



DIS Case Number: 18-1327-EL-CRS

## Section A: Application Information

### A-1. Provider type:

☐ Power Broker    ☒ Aggregator    ☒ Retail Generation Provider    ☒ Power Marketer

### A-2. Applicant's legal name and contact information.

**Legal Name:** Realgy, LLC dba Realgy Energy Services

**Country:** United States

**Phone:** 860-233-2270

**Extension (if applicable):**

**Street:** 675 Oakwood Ave

**Website (if any):**

www.realgyenergyservices.com

**City:** West Hartford

**Province/State:** CT

**Postal Code:** 06110

### A-3. Names and contact information under which the applicant will do business in Ohio

Provide the names and contact information the business entity will use for business in Ohio. This does not have to be an Ohio address and may be the same contact information given in A-2.

Name	Type	Address	Active?	Proof
Realgy Energy Services	DBA	675 Oakwood Ave West Hartford, CT 06110	Yes	File

### A-4. Names under which the applicant does business in North America

Provide all business names the applicant uses in North America, including the names provided in A-2 and A-3.

Name	Type	Address	Active?	Proof
Realgy Energy Services	DBA	675 Oakwood Ave West Hartford, CT 06110	Yes	File



## Public Utilities Commission

### A-5. Contact person for regulatory matters

Michael Vrtis  
675 Oakwood Ave  
West Hartford, CT 06110  
US  
michael.vrtis@realgy.com  
8602332270

### A-6. Contact person for PUCO Staff use in investigating consumer complaints

Laura Larocco  
675 Oakwood Ave  
West Hartford, CT 6110  
US  
businessoffice@realgy.com  
8603102523

### A-7. Applicant's address and toll-free number for customer service and complaints

<b>Phone:</b> 877-300-6747	<b>Extension (if applicable):</b>	<b>Country:</b> United States
<b>Fax:</b> 877-810-0675	<b>Extension (if applicable):</b>	<b>Street:</b> 6545 Market Ave N, Ste 100
<b>Email:</b> businessoffice@realgy.com	<b>City:</b> North Canton	<b>Province/State:</b> OH
	<b>Postal Code:</b> 44721	

### A-8. Applicant's federal employer identification number

061561408

### A-9. Applicant's form of ownership

**Form of ownership:** Limited Liability Company (LLC)

### A-10. Identify current or proposed service areas

Identify each service area in which the applicant is currently providing service or intends to provide service and identify each customer class that the applicant is currently serving or intends to serve.

#### Service area selection



AEP Ohio  
DP&L  
Duke Energy Ohio  
FirstEnergy - Cleveland Electric Illuminating  
FirstEnergy - Ohio Edison  
FirstEnergy - Toledo Edison

**Class of customer selection**

Commercial  
Industrial  
Mercantile  
Residential

**A-11. Start date**

Indicate the approximate start date the applicant began/will begin offering services: 06-15-2018

**A-12. Principal officers, directors, and partners**

Please provide all contacts that should be listed as an officer, director or partner.

Name	Email	Title	Address
Doug Gugino	businessoffice@realgy.com	Vice President	675 Oakwood Ave West Hartford, CT 06110 US
Michael Vrtis	michael.vrtis@realgy.com	Pres	675 Oakwood Ave West Hartford, CT 06110 US

**A-13. Company history**

Realgy was incorporated in June 1999. Realgy was and is private and independently owned and operated.

Realgy was a software services company specializing in the development of energy software and marketing tools for use by energy service companies, trading companies and marketers seeking to serve the emerging deregulated energy industry.



## Public Utilities Commission

Realgy Energy Services is operated as a dba of Realgy and is organized to provide natural gas, electricity and energy services to customers. Realgy has operated as an energy marketer providing natural gas as follows:

- Indiana; authorized supplier of residential and commercial customers behind NIPSCO (Northern Indian Public Service Company) since April 2003 and Citizens Gas in May 2009
- Illinois; non-residential and non-small commercial customers' alternative gas supplier (AGS) since April 2004, behind Nicor Gas, Peoples Gas, and North Shore Gas. Realgy has been serving residential and small commercial since February 2012.
- Michigan; commercial, residential and industrial customers with Consumers, MichCon/DTE since April 2008, with SEMCO and Michigan Gas Utility since February 2011.
- Ohio; Competitive Retail Natural Gas Aggregator. Case No. 16-1117-GA-CRS since June 2016.

