lower of cost or market

(iii) ☐ Other (Specify method used and attach explanation.) ▶ \_\_\_\_\_

**b** Check if there was a writedown of subnormal goods . . . . . ▶ ☐

**c** Check if the LIFO inventory method was adopted this tax year for any goods (if checked, attach Form 970) . . . . . ▶ ☐

**d** If the LIFO inventory method was used for this tax year, enter amount of closing inventory computed under LIFO . . . . . **9d** \_\_\_\_\_

**e** If property is produced or acquired for resale, do the rules of section 263A apply to the entity? See instructions . . . . . ☐ Yes ☐ No

**f** Was there any change in determining quantities, cost, or valuations between opening and closing inventory? If "Yes," attach explanation . . . . . ☐ Yes ☐ No

**Schedule K-1  
(Form 1120-S)****2020**Department of the Treasury  
Internal Revenue Service

For calendar year 2020, or tax year

beginning 2020 ending \_\_\_\_\_**Shareholder's Share of Income, Deductions,  
Credits, etc.**

▶ See separate instructions.

**Part I Information About the Corporation****A** Corporation's employer identification number

84-2182877

**B** Corporation's name, address, city, state, and ZIP code

UPSTREAM RENEWABLE LLC

1621 CENTRAL AVE

CHEYENNE

WY 82001

**C** IRS Center where corporation filed return

OGDEN

**Part II Information About the Shareholder****D** Shareholder's identifying number

842-52-8741

**E** Shareholder's name, address, city, state, and ZIP code

CLEARVIEW CONSULTANTS LLC

% TRAVIS G LOYD, MEMBER MANAGER

1621 CENTRAL AVE

CHEYENNE

WY 82001

**F** Current year allocation percentage . . . . . 33.33400 %**G** Shareholder's number of sharesBeginning of tax year . . . . . 33End of tax year . . . . . 33**H** Loans from shareholder

Beginning of tax year . . . . . \$ \_\_\_\_\_

End of tax year . . . . . \$ 56,300

For IRS Use Only

☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

**Part III****Shareholder's Share of Current Year Income,  
Deductions, Credits, and Other Items**

<b>1</b>	Ordinary business income (loss) <u>(51,031)</u>	<b>13</b>	Credits
<b>2</b>	Net rental real estate income (loss)		
<b>3</b>	Other net rental income (loss)		
<b>4</b>	Interest income		
<b>5a</b>	Ordinary dividends		
<b>5b</b>	Qualified dividends	<b>14</b>	Foreign transactions
<b>6</b>	Royalties		
<b>7</b>	Net short-term capital gain (loss)		
<b>8a</b>	Net long-term capital gain (loss)		
<b>8b</b>	Collectibles (28%) gain (loss)		
<b>8c</b>	Unrecaptured section 1250 gain		
<b>9</b>	Net section 1231 gain (loss)		
<b>10</b>	Other income (loss)	<b>15</b>	Alternative minimum tax (AMT) items
<b>11</b>	Section 179 deduction	<b>16</b>	Items affecting shareholder basis
<b>12</b>	Other deductions		
		<b>17</b>	Other information
		AC	<u>85,748</u>
		V*	STMT
<b>18</b>	<input type="checkbox"/> More than one activity for at-risk purposes*		
<b>19</b>	<input type="checkbox"/> More than one activity for passive activity purposes*		

\* See attached statement for additional information.

# Loans from Shareholder Statement

(Keep for your records)

**2020**

Name(s) as shown on return

Tax ID Number

**UPSTREAM RENEWABLE LLC**

**84-2182877**

Shareholder's name

Tax ID Number

**CLEARVIEW CONSULTANTS LLC**

**842-52-8741**

Evidenced by  
separate written  
instrument?  
(Yes/No)

No.	Loan balance, BOY	Advances	Repayments of principal	Loan balance, EOY		Loan description
Line Ref	(Sch K-1, item H)		(Sch K-1, Ln 16, code E)	(Sch K-1, item H)		
1		56,300		56,300	Yes	OPERATIONAL LOAN
<b>Total</b>		56,300		56,300		

# STATEMENT A - QBI Pass-through Entity Reporting

Information Reported in Accordance with Section 199A-6

Schedule K-1, Line 17, Code V

**2020**

Name(s) as shown on return

**UPSTREAM RENEWABLE LLC**

Tax ID Number

**84-2182877**

Name(s) as shown on K1

**CLEARVIEW CONSULTANTS LLC**

Tax ID Number

**842-52-8741**

Line No.	Description of Trade or Business	Taxpayer Identification Number	PTP	Aggregated	SSTB
<b>1</b>	<b>UPSTREAM RENEWABLE LLC</b>	<b>84-2182877</b>			<b>No</b>

LINE NUMBER	NO. <u>1</u>	NO. ____	NO. ____	NO. ____	NO. ____	NO. ____
Ordinary Business Income (Loss)	(51,031)					
Rental Income (Loss)						
Royalty Income (Loss)						
Section 1231 Gain (Loss)						
Other Income (Loss)						
Section 179						
Other Deductions						
W-2 Wages						
Unadjusted Basis Immediately After Acquisition						
Section 199A Dividends						

**Schedule K-1  
(Form 1120-S)****2020**Department of the Treasury  
Internal Revenue Service

For calendar year 2020, or tax year

beginning 2020 ending \_\_\_\_\_**Shareholder's Share of Income, Deductions,  
Credits, etc.**

▶ See separate instructions.

**Part I Information About the Corporation****A** Corporation's employer identification number

84-2182877

**B** Corporation's name, address, city, state, and ZIP code

UPSTREAM RENEWABLE LLC

1621 CENTRAL AVE

CHEYENNE

WY 82001

**C** IRS Center where corporation filed return

OGDEN

**Part II Information About the Shareholder****D** Shareholder's identifying number

460-74-8959

**E** Shareholder's name, address, city, state, and ZIP code

SWAMP FOX ENERGY LLC

% STEPHEN B CLARK, MEMBER MANAGER

20052 CORINTH ROAD

PITTSBURG

IL 62974

**F** Current year allocation percentage . . . . . 33.33300 %**G** Shareholder's number of sharesBeginning of tax year . . . . . 33End of tax year . . . . . 33**H** Loans from shareholder

Beginning of tax year . . . . . \$ \_\_\_\_\_

End of tax year . . . . . \$ \_\_\_\_\_

For IRS Use Only

☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

**Part III****Shareholder's Share of Current Year Income,  
Deductions, Credits, and Other Items**

<b>1</b>	Ordinary business income (loss)	<b>13</b>	Credits
	(51,030)		
<b>2</b>	Net rental real estate income (loss)		
<b>3</b>	Other net rental income (loss)		
<b>4</b>	Interest income		
<b>5a</b>	Ordinary dividends		
<b>5b</b>	Qualified dividends	<b>14</b>	Foreign transactions
<b>6</b>	Royalties		
<b>7</b>	Net short-term capital gain (loss)		
<b>8a</b>	Net long-term capital gain (loss)		
<b>8b</b>	Collectibles (28%) gain (loss)		
<b>8c</b>	Unrecaptured section 1250 gain		
<b>9</b>	Net section 1231 gain (loss)		
<b>10</b>	Other income (loss)	<b>15</b>	Alternative minimum tax (AMT) items
<b>11</b>	Section 179 deduction	<b>16</b>	Items affecting shareholder basis
<b>12</b>	Other deductions		
		<b>17</b>	Other information
		AC	85,745
		V*	STMT
<b>18</b>	<input type="checkbox"/> More than one activity for at-risk purposes*		
<b>19</b>	<input type="checkbox"/> More than one activity for passive activity purposes*		

\* See attached statement for additional information.



