



DIS Case Number: 14-0916-EL-AGG

Section A: Application Information

A-1. Provider type:

☒ Power Broker ☐ Aggregator ☐ Retail Generation Provider ☐ Power Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Premier Energy Group, LLC

Country: United States

Phone: 7323020601 **Extension (if applicable):**

Street: 1275 Bound Brook Road, Suite 6

Website (if any):
www.premierenergygroup.com

City: Middlesex **Province/State:** NJ

Postal Code: 08846

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Premier Energy Group, LLC	Official Name	1275 Bound Brook Road, Suite 6 Middlesex, NJ 08846	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Premier Energy Group, LLC	Official Name	1275 Bound Brook Road, Suite 6 Middlesex, NJ 08846	Yes	File

A-5. Contact person for regulatory matters



Scott Fawcett
1275 Bound Brook Road, Suite 6
Middlesex, NJ 08846
US
sfawcett@premierenergygroup.com
7323020608

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Scott Fawcett
1275 Bound Brook Road, Suite 6
Middlesex, NJ 08846
US
sfawcett@premierenergygroup.com
7323020608

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: (866) 469-1095	Extension (if applicable):	Country: United States
Fax: (732) 302-0606	Extension (if applicable):	Street: 1275 Bound Brook Road, Suite 6
Email: sfawcett@premierenergygroup.com	City: Middlesex	Province/State: NJ
	Postal Code: 08846	

A-8. Applicant's federal employer identification number

26-0005638

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

Duke Energy Ohio
 FirstEnergy - Cleveland Electric Illuminating
 FirstEnergy - Ohio Edison
 FirstEnergy - Toledo Edison
 AES Ohio
 American Electric Power (AEP)

Class of customer selection

Commercial
 Industrial

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 01-02-2023

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Charles Wilk	cwilk@premierenergygroup.com	Principal	1275 Bound Brook Road, Suite 6 Middlesex, NJ 08846 US
Scott Fawcett	sfawcett@premierenergygroup.com	Principal	1275 Bound Brook Road, Suite 6 Middlesex, NJ 08846 US
Richard Haynal	rhaynal@premierenergygroup.com	Principal	1275 Bound Brook Road, Suite 6 Middlesex, NJ 08846 US
Joseph Santo	jsanto@premierenergygroup.com	Principal	1275 Bound Brook Road, Suite 6 Middlesex, NJ 08846 US

A-13. Company history

Premier Energy Group, LLC is an energy consulting and brokering company that has been in business since January 2002. Premier Energy Group, LLC utilizes over 60 years of industry experience to provide professional energy procurement and energy management services to commercial and industrial customers throughout the Northeast and mid-Atlantic regions.



Public Utilities Commission

Premier Energy Group offers the following services for industrial and commercial customers:

- Broker the supply of natural gas and electricity
- Utility data collection and assessment
- Create/implement deregulation strategy and goals
- Develop RFP, analyze responses, and provide recommendations
- Contract review and negotiation
- Utility rate review

These services are provided separately or in combination in accordance with each customer's objectives.

A-14. Secretary of State

Secretary of State Link:

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..



File Attached

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements



Public Utilities Commission

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

File(s) attached

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

File(s) attached

C-4. Credit rating



Public Utilities Commission

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No



C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

File(s) attached

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities
Commission

Application Attachments



PREMIER
ENERGY GROUP, LLC

Explore your options
Act with confidence

Jurisdictions of Operation

<u>Jurisdiction</u>	<u>License Number</u>	<u>Service</u>
Delaware	6962-06-121	Electric
District of Columbia	EA 12-17-8 GA 12-5-8	Electric Natural Gas
Illinois	11-0388	Electric
Maryland	IR-942	Electric
Massachusetts	EB-055 RA-019	Electric Natural Gas
New Jersey	EA-0040	Electric and Natural Gas
Pennsylvania	A-2009-2100721	Electric
Texas	BR190224	Electric



PREMIER
ENERGY GROUP, LLC

*Explore your options
Act with confidence*

Exhibit B-2 Experience & Plans

Premier Energy Group, LLC has been providing professional energy procurement and energy management services to commercial and industrial customers for over 12 years.