Realgy has operated as an energy marketer providing electricity service to customers as follows;

- Illinois; Alternative Retail Electric Supplier (ARES) docket number 09-0555 approved December 16, 2009, behind Commonwealth Edison (ComEd), Ameren, and MidAmerican Energy.
- Ohio; Competitive Retail Electric Service Provider. Case No. 16-055-EL-CRS since June 2016.

### **A-14. Secretary of State**

Secretary of State Link:

## **Section B: Applicant Managerial Capability and Experience**

### **B-1. Jurisdiction of operations**

List all jurisdictions in which the applicant or any affiliated interest of the applicant is certified, licensed, registered or otherwise authorized to provide retail natural gas service or retail/wholesale electric service as of the date of filing the application..

File Attached

### **B-2. Experience and plans**



Describe the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Ohio Revised Code.

File(s) attached

**B-3. Disclosure of liabilities and investigations**

For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, findings, contingent liabilities, revocation of authority, regulatory investigations, judicial actions, or other formal or informal notices of violations, or any other matter related to competitive services in Ohio or equivalent services in another jurisdiction..

File Attached

**B-4. Disclosure of consumer protection violations**

Has the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years?

No

**B-5. Disclosure of certification, denial, curtailment, suspension or revocation**

Has the applicant, affiliate, or a predecessor of the applicant had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, revoked, or cancelled or been terminated or suspended from any of Ohio's Natural Gas or Electric Utility's Choice programs within the past two years?

No

**B-6. Environmental disclosures**

Provide a detailed description of how the applicant intends to determine its generation resource mix and environmental characteristics, including air emissions and radioactive waste. Include the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. See 4901:1-21-09 of the Ohio Administrative Code for additional details of this requirement.

**File(s) attached**

## Section C: Applicant Financial Capability and Experience

**C-1. Financial reporting**

Provide a current link to the most recent Form 10-K filed with the Securities and Exchange Commission (SEC) or upload the form. If the applicant does not have a Form 10-K, submit the parent company's Form 10-K. If neither the applicant nor its parent is required to file Form 10-K, state that the applicant is not required to make such filings with the SEC and provide an explanation as to why it is not required.

Does not apply

**C-2. Financial statements**

Provide copies of the applicant's two most recent years of audited financial statements, including a balance sheet, income statement, and cash flow statement. If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, provide audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns with **social security numbers and bank account numbers redacted**.

If the applicant is unable to meet the requirement for two years of financial statements, the Staff reviewer may request additional financial information.

Preferred to file this information confidentially

**C-3. Forecasted financial statements**



## Public Utilities Commission

Provide two years of forecasted income statements **based solely on the applicant's anticipated business activities in the state of Ohio.**

Include the following information with the forecast: a list of assumptions used to generate the forecast; a statement indicating that the forecast is based solely on Ohio business activities only; and the name, address, email address, and telephone number of the preparer of the forecast.

The forecast may be in one of two acceptable formats: 1) an annual format that includes the current year and the two years succeeding the current year; or 2) a monthly format showing 24 consecutive months following the month of filing this application broken down into two 12-month periods with totals for revenues, expenses, and projected net incomes for both periods. Please show revenues, expenses, and net income (revenues minus total expenses) that is expected to be earned and incurred in **business activities only in the state of Ohio** for those periods.

If the applicant is filing for both an electric certificate and a natural gas certificate, please provide a separate and distinct forecast for revenues and expenses representing Ohio electric business activities in the application for the electric certificate and another forecast representing Ohio natural gas business activities in the application for the natural gas certificate.