# STATEMENT A - QBI Pass-through Entity Reporting

Information Reported in Accordance with Section 199A-6

Schedule K-1, Line 17, Code V

**2020**

Name(s) as shown on return

**UPSTREAM RENEWABLE LLC**

Tax ID Number

**84-2182877**

Name(s) as shown on K1

**SWAMP FOX ENERGY LLC**

Tax ID Number

**460-74-8959**

Line No.	Description of Trade or Business	Taxpayer Identification Number	PTP	Aggregated	SSTB
<b>1</b>	<b>UPSTREAM RENEWABLE LLC</b>	<b>84-2182877</b>			<b>No</b>

LINE NUMBER	NO. <u>1</u>	NO. ____	NO. ____	NO. ____	NO. ____	NO. ____
Ordinary Business Income (Loss)	(51,030)					
Rental Income (Loss)						
Royalty Income (Loss)						
Section 1231 Gain (Loss)						
Other Income (Loss)						
Section 179						
Other Deductions						
W-2 Wages						
Unadjusted Basis Immediately After Acquisition						
Section 199A Dividends						

**Schedule K-1  
(Form 1120-S)****2020**Department of the Treasury  
Internal Revenue Service

For calendar year 2020, or tax year

beginning 2020 ending \_\_\_\_\_**Shareholder's Share of Income, Deductions,  
Credits, etc.**

▶ See separate instructions.

**Part I Information About the Corporation****A** Corporation's employer identification number

84-2182877

**B** Corporation's name, address, city, state, and ZIP code

UPSTREAM RENEWABLE LLC

1621 CENTRAL AVE

CHEYENNE

WY 82001

**C** IRS Center where corporation filed return

OGDEN

**Part II Information About the Shareholder****D** Shareholder's identifying number

843-42-4331

**E** Shareholder's name, address, city, state, and ZIP code

OMICROS LLC

% ATTICUS FRANCKEN, MEMBER MANAGER

1621 CENTRAL AVE

CHEYENNE

WY 82001

**F** Current year allocation percentage . . . . . 33.33300 %**G** Shareholder's number of sharesBeginning of tax year . . . . . 33End of tax year . . . . . 33**H** Loans from shareholder

Beginning of tax year . . . . . \$ \_\_\_\_\_

End of tax year . . . . . \$ \_\_\_\_\_

For IRS Use Only

☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

**Part III****Shareholder's Share of Current Year Income,  
Deductions, Credits, and Other Items**

<b>1</b>	Ordinary business income (loss) (51,030)	<b>13</b>	Credits
<b>2</b>	Net rental real estate income (loss)		
<b>3</b>	Other net rental income (loss)		
<b>4</b>	Interest income		
<b>5a</b>	Ordinary dividends		
<b>5b</b>	Qualified dividends	<b>14</b>	Foreign transactions
<b>6</b>	Royalties		
<b>7</b>	Net short-term capital gain (loss)		
<b>8a</b>	Net long-term capital gain (loss)		
<b>8b</b>	Collectibles (28%) gain (loss)		
<b>8c</b>	Unrecaptured section 1250 gain		
<b>9</b>	Net section 1231 gain (loss)		
<b>10</b>	Other income (loss)	<b>15</b>	Alternative minimum tax (AMT) items
<b>11</b>	Section 179 deduction	<b>16</b>	Items affecting shareholder basis
<b>12</b>	Other deductions		
		<b>17</b>	Other information
		AC	85,745
		V*	STMT
<b>18</b>	<input type="checkbox"/> More than one activity for at-risk purposes*		
<b>19</b>	<input type="checkbox"/> More than one activity for passive activity purposes*		

\* See attached statement for additional information.

# STATEMENT A - QBI Pass-through Entity Reporting

Information Reported in Accordance with Section 199A-6

Schedule K-1, Line 17, Code V

**2020**

Name(s) as shown on return

**UPSTREAM RENEWABLE LLC**

Tax ID Number

**84-2182877**

Name(s) as shown on K1

**OMICROS LLC**

Tax ID Number

**843-42-4331**

Line No.	Description of Trade or Business	Taxpayer Identification Number	PTP	Aggregated	SSTB
<b>1</b>	<b>UPSTREAM RENEWABLE LLC</b>	<b>84-2182877</b>			<b>No</b>

LINE NUMBER	NO. <u>1</u>	NO. ____	NO. ____	NO. ____	NO. ____	NO. ____
Ordinary Business Income (Loss)	(51,030)					
Rental Income (Loss)						
Royalty Income (Loss)						
Section 1231 Gain (Loss)						
Other Income (Loss)						
Section 179						
Other Deductions						
W-2 Wages						
Unadjusted Basis Immediately After Acquisition						
Section 199A Dividends						

**Federal Supporting Statements****2020 PG01**

Name(s) as shown on return

Tax ID Number

UPSTREAM RENEWABLE LLC

84-2182877

**FORM 1120S - LINE 19 - OTHER DEDUCTIONS**

Statement #2

**DESCRIPTION****AMOUNT**

BANK CHARGES	799
COMPUTER	11,500
DUES AND SUBSCRIPTIONS	625
LEGAL AND PROFESSIONAL	101,518
OFFICE EXPENSE	41
PERMITS AND FEES	2,875
TRAVEL	924
SALES EXPENSE	19,434

**TOTAL****137,716****SCHEDULE K - LINE 17d - Other Items****PAGE 1**

Statement #18

**DESCRIPTION****AMOUNT**

GROSS RECEIPTS FOR SEC. 448(C)	257,238
--------------------------------	---------

**SCHEDULE L - LINE 9 - OTHER INVESTMENTS****PG01**

Statement #20

**DESCRIPTION****BEG OF YEAR****END OF YEAR**

PREDICTIVE VENTURE	50,000	50,000
ECONERGY LLC		89,120

**TOTAL****50,000****139,120**

# Schedule M-2/Retained Earnings Worksheet

**Form 1120S**

(Keep for your records)

**2020**

Name(s) as shown on return

Tax ID Number

**UPSTREAM RENEWABLE LLC**

**84-2182877**

## Analysis of Current-Year Retained Earnings

1	Beginning retained earnings per balance sheet (Schedule L, column b, lines 24 and 25)	1	<u>(262,854)</u>
2	Book income (loss) (Schedule M-1, line 1, or Schedule M-3, page 1, line 11)	2	<u>(153,091)</u>
3	Distributions (Schedule K, line 16d + line 17c)	3	
4	Subtotal (combines lines 1 through 3)	4	<u>(415,945)</u>
5	Ending retained earnings per balance sheet (Schedule L, column d, lines 24 and 25)	5	<u>(232,233)</u>
6	<b>Difference (line 4 minus line 5) (should be zero)</b>	6	<u><b>(183,712)</b></u>

