



Public Utilities Commission

| Original CRS Case Number | Version |
|-----------------------------|----------|
| 00 - 1742 -EL-CRS | May 2016 |

RENEWAL APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.**

A. RENEWAL INFORMATION

A-1 Applicant intends to be renewed as: (check all that apply)

☒ Retail Generation Provider
☒ Power Marketer

☐ Power Broker
☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Energy Harbor LLC

Address 341 White Pond Drive, B3, Akron, Ohio 44320

PUCO Certificate # and Date Certified 00-011E(9); November 2, 2016

Telephone # (888) 254-4769 Web site address (if any) www.energyharbor.com

A-3 List name, address, telephone number and web site address under which Applicant does business in Ohio

Legal Name Energy Harbor LLC

Address 341 White Pond Drive, B3, Akron, Ohio 44320

Telephone # (888) 254-4769 Web site address (if any) www.energyharbor.com

A-4 List all names under which the applicant does business in North America

Energy Harbor LLC

Energy Harbor

A-5 Contact person for regulatory or emergency matters

Name Kim Pelc, Analyst III, Structuring & Pricing

Title Analyst III, Structuring & Pricing

Business address 341 White Pond Drive, B3, Akron, Ohio 44320

Telephone # (330) 315-7385

Fax # _____

E-mail address See Exhibit A-5

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Amanda Withem

Title Analyst III, Transaction Management

Business address 341 White Pond Drive, B3, Akron, Ohio 44320

Telephone # (330) 315-7322

Fax # _____

E-mail address See Exhibit A-6

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 341 White Pond Drive, B3, Akron, Ohio 44320

Toll-free Telephone # (888) 254-4769

Fax # _____

E-mail address See Exhibit A-7

A-8 Applicant's federal employer identification number # 31-1560186

A-9 Applicant's form of ownership (check one)

☐ Sole Proprietorship

☐ Limited Liability Partnership (LLP)

☐ Corporation

☐ Partnership

☒ Limited Liability Company (LLC)

☐ Other _____

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.
- B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.
- B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-4 "Disclosure of Consumer Protection Violations" detailing such violation(s) and providing all relevant documents.

- B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.
☒ No ☐ Yes

If yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1** Exhibit C-1 "Annual Reports," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

- C-2 Exhibit C-2 “SEC Filings,”** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant’s financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 “Forecasted Financial Statements,”** provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 “Credit Rating,”** provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody’s Investors Service, Standard & Poor’s, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.
- C-7 Exhibit C-7 “Credit Report,”** provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.
- C-8 Exhibit C-8 “Bankruptcy Information,”** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 “Merger Information,”** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 “Corporate Structure,”** provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

D. TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

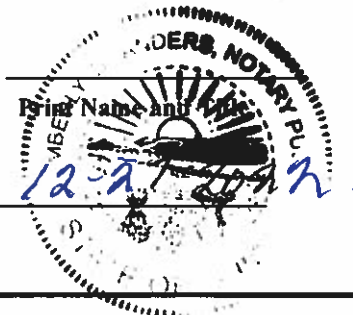
- D-1 Exhibit D-1 "Operations" provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number," provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)


John W. Judge, President and Chief Executive Officer
Signature of Applicant and Title

Sworn and subscribed before me this 18th day of March, 2020
Month Year


Signature of official administering oath

My commission expires on _____



AFFIDAVIT

State of Ohio :

Akron ss.
(Town)

County of Summit :

John W. Judge, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the President and Chief Executive Officer Office of Affiant) of Energy Harbor LLC (Name of Applicant); That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
7. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

John W. Judge
John W. Judge, President and Chief Executive Officer
Signature of Affiant & Title

Sworn and subscribed before me this 18th day of March, 2020
Month Year

Kimberly D. Anders
Signature of official administering oath

My commission expires on _____



Exhibit A-5 Contact person for regulatory or emergency matters:

Name: Kim Pelc
Title: Analysis III, Structuring & Pricing
Business Address: 341 White Pond Drive, B3, Akron, Ohio 44320
Telephone: (330) 315-7385
Email: kpelc@firstenergycorp.com [until May 2020]; kpelc@energyharbor.com
[post May 2020¹]

¹ Energy Harbor LLC will continue to use FirstEnergy email addresses until May 2020 due to system testing of the new email accounts.

Exhibit A-6 Contact person for Commission Staff use in investigating customer complaints:

Name: Amanda Withem
Title: Analysis III, Transaction Management
Business Address: 341 White Pond Drive, B3, Akron, Ohio 44320
Telephone: (330) 315-7368
Email: awithem@firstenergycorp.com [until May 2020];
awithem@energyharbor.com [post May 2020]

Exhibit A-7 Applicant's address and toll-free number for customer service and complaints:

Email Address: FirstChoice@fes.com (business inquiries) or
FirstChoiceathome@fes.com (residential inquiries)
[until May 2020]

FirstChoice@energyharbor.com (business inquiries) or
FirstChoiceathome@energyharbor.com (residential inquiries)
[post May 2020]

Exhibit A-10 “Principal Officers, Directors & Partners” provide the names, titles, addresses and telephone numbers of the applicant’s principal officers, directors, partners, or other similar officials.

The principal officers of Energy Harbor LLC are as follows:

John W. Judge, *President and Chief Executive Officer*
341 White Pond Drive, A-WAC-B3, Akron, OH 44320
330-315-7205

David Faranetta, *Chief Financial Officer, Treasurer, and Chief Risk Officer*
341 White Pond Drive, A-WAC-B3, Akron, OH 44320
330-436-1742

Stephen E. Burnazian, *Corporate Secretary*
341 White Pond Drive, A-WAC-B3, Akron, OH 44320
330-315-7390

Exhibit B-1 “Jurisdiction of Operation” provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services.

Energy Harbor LLC (“Energy Harbor”) is currently certified or licensed to provide competitive retail electric service in Ohio, Pennsylvania, Michigan, Illinois, New Jersey, Maryland, and Delaware.

Exhibit B-2 “Experience and Plans” provide a current description of the applicant’s experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

As it has demonstrated over the last two decades, Energy Harbor LLC (“Energy Harbor”) (f/k/a FirstEnergy Solutions Corp.) will contract with customers, provide contracted services, provide billing statements, and respond to customer inquiries and complaints in accordance with the Ohio Revised Code and the Ohio Administrative Code.

Energy Harbor has the background and experience necessary to reliably and effectively serve competitive retail electric service (“CRES”) to customers throughout the state of Ohio. With widespread and deep experience in customer operations and in retail business management in Ohio, Energy Harbor intends to leverage its substantial managerial, technical, and operational expertise, in combination with its longstanding relationships with experts in the Ohio market, to successfully develop and implement the infrastructure, systems, and processes to reliably provide CRES to its customers in Ohio.

Energy Harbor employs experienced marketing and sales personnel who will work with customers to understand their needs, negotiate mutually-satisfactory terms and conditions, and comply with all legal requirements governing marketing and sales practices that are applicable to CRES providers in Ohio. Energy Harbor will provide in-depth training to its customer service personnel and all customer-facing employees or vendors to ensure that customer inquiries and complaints are fully investigated and adequately addressed in a timely and responsive manner as required by Ohio law.

Further, in accordance with Section 4928.10 of the Revised Code, Energy Harbor will provide consumers with accurate, adequate, and understandable pricing and terms and conditions of service, including any switching fees, and with a document containing the terms and conditions of pricing and service before the consumer enters into a contract for service, and disclose the conditions under which a customer may rescind the contract without penalty. Energy Harbor’s customer bills, at a minimum, include (1) price disclosure and disclosures of total billing units for the billing period and historical annual usage, if any; (2) to the extent practicable, separate listing of each service component to enable a customer to re-calculate its bill for accuracy; (3) identification of the supplier of each service; and (4) statement of where and how payment may be made and provision of a toll-free or local customer assistance and complaint number (e.g., the Public Utilities Commission of Ohio, the Office of the Ohio Consumers’ Counsel, etc.) with the available hours noted.

