Confidential Release

Case number: **13-1115-TP-COI**

Date of Confidential Document: 6/25/2014

Release Date: **6/18/20**

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Page Count: **11**

Document Description: **Five Year Service Improvement Plan**

"Consent to Release to the PUCO DIS Website"

Name_____Jay S. Agranoff__________________

Reviewing Attorney Examiner's Signature

Date Reviewed 6/22/20

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Confidential treatment has been requested for the following document:

Case # 13-1115-TP-COI

6/25/14

Page Count: 11 pages

Date Filed: 6/25/2014

Filed by: W. Adams

On Behalf of: Ottoville Mutual Telephone Company

Summary of document: 5 Year plan for Network Improvements and Upgrades

5-Year Plan for Network Improvements and Upgrades

Pursuant to 47 C.F.R § 54.202(a)(1)(ii)

Company Name: 'he Ottoville Mutual Telephone Compan

Study Area Code: 300650

Attached Map of 5 Year Plan YES

Project Information:

Wire Center/Exchange Project	Projected Start Year	Projected Completion Year	Estimated Population Served	Estimated Area	Describe the Proposed Improvement or Upgrade
1) CODLOHXARS1					
a) Cloverdale			300	27 sq miles	In 2013 completed Fiber to the Premise to all customers in the 419-488 Exchange and the USF funds received will be used for network maintenance and upkeep.
b)					Customers are currently receiving 5M down/1M up and can request more.
c)					
2) OTVLOHXA45C					
a) Ottoville			1,200	33 sq miles	In 2013 completed Fiber to the Premise to all customers in the 419-453 Exchange and the USF funds received will be used for network maintenance and upkeep.
b)					Customers are currently receiving 5M down/1M up and can request more.
3)					
a)					
b)					
4)					
a)					

Estimated Investment and Operating Expenses per Year:

Year	Network Investment	Operating Expenses
2015	$ 47,395	$ 566,547
2016	$ 78,395	$ 571,077
2017	$ 62,395	$ 575,152
2018	$ 62,395	$ 587,652
2019	$ 395	$ 591,752
Total	$ 250,975	