## Current-Year Change to Retained Earnings Compared to Current-Year Change to AAA & OAA

1	Ending retained earnings (Schedule L, column d, line 24)	1	<u>(232,233)</u>
2	Beginning retained earnings (Schedule L, column b, line 24)	2	<u>(262,854)</u>
3	Retained earnings change (line 1 minus line 2)	3	<u>30,621</u>
4	Ending AAA plus OAA	4	<u>(153,091)</u>
5	Beginning AAA plus OAA	5	
6	Difference (line 4 minus line 5)	6	<u>(153,091)</u>

### Current-Year Timing Adjustments per Schedule M-1

Subtractions from net income per books (Schedule M-1, lines 5 and 6 - not included on Schedule M-2)

7	Other income recorded on books not included on Schedule K	7	
8	Depreciation on Schedule K not included on books	8	
9	Other Schedule K items not included on books	9	
10	Total subtractions (lines 7 through 9)	10	

Additions to net income per books (Schedule M-1, lines 2 and 3 - not included on Schedule M-2, line 3)

11	Income included on Schedule K not recorded on books	11	
12	Depreciation on books not included on Schedule K	12	
13	Other items on books not included on Schedule K	13	
14	Total additions (lines 11 through 13)	14	
15	Sch M-1 timing adjustments not included on Schedule M-2, lines 2 thru 5 (subtract line 14 from line 10)	15	

### Current-Year Timing Adjustments Per Schedule M-3

Permanent or temporary book-to-tax difference amounts entered on the M32, M33, 8916A, and SCH3 screens appear on line 16 and line 17 as opposite of the actual entries. For example, an entry of -100 would appear as 100.

16	Permanent differences	16	
17	Temporary differences	17	
18	Timing adjustments not included on Schedule M-2 (combine lines 16 and 17)	18	
19	Distributions reported on Schedule K, line 16d, not allowed on Schedule M-2, line 7	19	
20	Distributions reported on Schedule K, line 17c, dividend distributions paid from AE&P	20	
21	Adjustments to retained earnings (Schedule L, line 25 column d minus Schedule L, line 25, column b)	21	
22	M-2 amount after M-1 timing adjustments (add lines 6, 15, 19, 20 and 21)	22	<u>(153,091)</u>
23	M-2 amount after M-3 timing adjustments (add lines 6, 18, 19, 20 and 21)	23	
24	<b>Net reconciliation difference (line 3 minus line 22 or 23)</b>	24	<u><b>183,712</b></u>

# U.S. Income Tax Return for an S Corporation

Do not file this form unless the corporation has filed or is attaching Form 2553 to elect to be an S corporation.

Go to [www.irs.gov/Form1120S](http://www.irs.gov/Form1120S) for instructions and the latest information.

OMB No. 1545-0123

# 2021

For calendar year 2021 or tax year beginning , 2021, ending , 20

<b>A</b> S election effective date  <b>08-01-2019</b>	<b>TYPE OR PRINT</b>	Name <b>UPSTREAM RENEWABLE LLC</b>	<b>D</b> Employer identification number  <b>84-2182877</b>
<b>B</b> Business activity code number (see instructions)  <b>541600</b>		Number, street, and room or suite no. If a P.O. box, see instructions. <b>1621 CENTRAL AVE</b>	<b>E</b> Date incorporated  <b>08-01-2019</b>
<b>C</b> Check if Sch. M-3 attached <input type="checkbox"/>		City or town, state or province, country, and ZIP or foreign postal code <b>CHEYENNE WY 82001</b>	<b>F</b> Total assets (see instructions)  \$ <b>407,472</b>

**G** Is the corporation electing to be an S corporation beginning with this tax year? See instructions. ☐ Yes ☒ No

**H** Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination

**I** Enter the number of shareholders who were shareholders during any part of the tax year . . . . . **3**

**J** Check if corporation: (1) ☐ Aggregated activities for section 465 at-risk purposes (2) ☐ Grouped activities for section 469 passive activity purposes

**Caution:** Include **only** trade or business income and expenses on lines 1a through 21. See the instructions for more information.

<b>Income</b>	<b>1 a</b> Gross receipts or sales . . . . .	<b>1a</b>	<b>955,100</b>		
	<b>b</b> Returns and allowances . . . . .	<b>1b</b>			
	<b>c</b> Balance. Subtract line 1b from line 1a . . . . .			<b>1c</b> <b>955,100</b>	
	<b>2</b> Cost of goods sold (attach Form 1125-A) . . . . .			<b>2</b>	
	<b>3</b> Gross profit. Subtract line 2 from line 1c . . . . .			<b>3</b> <b>955,100</b>	
	<b>4</b> Net gain (loss) from Form 4797, line 17 (attach Form 4797) . . . . .			<b>4</b>	
<b>Deductions (see instructions for limitations)</b>	<b>5</b> Other income (loss) (see instructions - attach statement) . . . . .			<b>5</b>	
	<b>6</b> <b>Total income (loss).</b> Add lines 3 through 5 . . . . .			<b>6</b> <b>955,100</b>	
	<b>7</b> Compensation of officers (see instructions - attach Form 1125-E) . . . . .			<b>7</b>	
	<b>8</b> Salaries and wages (less employment credits) . . . . .			<b>8</b>	
	<b>9</b> Repairs and maintenance . . . . .			<b>9</b>	
	<b>10</b> Bad debts . . . . .			<b>10</b>	
	<b>11</b> Rents . . . . .			<b>11</b> <b>6,500</b>	
	<b>12</b> Taxes and licenses . . . . .			<b>12</b>	
	<b>13</b> Interest (see instructions) . . . . .			<b>13</b>	
	<b>14</b> Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562) . . . . .			<b>14</b>	
	<b>15</b> Depletion ( <b>Do not deduct oil and gas depletion.</b> ) . . . . .			<b>15</b>	
	<b>16</b> Advertising . . . . .			<b>16</b> <b>4,206</b>	
<b>Tax and Payments</b>	<b>17</b> Pension, profit-sharing, etc., plans . . . . .			<b>17</b>	
	<b>18</b> Employee benefit programs . . . . .			<b>18</b>	
	<b>19</b> Other deductions (attach statement) . . . . . <b>Statement #2</b>			<b>19</b> <b>638,332</b>	
	<b>20</b> <b>Total deductions.</b> Add lines 7 through 19 . . . . .			<b>20</b> <b>649,038</b>	
	<b>21</b> <b>Ordinary business income (loss).</b> Subtract line 20 from line 6 . . . . .			<b>21</b> <b>306,062</b>	
	<b>22 a</b> Excess net passive income or LIFO recapture tax (see instructions) . . . . .	<b>22a</b>		<b>22c</b>	
	<b>b</b> Tax from Schedule D (Form 1120-S) . . . . .	<b>22b</b>			
	<b>c</b> Add lines 22a and 22b (see instructions for additional taxes) . . . . .				
		<b>23 a</b> 2021 estimated tax payments and 2020 overpayment credited to 2021 . . . . .	<b>23a</b>		<b>23d</b>
		<b>b</b> Tax deposited with Form 7004 . . . . .	<b>23b</b>		
<b>c</b> Credit for federal tax paid on fuels (attach Form 4136) . . . . .		<b>23c</b>			
<b>d</b> Add lines 23a through 23c . . . . .					
<b>24</b> Estimated tax penalty (see instructions). Check if Form 2220 is attached . . . . . <input type="checkbox"/>				<b>24</b>	
<b>25</b> <b>Amount owed.</b> If line 23d is smaller than the total of lines 22c and 24, enter amount owed . . . . .				<b>25</b>	
<b>26</b> <b>Overpayment.</b> If line 23d is larger than the total of lines 22c and 24, enter amount overpaid . . . . .				<b>26</b>	
<b>27</b> Enter amount from line 26: <b>Credited to 2022 estimated tax</b> <input checked="" type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>			<b>27</b>		