Exhibit B-3 “Disclosure of Liabilities and Investigations,” provide a description of all existing pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

On March 31, 2018, Energy Harbor LLC’s predecessor, FirstEnergy Solutions Corp. (“FES”), filed a voluntary petition in the United States Bankruptcy Court for the Northern District of Ohio, Eastern Division (“Bankruptcy Court”) for relief pursuant to Chapter 11 of Title 11 of the United States Bankruptcy Code (“Bankruptcy Proceeding”). Throughout the Bankruptcy Proceeding, FES continued to perform under its contracts and reliably serve its customers as it has for the past two decades. After notifying all regulatory agencies of FES’ bankruptcy filing, the Public Utilities Commission of Ohio (“PUCO”) opened a new proceeding in Case No. 18-569-EL-UNC to ascertain the potential impact of FES’ decision to file for Chapter 11 bankruptcy on retail electric customers and electric distribution utilities (“EDUs”) in Ohio, as well as regional transmission organizations (“RTOs”) and other market stakeholders (“PUCO Inquiry”).

Specifically, the PUCO Inquiry required FES to file a report explaining how, if at all, the Bankruptcy Proceeding would impact its ability to serve customers in Ohio where FES had its largest retail market presence and meet the financial requirements of various EDUs in Ohio or RTOs. In response, FES publicly filed several letters with the PUCO explaining that its decision to file for Chapter 11 bankruptcy would have no impact on its ability to reliably and effectively serve customers, nor would the bankruptcy impair FES’ ability to honor its obligations to customers or meet financial requirements of RTOs or Ohio utilities in whose territories FES operates. After FES emerged from Chapter 11 bankruptcy as Energy Harbor LLC (“Energy Harbor”) on February 27, 2020, Energy Harbor filed its final status report in the PUCO Inquiry, explaining that it had successfully emerged from bankruptcy with a clean bill of financial health and that it stayed true to its word that the Bankruptcy Proceeding would have no adverse impact on customers and other stakeholders.

Importantly, neither the Bankruptcy Proceeding nor the PUCO Inquiry will have any adverse impact on Energy Harbor’s operational status or its ability to provide competitive retail electric service (“CRES”) to customers in Ohio. Nevertheless, Energy Harbor has disclosed the PUCO Inquiry and the Bankruptcy Proceeding in the interest of full transparency.

Exhibit C-1 “Annual Reports,” provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports).

As a privately held company, Energy Harbor LLC does not publish Annual Reports to Shareholders.

Exhibit C-2 “SEC Filings,” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

As a privately held company, Energy Harbor LLC does not submit 10-K/8-K filings with the SEC.

Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

Energy Harbor LLC (“Energy Harbor”) has enclosed its most recent audited financial statements for the year ended 2017, which are also certified by the Chief Financial Officer, Treasurer, and Chief Risk Officer of Energy Harbor.

Following the Chapter 11 bankruptcy filing on March 31, 2018 and after FirstEnergy Solutions Corp. (“FES”) deconsolidated from its then-parent company, FirstEnergy Corp., FES/Energy Harbor was no longer required to provide audited financials to the Securities and Exchange Commission. As a result, in lieu of audited financial statements for the years ending 2018 and 2019, Energy Harbor has submitted unaudited financial statements for the year ended 2018 but that are certified by the Chief Financial Officer, Treasurer, and Chief Risk Officer of Energy Harbor. Energy Harbor has also enclosed the balance sheet for the period ended December 31, 2019, which are also certified by the Chief Financial Officer, Treasurer, and Chief Risk Officer of Energy Harbor. In addition, there are officer-certified Monthly Operating Reports that FES was required to submit to the Bankruptcy Court under the penalty of perjury. Those Monthly Operating Reports are publicly available online at <https://cases.primeclerk.com/FES/Home-Index>. Further, Energy Harbor has provided additional financial information on its website at <https://energyharbor.com/ir>.

FirstEnergy Solutions
Unaudited Consolidated Statement of Operations
For the Three-Months Ended December 31, 2019
As of: January 16, 2020

| | QTD 12/31/2019 |
|-----------------------------------|-----------------------|
| Electric Retail | 238,619,148 |
| Muni & CO-OP | 9,720,321 |
| POLR | 35,862,770 |
| Total Segment Sales | 284,202,238 |
| Wholesale | 85,572,906 |
| Capacity Revenue | 54,154,042 |
| Total Sales and Revenues | 423,929,186 |
| Fuel - Total | (66,189,123) |
| Physical Purchased Power | (14,625,227) |
| Non-MWH Based Expense - Net | (7,466,332) |
| Delivery, net | (14,456,664) |
| Capacity Expense | (45,536,655) |
| Total Cost | (148,274,002) |
| Variable Margin | 275,655,184 |
| Other Revenue | 325,789 |
| O&M - Total | (214,924,673) |
| Pension and OPEB Service Costs | (1,098,013) |
| Mark to Market | 2,884,198 |
| Depreciation | (42,720,810) |
| Gross Receipt / CAT Tax | (4,148,251) |
| Other General Taxes | (5,136,404) |
| Associated Company Expense | (773,868) |
| Operating Margin | 10,063,152 |
| Service Company Billings | (15,228,002) |
| Sale-Leaseback Charges | (90,621,043) |
| Interest Expense | (15,745,122) |
| Pension and OPEB Non-Service Cost | 1,625,296 |
| AFUDC / COLI / Other Expenses | 135,949,761 |
| Pre-Tax Income | 26,044,042 |
| Income Taxes | (133,804,923) |
| Net Income | (107,760,881) |

Note: These unaudited internal financial statements do not reflect all bankruptcy activity/adjustments.

FirstEnergy Solutions
Unaudited Consolidated Balance Sheet
For the Three-Months Ended December 31, 2019
As of: January 16, 2020

| ASSETS | |
|--|----------------------------|
| CURRENT ASSETS | |
| Cash and Cash Equivalents | \$932,067,704.70 |
| Accounts Receivable | \$496,878,576.26 |
| Notes Receivable from Associated Companies | \$4,222,082,155.51 |
| Materials and Supplies | \$94,669,116.38 |
| Total Derivatives | \$37,145,049.29 |
| Prepayments and Other | \$294,544,320.37 |
| Current Assets | \$6,077,386,922.51 |
| PROPERTY, PLANT AND EQUIPMENT | |
| Net Plant, excluding CWIP | \$93,733,179.13 |
| CWIP | \$31,806,315.47 |
| Electric Plant Purchased or Sold | \$0.00 |
| Property, Plant and Equipment | \$125,539,494.60 |
| INVESTMENTS | |
| Nuclear Plant Decommissioning Trusts | \$2,085,888,527.71 |
| Investment in Subsidiaries | \$947,580,825.20 |
| Nonutility Plant, Net | \$8,262,735.59 |
| Other Investments | \$4,590.68 |
| Other Property and Investments | \$3,041,736,679.18 |
| DEFERRED CHARGES AND OTHER ASSETS | |
| Accumulated Deferred Income Taxes | \$1,917,450,213.05 |
| Deferred Operating Lease Costs | \$311,556,244.97 |
| Derivatives | \$19,556,375.01 |
| Prepaid Pension Costs | \$62,513,618.57 |
| Property Taxes | \$18,700,000.00 |
| Other Deferred Charges | \$11,056,680.63 |
| Deferred Charges | \$2,340,833,132.23 |
| Total Assets | \$11,585,496,228.52 |
| LIABILITIES AND CAPITALIZATION | |
| CURRENT LIABILITIES | |
| Current Payable LT Debt and Pref Stock | \$1,512,180,298.91 |
| Short-term Borrowings | \$4,935,707,571.75 |
| Accounts Payable - Other | \$514,118,690.47 |
| Accounts Payable to Assoc Companies | \$123,116,134.29 |
| Accrued Taxes | \$207,095,129.69 |
| Accrued compensation & benefits | \$11,117,102.43 |
| Derivatives | \$25,311,516.24 |
| Interest Accrued | \$68,821,887.37 |
| Customer Deposits | \$160,447.21 |
| Other Current Liabilities | \$244,140,114.31 |
| Current Liabilities | \$7,641,768,892.67 |
| CAPITALIZATION | |
| Equity | (\$1,693,873,991.33) |
| Long-term Debt | \$1,312,987,868.47 |
| Capitalization | (\$380,886,122.86) |
| NONCURRENT LIABILITIES | |
| Accumulated Deferred Income Taxes | \$182,639,261.63 |
| Other Postretirement Benefits | \$141,139,471.61 |
| Asset Retirement Obligation | \$2,189,298,351.65 |
| Deferred Gain on Sale/Leaseback | \$655,719,729.94 |
| Derivatives | \$11,063,774.27 |
| Accumulated Deferred Investment Tax Credit | \$18,637,607.92 |
| Property Tax Credits | \$18,700,000.00 |
| Other Noncurrent Liabilities | \$1,107,415,261.69 |
| Noncurrent Liabilities | \$4,324,613,458.71 |
| Liabilities and Capitalization | \$11,585,496,228.52 |

Note: These unaudited internal financial statements do not reflect all bankruptcy activity/adjustments.

