UNITED STATES OF AMERICA STATE OF OHIO OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show CLEAR POINT ENERGY, INC., a New York corporation, having qualified to do business within the State of Ohio on April 5, 2016 under License No. 3887384 is currently in GOOD STANDING upon the records of this office.



Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 23rd day of June, A.D. 2020.

Ohio Secretary of State

Validation Number: 202017503218

Clear Point Energy, Inc. Forecasted Profit and Loss Statements Ohio Electric Market

Forecast 2020, 2021, 2022 (Fiscal Year is Calendar Year)

	J	lan - Dec	Ja	n - Dec 2021	J	lan - Dec
Income						
Total Consulting Income	\$	2,000.00	\$	4,000.00	\$	6,000.00
Total CPE Procure Svcs	\$	15,000.00	\$	25,000.00	\$	35,000.00
Total Income	\$	17,000.00	\$	29,000.00	\$	41,000.00
Gross Profit	\$	17,000.00	\$	29,000.00	\$	41,000.00
Total Expenses	\$	5,950.00	\$	10,150.00	\$	14,350.00
Net Operating Income	\$	11,050.00	\$	18,850.00	\$	26,650.00
Total Other Income	\$	50.00	\$	75.00	\$	100.00
Net Other Income	\$	50.00	\$	75.00	\$	100.00
Net Income	\$	11,100.00	\$	18,925.00	\$	26,750.00

Notes:

- 1. Forecast was prepared by: Angelo Chambrone, achambrone@clearpointenergy.com, 315-472-1864
- 2. Assumptions: Forecast was based on current customer levels and expected new sales.

Clear Point Energy, Inc. Financial Statements

Profit and Loss Statement		
	Total	
	Calendar Year	Calendar Year
	2018	2019
Total Income	\$ 293,292.59	\$327,645.83
Gross Profit	\$ 293,292.59	\$327,645.83
Total Expenses	\$ 245,306.92	\$226,527.24
Net Operating Income	\$ 47,985.67	\$101,118.59
Other Income		
Interest Income	164.00	145.68
Total Other Income	\$ 164.00	\$ 145.68
Net Other Income	\$ 164.00	\$ 145.68
Net Income	\$ 48,149.67	\$101,264.27

Balance Sheet

	Total	
	Year End	Year End
	12/31/2018	12/31/2019
ASSETS		
Total Current Assets	\$ 195,605.61	\$193,225.48
Total Fixed Assets	\$ 0.00	\$ 0.00
TOTAL ASSETS	\$ 195,605.61	\$193,225.48
LIABILITIES AND EQUITY		
Total Current Liabilities	\$ 0.00	\$ 37.52
Total Liabilities	\$ 0.00	\$ 37.52
Total Equity	\$ 195,605.61	\$193,187.96
TOTAL LIABILITIES AND EQUITY	\$ 195,605.61	\$193,225.48

Statement of Cash Flow		
	Jan to Dec	Jan to Dec
	2018	2019
OPERATING ACTIVITIES		
Net Income	48,149.67	101,264.27
Total Adjustments to reconcile		
Net Income to Net Cash provided		
by operations:	-\$ 8.41	-\$ 571.08
Net cash provided by operating		
activities	\$ 48,141.26	\$100,693.19
Net cash provided by financing		
activities	-\$ 45,732.02	-\$ 36,525.00
Net cash increase for period	\$ 2,409.24	\$ 64,168.19
Cash at beginning of period	193,196.37	129,057.29
Cash at end of period	\$ 195,605.61	\$193,225.48

Officer Certification:

I Angelo Chambrone, certifiy as President of Clear Point Energy, Inc. that the financial statements above are true and accurate.