With our extensive experience in the utility and deregulated energy industry as highlighted below, we will continue to provide a customized energy management and procurement strategy to commercial and industrial customers.

- Richard Haynal has 20 years of experience in the energy industry. Held positions in Sales, Engineering, and Operations Management for companies including Shell and Enron. Has a BS in Mechanical Engineering from Clarkson University and an MBA in Finance from NYU Stern Business School.
- Joseph Santo has 25 years of experience in the energy industry, nearly 20 of which have been spent in the deregulated retail market. Held Director positions with Enron Energy Services, AllEnergy Marketing Company and Energis Resources. Spent 7 years with PSE&G in marketing and business development and has a Bachelor of Engineering in Electrical Engineering along with an MBA in finance.
- Charles Wilk has 25 years of retail energy marketing experience. Held Mid-Market Sales Manager's position with Enron Energy Services and was responsible for the entire regional gas sales team which covered the service territories of NY, NJ, PA, MD, and VA. Also served as Account Manager responsible for key accounts in New Jersey and helped launch Enron's power marketing campaign.
- Scott K. Fawcett_Scott has more than 25 years of energy industry experience. In the deregulated arena, he has been focused on supporting industrial and commercial end users of natural gas and electricity in the development and execution of risk management and purchasing strategies. Prior to deregulation, Scott spent six years with Public Service Electric and Gas Company (PSE&G) managing the energy needs of some of the largest commercial and industrial end users in New Jersey. Scott received his Bachelor's degree in Industrial Engineering from Rutgers College of Engineering and his MBA from Fairleigh Dickinson University. Scott is a Certified Energy Manager (CEM) and past President of the NJ Chapter of the NJ Association of Energy Engineers

Although we do not provide billing services, we do provide support in resolving billing inquiries between our customer's the utility or third party supplier bills and other customer inquiries.



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
04/15/2014	201410401934	REG. OF FOR. PROFIT LIM. LIAB. CO. (LFP)	125.00	.00		.00	.00

Receipt

This is not a bill. Please do not remit payment.

PREMIER ENERGY GROUP, LLC
ATTN RICHARD ALBANESE
1275 BOUND BROOK ROAD SUITE 6
MIDDLESEX, NJ 08846

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted**2286768**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

PREMIER ENERGY GROUP, LLC

and, that said business records show the filing and recording of:

Document(s)

REG. OF FOR. PROFIT LIM. LIAB. CO.

Document No(s):

201410401934**Effective Date: 04/14/2014**

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 15th day of April, A.D.
2014.

Ohio Secretary of State



Form 533B Prescribed by:

Ohio Secretary of State

JON HUSTED
 Ohio Secretary of State

Central Ohio: (614) 466-3910

Toll Free: (877) SOS-FILE (767-3453)

www.OhioSecretaryofState.gov

Busserv@OhioSecretaryofState.gov

Mail this form to one of the following:

Regular Filing (non expedite)

P.O. Box 670

Columbus, OH 43216

Expedite Filing (Two-business day processing time requires an additional \$100.00).

P.O. Box 1390

Columbus, OH 43216

Registration of a Foreign Limited Liability Company

Filing Fee: \$125

CHECK ONLY ONE (1) BOX

 (1) ☒ Registration of a Foreign For-Profit Limited Liability Company

 (106-LFA)
 ORC 1705
Jurisdiction of Formation Date of Formation
 (2) ☐ Registration of a Foreign Nonprofit Limited Liability Company

 (106-LFA)
 ORC 1705
Jurisdiction of Formation Date of Formation

Name of Limited Liability Company in its jurisdiction of formation

Name under which the foreign limited liability company desires to transact business in Ohio (if different from its name in its jurisdiction of formation) is:

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd"

The address to which interested persons may direct requests for copies of the limited liability company's operating agreement, bylaws, or other charter documents of the company is:

Name

Mailing Address

City

State

ZIP Code

2014 APR 14 PM 2:38

The limited liability company hereby appoints the following as its agent upon whom process against the limited liability company may be served in the state of Ohio. The name and complete address of the agent is

National Registered Agents, Inc.