FIRSTENERGY SOLUTIONS CORP.
CONSOLIDATED STATEMENTS OF INCOME (LOSS) AND COMPREHENSIVE INCOME (LOSS)

| (In millions) | For the Years Ended December 31 | | |
|--|---------------------------------|-------------------|--------------|
| | 2017 | 2016 | 2015 |
| STATEMENTS OF INCOME (LOSS) | | | |
| REVENUES: | | | |
| Electric sales to non-affiliates | \$ 2,667 | \$ 3,779 | \$ 4,151 |
| Electric sales to affiliates | 366 | 459 | 666 |
| Other | 65 | 160 | 188 |
| Total revenues* | 3,098 | 4,398 | 5,005 |
| OPERATING EXPENSES: | | | |
| Fuel | 599 | 780 | 871 |
| Purchased power from affiliates | 201 | 624 | 353 |
| Purchased power from non-affiliates | 628 | 1,020 | 1,684 |
| Other operating expenses | 1,514 | 1,277 | 1,308 |
| Pension and OPEB mark-to-market adjustment | 24 | 48 | 57 |
| Provision for depreciation | 109 | 336 | 324 |
| General taxes | 58 | 88 | 98 |
| Impairment of assets and related charges (Note 2) | 2,031 | 8,622 | 33 |
| Total operating expenses | 5,164 | 12,795 | 4,728 |
| OPERATING INCOME (LOSS) | (2,066) | (8,397) | 277 |
| OTHER INCOME (EXPENSE): | | | |
| Investment income (loss) | 94 | 67 | (14) |
| Miscellaneous income | 7 | 7 | 3 |
| Interest expense — affiliates | (19) | (7) | (7) |
| Interest expense — other | (138) | (147) | (147) |
| Capitalized interest | 26 | 34 | 35 |
| Total other expense | (30) | (46) | (130) |
| INCOME (LOSS) BEFORE INCOME TAXES (BENEFITS) | (2,096) | (8,443) | 147 |
| INCOME TAXES (BENEFITS) | 295 | (2,988) | 65 |
| NET INCOME (LOSS) | \$ (2,391) | \$ (5,455) | \$ 82 |
| STATEMENTS OF COMPREHENSIVE INCOME (LOSS) | | | |
| NET INCOME (LOSS) | \$ (2,391) | \$ (5,455) | \$ 82 |
| OTHER COMPREHENSIVE INCOME (LOSS): | | | |
| Pension and OPEB prior service costs | (14) | (14) | (6) |
| Amortized gains on derivative hedges | 2 | — | (3) |
| Change in unrealized gain on available-for-sale securities | 30 | 52 | (9) |
| Other comprehensive income (loss) | 18 | 38 | (18) |
| Income taxes (benefits) on other comprehensive income (loss) | 6 | 15 | (7) |
| Other comprehensive income (loss), net of tax | 12 | 23 | (11) |
| COMPREHENSIVE INCOME (LOSS) | \$ (2,379) | \$ (5,432) | \$ 71 |

* Includes excise tax collections of \$20 million, \$28 million and \$44 million in 2017, 2016 and 2015, respectively.

The accompanying Combined Notes to Consolidated Financial Statements are an integral part of these financial statements.

**FIRSTENERGY SOLUTIONS CORP.
CONSOLIDATED BALANCE SHEETS**

| <i>(In millions, except share amounts)</i> | December 31, 2017 | December 31, 2016 |
|--|----------------------|----------------------|
| ASSETS | | |
| CURRENT ASSETS: | | |
| Cash and cash equivalents | \$ 1 | \$ 2 |
| Receivables- | | |
| Customers, net of allowance for uncollectible accounts of \$2 in 2017 and \$5 in 2016 | 181 | 213 |
| Affiliated companies | 224 | 452 |
| Other | 21 | 27 |
| Notes receivable from affiliated companies | — | 29 |
| Materials and supplies | 183 | 267 |
| Derivatives | 34 | 137 |
| Collateral | 130 | 157 |
| Prepaid taxes and other | 22 | 63 |
| | <u>796</u> | <u>1,347</u> |
| PROPERTY, PLANT AND EQUIPMENT: | | |
| In service | 2,495 | 7,057 |
| Less — Accumulated provision for depreciation | 1,823 | 5,929 |
| | <u>672</u> | <u>1,128</u> |
| Construction work in progress | 22 | 427 |
| | <u>694</u> | <u>1,555</u> |
| INVESTMENTS: | | |
| Nuclear plant decommissioning trusts | 1,856 | 1,552 |
| Other | 9 | 10 |
| | <u>1,865</u> | <u>1,562</u> |
| DEFERRED CHARGES AND OTHER ASSETS: | | |
| Accumulated deferred income taxes | 1,754 | 2,279 |
| Property taxes | 25 | 40 |
| Derivatives | — | 77 |
| Other | 380 | 381 |
| | <u>2,159</u> | <u>2,777</u> |
| | <u>\$ 5,514</u> | <u>\$ 7,241</u> |
| LIABILITIES AND CAPITALIZATION | | |
| CURRENT LIABILITIES: | | |
| Currently payable long-term debt | \$ 524 | \$ 179 |
| Short-term borrowings - affiliated companies | 105 | 101 |
| Accounts payable- | | |
| Affiliated companies | 255 | 550 |
| Other | 105 | 110 |
| Accrued taxes | 72 | 143 |
| Derivatives | 24 | 77 |
| Other | 169 | 156 |
| | <u>1,254</u> | <u>1,316</u> |
| CAPITALIZATION: | | |
| Common stockholder's equity (deficit) - | | |
| Common stock, without par value, authorized 750 shares - 7 shares outstanding as of December 31, 2017 and 2016 | 3,749 | 3,658 |
| Accumulated other comprehensive income | 81 | 69 |
| Accumulated deficit | (5,900) | (3,509) |
| Total common stockholder's equity (deficit) | <u>(2,070)</u> | <u>218</u> |

| | | |
|--|-----------------|-----------------|
| Long-term debt and other long-term obligations | 2,299 | 2,813 |
| | 229 | 3,031 |
| NONCURRENT LIABILITIES: | | |
| Deferred gain on sale and leaseback transaction | 723 | 757 |
| Retirement benefits | 153 | 197 |
| Asset retirement obligations | 1,945 | 901 |
| Other | 1,210 | 1,039 |
| | 4,031 | 2,894 |
| COMMITMENTS, GUARANTEES AND CONTINGENCIES (Note 16) | | |
| | <u>\$ 5,514</u> | <u>\$ 7,241</u> |

The accompanying Combined Notes to Consolidated Financial Statements are an integral part of these financial statements.

