



DIS Case Number: 17-0484-EL-AGG

Section A: Application Information

A-1. Provider type:

☒ Power Broker ☐ Aggregator ☐ Retail Generation Provider ☐ Power Marketer

A-2. Applicant's legal name and contact information.

Legal Name: Creekwood Energy LLC **Country:** United States
Phone: 5134480988 **Extension (if applicable):** **Street:** 2692 Madison Road Suite N1-313
Website (if any): creekwoodenergy.com **City:** Cincinnati **Province/State:** OH
Postal Code: 45208

A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Creekwood Energy LLC	Official Name	2692 Madison Road Suite N1-313 Cincinnati, OH 45208	Yes	File

A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Creekwood Energy LLC	Official Name	2692 Madison Road Suite N1-313 Cincinnati, OH 45208	Yes	File

A-5. Contact person for regulatory matters



Public Utilities Commission

Ron DeLyons
2692 Madison Rd, Ste N1-313
Cincinnati, OH 45208
US
rtd@creekwoodenergy.com
5134480988

A-6. Contact person for PUCO Staff use in investigating consumer complaints

Ron DeLyons
2692 Madison Rd, Ste N1-313
Cincinnati, OH 45208
US
rtd@creekwoodenergy.com
5134480988

A-7. Applicant's address and toll-free number for customer service and complaints

Phone: (866)986-2296	Extension (if applicable):	Country: United States
Fax: (513)772-2438	Extension (if applicable):	Street: 2692 Madison Road Ste N1-313
Email: rtd@creekwoodenergy.com	City: Cincinnati	Province/State: OH
	Postal Code: 45208	

A-8. Applicant's federal employer identification number

20-5193246

A-9. Applicant's form of ownership

Form of ownership: Limited Liability Company (LLC)

A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

Service area selection

DP&L



Public Utilities Commission

Duke Energy Ohio

Class of customer selection

Commercial

Industrial

Mercantile

A-11. Start date

Indicate the approximate start date the applicant began/will begin offering services: 05-01-2004

A-12. Principal officers, directors, and partners

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Ron DeLyons	rtd@creekwoodenergy.com	CEO	2692 Madison Rd, Ste N1-313 Cincinnati, OH 45208 US

A-13. Company history

Creekwood Energy LLC is a Certified Minority Business Enterprise located in Cincinnati Ohio. The firm was founded in 2004 with the mission of helping commercial and municipal clients reduce energy costs, consumption and environmental impacts..

A-14. Secretary of State

Secretary of State Link:

Section B: Applicant Managerial Capability and Experience

B-1. Jurisdiction of operations

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..



File Attached

B-2. Experience and plans

Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

Application Experience and Plan Description: Creekwood Energy has worked extensively in the electricity market since 2004. Our focus on analyzing and reducing transmission and distribution has resulted in hundreds of thousands of dollars in utility cost savings. Our active management strategies are designed to streamline operations and reduce utility costs by focusing on how and when our clients use electricity. From Demand Response programs to Peak Load contribution (PLC) management, we have been able to reduce T&D costs by more than 70%.

As a PUCO registered Competitive Retail Electric Service Provider (CRES), Creekwood has successfully auctioned hundreds of meters and currently has over 20 million in annual kWh under management.

Our customers are aware of their rights as consumers of energy and the procedures for informing Creekwood and/or other utility entities if they have any issues. There have been no complaints from any of our customers.

B-3. Disclosure of liabilities and investigations

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

Liability and Investigations Disclosures: N/A

B-4. Disclosure of consumer protection violations

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No



B-5. Disclosure of certification, denial, curtailment, suspension or revocation

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

Section C: Applicant Financial Capability and Experience

C-1. Financial reporting

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

C-2. Financial statements

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.



If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

C-3. Forecasted financial statements

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio.**

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

C-4. Credit rating

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

This does not apply



C-5. Credit report

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

Preferred to file this information confidentially

C-6. Bankruptcy information

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

C-7. Merger information

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

C-8. Corporate structure

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

Section D: Applicant Technical Capacity

D-1. Operations

Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

Operations Description: Creekwood Energy Partners works with clients to optimize commodity pricing in the electric and natural gas supply markets. Our auctions provide suppliers with the opportunity to submit competitive bids for short and longer term contracts. Creekwood assists clients in reviewing submitted bids on an apples-to-apples comparison to determine the most favorable offer. Creekwood then assists clients with the development and execution of the winning bidders contracting process.

D-2. Operations Expertise & Key Technical Personnel

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached



Public Utilities
Commission

Application Attachments



DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
09/11/2009	200925301812	AMEND/ARTICLES- ORGANIZATION/DOM. LLC (LAM)	50.00	.00	.00	.00	.00

Receipt

This is not a bill. Please do not remit payment.

KATZ TELLER BRANT & HILD LPA
255 E. 5TH ST., STE 2400
CINCINNATI, OH 45202

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jennifer Brunner**1386405**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

CREEKWOOD ENERGY PARTNERS, LLC

and, that said business records show the filing and recording of:

Document(s)

AMEND/ARTICLES-ORGANIZATION/DOM. LLC

Document No(s):

200925301812

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of
the Secretary of State at Columbus,
Ohio this 10th day of September,
A.D. 2009.