Name

1300 East Ninth Street

Mailing Address

Cleveland

City

Ohio

State

044114

ZIP Code

☐ If the agent is an individual and using a P.O. Box, check this box to confirm that the agent is an Ohio resident.

The limited liability company irrevocably consents to service of process on the agent listed above as long as the authority of the agent continues, and to service of process upon the Ohio Secretary of State if:

- a. an agent is not appointed, or
- b. an agent is appointed but the authority of that agent has been revoked, or
- c. the agent cannot be found or served after the exercise of reasonable diligence.

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Must be signed by an authorized representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

PREMIER ENERGY GROUP, LLC

Signature

[Handwritten Signature]

By (if applicable)

JOSEPH L. SANTO

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

**STATE OF NEW JERSEY
DEPARTMENT OF THE TREASURY
DIVISION OF REVENUE AND ENTERPRISE SERVICES
SHORT FORM STANDING**

PREMIER ENERGY GROUP, LLC

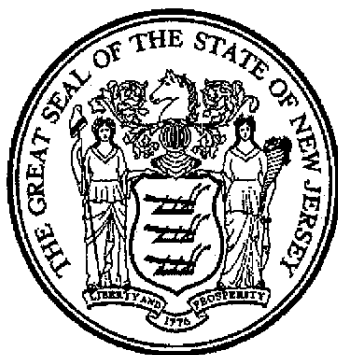
0600131934

I, the Treasurer of the State of New Jersey, do hereby certify that the above-named New Jersey Domestic Limited Liability Company was registered by this office on January 14, 2002.

As of the date of this certificate, said business continues as an active business in good standing in the State of New Jersey, and its Annual Reports are current.

I further certify that the registered agent and registered office are:

*Richard J. Albanese
1275 Bound Brook Road, Suite 6
Middlesex, NJ 08846*



Certification# 131682496

*IN TESTIMONY WHEREOF, I have
hereunto set my hand and affixed my
Official Seal at Trenton, this
26th day of March, 2014*

A handwritten signature in black ink, appearing to read "Andrew P. Sidamon-Eristoff".

*Andrew P. Sidamon-Eristoff
State Treasurer*

Verify this certificate at
https://www1.state.nj.us/TYTR_StandingCert/JSP/Verify_Cert.jsp

Exhibit B-4 Disclosure of Liabilities and Investigations

There are no existing, pending or past rulings, judgments, liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact Premier Energy Groups financial or operational status or ability to certified.

Premier Energy Group LLC
Statement of Cash Flows
January through December 2021

	<u>Jan - Dec 21</u>
OPERATING ACTIVITIES	
Net Income	1,181,644.80
Adjustments to reconcile Net Income to net cash provided by operations:	
1200 · Accounts Receivable	-509,125.12
2000 · Accounts Payable	30,207.65
2020 · Profit Sharing Payable	8,710.74
2030 · Cares Act PPP Loan	-288,415.00
Net cash provided by Operating Activities	423,023.07
INVESTING ACTIVITIES	
1502 · Fixed Term CD MD 0732	-3.73
1503 · Fixed Term CD DC-E 9059	-3.65
1504 · Fixed Term CD DC G 9046	-3.63
1650 · Other Asset - Intangible:1651 · Accumulated Amortization	3,437.00
Net cash provided by Investing Activities	3,425.99
FINANCING ACTIVITIES	
3100 · Charles Wilk Equity:3102 · Dividends - C. Wilk	-100,000.00
3200 · Joseph Santo Equity:3202 · Dividends - J. Santo	-100,000.00
3400 · Richard Haynal Equity:3402 · Dividends - R. Haynal	-100,000.00
3502 · Scott K Fawcett Equity:3503 · Dividends - S. Fawcett	-100,000.00
Net cash provided by Financing Activities	-400,000.00
Net cash increase for period	26,449.06
Cash at beginning of period	144,563.16
Cash at end of period	<u><u>171,012.22</u></u>

Premier Energy Group LLC
Balance Sheet Prev Year Comparison
As of December 31, 2021