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100% Fiber

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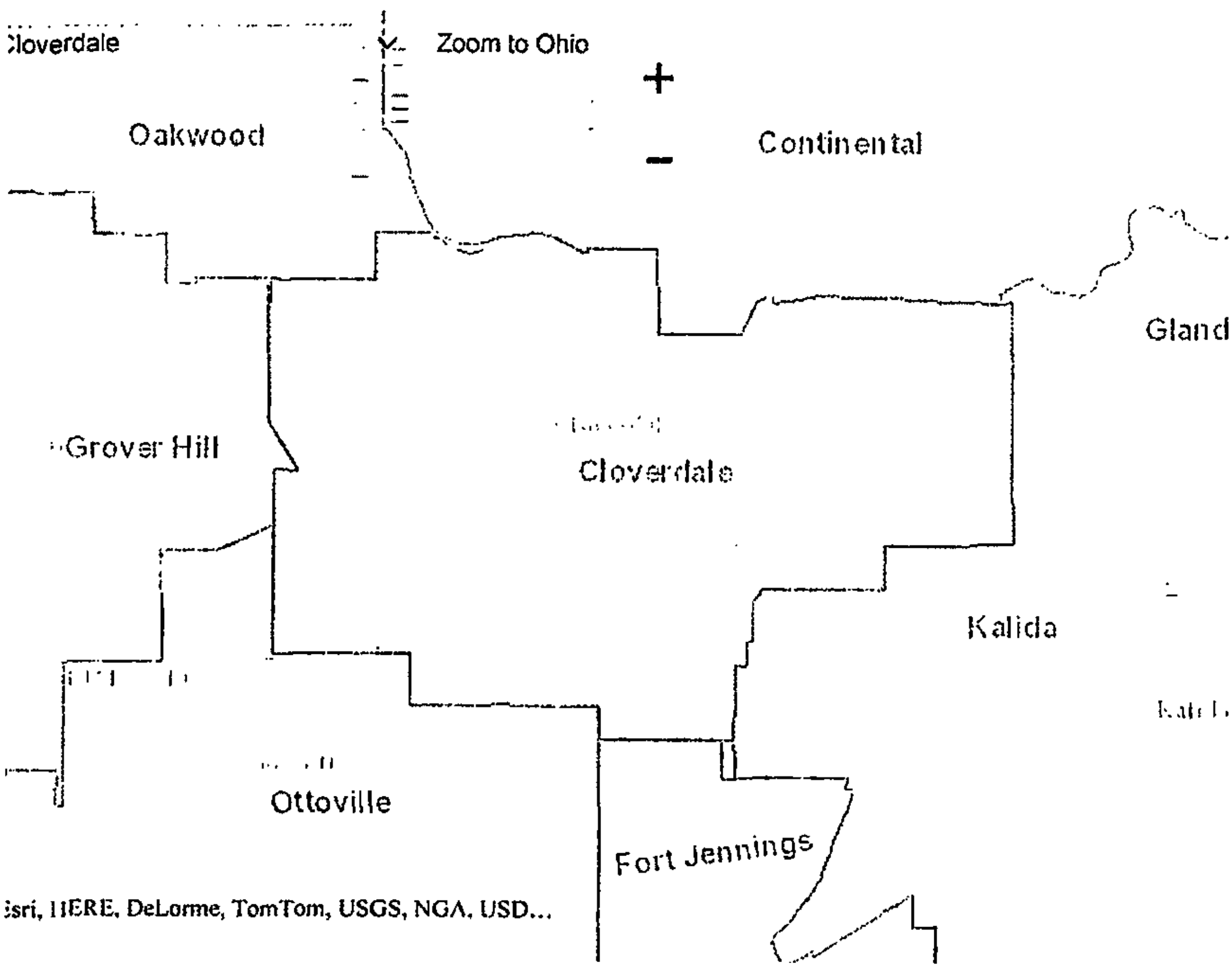
Ohio Telephone Exchange Areas

The following map shows the boundaries of telephone exchange areas in Ohio. Click on an individual exchange for more information about it, including the Incumbent Local Exchange Carrier, Local Access and Transport Area, and its associated NPA-NXX numbers. Use the search box to quickly zoom to a street address.

Enter street address and city/state or zip code:

245w. third st. ottoville oh. 45876 Search

Clear



Exchange Area Information:

Exchange Name:	Cloverdale
ILEC Name:	Ottoville Mutual
LATA:	Lima/Mansfield (923)
EAS Map:	View PDF
NPA-NXX Codes:	

100b Fiber

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The following map shows the boundaries of telephone exchange areas in Ohio. Click on an individual exchange for more information about it, including the Incumbent Local Exchange Carrier, Local Access and Transport Area, and its associated NPA-NXX numbers. Use the search box to quickly zoom to a street address.