FIRSTENERGY SOLUTIONS CORP. AND SUBSIDIARIES
CONSOLIDATED STATEMENT OF LOSS AND COMPREHENSIVE LOSS
DEBTOR-IN-POSSESSION

| <i>(In millions)</i> | <i>For the Year Ended December 31, 2018</i> |
|--|---|
| STATEMENT OF LOSS | |
| REVENUES* (Note 4) | \$ 2,620 |
| OPERATING EXPENSES: | |
| Fuel | 327 |
| Purchased power from non-affiliates | 622 |
| Other operating expenses | 1,332 |
| Provision for depreciation | 181 |
| General taxes | 52 |
| Impairment of assets (Note 3) | 503 |
| Total operating expenses | 3,017 |
| OPERATING LOSS | (397) |
| OTHER INCOME (EXPENSE): | |
| Miscellaneous income, net | (20) |
| Pension and OPEB mark-to-market adjustment | (28) |
| Interest expense - affiliates | (24) |
| Interest expense - other (contractual interest of \$122 million) | (53) |
| Capitalized interest | 1 |
| Total other expense | (124) |
| Reorganization items, net (Note 2) | 2,322 |
| LOSS BEFORE INCOME TAX BENEFITS | (2,843) |
| INCOME TAX BENEFITS | 1,694 |
| NET LOSS | \$ (4,537) |
| STATEMENT OF COMPREHENSIVE LOSS | |
| NET LOSS | \$ (4,537) |
| OTHER COMPREHENSIVE LOSS: | |
| Pension and OPEB prior service costs | (14) |
| Amortized gains on derivative hedges | 3 |
| Change in unrealized gain on available-for-sale securities | 12 |
| Other comprehensive income | 1 |
| Income taxes on other comprehensive income | 18 |
| Other comprehensive loss, net of tax | (17) |
| COMPREHENSIVE LOSS | \$ (4,554) |

* Includes excise tax collections of \$18 million.

The accompanying Notes to Consolidated Financial Statements are an integral part of these financial statements.

FIRSTENERGY SOLUTIONS CORP. AND SUBSIDIARIES
CONSOLIDATED BALANCE SHEET
DEBTOR-IN-POSSESSION

| <i>(In millions, except share amounts)</i> | December 31, 2018 |
|---|----------------------|
| ASSETS | |
| CURRENT ASSETS: | |
| Cash and cash equivalents | \$ 1,092 |
| Restricted cash | 3 |
| Receivables- | |
| Customers, net of allowance for uncollectible accounts of \$2 | 169 |
| Other | 107 |
| Materials and supplies | 94 |
| Derivatives | 11 |
| Collateral | 124 |
| Prepaid taxes and other | 31 |
| | <u>1,631</u> |
| PROPERTY, PLANT AND EQUIPMENT: | |
| In service | 370 |
| Less — Accumulated provision for depreciation | 166 |
| | <u>204</u> |
| Construction work in progress | 7 |
| | <u>211</u> |
| INVESTMENTS: | |
| Nuclear plant decommissioning trusts | 1,781 |
| Other | 9 |
| | <u>1,790</u> |
| DEFERRED CHARGES AND OTHER ASSETS: | |
| Property taxes | 21 |
| Other | 381 |
| | <u>402</u> |
| | <u>\$ 4,034</u> |
| LIABILITIES AND CAPITALIZATION | |
| CURRENT LIABILITIES: | |
| Short-term borrowings - affiliated companies | \$ 164 |
| Accounts payable | 333 |
| Accrued taxes | 127 |
| Derivatives | 9 |
| Other | 183 |
| | <u>816</u> |
| CAPITALIZATION: | |
| Common stockholder's deficit - | |
| Common stock, without par value, authorized 750 shares - 7 shares outstanding | 3,751 |
| Accumulated other comprehensive income | 13 |
| Accumulated deficit | (10,386) |
| Total common stockholder's deficit | <u>(6,622)</u> |
| NONCURRENT LIABILITIES: | |
| Deferred gain on sale and leaseback transaction | 689 |
| Retirement benefits | 74 |
| Asset retirement obligations | 2,044 |
| Other | 323 |
| Liabilities subject to compromise (Note 2) | 6,710 |
| | <u>9,840</u> |
| COMMITMENTS AND CONTINGENCIES (NOTE 15) | |
| | <u>\$ 4,034</u> |

The accompanying Notes to Consolidated Financial Statements are an integral part of these financial statements.



341 White Pond Drive
Akron, OH 44320

OFFICER'S CERTIFICATION OF FINANCIAL STATEMENTS

**Energy Harbor LLC formerly known as FirstEnergy Solutions Corp.
Financial Statements for the Year Ended 2017 and 2018**

I hereby certify that I am the Chief Financial Officer, Treasurer, and Chief Risk Officer of Energy Harbor LLC and that the enclosed financial statements for the year ended 2017 have been prepared X individually or _____ as part of a consolidated statement in accordance with generally accepted accounting principles in the United States, and are in, in my opinion, correct. Further, while the enclosed financial statements for the year ended 2018 have not been audited, they are, to the best of my knowledge, correct in all material respects.

Energy Harbor LLC
Market Participant Name


Signature


Date: 3-3-2020

David Faranetta
Name of Officer

CFO, Treasurer, and Chief Risk Officer
Title

State of Ohio
Summit County

On this 3rd day of March 2020, before me, the undersigned notary public, personally appeared David Faranetta, proved to me through satisfactory evidence of personal knowledge of identity, to be the person who signed the preceding or attached document in my presence, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge or belief.


Kimberly D. Anders, Notary Public
My Commission Expires: December 27, 2022



KIMBERLY D. ANDERS
Notary Public, State of Ohio
My Commission Expires
December 27, 2022



341 White Pond Drive
Akron, OH 44320

OFFICER'S CERTIFICATION OF FINANCIAL STATEMENTS

**Energy Harbor LLC formerly known as FirstEnergy Solutions Corp.
Financial Statements for the Quarter Ended 12/31/2019**

I hereby certify that I am the Chief Financial Officer, Treasurer, and Chief Risk Officer of Energy Harbor LLC and that the enclosed balance sheet as of December 31, 2019 is, in my opinion, correct in all material respects.

Energy Harbor LLC
Market Participant Name


Signature


Date: 3-3-2020

David Faranetta
Name of Officer

CFO, Treasurer, and Chief Risk Officer
Title

State of Ohio
Summit County

On this 3rd day of March 2020, before me, the undersigned notary public, personally appeared David Faranetta, proved to me through satisfactory evidence of personal knowledge of identity, to be the person who signed the preceding or attached document in my presence, and who swore or affirmed to me that the contents of the document are truthful and accurate to the best of his/her knowledge or belief.


Kimberly D. Anders, Notary Public
My Commission Expires: December 27, 2022



KIMBERLY D. ANDERS
Notary Public, State of Ohio
My Commission Expires
December 27, 2022

Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's current financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (“LDU”) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirements by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal **in the opinion of the Staff reviewer** to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the applicant if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

Please see attached letter from the FirstEnergy Corp. Ohio electric distribution utilities (i.e., Ohio Edison Company, The Toledo Edison Company, and The Cleveland Electric Illuminating Company) stating that Energy Harbor LLC is currently in compliance with their collateral and security requirements.



March 12, 2020

Energy Harbor LLC (f/k/a FirstEnergy Solutions Corp)
341 White Pond Dr.
Akron, Ohio 44320

***Re: Energy Harbor LLC (f/k/a FirstEnergy Solutions Corp) CRES Status with
FirstEnergy Ohio Utilities (Ohio Edison Company, The Cleveland Electric
Illuminating Company, The Toledo Edison Company)***

To Whom It May Concern:

Energy Harbor (f/k/a FirstEnergy Solutions Corp.), a certified competitive retail electric service provider in Ohio, has satisfied and is currently in compliance with our collateral and security requirements for FE OH Utilities (Ohio Edison, Toledo Edison, and Cleveland Electric).

FirstEnergy Corp.

Justin Gawne

Name: Justin Gawne

Title: Credit Risk Analyst

Phone: 330-315-7226

Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

Forecasted financial statements have been filed on a confidential basis under seal.

Exhibit C-6 “Credit Rating.” provide a statement disclosing the applicant’s credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody’s Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant’s parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

Energy Harbor LLC does not have a credit rating.

Exhibit C-7 “Credit Report,” provide a copy of the applicant’s credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

Energy Harbor LLC (“Energy Harbor”) has attached its most recent credit report from Experian (dated March 3, 2020), which largely contains information applicable to its predecessor, FirstEnergy Solutions Corp. As Energy Harbor continues to establish itself as a reorganized company that only recently emerged from Chapter 11 bankruptcy on February 27, 2020, Energy Harbor anticipates that its credit report will be continually refined and updated to reflect the most current and accurate information of the company.