Ohio Secretary of State

From: 513 762 0000 Page: 3/4 Date: 9/10/2009 11:28:30 AM



Prescribed by:

The Ohio Secretary of State
Central Ohio: (614) 467-3810
Toll Free: 1-877-SOS-FILE (1-877-767-3453)

www.sos.state.oh.us

e-mail: busserv@sos.state.oh.us

Expedite this Form: (Select One)	
<input type="radio"/> Expedite	PO Box 1390 Columbus, OH 43216 *** Requires an additional fee of \$100 ***
<input checked="" type="radio"/> Non Expedite	PO Box 1329 Columbus, OH 43216

**Domestic Limited Liability Company Certificate of
Amendment or Restatement**
Filing Fee \$50.00

(CHECK ONLY ONE (1) BOX)

(1) Domestic Limited Liability Company <input checked="" type="checkbox"/> Amendment (129-LAM) <u>5/7/2003</u> Date of Formation	(2) Domestic Limited Liability Company <input type="checkbox"/> Restatement (142-LRA) _____ Date of Formation
The undersigned authorized representative of: <div style="display: flex; justify-content: space-between;"> <div> <u>Creekwood Advisors, LLC</u> Name of limited liability company </div> <div> <u>1386405</u> Registration number </div> </div>	

If box (1) Amendment is checked, only complete sections that apply. If box (2) Restatement is checked, all sections below must be completed.

The name of said limited liability company shall be:

Creekwood Energy Partners, LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "ltd." or "ltd."

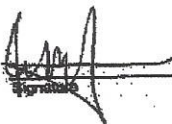
This limited liability company shall exist for a period of: _____
Period of Existence

Purpose

☐ Check here if additional provisions are attached

From: 513 762 0000 Page: 4/4 Date: 9/10/2009 11:28:31 AM

REQUIRED
Must be signed by a
member, manager or
other representative.



Signature

Print Name

Ronald DelYone, Member

Signature

Print Name

Signature

Print Name

9.9.09

Date

Date

Date

FILE

3



**Public Utilities
Commission**

M. Beth Trombold, Interim Chair

Commissioners

Thomas W. Johnson
Lawrence K. Friedeman
Dennis Deters
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

17-1204E (2)

Issued Pursuant to Case Number(s):

17-484-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Creekwood Energy Partners LLC** whose office or principal place of business is located at **2692 Madison Road, Suite N1-313 Cincinnati, OH 45208** to provide power broker services within the State of Ohio effective **April 6, 2019**.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: April 8, 2019.

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Tanowa M. Troupe, Acting Secretary
Susan Patterson, Acting Secretary
Beverly Hoskinson, Acting Secretary

Certificate Expires: April 6, 2021

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician lmm Date Processed 04/08/19

Creekwood Energy LLC Key Personnel

Ron DeLyons

Chief Executive Officer

Managing Principal

Creekwood Energy Partners, LLC

rtd@creekwoodenergy.com

513-448-0988

Project Management, Regulatory Markets, Deal Structuring, Client Service

Qualifications

- 15+ Years of Experience in Energy Management/Energy Project Finance
- Certified CRES-Power Broker (PUCO)
- Member: Cincinnati City Manager's Environmental Advisory Council
- Volunteer: Green Cincinnati Plan (Benchmarking Group)
- Member: Association of Energy Engineers

Project Role and Responsibilities

Ron has recruited, coordinated and manages the Creekwood Team. He guides strategy, partner/sub-contractor engagement and internal implementation. Externally, Ron leads the client servicing efforts as the Single Point of Contact. As co-developer, Ron plays a key role in the development of the solar facilities.

Mike Farrell, BSME, BSEE, Building Analytics

Lead Engineer

Creekwood Energy Partners, LLC

wizard@creekwoodenergy.com

Mechanical and Electrical Engineering, Project Management, Energy Efficiency

Qualifications

- 35+ Years of Experience in Energy Management/HVAC
- Mechanical and Electrical Engineer
- Building Automation system design and installation
- Member: American Society of Heating, Refrigeration, and Air Conditioning Engineers (ASHRAE)
- Member: Association of Energy Engineers

Project Role and Responsibilities

Mike provides design-build engineering for lighting, heating, cooling and building controls. His experience in project management and oversight allows him to successfully manage multiple projects simultaneously. Mike plays a key role in the overall design and implementation of the energy efficiency and solar project.

Competitive Retail Electric Service Affidavit

County of Hamilton :


State of Ohio :

Ron DeLyons, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

 CEO
Signature of Affiant & Title

Sworn and subscribed before me this 16th day of March, 2021
Month Year


Signature of official administering oath

Martha Wolf, Notary Public
Print Name and Title



MARTHA J. WOLF
Notary Public, State of Ohio
My Commission Expires 09-16-2021

My commission expires on 9/16/2021

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

4/19/2021 12:49:14 PM

in

Case No(s). 17-0484-EL-AGG

Summary: In the Matter of the Application of Creekwood Energy Partners LLC