

DIS Case Number: 17-1548-EL-AGG

Section A: Application Information

A-1. Provider type:

☒ Power Broker
 ☒ Aggregator
 ☐ Retail Generation Provider
 ☐ Power Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Lower Watt, LLC **Country:** United States
Phone: 7326379288 **Extension (if applicable):** **Street:** 18 Jule Ct
Website (if any): **City:** Lakewood **Province/State:** NJ
Postal Code: 8701

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

| Name | Type | Address | Active? | Proof |
|-----------------|---------------|-----------------------------------|---------|-------|
| Lower Watt, LLC | Official Name | 18 Jule Ct Lakewoodf, NJ 08701 | Yes | File |

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

| Name | Type | Address | Active? | Proof |
|-----------------|---------------|-----------------------------------|---------|-------|
| Lower Watt, LLC | Official Name | 18 Jule Ct Lakewoodf, NJ 08701 | Yes | File |

A-5. Contact person for regulatory matters



Public Utilities Commission

Aharon Gartenberg
18 Jule Ct
Lakewood, NJ 08701
US
aygart@lowerwatt.com
7326379288

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Aharon Gartenberg
18 Jule Ct
Lakewood, NJ 08701
US
aygart@lowerwatt.com
7326379288

A-7. Applicant's address and toll-free number for customer service and complaints

| | | |
|----------------------------------|-----------------------------------|--|
| Phone: 18009919973 | Extension (if applicable): | Country: United States |
| Fax: | Extension (if applicable): | Street: 1770 W County Line Rd Suite 107 |
| Email: leah@lowerwatt.com | | City: Lakewood |
| | | Province/State: NJ |
| | | Postal Code: 08701 |

A-8. Applicant's federal employer identification number

452578498

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

AEP Ohio
DP&L

Duke Energy Ohio
FirstEnergy - Cleveland Electric Illuminating
FirstEnergy - Ohio Edison
FirstEnergy - Toledo Edison

Class of customer selection

Commercial
Industrial
Mercantile
Residential

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 07-20-2015

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

| Name | Email | Title | Address |
|-------------------|----------------------|-------|--|
| Aharon Gartenberg | aygart@lowerwatt.com | | 18 Jule Ct Lakewood, NJ 08701 US |

A-13. Company history

Lower Watt, LLC has been in the business of brokering energy since its inception in June 2011. The LLC member, Aharon Gartenberg was previously an independent account executive with Gateway Energy from 2010-2011. Lower Watt does not provide energy services and only arranges supply through Retail Generation Providers and/or Power Marketers. These providers with whom Lower Watt has broker agreements provide the contracted services, billing statements and respond to most inquiries and complaints. Retail Generation Providers and/or Power Marketers with whom Lower Watt, LLC has a broker



agreement are Direct Energy Business Services LLC, Hudson Energy Services LLC, Plymouth Rock Energy,

NextEra Energy Services Ohio LLC, Constellation New Energy, Agera Energy, Champion Energy Services,

GDF Suez, UGI, East Coast Power and Gas Mid- American Energy, MP2 Energy, Spark Energy, WGL

Energy.

Under the broker agreements Lower Watt, LLC arranges the contract directly between the provider or

marketer and the consumer. Once such a contract is arranged the relationship is between the consumer

and the provider or marketer.

A-14. Secretary of State

Secretary of State Link:

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

Jurisdiction of Operation: PA Broker license No: A-2015-2466624

PA Broker license No: A-2015-2470044

IL Broker license No: 17-0301

TX Broker license No: BR190633

DC Broker license No: EA-2020-05

DC Broker license No: GA-2020-04

DE Broker license No:2016606046

NJ Broker license No: EA-0544

MA Broker license No: EB-369

MA Broker license No: RA-160

MD Broker license No; IR-3926

MD Broker license No: IR-3925

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the



plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: Lower Watt, LLC has been in the business of brokering energy since its inception in June 2011. The LLC

member, Aharon Gartenberg was previously an independent account executive with Gateway Energy

from 2010-2011. Lower Watt does not provide energy services and only arranges supply through Retail

Generation Providers and/or Power Marketers. These providers with whom Lower Watt has broker

agreements provide the contracted services, billing statements and respond to most inquiries and complaints.

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NextEra Energy Services Ohio LLC, Constellation New Energy, Agera Energy, Champion Energy Services,

GDF Suez, UGI, East Coast Power and Gas Mid- American Energy, MP2 Energy, Spark Energy, WGL

Energy.

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marketer and the consumer. Once such a contract is arranged the relationship is between the consumer

and the provider or marketer.

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: There are none and have never been

B-4. Disclosure of consumer protection violations



Public Utilities Commission

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a



balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio**.