Premier Profile - ENERGY HARBOR

Subcode: 563477

Transaction Number: C707673734

Search Inquiry: energy harb/76 S MAIN ST BSMT/AKRON/OH/44308/US/Phone 330-315-6910/753252788

Model Description: Intelliscore Plus V2

Ordered: 03/03/2020 08:26:13 CST



| | | |
|---|--|---|
| Business Name FIRSTENERGY SOLUTIONS CORP | | Business Identification Number 753252788 |
| Doing Business As: FIRSTENERGY SERVICE CO Primary Address: 76 S MAIN ST BSMT AKRON, OH 44308-1817 | | Website: fes.com Phone: (330) 315-6910 Tax ID: 31-1560186 |
| Ultimate Parent: FIRSTENERGY CORP | | This business is a member of a corporate family. See the corporate hierarchy by clicking here |

TOP

| Risk Scores and Credit Limit Recommendation | | Days Beyond Terms | Derogatory Legal | Fraud Alerts |
|---|---|---------------------|------------------|------------------|
| Intelliscore Plus | Financial Stability Risk | Company DBT | Original Filings | High Risk Alerts |
| Score unavailable. Bankruptcy on file. | Score unavailable. Bankruptcy on file. | Industry DBT: 3 | | |
| Credit Limit Recommendation: N/A | | | | |

TOP

| Business Facts | | | |
|---|----------------------------------|--------------------|---|
| FirstEnergy Solutions Corp. was founded in 1997 and is headquartered in Akron, OH. The company, through its subsidiaries, is engaged in the generation, transmission, and distribution of electricity. Its transmission operations include approximately 24,500 miles of lines and two regional transmission operation centers. The company serves about six million customers in the Midwest and Mid-Atlantic regions. | | | |
| Years on File: | 23 (FILE ESTABLISHED 06/1997) | SIC Code: | ELECTRIC SERVICES - 4911 |
| State of Incorporation: | OH | | PLUMBING, HEATING & A/C CONTRACTORS - 1711 |
| Date of Incorporation: | 02/01/2000 | | SECURITY & COMMODITIES SERVICES, NEC - 6289 |
| Business Type: | Unknown | NAICS Code: | Electric Power Generation, Transmission and Distribution - 221100 |
| Contacts: | DONALD R SCHNEIDER - PRESIDENT | | Plumbing, Heating, and Air-Conditioning Contractors - 238220 |
| | JOHN W JUDGE - PRESIDENT | | All Other Financial Investment Activities - 523990 |
| | CHARLES EDWARD JONES - PRESIDENT | | |

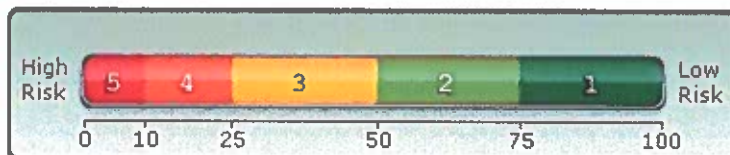
TOP

| Commercial Fraud Shield | |
|--|--|
| Evaluation for: ENERGY HARBOR, 76 S MAIN ST BSMT, AKRON, OH44308-1817 | |
| Business Alerts | Verification Triggers |
| Active Business Indicator: Experian shows this business as active | BUSINESS ADDRESS IDENTIFIED AS VACANT BUSINESS ADDRESS |
| Possible OFAC Match: No OFAC match found | |
| Business Victim Statement: No victim statement on file | |

TOP

| Credit Risk Score and Credit Limit Recommendation |
|---|
| Credit Risk Score: Intelliscore Plus |

Current Intelliscore Plus Score: Score and Risk Class Unavailable due to Bankruptcy (998)



This score predicts the likelihood of serious credit delinquencies for this business within the next 12 months. This report includes a bankruptcy within the last 24 months. Therefore the Intelliscore Plus score and the risk class are unavailable.

Credit Risk Score: Financial Stability Risk

Current Financial Stability Risk Score: Score and Risk Class Unavailable due to Bankruptcy (998)



This score predicts the likelihood of financial stability risk within the next 12 months. This report includes a bankruptcy within the last 24 months. Therefore a Financial Stability Risk score cannot be created.

Credit Limit Recommendation

Credit Limit Recommendation

Not available - A credit limit recommendation is not available for a business with a bankruptcy filing within the last 24 months.

This recommendation compares this business against similar businesses in the Experian business credit database. It is based on trade information, industry, age of business and the Intelliscore Plus. The recommendation is a guide. The final decision must be made based on your company's business policies.

TOP

Payment and Legal Filings Summary

Payment Performance

| | |
|----------------------------------|----|
| Current DBT: | 21 |
| Predicted DBT as 04/29/2020 : | 15 |
| Monthly Average DBT: | 13 |
| Highest DBT Previous 6 Months: | 26 |
| Highest DBT Previous 5 Quarters: | 45 |
| Payment Trend Indication: | |
| Payments are increasingly late | |

Trade and Collection Balance

| | |
|--|-----------------------|
| Total trade and collection (8): | \$12,500 |
| All trades (8): | \$12,500 |
| All collections (0): | \$0 |
| Continuous trade (6): | \$12,500 |
| 6 month average: | \$6,400 - \$14,600 |
| Highest credit amount extended: | \$530,200 |
| Most frequent industry purchasing terms: | NET 30,CREDIT,REVOLVE |

Legal Filings

| | |
|-------------------------|-----------|
| Bankruptcy: | Yes |
| Tax Lien filings: | 4 |
| Judgment filings: | 0 |
| Sum of legal filings: | \$133,207 |
| UCC filings: | 5 |
| Cautionary UCC filings: | No |

Industry Comparison

Industry DBT Range Comparison

The current DBT of this business is 21. 9% of businesses have a DBT range of 16+.

DBT for this business: 21



DBT Norms

| | |
|----------------|---|
| All industry: | 3 |
| Same industry: | 3 |

Industry Payment Comparison

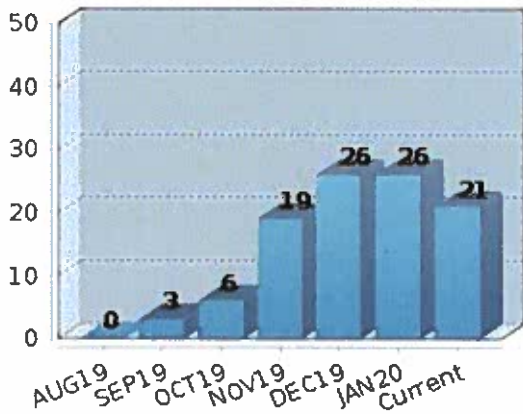
Has paid slower than 70% of similar businesses

TOP

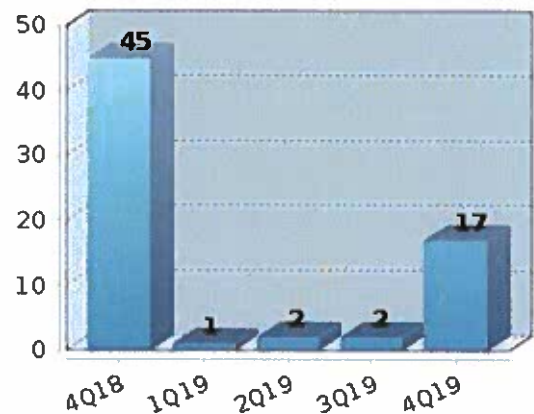
Payment Trending

DBT Trends

Monthly DBT Trends



Quarterly DBT Trends



Monthly Payment Trends

Payment Trends Analysis ELECTRIC SERVICES - 4911

Account Status Days Beyond Terms

| Date Reported | Industry Cur | DBT | Business DBT | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ |
|---------------|-----------------|-----|-----------------|----------|------|------|-------|-------|-----|
| CURRENT | N/A | N/A | 21 | \$12,500 | 67% | 5% | 6% | 17% | 5% |
| JAN20 | 91% | 3 | 26 | \$6,400 | 64% | | 3% | 33% | |
| DEC19 | 89% | 4 | 26 | \$6,400 | 64% | | 3% | 33% | |
| NOV19 | 92% | 3 | 19 | \$12,300 | 42% | 24% | 34% | | |
| OCT19 | 93% | 3 | 6 | \$9,100 | 61% | 39% | | | |
| SEP19 | 92% | 3 | 3 | \$7,000 | 83% | 17% | | | |
| AUG19 | 92% | 3 | 0 | \$12,500 | 100% | | | | |