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below? See instructions. ☐ Yes ☒ No

<b>Paid Preparer Use Only</b>	Signature of officer <b>Trecie A. Loyd</b>	Date <b>06-01-2022</b>	Member Manager <b>MEMBER MANAGER</b>
	Print/Type preparer's name <b>Trecie A. Loyd</b>	Preparer's signature	Check <input type="checkbox"/> if PTIN self-employed <b>P00456800</b>
	Firm's name <b>SHAWNEE FINANCIAL SERVICES INC.</b>	Firm's EIN <b>46-1100938</b>	Phone no. <b>(618) 422-8690</b>
	Firm's address <b>PO Box 368 MARION IL 62959</b>		

**Schedule B Other Information** (see instructions)

1	Check accounting method: <b>a</b> <input checked="" type="checkbox"/> Cash <b>b</b> <input type="checkbox"/> Accrual <b>c</b> <input type="checkbox"/> Other (specify) ▶ _____	Yes	No
2	See the instructions and enter the: <b>a</b> Business activity ▶ <b>RENEWABLE ENERGY</b> <b>b</b> Product or service ▶ <b>SOLAR</b>		
3	At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation . . . . .		X
4	At the end of the tax year, did the corporation: <b>a</b> Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below . . . . .		X

(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if applicable) a Qualified Subchapter S Subsidiary Election Was Made

<b>b</b> Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below . . . . .	Yes	No
		X

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

<b>5 a</b> At the end of the tax year, did the corporation have any outstanding shares of restricted stock? . . . . . If "Yes," complete lines (i) and (ii) below. <b>(i)</b> Total shares of restricted stock . . . . . ▶ _____ <b>(ii)</b> Total shares of non-restricted stock . . . . . ▶ _____	Yes	No
		X
<b>b</b> At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments? . . . . . If "Yes," complete lines (i) and (ii) below. <b>(i)</b> Total shares of stock outstanding at the end of the tax year . . . . . ▶ _____ <b>(ii)</b> Total shares of stock outstanding if all instruments were executed . . . . . ▶ _____		X
<b>6</b> Has this corporation filed, or is it required to file, <b>Form 8918</b> , Material Advisor Disclosure Statement, to provide information on any reportable transaction? . . . . .		X
<b>7</b> Check this box if the corporation issued publicly offered debt instruments with original issue discount . . . . . ▶ <input type="checkbox"/> If checked, the corporation may have to file <b>Form 8281</b> , Information Return for Publicly Offered Original Issue Discount Instruments.		
<b>8</b> If the corporation <b>(a)</b> was a C corporation before it elected to be an S corporation <b>or</b> the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation, <b>and</b> <b>(b)</b> has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years. See instructions . . . . . ▶ \$ _____		
<b>9</b> Did the corporation have an election under section 163(j) for any real property trade or business or any farming business in effect during the tax year? See instructions . . . . .		X
<b>10</b> Does the corporation satisfy one or more of the following? See instructions . . . . .		X
<b>a</b> The corporation owns a pass-through entity with current, or prior year carryover, excess business interest expense. <b>b</b> The corporation's aggregate average annual gross receipts (determined under section 448(c)) for the 3 tax years preceding the current tax year are more than \$26 million and the corporation has business interest expense. <b>c</b> The corporation is a tax shelter and the corporation has business interest expense. If "Yes," complete and attach Form 8990.		
<b>11</b> Does the corporation satisfy <b>both</b> of the following conditions? . . . . .		X
<b>a</b> The corporation's total receipts (see instructions) for the tax year were less than \$250,000. <b>b</b> The corporation's total assets at the end of the tax year were less than \$250,000. If "Yes," the corporation is not required to complete Schedules L and M-1.		

<b>Schedule B Other Information</b> (see instructions) <i>(continued)</i>		Yes	No
<b>12</b>	During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt? . . . . . If "Yes," enter the amount of principal reduction . . . . . ▶ \$		X
<b>13</b>	During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions . . . . .		X
<b>14 a</b>	Did the corporation make any payments in 2021 that would require it to file Form(s) 1099? . . . . .	X	
<b>b</b>	If "Yes," did the corporation file or will it file required Form(s) 1099? . . . . .	X	
<b>15</b>	Is the corporation attaching Form 8996 to certify as a Qualified Opportunity Fund? . . . . . If "Yes," enter the amount from Form 8996, line 15 . . . . . ▶ \$		X

<b>Schedule K Shareholders' Pro Rata Share Items</b>		Total amount	
<b>Income (Loss)</b>	<b>1</b> Ordinary business income (loss) (page 1, line 21) . . . . .	<b>1</b>	306,062
	<b>2</b> Net rental real estate income (loss) (attach Form 8825) . . . . .	<b>2</b>	
	<b>3a</b> Other gross rental income (loss) . . . . . <b>3a</b>		
	<b>b</b> Expenses from other rental activities (attach statement) . . . . . <b>3b</b>		
	<b>c</b> Other net rental income (loss). Subtract line 3b from line 3a . . . . . <b>3c</b>		
	<b>4</b> Interest income . . . . . <b>4</b>		
	<b>5</b> Dividends: <b>a</b> Ordinary dividends . . . . . <b>5a</b> <b>b</b> Qualified dividends . . . . . <b>5b</b>		
	<b>6</b> Royalties . . . . . <b>6</b>		
	<b>7</b> Net short-term capital gain (loss) (attach Schedule D (Form 1120-S)) . . . . . <b>7</b>		
	<b>8a</b> Net long-term capital gain (loss) (attach Schedule D (Form 1120-S)) . . . . . <b>8a</b> <b>b</b> Collectibles (28%) gain (loss) . . . . . <b>8b</b> <b>c</b> Unrecaptured section 1250 gain (attach statement) . . . . . <b>8c</b>		
<b>9</b> Net section 1231 gain (loss) (attach Form 4797) . . . . . <b>9</b>			
<b>10</b> Other income (loss) (see instructions) . . . . . Type ▶ <b>10</b>			
<b>Deductions</b>	<b>11</b> Section 179 deduction (attach Form 4562) . . . . . <b>11</b>		
	<b>12a</b> Charitable contributions . . . . . <b>12a</b>		
	<b>b</b> Investment interest expense . . . . . <b>12b</b>		
	<b>c</b> Section 59(e)(2) expenditures . . . . . Type ▶ <b>12c</b> <b>d</b> Other deductions (see instructions) . . . . . Type ▶ <b>12d</b>		
<b>Credits</b>	<b>13a</b> Low-income housing credit (section 42(j)(5)) . . . . . <b>13a</b>		
	<b>b</b> Low-income housing credit (other) . . . . . <b>13b</b>		
	<b>c</b> Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable). . . . . <b>13c</b>		
	<b>d</b> Other rental real estate credits (see instructions) . . Type ▶ <b>13d</b>		
	<b>e</b> Other rental credits (see instructions) . . . . . Type ▶ <b>13e</b>		
	<b>f</b> Biofuel producer credit (attach Form 6478) . . . . . <b>13f</b>		
	<b>g</b> Other credits (see instructions) . . . . . Type ▶ <b>13g</b>		
<b>International Transactions</b>	<b>14</b> Attach Schedule K-2 (Form 1120-S), Shareholders' Pro Rata Share Items - International, and check this box to indicate you are reporting items of international tax relevance . . . . . ▶ <input type="checkbox"/>		
<b>Alternative Minimum Tax (AMT) Items</b>	<b>15a</b> Post-1986 depreciation adjustment . . . . . <b>15a</b>		
	<b>b</b> Adjusted gain or loss . . . . . <b>15b</b>		
	<b>c</b> Depletion (other than oil and gas) . . . . . <b>15c</b>		
	<b>d</b> Oil, gas, and geothermal properties - gross income . . . . . <b>15d</b>		
	<b>e</b> Oil, gas, and geothermal properties - deductions . . . . . <b>15e</b>		
	<b>f</b> Other AMT items (attach statement) . . . . . <b>15f</b>		
<b>Items Affecting Shareholder Basis</b>	<b>16a</b> Tax-exempt interest income . . . . . <b>16a</b>		
	<b>b</b> Other tax-exempt income . . . . . <b>16b</b>		
	<b>c</b> Nondeductible expenses . . . . . <b>16c</b>		
	<b>d</b> Distributions (attach statement if required) (see instructions) . . . . . <b>16d</b>		
	<b>e</b> Repayment of loans from shareholders . . . . . <b>16e</b>		56,300
	<b>f</b> Foreign taxes paid or accrued . . . . . <b>16f</b>		