	Dec 31, 21	Dec 31, 20
ASSETS		
Current Assets		
Checking/Savings		
1010 · Bank of America - 3550	171,012.22	144,563.16
Total Checking/Savings	171,012.22	144,563.16
Total Current Assets	171,012.22	144,563.16
Fixed Assets		
1500 · Presidential Plaza Partners	2,500.00	2,500.00
1502 · Fixed Term CD MD 0732	11,421.62	11,417.89
1503 · Fixed Term CD DC-E 9059	10,052.26	10,048.61
1504 · Fixed Term CD DC G 9046	10,052.29	10,048.66
Total Fixed Assets	34,026.17	34,015.16
Other Assets		
1650 · Other Asset - Intangible	34,368.00	37,805.00
Total Other Assets	34,368.00	37,805.00
TOTAL ASSETS	239,406.39	216,383.32
LIABILITIES & EQUITY		
Liabilities		
Current Liabilities		
Other Current Liabilities		
2020 · Profit Sharing Payable	89,342.60	80,631.86
2030 · Cares Act PPP Loan	0.00	288,415.00
Total Other Current Liabilities	89,342.60	369,046.86
Total Current Liabilities	89,342.60	369,046.86
Total Liabilities	89,342.60	369,046.86
Equity		
3000 · Opening Bal Equity	20.08	20.08
3100 · Charles Wilk Equity	-659,875.00	-559,875.00
3200 · Joseph Santo Equity	-659,875.00	-559,875.00
3400 · Richard Haynal Equity	-659,875.00	-559,875.00
3502 · Scott K Fawcett Equity	-659,875.00	-559,875.00
3600 · Retained Earnings	2,086,816.38	2,039,246.41
Net Income	702,727.33	47,569.97
Total Equity	150,063.79	-152,663.54
TOTAL LIABILITIES & EQUITY	239,406.39	216,383.32

Premier Energy Group LLC

Profit & Loss Prev Year Comparison

January through December 2021

	Jan - Dec 21	Jan - Dec 20
Ordinary Income/Expense		
Income		
4000 · Consulting Income	886,206.19	954,968.53
4010 · Commission Income Elec	5,004,645.70	4,948,841.79
4020 · Commission Income Gas	2,981,331.77	2,858,189.05
4022 · Demand Response Commission	10,801.84	8,575.45
4023 · Other Fees	25,000.00	0.00
4026 · Lighting Commission	12,030.00	0.00
4029 · Referral Fee	0.00	1,750.00
4031 · Miscellaneous Income	0.00	0.01
Total Income	8,920,015.50	8,772,324.83
Gross Profit	8,920,015.50	8,772,324.83
Expense		
6115 · 401k Match	82,566.64	80,696.25
6120 · Bank Service Charges	1,676.78	1,594.78
6140 · Contributions	13,255.00	16,180.00
6145 · Advertising	3,850.00	6,225.00
6160 · Dues and Subscriptions	46,383.69	46,225.64
6180 · Business Insurance	28,618.12	32,107.09
6190 · Insurance	240,777.09	254,568.35
6230 · Licenses and Permits	6,786.55	7,565.68
6241 · Business Gifts	6,615.00	19,486.00
6245 · Office Expense	63,414.42	26,937.52
6250 · Postage and Delivery	57.36	316.65
6270 · Professional Fees	43,752.20	44,364.93
6290 · Rent	48,170.29	47,852.49
6300 · Repairs	127.95	133.15
6316 · Sub Contractor Payments	2,274,524.74	2,259,199.50
6340 · Telephone	21,338.94	27,258.55
6345 · Internet Access	2,790.58	4,335.46
6350 · Travel & Ent	6,265.46	18,144.37
6390 · Utilities	3,200.60	3,693.72
6540 · Office Equipment	0.00	13,154.55
6550 · Office Supplies	3,857.70	7,832.85
6555 · Outside Services	10,151.21	15,960.78
6560 · Payroll Expenses	5,340,405.87	5,539,172.59
6600 · Profit Sharing Expense	89,342.60	80,631.86
6820 · Taxes	169,235.89	167,697.95
6910 · Amortization Expense	3,437.00	3,437.00
Total Expense	8,510,601.68	8,724,772.71
Net Ordinary Income	409,413.82	47,552.12
Other Income/Expense		
Other Income		
7010 · Interest Income	11.01	17.85
7030 · Other Income	4,887.50	0.00
7035 · Other Income - PPP	288,415.00	0.00
Total Other Income	293,313.51	17.85
Net Other Income	293,313.51	17.85
Net Income	702,727.33	47,569.97