Quarterly Payment Trends

Payment History - Quarterly Averages

Account Status Days Beyond Terms

| Quarter | Months | DBT | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ |
|---------|-----------|-----|-----------|-----|------|-------|-------|-----|
| Q4 - 19 | OCT - DEC | 17 | \$9,300 | 53% | 23% | 16% | 8% | |
| Q3 - 19 | JUL - SEP | 2 | \$11,800 | 86% | 14% | | | |
| Q2 - 19 | APR - JUN | 2 | \$6,200 | 86% | 14% | | | |
| Q1 - 19 | JAN - MAR | 1 | \$5,600 | 92% | 8% | | | |
| Q4 - 18 | OCT - DEC | 45 | \$537,800 | 1% | | 99% | | |

TOP

Trade Payment Summary

| Trade Line Type | Lines Reported | DBT | Recent High Credit | Balance | Current | 01-30 | 31-60 | 61-90 | 91+ |
|-----------------------|----------------|-----------|-----------------------|-----------------|------------|-----------|-----------|------------|-----------|
| Continuous | 6 | 21 | \$563,800 | \$12,500 | 67% | 5% | 6% | 17% | 5% |
| New | 0 | | | \$0 | | | | | |
| Combined Trade | 6 | 21 | \$563,800 | \$12,500 | 67% | 5% | 6% | 17% | 5% |
| Additional | 2 | | | \$0 | | | | | |
| Total Trade | 8 | | \$563,800 | \$12,500 | 67% | 5% | 6% | 17% | 5% |

TOP

Trade Payment - New and Continuously Reported Trade Details

Payment Experiences

| (Trade Lines with an (*) after the date are newly reported) | | | | | | Account Status Days Beyond Terms | | | | | |
|---|---------------|-----------|---------------|--------------------|---------|-------------------------------------|------|-------|-------|-----|-----------|
| Business Category | Date Reported | Last Sale | Payment Terms | Recent High Credit | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ | Comments |
| BUREAU | 02/2020 | 02/2020 | NET 30 | \$15,200 | \$0 | | | | | | |
| ELEC DISTR | 02/2020 | | NET 30 | | \$0 | | | | | | |
| FINCL SVCS | 02/2020 | | CONTRCT | \$6,100 | \$6,100 | 70% | 10% | 10% | | 10% | |
| FOOD DISTR | 12/2019 | | VARIED | \$12,300 | \$6,400 | 64% | | 3% | 33% | | |
| PACKAGING | 02/2020 | | NET 30 | | \$0 | | | | | | CUST 9 YR |
| TRANSPORTN | 01/2020 | | NET 15 | \$530,200 | \$0 | | | | | | |

TOP

| Trade Payment - Additional Trade Details | | | | | | | | | | | |
|--|---------------|-----------|---------------|--------------------|---------|-------------------------------------|------|-------|-------|-----|----------|
| Payment Experiences (Trade Lines with an (*) after the date are newly reported) | | | | | | Account Status Days Beyond Terms | | | | | |
| Business Category | Date Reported | Last Sale | Payment Terms | Recent High Credit | Balance | Cur | 1-30 | 31-60 | 61-90 | 91+ | Comments |
| ACCT SVCS | 07/2018 | | VARIED | | \$0 | | | | | | |
| MED EQUIP | 09/2019 | | NET 30 | | \$0 | | | | | | |

TOP

Legal Filings

| Bankruptcy | | | | | |
|------------|-------------------------|----------|----------|---------------|--------------------------------------|
| File Date | Filing Type | Status | | Filing Number | Jurisdiction |
| 03/31/2018 | Chapter 11 | Filed | | 1850757 | U.S. BANKRUPTCY COURT-NORTHERN AKRON |
| 03/31/2018 | Chapter 11 | Filed | | 1850760 | U.S. BANKRUPTCY COURT-NORTHERN AKRON |
| 03/31/2018 | Chapter 11 | Filed | | 1850763 | U.S. BANKRUPTCY COURT-NORTHERN AKRON |
| Tax Liens | | | | | |
| File Date | Filing Type | Status | Amount | Filing Number | Jurisdiction |
| 04/24/2018 | State Tax Lien | Released | \$29,829 | JL20152811 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 04/24/2018 | State Tax Lien | Released | \$47,944 | JL20156063 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 04/24/2018 | State Tax Lien | Released | \$52,498 | JL20156067 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 08/06/2015 | State Tax Lien | Filed | \$47,944 | J20156063 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 08/06/2015 | State Tax Lien | Filed | \$52,498 | J20156067 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 04/02/2015 | State Tax Lien | Filed | \$29,829 | J20152811 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 11/29/2014 | State Tax Lien | Released | \$2,936 | J20140838 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |
| 01/30/2014 | State Tax Lien | Filed | \$2,936 | J20140838 | SUMMIT COUNTY COMMON PLEAS |
| | Filed by: STATE OF OHIO | | | | |

TOP

| Uniform Commercial Code (UCC) Filings | | | | | | |
|---------------------------------------|------|--------------------|-------------|------------------------|------------|--------------------|
| UCC Filing Summary | | | | | | |
| Date Range | Year | Cautionary UCCs ** | Total Filed | Released / Termination | Continuous | Amended / Assigned |

| | | | | | |
|---------------|------|---|---|---|---|
| JAN - PRESENT | 2020 | | | | |
| JUL - DEC | 2019 | | | | |
| JAN - JUN | 2019 | | | | |
| JUL - DEC | 2018 | | | | |
| JAN - JUN | 2018 | | | | |
| PRIOR TO JAN | 2018 | | 5 | 6 | |
| Total | | 0 | 5 | 0 | 6 |

** Cautionary UCC Filings include one or more of the following collateral:
Accounts, Accounts Receivables, Contract Rights, Hereafter Acquired Property, Inventory, Leases, Notes Receivable or Proceeds.

UCC Details

UCC FILED Date: 03/23/2018
Filing Number: OH00219711909
Jurisdiction: SEC OF STATE OH
Secured Party: MIDCONTINENT INDEPENDENT SYSTEM OPERATOR IN CARMEL 46032 720

UCC CONTINUED Date: 08/11/2016
Filing Number: 20162280098
Original Filing Date: 08/29/2006
Original Filing Number: OH00106030
Original Filing State: OH
Jurisdiction: SEC OF STATE OH
Secured Party:

UCC CONTINUED Date: 08/11/2016
Filing Number: 20162280096
Original Filing Date: 08/29/2006
Original Filing Number: OH00106031
Original Filing State: OH
Jurisdiction: SEC OF STATE OH
Secured Party:

UCC CONTINUED Date: 08/11/2016
Filing Number: 20162280144
Original Filing Date: 08/29/2006
Original Filing Number: OH00106031
Original Filing State: OH
Jurisdiction: SEC OF STATE OH
Secured Party:

UCC FILED Date: 02/02/2016
Filing Number: OH00197337998
Jurisdiction: SEC OF STATE OH
Secured Party: DE LAGE LANDEN FINANCIAL SERVICES, INC. PA WAYNE 19087 1111

UCC CONTINUED Date: 08/25/2011
Filing Number: 20112380115
Original Filing Date: 08/29/2006
Original Filing Number: OH00106030
Original Filing State: OH
Jurisdiction: SEC OF STATE OH
Secured Party:

UCC CONTINUED Date: 08/25/2011
Filing Number: 20112380100
Original Filing Date: 08/29/2006
Original Filing Number: OH00106031
Original Filing State: OH
Jurisdiction: SEC OF STATE OH
Secured Party:

UCC CONTINUED Date: 08/25/2011
Filing Number: 20112380120
Original Filing Date: 08/29/2006
Original Filing Number: OH00106031
Original Filing State: OH
Jurisdiction: SEC OF STATE OH
Secured Party:

UCC FILED Date: 08/29/2006
Filing Number: OH00106030777
Jurisdiction: SEC OF STATE OH
Secured Party: DEUTSCHE BANK AG NEW YORK BRANCH NY NEW YORK 10005 60 WALL S

UCC FILED Date: 08/29/2006
Filing Number: OH00106031123
Jurisdiction: SEC OF STATE OH
Secured Party: THE BANK OF NEW YORK, AS COLLATERAL AGEN NY NEW YORK 10286 1

[+ View Additional UCC Details](#)

TOP 

Additional Business Facts

Corporate Registration

THE FOLLOWING INFORMATION WAS PROVIDED BY THE STATE OF INDIANA. THE DATA IS CURRENT AS OF 03/03/2020.