<b>Schedule K</b>		<b>Shareholders' Pro Rata Share Items (continued)</b>	<b>Total amount</b>	
<b>Other Information</b>	<b>17a</b>	Investment income . . . . .	<b>17a</b>	
	<b>b</b>	Investment expenses . . . . .	<b>17b</b>	
	<b>c</b>	Dividend distributions paid from accumulated earnings and profits . . . . .	<b>17c</b>	
	<b>d</b>	Other items and amounts (attach statement) <b>Statement #18</b>		
<b>Reconciliation</b>	<b>18</b>	<b>Income (loss) reconciliation.</b> Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 16f . . . . .	<b>18</b>	<b>306,062</b>

<b>Schedule L</b>		<b>Balance Sheets per Books</b>		Beginning of tax year		End of tax year	
		(a)	(b)	(c)	(d)		
<b>Assets</b>							
<b>1</b>	Cash . . . . .		<b>159,599</b>		<b>237,777</b>		
<b>2a</b>	Trade notes and accounts receivable . . . . .						
<b>b</b>	Less allowance for bad debts . . . . .	( )		( )			
<b>3</b>	Inventories . . . . .						
<b>4</b>	U.S. government obligations . . . . .						
<b>5</b>	Tax-exempt securities (see instructions) . . . . .						
<b>6</b>	Other current assets (attach statement) . . . . .						
<b>7</b>	Loans to shareholders . . . . .						
<b>8</b>	Mortgage and real estate loans . . . . .						
<b>9</b>	Other investments (attach statement) . . . . .	<b>Statement #20</b>	<b>139,120</b>	<b>Statement #20</b>	<b>169,695</b>		
<b>10a</b>	Buildings and other depreciable assets . . . . .						
<b>b</b>	Less accumulated depreciation . . . . .	( )		( )			
<b>11a</b>	Depletable assets . . . . .						
<b>b</b>	Less accumulated depletion . . . . .	( )		( )			
<b>12</b>	Land (net of any amortization) . . . . .						
<b>13a</b>	Intangible assets (amortizable only) . . . . .						
<b>b</b>	Less accumulated amortization . . . . .	( )		( )			
<b>14</b>	Other assets (attach statement) . . . . .						
<b>15</b>	<b>Total assets</b> . . . . .		<b>298,719</b>		<b>407,472</b>		
<b>Liabilities and Shareholders' Equity</b>							
<b>16</b>	Accounts payable . . . . .						
<b>17</b>	Mortgages, notes, bonds payable in less than 1 year . . . . .		<b>474,652</b>		<b>0</b>		
<b>18</b>	Other current liabilities (attach statement) . . . . .						
<b>19</b>	Loans from shareholders . . . . .		<b>56,300</b>		<b>0</b>		
<b>20</b>	Mortgages, notes, bonds payable in 1 year or more . . . . .						
<b>21</b>	Other liabilities (attach statement) . . . . .						
<b>22</b>	Capital stock . . . . .						
<b>23</b>	Additional paid-in capital . . . . .						
<b>24</b>	Retained earnings . . . . .		<b>(232,233)</b>		<b>407,472</b>		
<b>25</b>	Adjustments to shareholders' equity (attach statement) . . . . .						
<b>26</b>	Less cost of treasury stock . . . . .	( )		( )			
<b>27</b>	<b>Total liabilities and shareholders' equity</b> . . . . .		<b>298,719</b>		<b>407,472</b>		

**Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return****Note:** The corporation may be required to file Schedule M-3. See instructions.

<b>1</b> Net income (loss) per books . . . . .	<b>306,062</b>	<b>5</b> Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
<b>2</b> Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize): _____		<b>a</b> Tax-exempt interest \$ _____	
<b>3</b> Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 16f (itemize):		<b>6</b> Deductions included on Schedule K, lines 1 through 12 and 16f, not charged against book income this year (itemize):	
<b>a</b> Depreciation \$ _____		<b>a</b> Depreciation \$ _____	
<b>b</b> Travel and entertainment \$ _____		<b>7</b> Add lines 5 and 6 . . . . .	
<b>4</b> Add lines 1 through 3 . . . . .	<b>306,062</b>	<b>8</b> Income (loss) (Schedule K, line 18). Subtract line 7 from line 4	<b>306,062</b>

**Schedule M-2 Analysis of Accumulated Adjustments Account, Shareholders' Undistributed Taxable Income Previously Taxed, Accumulated Earnings and Profits, and Other Adjustments Account**  
(see instructions)

	(a) Accumulated adjustments account	(b) Shareholders' undistributed taxable income previously taxed	(c) Accumulated earnings and profits	(d) Other adjustments account
<b>1</b> Balance at beginning of tax year . . . . .	<b>(123,586)</b>			
<b>2</b> Ordinary income from page 1, line 21 . . . . .	<b>306,062</b>			
<b>3</b> Other additions . . . . .				
<b>4</b> Loss from page 1, line 21 . . . . .	( )			
<b>5</b> Other reductions . . . . .	( )			( )
<b>6</b> Combine lines 1 through 5 . . . . .	<b>182,476</b>			
<b>7</b> Distributions . . . . .	<b>135,000</b>			
<b>8</b> Balance at end of tax year. Subtract line 7 from line 6 . . . . .	<b>47,476</b>			

**Cost of Goods Sold**▶ **Attach to Form 1120, 1120-C, 1120-F, 1120S, or 1065.**▶ **Go to [www.irs.gov/Form1125A](http://www.irs.gov/Form1125A) for the latest information.**