Preferred to file confidentially

### **C-4. Credit rating**

Provide a credit opinion disclosing the applicant's credit rating as reported by at least one of the following ratings agencies: Moody's Investors Service, Standard & Poor's Financial Services, Fitch Ratings or the National Association of Insurance Commissioners. If the applicant does not have its own credit ratings, substitute the credit ratings of a parent or an affiliate organization and submit a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter 'Not Rated'.

File(s) attached

### **C-5. Credit report**

Provide a copy of the applicant's credit report from Experian, Equifax, TransUnion, Dun and Bradstreet or a similar credit reporting organization. If the applicant is a newly formed entity with no credit report, then provide a personal credit report for the principal owner of the entity seeking certification. At a minimum, the credit report must show summary information and an overall credit score. **Bank/credit account numbers and highly sensitive identification**



## Public Utilities Commission

**information must be redacted.** If the applicant provides an acceptable credit rating(s) in response to C-4, then the applicant may select 'This does not apply' and provide a response in the box below stating that a credit rating(s) was provided in response to C-4.

File(s) attached

### **C-6. Bankruptcy information**

Within the previous 24 months, have any of the following filed for reorganization, protection from creditors or any other form of bankruptcy?

- Applicant
- Parent company of the applicant
- Affiliate company that guarantees the financial obligations of the applicant
- Any owner or officer of the applicant

No

### **C-7. Merger information**

Is the applicant currently involved in any dissolution, merger or acquisition activity, or otherwise participated in such activities within the previous 24 months?

No

### **C-8. Corporate structure**

Provide a graphical depiction of the applicant's corporate structure. Do not provide an internal organizational chart. The graphical depiction should include all parent holding companies, subsidiaries and affiliates as well as a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required, and the applicant may respond by stating that it is a stand-alone entity with no affiliate or subsidiary companies.

Stand-alone entity with no affiliate or subsidiary companies

### **C-9. Financial arrangements**

Provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/natural gas business activities (e.g., parental guarantees, letters of credit, contractual arrangements, etc., as described below).





Renewal applicants may provide a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements. The statement or letter must be on the utility's letterhead and dated within a 30-day period of the date the applicant files its renewal application.

First-time applicants or applicants whose certificate has expired must meet the requirements of C-9 in one of the following ways:

1. The applicant itself states that it is investment grade rated by Moody's Investors Service, Standard & Poor's Financial Services, or Fitch Ratings and provides evidence of rating from the rating agencies. If you provided a credit rating in C-4, reference the credit rating in the statement.
2. The applicant's parent company is investment grade rated (by Moody's, Standard & Poor's, or Fitch) and guarantees the financial obligations of the applicant to the LDU(s). Provide a copy of the most recent credit opinion from Moody's, Standard & Poor's or Fitch.
3. The applicant's parent company is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The parent company's financials and a copy of the parental guarantee must be included in the application if the applicant is relying on this option.
4. The applicant can provide evidence of posting a letter of credit with the LDU(s) listed as the beneficiary, in an amount sufficient to satisfy the collateral requirements of the LDU(s).

File(s) attached

## Section D: Applicant Technical Capacity

### D-1. Operations

Power Marketers/Generators: Describe the operational nature of the applicant's business, specifying whether operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services, as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Power Marketers/Generators: Describe the operational nature of the applicant's business, specifying whether operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services, as well as other services used to arrange for the purchase and delivery of electricity to retail customers.



Power brokers/aggregators: Include details of the applicant's business operations and plans for arranging and/or aggregating for the supply of electricity to retail customers.

File(s) attached

**D-2. Operations Expertise & Key Technical Personnel**

Given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations. Include the names, titles, e-mail addresses, and background of key personnel involved in the operations of the applicant's business.

File(s) attached

**D-3. FERC Power Marketer and License Number**

Provide a statement disclosing the applicants FERC Power Marketer License Number (Power Marketers Only).