State of Origin: OH
Date of Incorporation: 02/01/2000
Current Status: Active
Business Type: Unknown
Charter Number: 2000020100
Agent: C T CORPORATION SYSTEM
Agent Address: 150 WEST MARKET STREET INDIANAPOLIS, IN

TOP 

Corporate Linkage

| Business Name | Location | BIN |
|---|--------------------------------|-----------|
| Ultimate Parent of the inquired upon business and the top entity within the corporate family: | | |
| FIRSTENERGY CORP | 76 S MAIN ST - AKRON,OH | 748149298 |
| Immediate Parent of the inquired upon business: | | |
| FIRSTENERGY CORP | 76 S MAIN ST - AKRON,OH | 748149298 |
| Subsidiaries of the inquired upon business: | | |
| FIRSTENERGY NUCLEAR GENERATION CORP | 76 S MAIN ST - AKRON,OH | 420377564 |
| Branches of the inquired upon business: | | |
| ENERGY HARBOR | 2602 OAKSTONE DR - COLUMBUS,OH | 749497164 |
| ENERGY HARBOR | 395 GHENT RD - FAIRLAWN,OH | 753985321 |

TOP

| Inquiries | | | | | | | | | |
|----------------------|-------|-------|-------|-------|-------|-------|-------|-------|-------|
| Summary of Inquiries | | | | | | | | | |
| Business Category | MAR20 | FEB20 | JAN20 | DEC19 | NOV19 | OCT19 | SEP19 | AUG19 | JUL19 |
| BUREAU | | | | | | | 1 | | |
| FINCL SVCS | | | | 1 | | | | | |
| GENERAL | | 1 | | | 1 | | | | |
| INSURANCE | | | | | 2 | | | | |
| TELECOM | | | 1 | | | | | | |
| UTILITY | | | 1 | | 1 | | | | |
| Totals | | 1 | 2 | 1 | 4 | | 1 | | |

TOP

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End of report

1 of 1 report

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Exhibit C-8 “Bankruptcy Information,” provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Energy Harbor LLC (“Energy Harbor”) recently emerged from Chapter 11 bankruptcy. Prior to emergence from bankruptcy on February 27, 2020, Energy Harbor operated under the name FirstEnergy Solutions Corp. (“FES”).

On March 31, 2018, FES, its subsidiaries, and an affiliate (collectively, the “Debtors”) filed voluntary petitions in the United States Bankruptcy Court for the Northern District of Ohio, Eastern Division (“Bankruptcy Court”) for relief pursuant to Chapter 11 of Title 11 of the United States Bankruptcy Code (“Bankruptcy Proceeding”). Throughout the Bankruptcy Proceeding, FES continued to perform under its contracts and serve its customers.

On October 16, 2019, the Bankruptcy Court confirmed the Debtors’ Eighth Amended Plan of Reorganization (“Plan”). While the Plan is a voluminous document that speaks for itself, to briefly summarize the Plan, a newly formed holding company was created to operate as the parent of four (4) direct subsidiaries, which included a reorganized FES (re-named Energy Harbor LLC upon emerging from bankruptcy). Energy Harbor continues to purchase power from its affiliates that own fossil and nuclear generation assets, continues to serve its existing customers under their current contracts, continues to provide all customer programs/services, and has maintained most of its workforce.

On February 27, 2020, FES emerged from bankruptcy as Energy Harbor LLC with a clean bill of financial health.

Exhibit C-9 “Merger Information.” provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.

None.

Exhibit C-10 “Corporate Structure,” provide a description of the applicant’s corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Energy Harbor LLC is wholly owned by its parent company, Energy Harbor Corp. It is affiliated with Energy Harbor Generation, LLC, Energy Harbor Nuclear Generation, LLC, and Energy Harbor Nuclear Corp. A graphical depiction of such structure is provided below.

Energy Harbor Corporate Organizational Structure

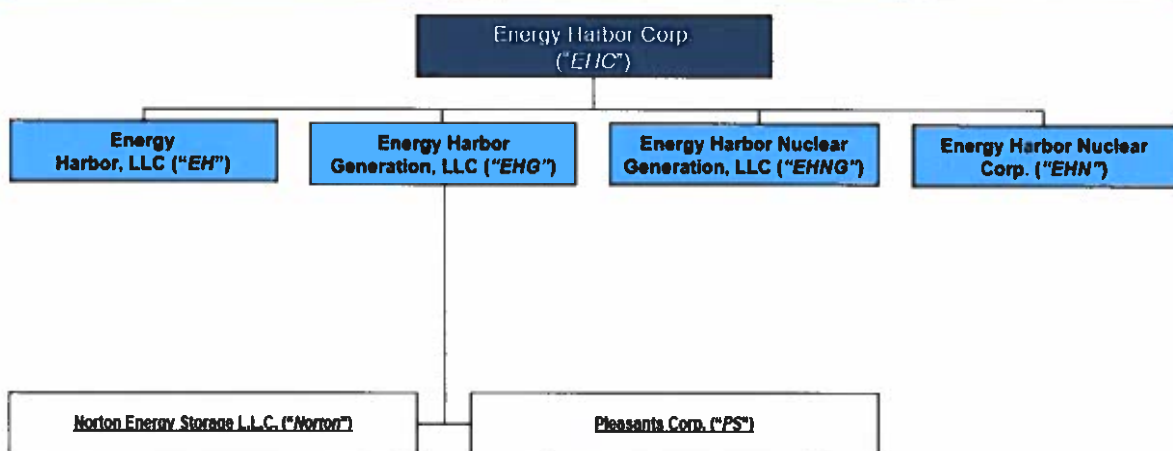


Exhibit D-1 “Operations” provide a current written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.

Since the inception of retail competition in the late 1990s, Energy Harbor LLC (then operating as FirstEnergy Solutions Corp. or FES) has been providing electric generation and natural gas to retail customers. Currently, Energy Harbor LLC (“Energy Harbor”) is certified or licensed to provide competitive retail electric service to customers in Ohio, Pennsylvania, Illinois, Michigan, Maryland, Delaware, and New Jersey. Energy Harbor is one of the largest electric generation suppliers in the country to commercial and industrial and governmental aggregation customers.

Although Energy Harbor has operated in the retail energy market space for over two decades, Energy Harbor will constantly strive to improve its operations and engage in extensive oversight to ensure full regulatory compliance. Energy Harbor continues to dedicate significant resources toward quality, oversight, and regulatory compliance. In an effort to improve and enhance its existing services, Energy Harbor’s policies and procedures will continue to evolve and be adjusted, as needed, based on response to customer feedback, regulatory agent feedback, and changes in regulatory compliance requirements and technology.

Energy Harbor is a financially secure independent power producer and fully integrated retail energy provider focused on safe and best-in-class operations and financial performance. With a fleet of reliable generating resources, including substantial carbon-free generation, Energy Harbor is well positioned for long-term value creation and competitiveness in a low-carbon future and is focused on enabling a growing customer and stakeholder base to meet their environmental, social and sustainability goals. The aforementioned competitive generating fleet provides more than 6,800 megawatts of capacity annually, with nearly 60 percent of electric generation coming from carbon-free nuclear plants.

Exhibit D-2 “Operations Expertise,” given the operational nature of the applicant’s business, provide evidence of the applicant’s experience and technical expertise in performing such operations.

Headquartered in Akron, Ohio, Energy Harbor LLC (“Energy Harbor”) is a leading energy services company and a retail marketer of electricity. Energy Harbor is managed by a team with decades of experience in the energy industry. The operations expertise of Energy Harbor’s managerial and technical expertise and experience is second to none. Energy Harbor’s personnel possess extensive and in-depth experience in providing CRES to customers throughout Ohio and in the other competitive retail electric jurisdictions in which Energy Harbor operates, previously operated, or intends to operate in the near future. As such, Energy Harbor’s management is intimately familiar with the competitive retail electric market, including the technical, managerial, operational, and regulatory compliance requirements.