OMB No. 1545-0123

Name <b>UPSTREAM RENEWABLE LLC</b>		Employer identification number <b>84-2182877</b>	
<b>1</b>	Inventory at beginning of year . . . . .	<b>1</b>	
<b>2</b>	Purchases . . . . .	<b>2</b>	
<b>3</b>	Cost of labor . . . . .	<b>3</b>	
<b>4</b>	Additional section 263A costs (attach schedule) . . . . .	<b>4</b>	
<b>5</b>	Other costs (attach schedule) . . . . .	<b>5</b>	
<b>6</b>	<b>Total.</b> Add lines 1 through 5 . . . . .	<b>6</b>	
<b>7</b>	Inventory at end of year . . . . .	<b>7</b>	
<b>8</b>	<b>Cost of goods sold.</b> Subtract line 7 from line 6. Enter here and on Form 1120, page 1, line 2 or the appropriate line of your tax return. See instructions . . . . .	<b>8</b>	
<b>9a</b> Check all methods used for valuing closing inventory:			
(i) <input type="checkbox"/> Cost			
(ii) <input type="checkbox"/> Lower of cost or market			
(iii) <input type="checkbox"/> Other (Specify method used and attach explanation.) ▶ _____			
<b>b</b>	Check if there was a writedown of subnormal goods . . . . .	▶ <input type="checkbox"/>	
<b>c</b>	Check if the LIFO inventory method was adopted this tax year for any goods (if checked, attach Form 970) . . . . .	▶ <input type="checkbox"/>	
<b>d</b>	If the LIFO inventory method was used for this tax year, enter amount of closing inventory computed under LIFO . . . . .	<b>9d</b>	
<b>e</b>	If property is produced or acquired for resale, do the rules of section 263A apply to the entity? See instructions . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No	
<b>f</b>	Was there any change in determining quantities, cost, or valuations between opening and closing inventory? If "Yes," attach explanation . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No	

**Schedule K-1  
(Form 1120-S)****2021**Department of the Treasury  
Internal Revenue Service

For calendar year 2021, or tax year

beginning 2021 ending \_\_\_\_\_**Shareholder's Share of Income, Deductions,  
Credits, etc.**

▶ See separate instructions.

<b>Part I Information About the Corporation</b>		<b>Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items</b>	
<b>A</b> Corporation's employer identification number <b>84-2182877</b>		<b>1</b> Ordinary business income (loss) <b>102,023</b>	<b>13</b> Credits
<b>B</b> Corporation's name, address, city, state, and ZIP code <b>UPSTREAM RENEWABLE LLC</b>  <b>1621 CENTRAL AVE</b>  <b>CHEYENNE WY 82001</b>		<b>2</b> Net rental real estate income (loss)	
<b>C</b> IRS Center where corporation filed return <b>OGDEN</b>		<b>3</b> Other net rental income (loss)	
<b>D</b> Corporation's total number of shares Beginning of tax year . . . . . <b>100</b> End of tax year . . . . . <b>100</b>		<b>4</b> Interest income	
		<b>5a</b> Ordinary dividends	
		<b>5b</b> Qualified dividends	<b>14</b> Schedule K-3 is attached if checked . . . . . <input type="checkbox"/>
		<b>6</b> Royalties	<b>15</b> Alternative minimum tax (AMT) items
		<b>7</b> Net short-term capital gain (loss)	
		<b>8a</b> Net long-term capital gain (loss)	
		<b>8b</b> Collectibles (28%) gain (loss)	
		<b>8c</b> Unrecaptured section 1250 gain	
		<b>9</b> Net section 1231 gain (loss)	<b>16</b> Items affecting shareholder basis <b>E</b> <b>56,300</b>
		<b>10</b> Other income (loss)	
			<b>17</b> Other information <b>AC</b> <b>318,373</b>
<b>G</b> Current year allocation percentage . . . . . <b>33.33400 %</b>		<b>11</b> Section 179 deduction	
<b>H</b> Shareholder's number of shares Beginning of tax year . . . . . <b>33</b> End of tax year . . . . . <b>33</b>		<b>12</b> Other deductions	<b>V*</b> <b>STMT</b>
<b>I</b> Loans from shareholder Beginning of tax year . . . . . \$ <b>56,300</b> End of tax year . . . . . \$ _____			
For IRS Use Only		<b>18</b> <input type="checkbox"/> More than one activity for at-risk purposes*	
		<b>19</b> <input type="checkbox"/> More than one activity for passive activity purposes*	
		* See attached statement for additional information.	

# Loans from Shareholder Statement

(Keep for your records)

**2021**

Name(s) as shown on return

Tax ID Number

**UPSTREAM RENEWABLE LLC**

**84-2182877**

Shareholder's name

Tax ID Number

**CLEARVIEW CONSULTANTS LLC**

**842-52-8741**

Evidenced by  
separate written  
instrument?  
(Yes/No)

No.	Loan balance, BOY	Advances	Repayments of principal	Loan balance, EOY		Loan description
Line Ref	(Sch K-1, item H)		(Sch K-1, Ln 16, code E)	(Sch K-1, item H)		
1	56,300		56,300		Yes	OPERATIONAL LOAN
<b>Total</b>	<b>56,300</b>		<b>56,300</b>			

# STATEMENT A - QBI Pass-through Entity Reporting

Information Reported in Accordance with Section 199A-6

Schedule K-1, Line 17, Code V

(This page is e-filed with the return. Include it if paper-filing.)

**2021**

Name(s) as shown on return

**UPSTREAM RENEWABLE LLC**

Tax ID Number

**84-2182877**

Name(s) as shown on K1

**CLEARVIEW CONSULTANTS LLC**

Tax ID Number

**842-52-8741**

Line No.	Description of Trade or Business	Taxpayer Identification Number	PTP	Aggregated	SSTB
<b>1</b>	<b>UPSTREAM RENEWABLE LLC</b>	<b>84-2182877</b>			<b>No</b>

LINE NUMBER	NO. <u>1</u>	NO. ____	NO. ____	NO. ____	NO. ____	NO. ____
Ordinary Business Income (Loss)	102,023					
Rental Income (Loss)						
Royalty Income (Loss)						
Section 1231 Gain (Loss)						
Other Income (Loss)						
Section 179						
Other Deductions						
W-2 Wages						
Unadjusted Basis Immediately After Acquisition						
Section 199A Dividends						

**Schedule K-1  
(Form 1120-S)****2021**Department of the Treasury  
Internal Revenue Service

For calendar year 2021, or tax year

beginning 2021 ending \_\_\_\_\_**Shareholder's Share of Income, Deductions,  
Credits, etc.**

▶ See separate instructions.