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate



Public Utilities Commission

organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply

C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

Preferred to file this information confidentially

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies,



subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Operations Description: Lower Watt does not provide energy services and only arranges supply through Retail Generation

Providers and/or Power Marketers. These providers with whom Lower Watt has broker agreements

provide the contracted services, billing statements and respond to most inquiries and complaints.

Under the broker agreements Lower Watt, LLC arranges the contract directly between the provider or

marketer and the consumer. Once such a contract is arranged the relationship is between the consumer

and the provider or marketer.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

Operations Expertise & Personnel Description: Lower Watt, LLC has been in the business of brokering energy since its inception in June 2011. The LLC



Public Utilities Commission

member, Aharon Gartenberg was previously an independent account executive with Gateway Energy

from 2010-2011. Lower Watt does not provide energy services and only arranges supply through Retail

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NextEra Energy Services Ohio LLC, Constellation New Energy, Agera Energy, Champion Energy Services,

GDF Suez, UGI, East Coast Power and Gas Mid- American Energy, MP2 Energy, Spark Energy, WGL

Energy.

Under the broker agreements Lower Watt, LLC arranges the contract directly between the provider or

marketer and the consumer. Once such a contract is arranged the relationship is between the consumer

and the provider or marketer.

Other than Aharon Gartenberg, another key personnel involved in the operational aspects of Lower Watt LLC is Leah Gray. 1770 W County Line Rd Lakewood NJ 05701

(732) 663-9288

leah@lowerwatt.com



Public Utilities
Commission

Application Attachments



| DATE | DOCUMENT ID | DESCRIPTION | FILING | EXPED | PENALTY | CERT | COPY |
|------------|--------------|--|--------|-------|---------|------|------|
| 07/21/2015 | 201520103556 | FOREIGN LLC - REGISTRATION CORRECTION (LFC) | 50.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Receipt

This is not a bill. Please do not remit payment.

LOWER WATT LLC
18 JULE CT
LAKEWOOD, NJ 08701

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
2365369

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

LOWER WATT, LLC

and, that said business records show the filing and recording of:

Document(s)

FOREIGN LLC - REGISTRATION CORRECTION

Effective Date: 07/20/2015

Document No(s):

201520103556



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
21st day of July, A.D. 2015.

Ohio Secretary of State



| DATE | DOCUMENT ID | DESCRIPTION | FILING | EXPED | PENALTY | CERT | COPY |
|------------|--------------|---|--------|-------|---------|------|------|
| 02/12/2015 | 201504201407 | REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP) | 125.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Receipt

This is not a bill. Please do not remit payment.

LOWER WATT LLC
12 N CREST PL
LAKEWOOD, NJ 08701

**STATE OF OHIO
CERTIFICATE**

**Ohio Secretary of State, Jon Husted
2365369**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

LOWER WATT, LLC

and, that said business records show the filing and recording of:

Document(s)

REGISTRATION OF FOREIGN FOR PROFIT LLC

Effective Date: 02/06/2015

Document No(s):

201504201407



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
12th day of February, A.D. 2015.

Jon Husted

Ohio Secretary of State



| DATE | DOCUMENT ID | DESCRIPTION | FILING | EXPED | PENALTY | CERT | COPY |
|------------|--------------|---|--------|-------|---------|------|------|
| 02/12/2015 | 201504201407 | REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP) | 125.00 | 0.00 | 0.00 | 0.00 | 0.00 |

Receipt

This is not a bill. Please do not remit payment.

LOWER WATT LLC
12 N CREST PL
LAKEWOOD, NJ 08701

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
2365369

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

LOWER WATT, LLC

and, that said business records show the filing and recording of:

Document(s)

REGISTRATION OF FOREIGN FOR PROFIT LLC

Effective Date: 02/06/2015

Document No(s):

201504201407



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
12th day of February, A.D. 2015.

Ohio Secretary of State

Competitive Retail Electric Service Affidavit

County of Ocean :

State of New Jersey:

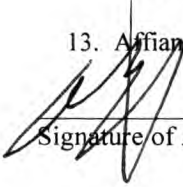
Araron Garteberg

Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

 President
Signature of Affiant & Title

Sworn and subscribed before me this 4th day of October, 2021
Month Year


Signature of official administering oath

Leah Gray
Print Name and Title

LEAH GRAY
NOTARY PUBLIC OF NEW JERSEY
Comm. # 50066705

My commission expires on 8/22/22

~~My Commission Expires Aug. 12, 2021~~

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

10/4/2021 11:29:13 AM

in

Case No(s). 17-1548-EL-AGG

Summary: In the Matter of the Application of Lower Watt, LLC