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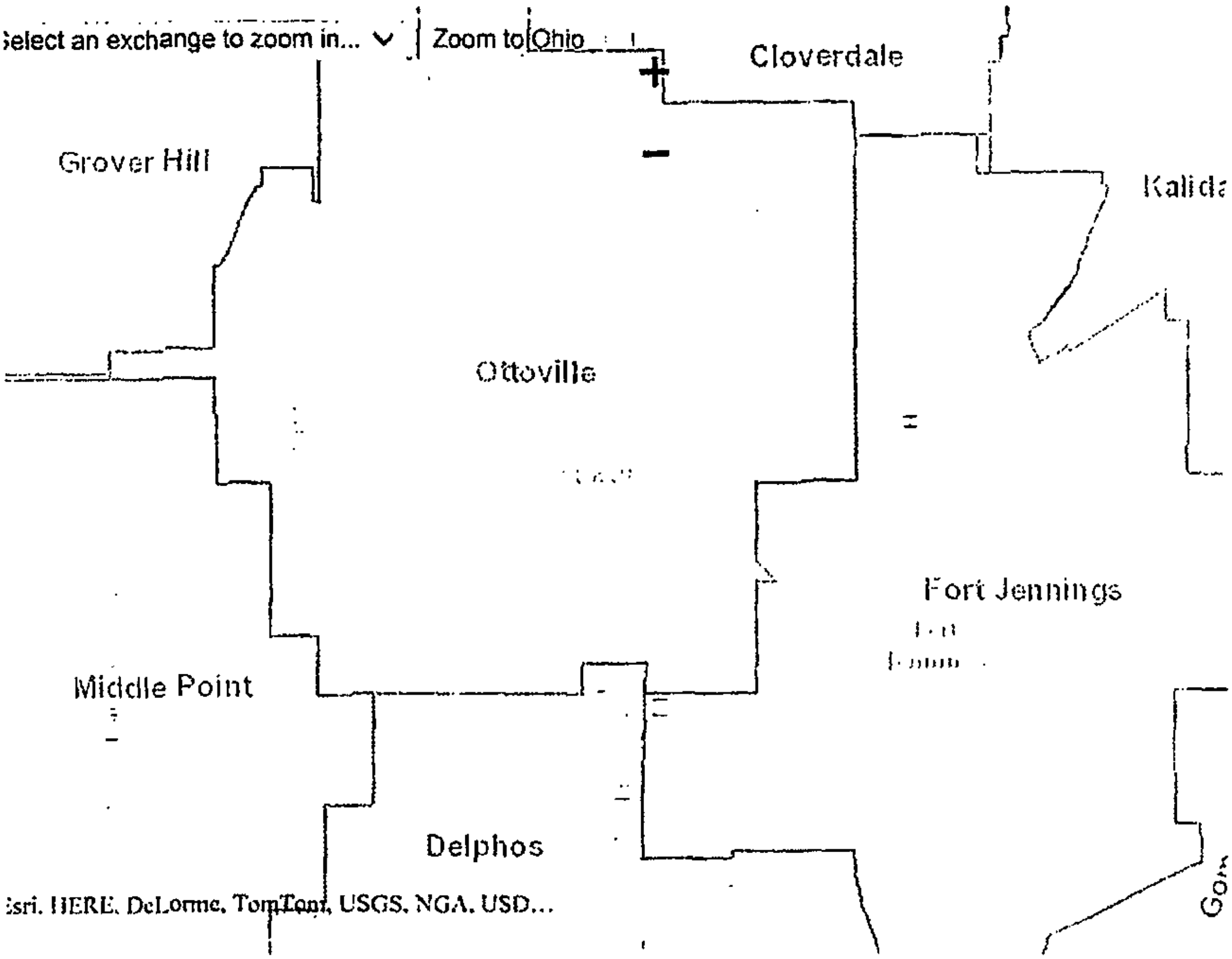

Exchange Area Information:

Exchange Name:	Ottoville
ILEC Name:	Ottoville Mutual
LATA:	Lima/Mansfield (923)
EAS Map:	View PDF
NPA-NXX Codes:	

According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0572-0031. The time required to complete this information collection is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

USDA-RUS	This data will be used by RUS to review your financial situation. Your response is required by 7 U.S.C. 901 et seq. and, subject to federal laws and regulations regarding confidential information, will be treated as confidential.	
OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	BORROWER NAME The Ottoville Mutual Telephone Company (Prepared with Audited Data)	
INSTRUCTIONS-Submit report to RUS within 30 days after close of the period. For detailed instructions, see RUS Bulletin 1744-2. Report in whole dollars only.	PERIOD ENDING December, 2013	BORROWER DESIGNATION OH0513

CERTIFICATION

We hereby certify that the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief.

ALL INSURANCE REQUIRED BY 7 CFR PART 1788, CHAPTER XVII, RUS, WAS IN FORCE DURING THE REPORTING PERIOD AND RENEWALS HAVE BEEN OBTAINED FOR ALL POLICIES.

DURING THE PERIOD COVERED BY THIS REPORT PURSUANT TO PART 1788 OF 7CFR CHAPTER XVII
(Check one of the following)

☒ All of the obligations under the RUS loan documents have been fulfilled in all material respects.