Signed:

Date: 06/23/2020

Exhibit D-3 Key Technical Personnel

Angelo Chambrone, President

Phone: (315)472-1864, achambrone@clearpointenergy.com

Angelo Chambrone has over twenty (25+) years of energy experience in both deregulated and regulated markets. He has a solid track record of success and exceeding expectations. His expertise spans operations, wholesale supply, risk management, pricing, sales, and regulatory affairs. Angelo currently leads Clear Point Energy, an energy consulting and procurement services firm. His firm focuses on large energy buyers, and start-up and new entrants in the retail energy supply business. Clear Point Energy provides strategic and technical consulting on a national basis. Prior to Clear Point he served as Vice President of BlueRock Energy of Syracuse, NY. He was a founder and key contributor in developing BlueRock from start-up to successful operations. Over his career he has held senior positions with leading energy marketing and utility firms. Angelo has also participated in numerous regulatory proceedings on industry restructuring of deregulated energy markets. He holds a M.B.A from LeMoyne College of Syracuse, and a B.S. in Accounting from the State University of NY College of Technology at Utica, NY.

Thomas Begay - Energy Analyst

Phone: (315)472-1893, tbegay@clearpointenergy.com

Thomas Begay has more than a decade of experience in both the regulated and de-regulated portions of the energy market. This has provided him significant experience in both front office (marketing/product development) and back office (billing/account management, customer/market analysis) operations. He currently works at Clear Point Energy, an energy consulting firm. The firm focuses on start-up and new entrants in the retail energy supply business. Clear Point Energy provides strategic and technical consulting on a national basis; Thomas works on market analysis and customer support. His previous work experience in the energy industry includes work for New York State Electric and Gas during the initial de-regulation of New York's energy market; working for Niagara Mohawk Energy Marketing (later Select Energy) in Billing Administration; and then the past two years working as an energy analyst for Clear Point Energy. He holds a B.S. in Business from LeMoyne College and a M.B.A. from Binghamton University.



CreditScoreSM Report

as of: 06/23/20 16:00 ET

Clear Point Energy, Inc

Address: 3934 Pawnee Dr

Liverpool, NY 13090-2851

United States

Phone: 888-311-1041

www.clearpointenergy.com Website:

Experian BIN: 965842469

Family Linkage:

Ultimate Parent Clear Point Energy, Inc

3934 Pawnee Dr

Liverpool, NY

Branches / Alternative Locations

Clear Point Energy, Inc 120 E Washington St Ste 304 Syracuse, NY United States

Key Personnel:

NAICS Code:

President: Angelo S Chambrone

Treasurer: Susan Chambrone SIC Code:

4911-Electric Services

1711-Plumbing, Heating & A/C Contractors 8742-Management Consulting Services

221100-Electric Power Generation,

Transmission And Distribution

238220-Plumbing, Heating, And Air-Conditioning Contractors

541612-Human Resources Consulting

Services

Business Type: Corporation **Experian File Established:** May 2012 Experian Years on File: 8 Years Years in Business: 10 Years **Total Employees:**

\$125,000 Sales: Filing Data Provided by: New York Date of Incorporation: 08/10/2018

Experian Business Credit Score

Business Credit Score



The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

- · Low number of recently reported commercial accounts.
- · High maximum commercial account bal in the last 12 mos.
- Ratio of total bal to total high bal across all comm accts.
- Length of time on Experian's file.

Business Credit Scores range from a low of 1 to high of 100 with this company receiving a score of 57. Higher scores indicate lower risk. This score predicts the likelihood of serious credit delinquencies within the next 12 months. This score uses tradeline and collections information, public filings as well as other variables to predict future

Experian Financial Stability Risk Rating

financial Stability Risk Rating



A Financial Stability Risk Rating of 1 indicates a 0.55% potential risk of severe financial distress within the next 12 months.

Key Rating Factors:

- · Number of active commercial accounts.
- Balance to high credit ratio for commercial accounts.
- Risk associated with the business type.
- Employee size of business.

Financial Stability Risk Ratings range from a low of 1 to high of 5 with this company receiving a rating of 1. Lower ratings indicate lower risk. Experian categorizes all businesses to fit within one of the five risk segments. This rating predicts the likelihood of payment default and/or bankruptcy within the next 12 months. This rating uses tradeline and collections information, public fillings as well as other variables to predict future risk.

Credit Summary

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few active Payment Tradelines.