<b>Part I Information About the Corporation</b>		<b>Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items</b>	
<b>A</b> Corporation's employer identification number <b>84-2182877</b>		<b>1</b> Ordinary business income (loss) <b>102,020</b>	<b>13</b> Credits
<b>B</b> Corporation's name, address, city, state, and ZIP code <b>UPSTREAM RENEWABLE LLC</b>  <b>1621 CENTRAL AVE</b>  <b>CHEYENNE WY 82001</b>		<b>2</b> Net rental real estate income (loss)	
<b>C</b> IRS Center where corporation filed return <b>OGDEN</b>		<b>3</b> Other net rental income (loss)	
<b>D</b> Corporation's total number of shares Beginning of tax year . . . . . <b>100</b> End of tax year . . . . . <b>100</b>		<b>4</b> Interest income	
		<b>5a</b> Ordinary dividends	
		<b>5b</b> Qualified dividends	<b>14</b> Schedule K-3 is attached if checked . . . . . <input type="checkbox"/>
		<b>6</b> Royalties	<b>15</b> Alternative minimum tax (AMT) items
		<b>7</b> Net short-term capital gain (loss)	
		<b>8a</b> Net long-term capital gain (loss)	
		<b>8b</b> Collectibles (28%) gain (loss)	
		<b>8c</b> Unrecaptured section 1250 gain	
		<b>9</b> Net section 1231 gain (loss)	<b>16</b> Items affecting shareholder basis
		<b>10</b> Other income (loss)	
			<b>17</b> Other information <b>AC 318,363</b>
<b>G</b> Current year allocation percentage . . . . . <b>33.33300 %</b>		<b>11</b> Section 179 deduction	
<b>H</b> Shareholder's number of shares Beginning of tax year . . . . . <b>33</b> End of tax year . . . . . <b>33</b>		<b>12</b> Other deductions	<b>V*</b> <b>STMT</b>
<b>I</b> Loans from shareholder Beginning of tax year . . . . . \$ _____ End of tax year . . . . . \$ _____			
<b>For IRS Use Only</b>		<b>18</b> <input type="checkbox"/> More than one activity for at-risk purposes*	
		<b>19</b> <input type="checkbox"/> More than one activity for passive activity purposes*	
		* See attached statement for additional information.	

# STATEMENT A - QBI Pass-through Entity Reporting

Information Reported in Accordance with Section 199A-6

Schedule K-1, Line 17, Code V

(This page is e-filed with the return. Include it if paper-filing.)

**2021**

Name(s) as shown on return

**UPSTREAM RENEWABLE LLC**

Tax ID Number  
**84-2182877**

Name(s) as shown on K1

**SWAMP FOX ENERGY LLC**

Tax ID Number  
**460-74-8959**

Line No.	Description of Trade or Business	Taxpayer Identification Number	PTP	Aggregated	SSTB
<b>1</b>	<b>UPSTREAM RENEWABLE LLC</b>	<b>84-2182877</b>			<b>No</b>

LINE NUMBER	NO. <u>1</u>	NO. ____	NO. ____	NO. ____	NO. ____	NO. ____
Ordinary Business Income (Loss)	102,020					
Rental Income (Loss)						
Royalty Income (Loss)						
Section 1231 Gain (Loss)						
Other Income (Loss)						
Section 179						
Other Deductions						
W-2 Wages						
Unadjusted Basis Immediately After Acquisition						
Section 199A Dividends						



☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

**Schedule K-1  
(Form 1120-S)****2021**Department of the Treasury  
Internal Revenue Service

For calendar year 2021, or tax year

beginning 2021 ending \_\_\_\_\_**Shareholder's Share of Income, Deductions,  
Credits, etc.**

▶ See separate instructions.

Part I Information About the Corporation		Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items	
<b>A</b> Corporation's employer identification number <b>84-2182877</b>		<b>1</b> Ordinary business income (loss) <b>102,019</b>	<b>13</b> Credits
<b>B</b> Corporation's name, address, city, state, and ZIP code <b>UPSTREAM RENEWABLE LLC</b>  <b>1621 CENTRAL AVE</b>  <b>CHEYENNE WY 82001</b>		<b>2</b> Net rental real estate income (loss)	
<b>C</b> IRS Center where corporation filed return <b>OGDEN</b>		<b>3</b> Other net rental income (loss)	
<b>D</b> Corporation's total number of shares Beginning of tax year . . . . . <b>100</b> End of tax year . . . . . <b>100</b>		<b>4</b> Interest income	
		<b>5a</b> Ordinary dividends	
		<b>5b</b> Qualified dividends	<b>14</b> Schedule K-3 is attached if checked . . . . . <input type="checkbox"/>
		<b>6</b> Royalties	<b>15</b> Alternative minimum tax (AMT) items
		<b>7</b> Net short-term capital gain (loss)	
		<b>8a</b> Net long-term capital gain (loss)	
		<b>8b</b> Collectibles (28%) gain (loss)	
		<b>8c</b> Unrecaptured section 1250 gain	
		<b>9</b> Net section 1231 gain (loss)	<b>16</b> Items affecting shareholder basis
		<b>10</b> Other income (loss)	
<b>E</b> Shareholder's identifying number <b>843-42-4331</b>			
<b>F</b> Shareholder's name, address, city, state, and ZIP code <b>OMICROS LLC</b> <b>% ATTICUS FRANCKEN, MEMBER MANAGER</b> <b>1621 CENTRAL AVE</b> <b>CHEYENNE WY 82001</b>			
<b>G</b> Current year allocation percentage . . . . . <b>33.33300 %</b>			<b>17</b> Other information <b>AC 318,364</b>
<b>H</b> Shareholder's number of shares Beginning of tax year . . . . . <b>33</b> End of tax year . . . . . <b>33</b>		<b>11</b> Section 179 deduction	
<b>I</b> Loans from shareholder Beginning of tax year . . . . . \$ _____ End of tax year . . . . . \$ _____		<b>12</b> Other deductions	<b>V*</b> <b>STMT</b>
For IRS Use Only		<b>18</b> More than one activity for at-risk purposes*	
		<b>19</b> More than one activity for passive activity purposes*	
	* See attached statement for additional information.		

# STATEMENT A - QBI Pass-through Entity Reporting

Information Reported in Accordance with Section 199A-6

Schedule K-1, Line 17, Code V

(This page is e-filed with the return. Include it if paper-filing.)

**2021**

Name(s) as shown on return

**UPSTREAM RENEWABLE LLC**

Tax ID Number

**84-2182877**

Name(s) as shown on K1

**OMICROS LLC**

Tax ID Number

**843-42-4331**

Line No.	Description of Trade or Business	Taxpayer Identification Number	PTP	Aggregated	SSTB
<b>1</b>	<b>UPSTREAM RENEWABLE LLC</b>	<b>84-2182877</b>			<b>No</b>

LINE NUMBER	NO. <u>1</u>	NO. ____	NO. ____	NO. ____	NO. ____	NO. ____
Ordinary Business Income (Loss)	102,019					
Rental Income (Loss)						
Royalty Income (Loss)						
Section 1231 Gain (Loss)						
Other Income (Loss)						
Section 179						
Other Deductions						
W-2 Wages						
Unadjusted Basis Immediately After Acquisition						
Section 199A Dividends						

**Federal Supporting Statements****2021 PG01**

Name(s) as shown on return

Tax ID Number

UPSTREAM RENEWABLE LLC

84-2182877

**FORM 1120S - LINE 19 - OTHER DEDUCTIONS**

Statement #2

**DESCRIPTION****AMOUNT**

BANK CHARGES	30
COMPUTER	15,658
DUES AND SUBSCRIPTIONS	6,885
LEGAL AND PROFESSIONAL	107,808
MISCELLANEOUS	100
OUTSIDE SERVICES/SUB CONTRACTORS	436,847
PERMITS AND FEES	1,146
SALES	69,858

**TOTAL****638,332****SCHEDULE K - LINE 17d - Other Items****PAGE 1**

Statement #18

**DESCRIPTION****AMOUNT**

GROSS RECEIPTS FOR SEC. 448(C)	955,100
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**SCHEDULE L - LINE 9 - OTHER INVESTMENTS****PG01**

Statement #20

**DESCRIPTION****BEG OF YEAR****END OF YEAR**

PREDICTIVE VENTURE	50,000	50,000
ECONERGY LLC	89,120	119,695

**TOTAL****139,120****169,695**

# Schedule M-2/Retained Earnings Worksheet

Form 1120S

(This page is not filed with the return. It is for your records only.)