Public Utilities  
Commission

# Application Attachments





DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
02/09/2016	201603902494	FOREIGN LLC - REGISTRATION CORRECTION (LFC)	50.00	100.00	0.00	0.00	0.00

**Receipt**

This is not a bill. Please do not remit payment.

REALGY, LLC  
LAURA LAROCCO  
675 OAKWOOD AVENUE  
WEST HARTFORD, CT 06110

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted**  
**2014452**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**REALGY, LLC DBA REALGY ENERGY SERVICES**

and, that said business records show the filing and recording of:

Document(s)

**FOREIGN LLC - REGISTRATION CORRECTION**

Effective Date: 02/08/2016

Document No(s):

**201603902494**



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio this  
9th day of February, A.D. 2016.

*Jon Husted*

**Ohio Secretary of State**



**B-1 “Jurisdictions of Operation,”** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

REALGY'S JURISDICTIONS OF OPERATIONS as of the date of filing.

Electric:

- PJM Interconnection
- Midwest Independent System Operator
- Commonwealth Edison Company (Illinois)
- Ameren Illinois (Illinois)
- MidAmerican Energy Company (Illinois service territory)
- Duke Electric Ohio (Ohio)
- AEP Ohio (Ohio)
- First Energy (Ohio)

Natural Gas:

- Nicor Gas (Illinois)
- Peoples Gas Light and Coke Company (Illinois)
- North Shore Gas Company (Illinois)
- Citizens Gas and Coke Utility (Indiana)
- Northern Indiana Public Service Company (Indiana)
- Consumers Energy Company (Michigan)
- DTE Energy (Michigan)
- Michigan Gas Utilities (Michigan)
- Semco Energy Gas Company (Michigan)
- Duke Gas Ohio (Ohio)

Realgy has no interest in any other entity providing retail or wholesale electric or natural gas services.

**B-2 "Experience & Plans,"** provide a description of the applicant's experience in providing the service(s) for which it is applying (e.g., number and type of customers served, utility service areas, amount of load, etc.). Include the plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Sections 4928.10 and/or 4929.22 of the Revised Code.

### REALGY'S EXPERIENCE & PLANS

As documented within this Application, Realgy has experience as an energy marketer.

Our approach in entering a new utility market is as follows;

1. Model the utility tariffs to determine their costs structure and service requirements.
2. Review our wholesale purchase options and cost of service throughout the utility service area.
3. Create Realgy's service plan based on competing with the utility tariff structure and costs.
4. Create a pricing comparison between the utility and Realgy's service plan offering.

Examples can be seen at our web site: [www.realgyenergyservices.com](http://www.realgyenergyservices.com)

The above process results in a specific offering for use throughout the utility territory or specific offers to residential, commercial and industrial.

Realgy's sales plan includes direct agents, telemarketers, referral services and employees.

Realgy will use both recorded third party verification and paper contracts to enroll customers.

Realgy will supply natural gas (as it has since 2002) for our customers by purchasing wholesale supplies from various suppliers then using our internal nomination and balancing personnel to arrange for deliveries to the various supply points.

Realgy prefers to utilize utility consolidated billing (UCB) where available and that would be the case in Ohio. Where UCB is not available, Realgy has experience providing supply-only invoices and we plan to provide that as needed in Ohio. Our invoices include service period dates, metered quantities, price, and monthly billing amounts. Additional information would be added to comply with Ohio requirements or provide additional detail.

Customer service is provided using online access to customer specific information, call center messaging, employee based customer service, agent directly and through online chat.

Realgy trains all sales and customer service employee and agents. Customer complaint resolution is a top priority. Customer service has discretion to resolve all matters within given parameters and they are encouraged to seek approval from management where circumstances warrant. Realgy always informs customers of their rights to file complaints with the utility and regulatory authority.

Realgy has reviewed the Commission's rules adopted pursuant to Section 4928.10 and 4929.22 of the Revised Code and will comply.

**B-3 "Disclosure of Liabilities and Investigations"** For the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant, describe all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**REALGY'S DISCLOSURE OF LIABILITIES AND INVESTIGATIONS**

As of August 2020, Applicant has no liabilities, rulings, judgements or investigations that could adversely impact Realgy financial or operational status.