Premier Energy Group LLC
Statement of Cash Flows
January through December 2020

	<u>Jan - Dec 20</u>
OPERATING ACTIVITIES	
Net Income	2,049,386.44
Adjustments to reconcile Net Income to net cash provided by operations:	
1200 · Accounts Receivable	-1,958,068.77
2000 · Accounts Payable	-43,747.70
2020 · Profit Sharing Payable	276.98
2030 · Cares Act PPP Loan	288,415.00
Net cash provided by Operating Activities	336,261.95
INVESTING ACTIVITIES	
1502 · Fixed Term CD MD 0732	-7.80
1503 · Fixed Term CD DC-E 9059	-5.02
1504 · Fixed Term CD DC G 9046	-5.03
1650 · Other Asset - Intangible:1651 · Accumulated Amortization	3,437.00
Net cash provided by Investing Activities	3,419.15
FINANCING ACTIVITIES	
3100 · Charles Wilk Equity:3102 · Dividends - C. Wilk	-100,000.00
3200 · Joseph Santo Equity:3202 · Dividends - J. Santo	-100,000.00
3400 · Richard Haynal Equity:3402 · Dividends - R. Haynal	-100,000.00
3502 · Scott K Fawcett Equity:3503 · Dividends - S. Fawcett	-100,000.00
Net cash provided by Financing Activities	-400,000.00
Net cash increase for period	-60,318.90
Cash at beginning of period	204,882.06
Cash at end of period	<u><u>144,563.16</u></u>

Premier Energy Group LLC

Profit & Loss Prev Year Comparison

January through December 2022

	Jan - Dec 22	Jan - Dec 23
Ordinary Income/Expense		
Income		
4000 · Consulting Income	0.00	0.00
4010 · Commission Income Elec	0.00	0.00
4020 · Commission Income Gas	0.00	0.00
4022 · Demand Response Commission	0.00	0.00
4023 · Other Fees	0.00	0.00
4026 · Lighting Commission	0.00	0.00
4029 · Referral Fee	0.00	0.00
Total Income		
Gross Profit		
Expense		
6115 · 401k Match	0.00	0.00
6120 · Bank Service Charges	0.00	0.00
6140 · Contributions	0.00	0.00
6145 · Advertising	0.00	0.00
6160 · Dues and Subscriptions	0.00	0.00
6180 · Business Insurance	0.00	0.00
6190 · Insurance	0.00	0.00
6230 · Licenses and Permits	100.00	100.00
6241 · Business Gifts	0.00	0.00
6245 · Office Expense	0.00	0.00
6250 · Postage and Delivery	0.00	0.00
6270 · Professional Fees	0.00	0.00
6290 · Rent	0.00	0.00
6300 · Repairs	0.00	0.00
6316 · Sub Contractor Payments	0.00	0.00
6340 · Telephone	0.00	0.00
6345 · Internet Access	0.00	0.00
6350 · Travel & Ent	0.00	0.00
6390 · Utilities	0.00	0.00
6550 · Office Supplies	0.00	0.00
6555 · Outside Services	0.00	0.00
6560 · Payroll Expenses	0.00	0.00
6600 · Profit Sharing Expense	0.00	0.00
6820 · Taxes	0.00	0.00
6910 · Amortization Expense	0.00	0.00
Total Expense	100.00	100.00
Net Ordinary Income	-100.00	-100.00
Other Income/Expense		
Other Income		
7010 · Interest Income	0.00	0.00
7030 · Other Income	0.00	0.00
Total Other Income	0.00	0.00

Premier Energy Group LLC
Profit & Loss Prev Year Comparison
January through December 2022

	Jan - Dec 22	Jan - Dec 23
Net Other Income	0.00	0.00
Net Income	-100.00	-100.00



*Explore your options
Act with confidence*

Exhibit D-1 Operations

Premier Energy Group, LLC is a leading energy consulting and brokering company providing professional energy procurement and energy management services to commercial and industrial customers throughout the United states, with primary focus on the Northeast and mid-Atlantic regions.

