ATTACHMENT A-14

Secretary of State

Hospital Energy, LLC is currently registered with the Ohio Secretary of State as evidenced by the attached documents labelled as Attachment A-14-1 and Attachment A-14-2.

DOC ID ----> 201913401978

Attachment A-14-1



DATE 05/14/2019 DOCUMENT ID D 201913401978 R

DESCRIPTION REGISTRATION OF FOREIGN FOR PROFIT LLC (LFP) FILING EXPED CERT COPY 99.00 100.00 0.00 0.00

Receipt

This is not a bill. Please do not remit payment.

INCORP SERVICES, INC. 3773 HOWARD HUGHES PARKWAY SUITE 500S LAS VEGAS, NV 89169

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Frank LaRose

4335788

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

HOSPITAL ENERGY, LLC

and, that said business records show the filing and recording of:

Document(s)

REGISTRATION OF FOREIGN FOR PROFIT LLC Effective Date: 05/14/2019 Document No(s): 201913401978



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 14th day of May, A.D. 2019.

Jul Jone

Ohio Secretary of State



Attachment A-14-2

Thu Jun 17 2021

Entity#:	4335788	
Filing Type:	FOREIGN LIMITED LIABILITY COMPANY	
Original Filing Date:	05/14/2019	
Location:		
Business Name:	HOSPITAL ENERGY, LLC	
Status:	Active	
Exp. Date:	-	

Agent/Registrant Information

INCORP SERVICES, INC. 9435 WATERSTONE BOULEVARD, SUITE 140 CINCINNATI OH 45249 05/14/2019 Active

Filings

Filing Type	Date of Filing	Document ID
REG. OF FOR. PROFIT LIM. LIAB. CO.	05/14/2019	201913401978



Page 1 of 1

ATTACHMENT C-1

Financial Reporting

Hospital Energy, LLC is a privately held LLC and therefore does not file with the SEC.

ATTACHMENT C-4

Credit Rating

Hospital Energy, LLC is a privately held LLC and does not have a credit rating.

ATTACHMENT B-1

Jurisdiction of Operations

Hospital Energy, LLC is licensed to provide retail or wholesale electric services, including aggregation services, in: Connecticut, Delaware, District of Columbia, Illinois, Maine, Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, Pennsylvania, Rhode Island, Virginia and Texas.

Hospital Energy, LLC is licensed to provide retail natural gas service, including aggregation services, in: District of Columbia, Iowa, Maryland, Massachusetts, New Hampshire, New Jersey, Ohio, Pennsylvania, Rhode Island and Virginia.

ATTACHMENT B-2

Experience and Plans

Founded in 1995, Hospital Energy serves as the energy procurement and development arm for several of the nations' leading healthcare group purchasing organizations (GPOs), including Premier Healthcare Alliance, which represent more than 2,000 healthcare institutions in the United States. We serve hospitals in all major U.S. markets, providing services that include energy procurement, efficiency, resiliency and sustainability.

We are the authors of American Hospital Association (AHA) Best Practices in Energy Procurement (2017) and Business Planning for Energy Resiliency (2018).

Our services are founded on the three best practice principles:

- 1. <u>Alignment</u>: Energy is a financial market that operates under financial rules. Successful energy procurement and management decisions are best accomplished by forming an energy committee consisting of facilities, finance and supply chain leaders.
- 2. <u>Aggregation</u>: Acting alone, a single hospital's energy spend may not command the respect of suppliers. However, a group of hospitals can aggregate to create buying power in the market, thereby leveraging lower costs and greater access to the best products and contracts. This is best accomplished by working through a common GPO.
- 3. <u>Analytics</u>: Because energy is a financial market, the key to driving energy savings and value is to employ financial analytics. This approach creates transparency and allows hospitals to address a wide range of energy issues ranging from procurement, efficiency, resiliency and sustainability. Analytical tools should be used to inform energy strategy, forecast market trends and ensure an optimal balance of savings opportunity and budget stability.

Hospital Energy's ability to organize internal hospital decision-making, aggregate hospital energy spend to drive savings and provide leading ledge financial analytics set us apart from other advisors operating in the healthcare sector.

ATTACHMENT C-8

Corporate Structure

Hospital Energy Organization

Parent: Hospital Energy Holding Company, LLC

Subsidiaries:

- Hospital Energy, LLC
- Hospital Energy Services, LLC

ATTACHMENT D-1

Operations

The core of Hospital Energy's operations involves assisting customers in developing and implementing energy procurement strategies. The key elements to developing an energy procurement strategy typically are:

- Aligning all of the internal constituencies within a healthcare institution around a consistent approach to energy procurement across the entire health system.
- Aggregating energy load within large health systems and across hospital groups to maximize leverage with energy suppliers.
- Devising an energy procurement strategy that is suited to the institution's risk tolerance.

Once a procurement strategy has been created, Hospital Energy assists its clients to:

- Select the energy products best suited to the strategy.
- Identify the supplier that provides the best combination of price, contract terms, financial strength, risk management capability and billing practices, and enter into a supply agreement.
- Monitor the energy markets and use financial analytics to make informed decisions regarding when to purchase market energy.

ATTACHMENT D-2

Operations Expertise and Key Technical Personnel

Operations Expertise

Hospital Energy LLC helps healthcare institutions to optimize their energy procurement and management efforts. Hospital Energy LLC serves as the energy procurement arm to several of the nation's leading hospital group purchasing organizations. Our management team has more than 20 years' experience in the energy field, including market, financial, engineering and regulatory operations.