**Exhibit B-6 "Environmental Disclosure,"** provide a detailed description of how the applicant intends to determine its generation resource mix and environmental characteristics, including air emissions and radioactive waste. Include the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. Additional details on this requirement may be obtained by referring to 4901:1-21-09.

### REALGY'S ENVIRONMENTAL DISCLOSURE

Applicant intends to purchase its wholesale power supply from PJM Interconnection. As required, unless ISO or PJM provides regional generation resource mix, Realgy will rely on the supply makeup and emission reports published by PJM for service into their western territory.

Realgy will comply as required with any and all renewable standards imposed on retail energy suppliers by the State of Ohio.

Realgy does invest in solar projects in the states where we are an electric energy marketer. As of Dec 2019; Realgy owns and operates 6 solar PV plants in Illinois. Each project is at least 40kw.

Realgy intends to invest in and develop solar projects within Ohio as part of our energy marketing.

Realgy has reviewed the requirements of 4901:1-21-09 and will comply.

**C-4 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant.

REALGY’S Credit Rating

Applicant does not have a commercial credit rating by Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization.

Provided From:

Realgy, Llc DUNS: 94-146-7370

[Need help? Start here ▶](#)

CreditSignal

Summary

Company Info [Update Company Info](#)

- 1100 New Britain Ave Ste 103

West Hartford, CT 06110

Phone: (860) 233-2270

URL:

- DBA's :

Scores and Ratings

Score / Rating:	Status	Last Date Changed
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PAYDEX®	Fair	04/12/2015
---------	------	------------

Delinquency

Predictor Class	Fair	02/10/2016
-----------------	------	------------

Financial

Stress Class	Fair	10/12/2015
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Supplier

Evaluation

Rating	Fair	09/15/2015
--------	------	------------

D&B

Rating	N/A	02/10/2016
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D&B

Viability Rating Fair

D&B has recently updated their scores. [Learn how these changes affect your business credit profile.](#)

Alerts

There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alerts until score changes or events occur. To configure your alerts notification settings, please [Click Here](#)

### [Configure Alerts](#)

#### Inquiries

You have received 0 new inquiries. Inquiries are the number of individual product(s) purchased by a customer(s) on a D&B D-U-N-S® Number in a rolling one-year(365 days) time period. Although the specific name of the inquiring customer(s) is not available, your product may allow you to see the inquiring customer's industry.

#### Special Events

You have received 0 new special events. Special events display information on your company such as bankruptcies, suits, liens, mergers, acquisitions or changes in ownership that may impact your relationship with other firms or lending institutions.

Dashboard

Summary

Update Company Info

Tools

Realgy, Llc

DUNS: 94-146-7370

CS CreditSignal

Need help? Start here

Summary

Company Info

Update Company Info

1100 New Britain Ave Ste 103

West Hartford, CT 06110

Phone: (860) 233-2270

URL:

DBA's :

Scores and Ratings

Score / Rating:	Status	Last Date Changed
PAYDEX®	Fair	04/12/2015
Delinquency Predictor Class	Fair	02/10/2016
Financial Stress Class	Fair	10/12/2015
Supplier Evaluation Rating	Fair	09/15/2015
D&B Rating	N/A	02/10/2016
D&B Viability Rating	Fair	

D&B has recently updated their scores. [Learn how these changes affect your business credit profile.](#)

Upgrade to CreditBuilder™ Today

Help build your D&B® scores and ratings and benchmark your company against your industry and competitors

Speak with a Credit Advisor to learn more

1-800-700-2733

Alerts

There are currently no alerts issued for this company. If you only recently began monitoring this company, you will not receive your first alerts until score changes or events occur. To configure your alerts notification settings, please [Click Here](#)

Configure Alerts

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Inquiries are the number of individual product(s) purchased by a customer(s) on a D&B D-U-N-S® Number in a rolling one-year(365 days) time period. Although the specific name of the inquiring customer(s) is not available, your product may allow you to see the inquiring customer's industry.