With our extensive experience in the utility and deregulated energy industry, Premier Energy Group provides customized energy management strategy for customers.

Summary of Services:

- Investigate and analyze opportunities resulting from energy deregulation
- Collect and assess energy data
- Create and implement deregulation strategy and goals
- Develop RFP from licensed suppliers, analyze responses, provide recommendations
- Review and negotiation energy supply contracts
- Act as utility and /or supplier liaison for service issues
- Utility rate review
- Monitor energy markets to help identify future purchasing opportunities.



Explore your options
Act with confidence

Exhibit D-2 Operations Expertise

Premier Energy Group, LLC is an energy consulting and brokering company that has been in business since January 2002. Premier Energy Group, LLC utilizes over 60 years of industry experience to provide professional energy procurement and energy management services to commercial and industrial customers primarily throughout the Northeast and mid-Atlantic regions.

Principals Background

Richard Haynal – Director Business Development has 20 years of experience in the energy industry. Held positions in Sales, Engineering, and Operations Management for companies including Shell and Enron. Has a BS in Mechanical Engineering from Clarkson University and an MBA in Finance from NYU Stern Business School.

Joseph Santo - Director Business Development has 25 years of experience in the energy industry, nearly 20 of which have been spent in the deregulated retail market. Held Director positions with Enron Energy Services, AllEnergy Marketing Company and Energis Resources. Spent 7 years with Public Service Electric and Gas Company (PSE&G) in marketing and business development and has a Bachelor of Engineering in Electrical Engineering along with an MBA in finance.

Charles Wilk - Director Business Development has 25 years of retail energy marketing experience. Held Mid-Market Sales Manager's position with Enron Energy Services and was responsible for the entire regional gas sales team which covered the service territories of NY, NJ, PA, MD, and VA. Also served as Account Manager responsible for key accounts in New Jersey and helped launch Enron's power marketing campaign.

Scott K. Fawcett - Director Business Development Scott has more than 25 years of energy industry experience. In the deregulated arena, he has been focused on supporting industrial and commercial end users of natural gas and electricity in the development and execution of risk management and purchasing strategies. Prior to deregulation, Scott spent six years with Public Service Electric and Gas Company (PSE&G) managing the energy needs of some of the largest commercial and industrial end users in New Jersey. Scott received his Bachelor's degree in Industrial Engineering from Rutgers College of Engineering and his MBA from Fairleigh Dickinson University. Scott is a Certified Energy Manager (CEM) and past President of the NJ Chapter of the NJ Association of Energy Engineers

Premier Energy Group Llc

DUNS: 36-173-7930

Quick View Report

Company Information

1275 Bound Brook Rd Ste 6
Middlesex, NJ 08846

This is a **single location** location.

Financial Statement

Sales NA

Net Worth NA

History: NA

Financial Condition: NA

Financing: NA

SIC: 8748

Line of Business: Business consulting services

Telephone (732) 302-0601

Chief Executive: DIRECTOR(S): THE OFFICER(S)

Stock Symbol: NA

Year Started 2002

Employees 15

Corporate Family:

This business is a single location of the corporate family.

Scores

PAYDEX ®

Score Not Available

You must have three reported payment experiences, from at least two different vendors, to establish a PAYDEX® score. To ensure all of your payments are reflected in your credit file, add trade references to your report. Visit the Action Center to learn more.

Credit Limit Recommendation

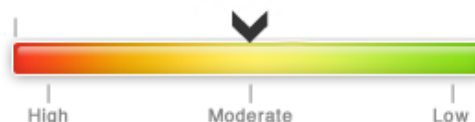
Risk Category
Moderate

Conservative Credit Limit

\$2.5k

Aggressive Credit Limit

\$15k



D&B Rating ®

Rating
1R3

Number of employees: **1R** indicates 10 or more employees
Composite Credit Appraisal: **3** is fair

The credit rating was assigned based on D&B's assessment of the company's financial ratios and its cash flow. For more information, see the D&B Rating Key.