Energy Harbor has access to services, support and oversight for day-to-day technical, managerial, and operational activities; accounting and finance services; general management services; risk management services; transaction related services; power supply procurement and portfolio management services; hedging of load requirements and supply resources; logistics management and coordination activities; compliance with state regulatory directives; wholesale counterparty credit administration; and the maintenance of all systems necessary to coordinate with transmission providers and local distribution utilities. Energy Harbor’s primary goal and expectation is to be a responsive, knowledgeable partner who can help customers navigate the complex rules and regulations of energy markets while providing superior, timely, reliable, and responsive customer service.

Exhibit D-3 “Key Technical Personnel,” provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant’s current business.

Name: Alin C. Baci

Title: Manager, Load Forecasting

Phone Number: 330-315-7352

Email: abaci@firstenergycorp.com (until May 2020); abaci@energyharbor.com (post May 2020)

Background: Please see attached resume.

Name: Benjamin L. Kelly

Title: Analyst & Trader, Short-Term Transactions, Commercial Operations

Phone Number: 330-315-6906

Email: blkelly@firstenergycorp.com (until May 2020); blkelly@energyharbor.com (post May 2020)

Background: Please see attached resume.

ALIN C. BACIU

Master of Financial Mathematics

2100 Nottinghill Dr • Hinckley, OH 44233 • (440) 781-5348 • abaciu@firstenergycorp.com

WORK EXPERIENCE

Energy Harbor LLC / FIRSTENERGY SOLUTIONS Corp.

Akron, OH

Manager, Load Forecasting

2/2011 – present

- Lead a team of 5 analysts who mitigate the Company's exposure by providing next-day hourly demand forecasts for up to 50 delivery zones, individual industrial and large commercial customers, individual and aggregated residential customers, and ISOs
- Maintain forecast error MAPE below industry average
- Forecast next-day hourly DALMP by hub node and at generation unit
- Forecast next-day natural gas price forecast at hub (TECO, TETCO, HH)
- Predict PJM system wide pick demand, and coordinate with large industrial and commercial customers to reduce demand usage
- Use in-house developed and third-party Neural Network software (Artificial Intelligence) along with various statistical models to reduce forecast error, improve forecasting models and account for multitude of variables impacting the industry
- Improve daily forecast procedures to mitigate forecast variance, errors, system failure, streamline steps and reduce process time by 20%
- Manage the load budget process by generating, analyzing and explaining demand, rate, revenue and margin variances

Acting Manager, Forecasting and Analytics

7/2010 – 2/2011

- Lead cross-functional teams to better integrate short, medium and long term hourly demand forecasts and prices
- Quantify and communicate the impact of exponential growth of Retail and Wholesale sales by providing senior leadership with competitive market studies and forecasts
- Provide market analyses and other supporting studies for the FirstEnergy/Alleghany Energy merger
- Coordinate a corporate-wide system transfer to PCI, affecting the upload and bidding of short term demand, prices, nuclear and wind generation forecasts

Staff Business Analyst

5/2007 – 7/2010

- Develop 5-year forecasts of hourly demand and marginal price by company and customer type for all territories served by the Company
- Coordinate efforts among a cross-functional team to develop and maintain mid-month, next month, balance of year and next year consumption budgets and price forecasts
- Lead implementation and application of statistical and Neural Network models to next-day hourly demand forecasts, saving \$6 million annually over traditional methods

FIRSTENERGY CORPORATION

Akron, OH

Business Analyst

9/2004 – 5/2007

- Develop and maintain commercial tariff rates and schedules, hourly load forecasts for energy and revenue, variance reports and customer profiling
- Prepare and present testimony for Ohio and Pennsylvania rate cases
- Develop and validate detailed monthly billing data for 4.4 million customers in FirstEnergy's 7 utility companies
- Lead implementation of corporate-wide load research program to restructure hourly customer profiles by class and rate

ALIN C. BACIU

Master of Financial Mathematics

2100 Nottingham Dr • Hinckley, OH 44233 • (440) 781-5348 • abaciu@firstenergycorp.com

WACHOVIA BANK

Clemson, SC

Master's Project: "Credit Scoring Using Bayesian Networks"

8/2003 – 5/2004

- Developed algorithm to determine issuance of personal lines of credit

CLEMSON UNIVERSITY

Clemson, SC

Graduate Teacher of Record, Business Calculus

8/2002 – 5/2004

- Earned excellence in teaching award

EDUCATION

CLEMSON UNIVERSITY

Clemson, SC

Master of Mathematical Sciences, Financial Mathematics (3.40 G.P.A.)

5/2004

BALDWIN-WALLACE COLLEGE

Berea, OH

B.S., Mathematics and Business Administration (3.22 G.P.A.)

5/2002

EDGEHILL UNIVERSITY

Ormskirk, England

Study Abroad Program in Mathematics

1/2000-5/2000

RELEVANT COURSEWORK

- Linear Programming
- Stochastic Processes
- Real Estate Finance
- Management Science
- Matrix Analysis
- Computational Finance
- Management Strategy and Policy
- Corporate Finance

COMPUTER SKILLS

- NeuralPower
- SAS
- Nostradamus
- Management Scientist
- MATLAB
- Visual Basic
- BRIO/Hyperion
- Microsoft Office

ADDITIONAL SKILLS

- Advanced multivariate modeling
- Neural Network models and analysis
- Short term and long term budget design and variance study
- Advanced stochastic calculus applications in finance
- Excellent communication, presentation, and project management skills
- Fluent in Romanian

REFERENCES

Available upon request

BENJAMIN KELLY

BENJAMIN KELLY

EDUCATION:

M.A. - Economics, The University of Akron, Akron, OH, 2004

B.A. - Economics, The University of Akron, Akron, OH, 2002

EXPERIENCE:

Energy Harbor LLC (f/k/a FirstEnergy Solutions Corp.), Akron, OH

Trader, Commercial Operations (August 2016 – Present)

- Responsible for short term wholesale transactions to support the generation and retail business.
- Hedge generation exposure to PJM spot market risk.
- Hedge load variation due to weather
- Forecast net open position on a daily basis
- Forecast next month open position and financial projection
- Track and analyze position and assist with developing strategic revisions as needed
- Analyze and communicate variances between budget, forecast, and actual
- Track hedge settlements
- Responsible for identifying and transacting on intra-month short term trading opportunities
- Develop and maintain standardized daily reports for position management and P&L forecasting and tracking
- Collaborate with and support various functional groups including Accounting, Risk Control and Settlements
- Coordinate with day-ahead and real-time operations to manage generation outages in conjunction with overall open position

Analyst, Commercial Operations (June 2011 – August 2016)

- Forecast next month open position and financial projection
- Track and analyze position and assist with developing strategic revisions as needed
- Analyze and communicate variances between budget, forecast, and actual
- Track hedge settlements
- Coordinate with day-ahead and real-time operations to manage generation outages in conjunction with overall open position
- Execute virtual bids and physical schedules in the RTO

Analyst, Commodity Risk Management, (June 2007 – May 2011)

- Responsible for development and maintenance of risk reporting on the FES unregulated business
- Maintain and update the ETRM system with forward price curves, delivery points, transactions and coordinate portfolio modeling with front, mid, and back-office.
- Monitor transaction exposure against Risk Policy limits

Exhibit D-4 “FERC Power Marketer License Number,” provide a statement disclosing the applicant’s FERC Power Marketer License Number. (Power Marketers only).

Energy Harbor LLC, formerly FirstEnergy Solutions Corp., has received FERC authorization to make wholesale sales at market-based rates. *See FirstEnergy Solutions Corp.*, Docket No. ER19-454-000 (Jan. 30, 2019) (unpublished letter order). Energy Harbor LLC will be filing a Notice of Succession with FERC pursuant to 18 C.F.R. § 35.16 to provide notification that it is succeeding to the FirstEnergy Solutions Corp. market-based rate tariff.

This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

3/23/2020 12:45:05 PM

in

Case No(s). 00-1742-EL-CRS

Summary: Application Renewal Application for Retail Generation Providers and Power Marketers of Energy Harbor LLC electronically filed by Mr. Mark T Keaney on behalf of Energy Harbor LLC