Please refer to Experian's **www.BusinessCreditFacts.com** website for more information on establishing Payment Tradelines.

Lowest 6 Month Balance:\$1,802Highest 6 Month Balance:\$35,000Current Total Account Balance:\$0Highest Credit Amount Extended:\$0

Payment Tradelines (see charts):	2
UCC Filings:	0
Businesses Scoring Worse:	56%
✓ Bankruptcies:	0
✓ Liens:	0
✓ Judgments Filed:	0
✓ Collections:	0

Payment Trend Summary

Insufficient information to produce Monthly Payment Trends chart. Insufficient information to produce

Quarterly Payment Trends

chart.



Insufficient information to produce Newly Reported Payment Trends chart.



*Combined distribution with DBT.

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Exhibit D-1 Operations

Operations

Clear Point Energy, Inc. ("Clear Point") plans to offer electric brokerage, consulting, and procurement services in Ohio. Our staff has over 40 years combined experience in the energy industry and held senior positions at both utilities and energy marketing companies. See key staff bios below.

Contracting Procedures

Clear Point will follow all Ohio PUC rules and regulations for enrolling customers with a licensed electric supplier. Our internal procedures require informing customers that we are a licensed broker and represent both suppliers and/or customers. Our fees will be disclosed upfront to customers. We will further advise customers that the price we quote from our licensed suppliers is inclusive of Clear Point fees unless another fee structure has been agreed upon between customer and Clear Point. We also provide customers with important phone numbers and website information for complaint handling and customer service for Clear Point Energy, the licensed supplier, Ohio Public Utility Commission and the Ohio Consumer Council.

Energy Procurement Process

Our procurement process follows a four step process:

Needs Evaluation and Energy Analysis

- Assess client specific needs
- •Collect energy data and analyze

Commodity Strategy

- •Reveiw energy data
- •Formulate RFP requirements

Supplier Qualification

- Qualify Suppliers
- •Conduct Competitive Bidding Process

Contract Execution

•Formulate / Review Agreements

Exhibit D-2 Operations Expertise

Operations

Clear Point Energy, Inc. ("Clear Point") plans to offer natural gas brokerage, consulting, and procurement services in Ohio. Our staff has over 40 years combined experience in the energy industry and held senior positions at both utilities and energy marketing companies. See key staff bios below.

Expertise

Clear Point has an experience staff and a proven procurement service process to ensure customers get the best information and value. Please see Exhibit D-3 for bios on our key technical personnel.

Competitive Retail Electric Service Affidavit

County of Onondaga:

State of New York:

- I, Angelo Chambrone, Affiant, being duly sworn/affirmed, hereby states that:
 - 1. The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant, and that it will amend its application while it is pending if any substantial changes occur regarding the information provided.
 - 2. The applicant will timely file an annual report of its intrastate gross receipts, gross earnings, and sales of kilowatthours of electricity pursuant to Sections 4905.10(A), 4911.18(A), and 4928.06(F), Ohio Revised Code.
 - 3. The applicant will timely pay any assessment made pursuant to Sections 4905.10, 4911.18, and 4928.06(F), Ohio Revised Code.
 - 4. The applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
 - 5. The applicant will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the applicant.
 - 6. The applicant will fully comply with Section 4928.09, Ohio Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
 - 7. The applicant will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
 - 8. The applicant will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
 - 9. The applicant will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
 - 10. If applicable to the service(s) the applicant will provide, it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio.
 - 11. The Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating consumer complaints.

13. The facts set forth above are true and accurate to the best of his/her knowledge, information, and belief and that he/she expects said applicant to be able to prove the same at any hearing hereof.
Signature of Affiant & Title
Sworn and subscribed before me this 23 day of July, 2020 Month Year Cattyn Hyde, Notary Public Signature of official administering oath CATLYN HYDE Notary Public Print Name and Title
Notary Public-State of New York No. 01HY6376753 Qualified in Oswego County Commission Expires 06/18/20

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

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in

Case No(s). 16-1649-EL-AGG

Summary: In the Matter of the Application of Clear Point Energy, Inc