☐ There has been a default in the fulfillment of the obligations under the RUS loan documents. Said default(s) is/are specifically described in the Telecom Operating Report

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William J. Honigford 3/31/14
DATE

PART A. BALANCE SHEET

ASSETS	BALANCE PRIOR YEAR	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE PRIOR YEAR	BALANCE END OF PERIOD
CURRENT ASSETS			**CURRENT LIABILITIES**		
1. Cash and Equivalents	1,084,689	948,604	25. Accounts Payable	59,592	67,106
2. Cash-RUS Construction Fund	0	0	26. Notes Payable	0	0
3. Affiliates:			27. Advance Billings and Payments	0	0
a. Telecom, Accounts Receivable	7,696	5,622	28. Customer Deposits	4,340	3,955
b. Other Accounts Receivable	22,951	64,425	29. Current Mat. L/T Debt	121,286	116,505
c. Notes Receivable	0	0	30. Current Mat. L/T Debt-Rur. Dev.	0	0
4. Non-Affiliates:			31. Current Mat.-Capital Leases	0	0
a. Telecom, Accounts Receivable	0	0	32. Income Taxes Accrued	0	0
b. Other Accounts Receivable	21,807	14,691	33. Other Taxes Accrued	5,188	5,011
c. Notes Receivable	0	0	34. Other Current Liabilities	410,772	427,553
5. Interest and Dividends Receivable	0	0	35. Total Current Liabilities (25 thru 34)	601,178	620,130
6. Material-Regulated	3,378	3,378	**LONG-TERM DEBT**		
7. Material-Nonregulated	0	0	36. Funded Debt-RUS Notes	1,246,873	771,567
8. Prepayments	101,462	77,415	37. Funded Debt-RTB Notes	0	0
9. Other Current Assets	1,012,838	1,004,605	38. Funded Debt-FFB Notes	0	0
10. Total Current Assets (1 Thru 9)	2,254,821	2,118,740	39. Funded Debt-Other	0	0
NONCURRENT ASSETS			40. Funded Debt-Rural Develop. Loan	0	0
11. Investment in Affiliated Companies			41. Premium (Discount) on L/T Debt	0	0
a. Rural Development	0	0	42. Reacquired Debt	0	0
b. Nonrural Development	0	0	43. Obligations Under Capital Lease	0	0
12. Other Investments			44. Adv. From Affiliated Companies	0	0
a. Rural Development	0	0	45. Other Long-Term Debt	0	0
b. Nonrural Development	278,004	276,905	46. Total Long-Term Debt (36 thru 45)	1,246,873	771,567
13. Nonregulated Investments	0	0	**OTHER LIAB. & DEF. CREDITS**		
14. Other Noncurrent Assets	29,187	29,187	47. Other Long-Term Liabilities	0	0
15. Deferred Charges	0	0	48. Other Deferred Credits	0	0
16. Jurisdictional Differences	0	0	49. Other Jurisdictional Differences	0	0
17. Total Noncurrent Assets (11 thru 16)	307,191	306,092	50. Total Other Liabilities and Deferred Credits (47 thru 49)	0	0
PLANT, PROPERTY, AND EQUIPMENT			**EQUITY**		
18. Telecom, Plant-in-Service	9,928,452	7,433,691	51. Cap. Stock Outstand. & Subscribed	0	0
19. Property Held for Future Use	0	0	52. Additional Paid-in-Capital	0	0
20. Plant Under Construction	80,764	61,529	53. Treasury Stock	0	0
21. Plant Adj., Nonop. Plant & Goodwill	0	0	54. Membership and Cap. Certificates	1,101	1,080
22. Less Accumulated Depreciation	3,944,990	1,649,646	55. Other Capital	489,862	400,687
23. Net Plant (18 thru 21 less 22)	6,064,226	5,845,574	56. Patronage Capital Credits	5,143,327	6,215,478
24. TOTAL ASSETS (10+17+23)			57. Retained Earnings or Margins	1,143,897	261,464
			58. Total Equity (51 thru 57)	6,778,187	6,878,709
	8,626,238	8,270,406	59. TOTAL LIABILITIES AND EQUITY (35+46+50+58)	8,626,238	8,270,406