Key Technical Personnel

MARK MININBERG | Founder and President

Mark provides overall management of the Hospital Energy's services, including relationships with clients, vendors and government agencies. His professional career spans more than three decades as a healthcare administrator, lawyer, government advisor and business leader. Mark founded Hospital Energy in November 1995 to provide lower cost, clean energy sources for healthcare institutions.

In the United States, Mark has been a tireless champion for healthcare institutions seeking to improve patient care and community health through groundbreaking approaches to energy and environmental management. As an attorney, he has been at the forefront of developing federal and state laws, regulations and industry standards that improve human health and the environment. As a business leader, he has forged some of America's largest and most successful healthcare energy aggregations and used their negotiating power to create several major innovations in how hospitals procure and manage energy. As a result of these efforts, Mark was invited to author American Hospital Association (AHA) Best Practices in Energy Procurement (2017) and Business Planning for Energy Resiliency (2018).

Internationally, Mark spent many years promoting public health efforts through the introduction of clean energy alternatives, including cogeneration, in Eastern Europe and Latin America. He organized successful engagements among US governmental and business leaders and their counterparts in Russia, Poland, Ukraine, and the Czech Republic, as well as in Mexico and the Caribbean. Mark is the editor and primary author of the International Environmental Handbooks for Industry series sponsored by the Rockefeller Brothers Fund. Representative international clients have included 3M, Textron and United Technologies Corporation.

Mark is a frequent lecturer on energy, healthcare, and environmental matters, including invitations from the U.S. White House, the United Nations, the World Bank, the Oxford

University Law Colloquium, the Yale University Center for International Studies, the Harvard Law School Negotiation Project, and the Columbia University School of Public Health.

Mark graduated with from Wesleyan University with Honors and from the University of Connecticut School of Law, where he was awarded the CT Law Tribune Prize.

COURTNEY DUELL | CHIEF OPERATING OFFICER

Courtney leads the company's account management team and is responsible for maintaining and expanding relationships with the clients serviced by Hospital Energy. Additionally, Courtney oversees the daily operations of the organization, ensuring the business and staff are well-coordinated and productive. Courtney's leadership impels long-term success of Hospital Energy, with detailed attention to management of organizational metrics, maintaining efficient business processes and meeting business objectives, while always maintaining focus on serving our clients.

Courtney has twelve years of commercial energy procurement experience partnering with healthcare, government and commercial institutions nationwide. Prior to joining Hospital Energy, she spent seven years in account management with Nania Energy Advisors, an Illinois-based energy marketer, then serving as an exclusive agent to Integrys, a major retail energy supplier. Her experience with the supplier side of retail energy brings great value to Hospital Energy's clients, especially regarding procurement transactions and industry and market expertise. Courtney has a special focus on keeping our clients up to date on market conditions and resolving complex client concerns accurately and efficiently.

Courtney holds a Certified Energy Procurement Professional designation from the Association of Energy Engineers. She is also a graduate of Indiana University-Purdue University at Indianapolis with a Bachelor of Science in Business Management and Human Resource Management.

STEVE JALOWIEC | VICE PRESIDENT ENGINEERING SERVICES

Steve is Licensed Professional Engineer (PE), Certified Healthcare Facility Manager (CHFM) and has earned Senior Level (SASHE) designation with the American Society for Healthcare Engineers. Steve has provided technical energy services to Hospital Energy clients from June 2013 to the present. Steve's services include both energy market procurement and many aspects of campus energy management. Prior to joining Hospital Energy, Steve spent more than twenty years as a hospital facility director and gained intimate knowledge of the inner workings of hospital energy operations. Steve is also a past president of the New England Healthcare Engineering Society, and a co-author of AHA/ASHE Best Practices in Business Planning for Energy Resiliency.

BEN WALKER | DIRECTOR OF ENERGY MARKET ANALYTICS

Ben Walker is a Director of Market Analytics for Hospital Energy. Ben specializes in analyzing deep problems, such as developing our industry-leading market analytics; finding business solutions – especially around non-traditional energy (e.g. cogeneration, solar); and developing custom procurement strategies which balance competing priorities from finance and facilities. Additionally, he has a passion for sustainability and has worked with treasury departments from top health care institutions to change how they view investments in renewable energy. In his role, Ben focuses on the twin concepts of risk and value to help organizations make sound financial decisions.

Prior to working at Hospital Energy, Ben spent three years as a Research Engineer for academic labs in a hospital setting, helping facilitate basic-science experiments around prosthetic control. He has been published in the various fields of neuroscience, energy efficiency of IT equipment, and baseball. Ben holds a master's degree in Energy & Sustainability from Northwestern University.

Competitive Retail Electric Service Affidavit

County of Ny State of NPW Tuck

Mark Mininberg, Affiant, being duly sworn/affirmed, hereby states that:

- The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
- The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
- 3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
- The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
- 11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught. Denise W. Gaither Notary Public State of Net No. 01GA4745008 Mark Mininberg, CEO lified in Dutchess & Putnam Co Signature of Affiant & Tit usion Expires J day of JUNP Sworn and subscribed before me this Month Year Signature of official administering oath Print Name and Title My commission expires on 🥖

This foregoing document was electronically filed with the Public Utilities

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6/17/2021 10:39:12 PM

in

Case No(s). 19-1343-EL-AGG

Summary: In the Matter of the Application of Hospital Energy, LLC