Upgrade to View

Special Events

You have received 0 new special events.

Special events display information on your company such as bankruptcies, suits, liens, mergers, acquisitions or changes in ownership that may impact your relationship with other firms or lending institutions.

Upgrade to View





139 East Fourth  
EX396  
Cincinnati, Ohio 45202

July 7, 2020

Realgy, LLC dba Realgy Energy Services has met the Electric and Gas Collateral obligations for Duke Energy Corporation as of July 7, 2020.

***Tom Hunt***

Duke Energy Corp  
Certified Supplier Business Center  
[Tom.Hunt@Duke-Energy.com](mailto:Tom.Hunt@Duke-Energy.com)



**Exhibit D-1 “Operations”** provide a written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

### REALGY’S OPERATIONS

Realgy is a retail energy supplier in Illinois, Indiana, Michigan, and Ohio. We provide natural gas service in all four states and electric service in Illinois via the PJM Interconnection (PJM) and Midwest Independent System Operator (MISO) and Ohio.

In Ohio since our authorization, we have become certified by Duke Energy Ohio, AEP Ohio and First Energy. Our wholesale supply will be procured from the PJM day ahead and balancing (real-time) markets and we will use the PJM network integrated transmission service and ancillary services as required. We will schedule our load per the attendant utility and PJM protocols and comply with all state regulatory requirements and utility rules.

Realgy will not provide generation, but instead will procure it wholesale via PJM on behalf of our retail customers.

Realgy will pursue development of retail solar PV installation for use solely behind the meter (not for back feed into the grid).



**D-2 “Operations Expertise,”** given the operational nature of the applicant’s business, provide evidence of the applicant’s experience and technical expertise in performing such operations.

### REALGY’S OPERATIONS EXPERTISE

As described in this application Realgy has management practice in retail energy spanning 29+ years as of July 2020.

Realgy Energy Services is a private and independently owned energy services company and a retail marketer of natural gas and electricity. Realgy Energy Services has been providing natural gas services to customers as follows:

Illinois; non-residential and non-small commercial customers’ alternative gas supplier (AGS) since April 2004 (ICC docket number 07-022 has been requested to be consolidated into Realgy application filed with ICC in February 2012 to include service to residential customer and North Shore Gas).

Michigan; commercial, residential and industrial customers with Consumers, MichCon/DTE since April 2008, with SEMCO and Michigan Gas Utility since Feb 2011.

Indiana; authorized supplier of residential and commercial customers behind NIPSCO (Northern Indian Public Service Company) since April 2003 and Citizens Gas in May 2009.

Ohio; Competitive Retail Natural Gas Marketer (Case No. 16-1117-GA-CRS) since June 2016.

Realgy has been providing electricity service to customers as follows;

Illinois; Alternative Retail Electric Supplier (ARES) docket number 09-0555 approved December 16, 2009.

Ohio; Competitive Retail Electric Service Provider (Case No. 16-055-EL-CRS) approved June 15, 2016.



# **Competitive Retail Electric Service Affidavit**

**County of Hartford:**

**State of CT :**

Michael Vrtis, Affiant, being duly sworn/affirmed, hereby states that:

1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.



12. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.

13. Affiant further sayeth naught.

[Signature]  
Signature of Affiant & Title PRESIDENT

Sworn and subscribed before me this 2nd day of Sept, 2020  
Month Year

[Signature]  
Signature of official administering oath

LAURA LAROCO, OFFICE MANAGER  
Print Name and Title

My commission expires on

Laura Larocco  
Notary Public-Connecticut  
My Commission Expires  
May 31, 2021

**This foregoing document was electronically filed with the Public Utilities**

**Commission of Ohio Docketing Information System on**

**9/8/2020 3:44:13 PM**

**in**

**Case No(s). 18-1327-EL-CRS**

Summary: In the Matter of the Application of Realgy LLC