Total Equity = 83.17% % of Total Assets

USDA-RUS	BORROWER DESIGNATIO.
OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	OH0513
	PERIOD ENDING
INSTRUCTIONS- See RUS Bulletin 1744-2	December, 2013

PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS

ITEM	PRIOR YEAR	THIS YEAR
1. Local Network Services Revenues	220,983	242,660
2. Network Access Services Revenues	711,712	666,581
3. Long Distance Network Services Revenues	0	0
4. Carrier Billing and Collection Revenues	(76,877)	(66,515)
5. Miscellaneous Revenues	141,320	174,633
6. Uncollectible Revenues	(159)	332
7. Net Operating Revenues (1 thru 5 less 6)	997,297	1,017,027
8. Plant Specific Operations Expense	355,375	316,968
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)	16,616	12,865
10. Depreciation Expense	436,615	345,072
11. Amortization Expense	3,393	4,533
12. Customer Operations Expense	36,813	42,236
13. Corporate Operations Expense	277,839	225,262
14. Total Operating Expenses (8 thru 13)	1,126,651	946,936
15. Operating Income or Margins (7 less 14)	(129,354)	70,091
16. Other Operating Income and Expenses	0	0
17. State and Local Taxes	0	0
18. Federal Income Taxes	6,484	539
19. Other Taxes	5,188	5,011
20. Total Operating Taxes (17+18+19)	11,672	5,550
21. Net Operating Income or Margins (15+16-20)	(141,026)	64,541
22. Interest on Funded Debt	77,075	23,996
23. Interest Expense - Capital Leases	0	0
24. Other Interest Expense	0	0
25. Allowance for Funds Used During Construction	0	0
26. Total Fixed Charges (22+23+24-25)	77,075	23,996
27. Nonoperating Net Income	1,140,745	21,987
28. Extraordinary Items	0	0
29. Jurisdictional Differences	0	0
30. Nonregulated Net Income	221,253	198,932
31. Total Net Income or Margins (21+27+28+29+30-26)	1,143,897	261,464
32. Total Taxes Based on Income	0	0
33. Retained Earnings or Margins Beginning-of-Year	281,824	1,143,897
34. Miscellaneous Credits Year-to-Date	0	0
35. Dividends Declared (Common)	0	0
36. Dividends Declared (Preferred)	0	0
37. Other Debits Year-to-Date	(1,093)	(75,459)
38. Transfers to Patronage Capital	282,917	1,219,356
39. Retained Earnings or Margins End-of-Period [(31+33+34) - (35+36+37+38)]	1,143,897	261,464
40. Patronage Capital Beginning-of-Year	5,033,231	5,143,327
41. Transfers to Patronage Capital	282,917	1,219,356
42. Patronage Capital Credits Retired	172,821	147,205
43. Patronage Capital End-of-Year (40+41-42)	5,143,327	6,215,478
44. Annual Debt Service Payments	154,080	154,080
45. Cash Ratio [(14+20-10-11) / 7]	0.7002	0.5928
46. *Operating Accrual Ratio [(14+20+26) / 7]*	1.2187	0.9601
47. TIER [(31+26) / 26]	15.8413	11.8961
48. OSCR [(31+26+10+11) / 44]	10.7800	4.1217

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USDA-RUS **OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS** *INSTRUCTIONS - See RUS Bulletin 1744-2*	BORROWER DESIGNATION OH0513 PERIOD ENDED December, 2013

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Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION

	1. RATES		2. SUBSCRIBERS (ACCESS LINES)			3. ROUTE MILES	
EXCHANGE	B-1 (a)	R-1 (b)	BUSINESS (a)	RESIDENTIAL (b)	TOTAL (c)	TOTAL (including fiber) (a)	FIBER (b)
Ottoville	14.00	14.00	283	739	1,022	124.21	124.21
Cloverdale	14.00	14.00	23	192	215	60.48	60.48
MobileWireless					0		
Route Mileage Outside Exchange Area						0.00	0.00
Total			306	931	1,237	184.69	184.69
No. Exchanges	2						