Below is an overview of the company's rating history since 04/19/2007

D&B Rating	Date Applied
1R3	2020-07-20
--	2014-01-13
2R2	2012-11-12
2R3	2011-08-03
2R2	2011-01-04
2R3	2007-07-05
--	2007-04-19

The Summary Analysis section reflects information in D&B's file as of May 2, 2022

D&B Viability Rating

3

Viability Score



Compared to ALL US Businesses within the D&B Database:

- Level of Risk: **Low Risk**
- Businesses ranked 3 have a probability of becoming no longer viable: **3%**
- Percentage of businesses ranked 3: **15%**
- Across all US businesses, the average probability of becoming no longer viable: **14%**

4

Portfolio Comparison



Compared to ALL US Businesses within the D&B Database:

- Model Segment: **Established Trade Payments**
- Level of Risk: **Low Risk**
- Businesses ranked 4 within this model segment have a probability of becoming no longer viable: **4%**
- Percentage of businesses ranked 4 within this model segment: **11%**
- Within this model segment, the average probability of becoming no longer viable: **5%**

C

Data Depth Indicator



Data Depth Indicator:

Rich Firmographics
Extensive Commercial Trading Activity
No Financial Attributes

I

Company Profile

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Medium	Established

Compared to ALL US Businesses within the D&B Database:

- Financial Data: **Not Available**
- Trade Payments: **Available: 3+Trade**
- Company Size: **Medium: Employees: 10-49 or Sales: \$100K-\$499K**
- Years in Business: **Established: 5+**

History & Operations

History

The following information was reported: **07/20/2020**

Officer(s):
RICHARD ALBANESE, MEMBER

DIRECTOR(S):
THE OFFICER(S)

The New Jersey Secretary of States business registrations file showed that Premier Energy Group LLC was registered as a Limited Liability Company on January 14, 2002, under file registration number 0600131934.
Business started 2002.
RICHARD ALBANESE. Antecedents not available.

Operations

07/20/2020

Description:
Provides business consulting services, specializing in energy conservation (100%).

Terms are on a fee basis. Sells to undetermined.

Employees: 15 which includes officer(s).

Facilities: Rents premises in a building.

SIC & NAICS

SIC:
Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific to a company's operations that if we use the standard 4-digit code.The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

8748 9904 Energy conservation consultant

NAICS:
541690 Other Scientific and Technical Consulting Services

Payments

PAYDEX® ®

Score Not Available

You must have three reported payment experiences, from at least two different vendors, to establish a PAYDEX® score. To ensure all of your payments are reflected in your credit file, add trade references to your report. Visit the Action Center to learn more.

Payments Summary

Total (Last 24 Months): 7

	Total	Total Dollar	Largest High Credit	Within	Days Slow			
	Received	Amount	Payment summary	Terms	31	30-80	81-90	90
Other Categories								
Cash experiences	7	\$1,600	\$1,000	--	--	--	--	--
Unknown	0	\$0	\$0	--	--	--	--	--
Unfavorable comments	0	\$0	\$0	--	--	--	--	--
Placed for collections with D&B:	0	\$0	\$0	--	--	--	--	--
Other	0	N/A	\$0	--	--	--	--	--
Total in D&B's file	7	\$1,600	\$1,000	--	--	--	--	--

The highest Now Owes on file is \$0

The highest Past Due on file is \$0

There are 7 payment experience(s) in D&Bs file for the most recent 24 months, with 3 experience(s) reported during the last three month period.

Payments Details

Total (Last 24 Months): 7

Date	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last sale w/f (Mo.)
03/2022	(001)	\$1,000	\$0	\$0	Cash account	1 mo
03/2022	(002)	\$100	--	--	Cash account	1 mo
02/2022	(003)	--	--	--	Cash account	1 mo
12/2021	(004)	\$100	--	--	Cash account	6-12 mos
11/2021	(005)	\$100	--	--	Cash account	1 mo
09/2020	(006)	\$250	--	--	Cash account	4-5 mos
07/2020	(007)	\$50	--	--	Cash account	4-5 mos

Payments Detail Key:  30 or more days beyond terms

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Payment experiences reflect how bills are met in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

Banking and Finance

Statement Update

Key Business Ratios from D&B

We currently do not have enough information to generate the graphs for the selected Key Business Ratio.