2021

Name(s) as shown on return

Tax ID Number

UPSTREAM RENEWABLE LLC

84-2182877

## Analysis of Current-Year Retained Earnings

1	Beginning retained earnings per balance sheet (Schedule L, column b, lines 24 and 25)	1	(232,233)
2	Book income (loss) (Schedule M-1, line 1, or Schedule M-3, page 1, line 11)	2	306,062
3	Distributions (Schedule K, line 16d + line 17c)	3	
4	Subtotal (combines lines 1 through 3)	4	73,829
5	Ending retained earnings per balance sheet (Schedule L, column d, lines 24 and 25)	5	407,472
6	Difference (line 4 minus line 5) (should be zero)	6	(333,643)

## Current-Year Change to Retained Earnings Compared to Current-Year Change to AAA & OAA

1	Ending retained earnings (Schedule L, column d, line 24)	1	407,472
2	Beginning retained earnings (Schedule L, column b, line 24)	2	(232,233)
3	Retained earnings change (line 1 minus line 2)	3	639,705
4	Ending AAA plus OAA	4	47,476
5	Beginning AAA plus OAA	5	(123,586)
6	Difference (line 4 minus line 5)	6	171,062

## Current-Year Timing Adjustments per Schedule M-1

Subtractions from net income per books (Schedule M-1, lines 5 and 6 - not included on Schedule M-2)

7	Other income recorded on books not included on Schedule K	7	
8	Depreciation on Schedule K not included on books	8	
9	Other Schedule K items not included on books	9	
10	Total subtractions (lines 7 through 9)	10	

Additions to net income per books (Schedule M-1, lines 2 and 3 - not included on Schedule M-2, line 3)

11	Income included on Schedule K not recorded on books	11	
12	Depreciation on books not included on Schedule K	12	
13	Other items on books not included on Schedule K	13	
14	Total additions (lines 11 through 13)	14	
15	Sch M-1 timing adjustments not included on Schedule M-2, lines 2 thru 5 (subtract line 14 from line 10)	15	

## Current-Year Timing Adjustments Per Schedule M-3

Permanent or temporary book-to-tax difference amounts entered on the M32, M33, 8916A, and SCH3 screens appear on line 16 and line 17 as opposite of the actual entries. For example, an entry of -100 would appear as 100.

16	Permanent differences	16	
17	Temporary differences	17	
18	Timing adjustments not included on Schedule M-2 (combine lines 16 and 17)	18	
19	Distributions reported on Schedule K, line 16d, not allowed on Schedule M-2, line 7	19	135,000
20	Distributions reported on Schedule K, line 17c, dividend distributions paid from AE&P	20	
21	Adjustments to retained earnings (Schedule L, line 25 column d minus Schedule L, line 25, column b)	21	
22	M-2 amount after M-1 timing adjustments (add lines 6, 15, 19, 20 and 21)	22	306,062
23	M-2 amount after M-3 timing adjustments (add lines 6, 18, 19, 20 and 21)	23	
24	Net reconciliation difference (line 3 minus line 22 or 23)	24	333,643

# Upstream Renewable LLC

## OHIO Forecasted Income Statement\*

	Estimated Jan - Dec 2022	Forecast Jan - Dec 2023	Forecast Jan - Dec 2024
<b>Revenues</b>			
OH Electric Brokering Revenue	1,193,875	1,492,344	1,865,430
<b>Expense</b>			
Total Expenses	720,295	799,527	887,474
<b>Taxes</b>			
Provision for Income Taxes	99,452	145,492	205,371
<b>Net Income</b>	<u><u>374,128.00</u></u>	<u><u>547,325.00</u></u>	<u><u>772,585.00</u></u>

**Assumptions:**

Upstream Renewable LLC used the current year budget as a base forecast.

Applied a 25% increase to sales and 11% increase to expenses.

Applied a 21% provision for income taxes.

**Forecast prepared by:**

Trecie A. Loyd - EA#43566

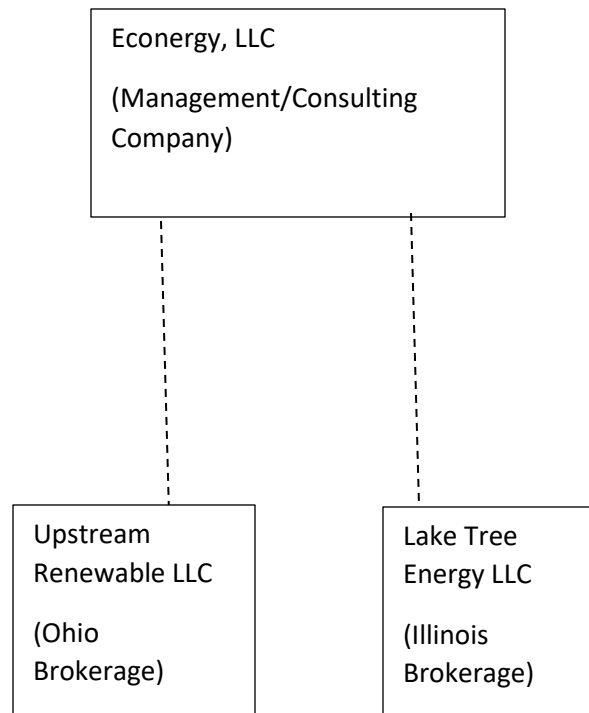
CFO of Upstream Renewable LLC

2720 W Main Street - PO Box 274

Marion, IL 62959

\*Forecasted Income Statement based solely upon Ohio brokering activities.

C





Kayla Bredar <kbredar5@gmail.com>

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## FICO

1 message

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**Travis Loyd** <tloyd71@yahoo.com>

Thu, May 19, 2022 at 12:32 PM

To: Kayla Bredar <kbredar5@gmail.com>

View report from

May 19, 2022



**TRAVIS G LOYD**

**671**

Calculated using VantageScore 3.0

Provided by TransUnion

From: [Kayla Loyd](#)  
To: [Kayla Bredar](#)  
Date: Wednesday, June 1, 2022 12:09:28 PM

11:58



## Credit

TransUnion

Equifax

# Credit score

[Details](#)

Your credit's in fair shape


**671**

Fair

No change





Scores calculated using   
VantageScore 3.0

 **Chat about your score**

---

 **See what changed**

---

  
Today

  
**Credit**

  
Money

  
Explore

# Competitive Retail Electric Service Affidavit

County of Williamson:

State of Illinois:

Travis G Loyd, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further says: as naught.

[Signature] MEMBER MANAGER  
Signature of Affiant & Title

Sworn and subscribed before me this 1<sup>st</sup> day of June, 2022  
Month Year

[Signature]  
Signature of official administering oath

Kayla Bredar, Associate  
Print Name and Title



My commission expires on 4/19/2025

**This foregoing document was electronically filed with the Public Utilities  
Commission of Ohio Docketing Information System on**

**6/1/2022 1:30:44 PM**

**in**

**Case No(s). 19-1430-EL-AGG**

**Summary: In the Matter of the Application of Upstream Renewable LLC**