)

USDA-RUS **OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS** *INSTRUCTIONS - See RUS Bulletin 1744-2* CONFIDENTIAL	BORROWER DESIGNATION OH0513 PERIOD ENDED December, 2013

Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION

4. BROADBAND SERVICE

			Details on Least Expensive Broadband Service					
EXCHANGE	No. Access Lines with BB available (a)	No Of Broadband Subscribers (b)	Number Of Subscribers (c)	Advertised Download Rate (Kbps) (d)	Advertised Upload Rate (Kbps) (e)	Price Per Month (f)	Standalone/Pckg (f)	Type Of Technology (g)
Ottoville	1,022	713	713	6,000	1,500	29.95	Package	Fiber to the Home
Cloverdale	215	150	150	8,000	1,500	29.95	Package	Fiber to the Home
Total	1,237	863						

USDA-RUS

OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS

BORROWER DESIGNATION	OH0513
PERIOD ENDING	December, 2013

INSTRUCTIONS- See RUS Bulletin 1744-2

PART D. SYSTEM DATA

1. No. Plant Employees	2. No. Other Employees	3. Square Miles Served	4. Access Lines per Square Mile	5. Subscribers per Route Mile
3	3	72	17.18	6.70

PART E. TOLL DATA

1. Study Area ID Code(s)

a. 300650
b.
c.
d.
e.
f.
g.
h.
i.
j.

2. Types of Toll Settlements (Check one)

Interstate:	[X] Average Schedule	[ ] Cost Basis
Intrastate:	[X] Average Schedule	[ ] Cost Basis

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PART F. FUNDS INVESTED IN PLANT DURING YEAR

1. RUS, RTB, & FFB Loan Funds Expended	
2. Other Long-Term Loan Funds Expended	
3. Funds Expended Under RUS Interim Approval	
4. Other Short-Term Loan Funds Expended	
5. General Funds Expended (Other than Interim)	198,094
6. Salvaged Materials	
7. Contribution in Aid to Construction	
8. Gross Additions to Telecom. Plant (1 thru 7)	198,094

PART G. INVESTMENTS IN AFFILIATED COMPANIES

INVESTMENTS	CURRENT YEAR DATA		CUMULATIVE DATA		
	Investment This Year	Income/Loss This Year	Cumulative Investment To Date	Cumulative Income/Loss To Date	Current Balance
(a)	(b)	(c)	(d)	(e)	(f)
1. Investment in Affiliated Companies - Rural Development					
2. Investment in Affiliated Companies - Nonrural Development					

USDA-RUS **OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS**	BORROWER DESIGNATION OH0513	
	PERIOD ENDING December, 2013	CONFIDENTIAL

PART H. CURRENT DEPRECIATION RATES

Are corporation's depreciation rates approved by the regulatory authority with jurisdiction over the provision of telephone services? (Check one) [X] YES [ ] NO

EQUIPMENT CATEGORY	DEPRECIATION RATE
1. Land and support assets - Motor Vehicles	9.00%
2. Land and support assets - Aircraft	
3. Land and support assets - Special purpose vehicles	
4. Land and support assets - Garage and other work equipment	7.91%
5. Land and support assets - Buildings	3.32%
6. Land and support assets - Furniture and Office equipment	8.16%
7. Land and support assets - General purpose computers	20.00%
8. Central Office Switching - Digital	11.66%
9. Central Office Switching - Analog & Electro-mechanical	
10. Central Office Switching - Operator Systems	
11. Central Office Transmission - Radio Systems	
12. Central Office Transmission - Circuit equipment	9.09%
13. Information origination/termination - Station apparatus	
14. Information origination/termination - Customer premises wiring	
15. Information origination/termination - Large private branch exchanges	
16. Information origination/termination - Public telephone terminal equipment	
17. Information origination/termination - Other terminal equipment	12.50%
18. Cable and wire facilities - Poles	8.06%
19. Cable and wire facilities - Aerial cable - Metal	5.75%
20. Cable and wire facilities - Aerial cable - Fiber	4.20%
21. Cable and wire facilities - Underground cable - Metal	
22. Cable and wire facilities - Underground cable - Fiber	4.00%
23. Cable and wire facilities - Buried cable - Metal	5.00%
24. Cable and wire facilities - Buried cable - Fiber	4.60%
25. Cable and wire facilities - Conduit systems	3.00%
26. Cable and wire facilities - Other	

USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	BORROWER DESIGNATION OH0513
INSTRUCTIONS - See help in the online application.	PERIOD ENDED December, 2013

PART I - STATEMENT OF CASH FLOWS

1.	Beginning Cash (Cash and Equivalents plus RUS Construction Fund)	1,084,689
	CASH FLOWS FROM OPERATING ACTIVITIES	
2.	Net Income	261,464
	Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities	
3.	Add: Depreciation	345,072
4.	Add: Amortization	4,533
5.	Other (Explain)	
	Changes in Operating Assets and Liabilities	
6.	Decrease/(Increase) in Accounts Receivable	(32,284)
7.	Decrease/(Increase) in Materials and Inventory	0
8.	Decrease/(Increase) in Prepayments and Deferred Charges	24,047
9.	Decrease/(Increase) in Other Current Assets	8,233
10.	Increase/(Decrease) in Accounts Payable	7,514
11.	Increase/(Decrease) in Advance Billings & Payments	0
12.	Increase/(Decrease) in Other Current Liabilities	16,604
13.	Net Cash Provided/(Used) by Operations	635,183
	CASH FLOWS FROM FINANCING ACTIVITIES	
14.	Decrease/(Increase) in Notes Receivable	0
15.	Increase/(Decrease) in Notes Payable	0
16.	Increase/(Decrease) in Customer Deposits	(385)
17.	Net Increase/(Decrease) in Long Term Debt (Including Current Maturities)	(480,087)
18.	Increase/(Decrease) in Other Liabilities & Deferred Credits	0
19.	Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital	(89,196)
20.	Less: Payment of Dividends	0
21.	Less: Patronage Capital Credits Retired	(147,205)
22.	Other (Explain) NonPatr Income Allocation	(75,459)
23.	Net Cash Provided/(Used) by Financing Activities	(792,332)
	CASH FLOWS FROM INVESTING ACTIVITIES	
24.	Net Capital Expenditures (Property, Plant & Equipment)	2,513,996
25.	Other Long-Term Investments	1,099
26.	Other Noncurrent Assets & Jurisdictional Differences	0
27.	Other (Explain) Plant that was retired or removed	(2,454,031)
28.	Net Cash Provided/(Used) by Investing Activities	21,064
29.	Net Increase/(Decrease) in Cash	(136,085)
30.	Ending Cash	948,604

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Revision Date 2010

RATE FLOOR DATA COLLECTION - OMB Control Number 3060-0986

Block 1 - Contact Information

ROW #	DATA ELEMENT	FORMAT OF REQUESTED DATA	RESPONSE
1	Carrier Study Area Code	*6 numeric digits*	300650
2	Carrier Study Area Name	*alpha characters*	THE OTTOVILLE MUTUAL TEL. CO.
3	Service Provider Identification Number	*9 numeric digits*	143001674
4	**Residential Local Service Charge Effective Date**	*mm/dd/yy*	07/01/14
5	Contact Name	*alpha characters*	Schnipke, Margie
6	Contact Telephone Number (include area code)	*9 numeric digits*	419-453-3324
7	Sheet Number	*numeric digit(s)*	
8	Total Number of Sheets	*numeric digit(s)*	

Block 2- Residential Local Service Rates, Fees, and Line Counts

	Column 1 Residential Local Service Charge	Column 2 State Subscriber Line Charge	Column 3 State Universal Service Fee	Column 4 Manditory Extended Area Service Charge	Column 5 Loops	Column 6 Exchange Name/ Zone Name	Column 7 Class Of Service
9	14.00	0.00	0.00	0.00	752	Ottoville	Residential
10	14.00	0.00	0.00	0.00	195	Cloverdale	Residential

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