• [This Company](#)

Key Financial Comparisons

	(\$)	(\$)	(\$)
This Company's Operating Results Year Over Year			
Net Sales	NA	NA	NA
Gross Profit	NA	NA	NA
Net Profit	NA	NA	NA
Dividends / Withdrawals	NA	NA	NA
Working Capital	NA	NA	NA
This Company's Assets Year Over Year			
Cash	NA	NA	NA
Accounts Receivable	NA	NA	NA
Notes Receivable	NA	NA	NA
Inventories	NA	NA	NA
Other Current	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets	NA	NA	NA
Other Non Current	NA	NA	NA
Total Assets	NA	NA	NA
This Company's Liabilities Year Over Year			
Accounts Payable	NA	NA	NA
Bank Loan	NA	NA	NA
Notes Payable	NA	NA	NA
Other Current Liabilities	NA	NA	NA
Total Current Liabilities	NA	NA	NA
Other Long Term and Short Term Liabilities	NA	NA	NA
Deferred Credit	NA	NA	NA
Net Worth	NA	NA	NA
Total Liabilities and Net Worth	NA	NA	NA



We currently do not have any recent financial statements on file for your business. Submitting financial statements can help improve your D&B scores. To submit a financial statement, please call customer service at 800-333-0505.

Key Business Ratios

	This Company	Industry Median	Industry Quartile
Solvency			
Quick Ratio	NA	NA	NA
Current Ratio	NA	NA	NA
Current Liabilities to Net Worth	NA	NA	NA
Current Liabilities to Inventory	NA	NA	NA
Total Current	NA	NA	NA
Fixed Assets to Net Worth	NA	NA	NA
Efficiency			
Collection Period	NA	NA	NA
Inventory Turn Over	NA	NA	NA
Sales to NWC	NA	NA	NA
Acct Pay to Sales	NA	NA	NA
Profitability			
Return on Sales	NA	NA	NA
Return on Assets	NA	NA	NA
Return on NetWorth	NA	NA	NA

Public Filings

Summary

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	# of Records	Most Recent Filing Date
Bankruptcy Proceedings	0	-
Judgments	0	-
Liens	0	-
Suits	0	-
UCCs	0	-

The following Public Filing data is for information purposes only and is not the official record. Certified copies can only be obtained from the official source.

Judgments

We currently don't have enough data to display this section

Liens

We currently don't have enough data to display this section

Suits

We currently don't have enough data to display this section

UCC Filings

We currently don't have enough data to display this section

Government Activity

Summary

Borrower (Dir/Guar): NO

Administrative Debt: NO

Contractor: NO

Grantee: NO

Party excluded

from federal program(s): NO

Possible Candidate:

Labor Surplus Area: N/A

Small Business: YES (2022)

8(A) Firm: N/A

The public record items contained herein may have been paid, terminated, vacated, or released prior to today's date

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Special Events

12/12/2020

PREMIER ENERGY GROUP LLC was reported by the SBA as a recipient of a loan for \$288,415 from Bank of America, National Association on 05/01/2020 under the Paycheck Protection Program as authorized under the CARES Act of 2020.

07/20/2020

On July 6, 2020, the SBA announced that this business was approved for a loan between \$150K - \$350K from Bank of America, National Association through the SBA's Paycheck Protection Program, as part of the CARES Act, in response to the COVID-19 pandemic. The amount of the actual loan may vary from the approved amount.

Corporate Linkage

Parent

Company Name	DUNS #	City, State
PREMIER ENERGY GROUP LLC	36-173-7930	MIDDLESEX, NEW JERSEY

Competitive Retail Electric Service Affidavit

County of Somerset :

State of New Jersey:

Scott Fawcett, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.



Signature of Affiant & Title

Sworn and subscribed before me this 28 day of April, 2022
Month Year



Signature of official administering oath

Joanne Comune, Notary Public
Print Name and Title

My commission expires on November 26, 2023

**This foregoing document was electronically filed with the Public Utilities
Commission of Ohio Docketing Information System on**

5/3/2022 12:15:53 PM

in

Case No(s). 14-0916-EL-AGG

Summary: In the Matter of the Application of Premier Energy Group, LLC