



**Robert Dove** 65 East State Street, Suite 1800 Columbus, Ohio 43215 rdove@keglerbrown.com

January 16, 2020

Docketing Division Public Utilities Commission of Ohio 180 E Broad St., 11th Flr. Columbus, OH 43215

Re: Renewal Application of Chrislynn Energy Services, Inc. for Certification as a Competitive Retail Natural Gas Broker Case No. 14-0101-GA-AGG

To Whom It May Concern:

Enclosed please find the renewal application for Chrislynn Energy Services, Inc. in the above captioned matter. Contemporaneous with this filing, Exhibits C-3 (Financial Statements) and C-5 (Forecasted Financial Statements) will be filed under seal pursuant to Ohio Administrative Code 4901:1-27-08(A).

Please contact the undersigned with any questions.

Very truly yours,

Robert Dove Counsel for Chrislynn Energy Services, Inc.

RTD/pie Enclosures **hio** Public Utilities Commission

PUCO USE O	NLY – Version 1.08	
Date Received	<b>Renewal Certification</b>	ORIGINAL AGG
	Number	Case Number
		14 - 0101 - GA-AGG

# **RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS**

Please type or print all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

# SECTION A - APPLICANT INFORMATION AND SERVICES

#### A-1 Applicant intends to renew its certificate as: (check all that apply)

🖌 Retail Natural Gas Aggregator 🛛 🖌 Retail Natural Gas Broker

#### A-2 Applicant information:

Legal Name Address	Chrislynn Energy 3416 Babcock Blv	Services, Inc. vd. Pittsburgh, PA 15	327		
Telephone No.	(888) 431-1553		Web site Ad	ldress	www.chrislynnenergy.com
Current PUCO Ce	rtificate No.	14-343G(3)	Effective Dates	February	16, 2018 through February 16, 2020

#### A-3 Applicant information under which applicant will do business in Ohio:

Name	Chrislynn Energy Services, Inc.		
Address	3416 Babcock Blvd. Pittsburgh, PA 15237		
Web site Address	www.chrislynnenergy.com	Telephone No.	(888) 431-1553

# A-4 List all names under which the applicant does business in North America:

Chrislynn Energy Services, Inc.

#### A-5 Contact person for regulatory or emergency matters:

Name Terry Barchanowicz	Title	Vice President	
Business Address 31932 Pondside Dr.	Avon Lake, OH 44012		
Telephone No. (440) 653-5215	Fax No. (412) 202-0294	Email Address	terry@chrislynnenergy.com

(CRNGS Broker/Aggregator Renewal-Revised May 2016) Page 1 of 7

#### Contact person for Commission Staff use in investigating customer complaints: A-6

	Name		Title	
	Business address			
	Telephone No.	Fax No.	Email Address	
<b>A-</b> 7	Applicant's address a	nd toll-free number for cu	tomer service and complaints	
	Customer service address	3416 Babcock Blvd. Pittsburgh.	PA 15237	

Toll-Free Telephone No.	(888) 431-1553	Fax No. (412) 202-0294	Email Address	mike@chrislynnenergy.com
Tou-race relephone No.		1 uA 110.	Linuit / Kuul 055	

Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio **A-8** Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Terry Barchanowicz		Title Vice president
Business address 31932 Pondside	e Dr. Avon Lake, OH 44012	
Telephone No. (440) 653-5215	Fax No. (412) 202-0294	Email Address terry@chrislynnenergy.com

202151813 Applicant's federal employer identification number A-9

#### A-10 Applicant's form of ownership: (Check one)

Customer service address

Sole Proprietorship	Partnership
Limited Liability Partnership (LLP)	Limited Liability Company (LLC)
Corporation	✓ Other S Corporation

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: residential, small commercial, and/or large commercial/industrial (mercantile) customers. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

Columbia Gas of Ohio	Residential 🖌 Small Commercial 🗍	Large Commercial / Industrial
Dominion East Ohio	Residential Small Commercial	Large Commercial / Industrial
Duke Energy Ohio	Residential 🖌 Small Commercial	Large Commercial / Industrial
Vectren Energy Delivery of Ohio	Residential 🖌 Small Commercial 🛛	Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

		Secure Constant & Store Salarit Americal Social Sciences	manustrick Sectorement descent descent statements
Residential	Beginning Date of Service		End Date
] Small Commercial	Beginning Date of Service	2/17/14	End Date
] Large Commercial	Beginning Date of Service	2/17/14	End Date
Industrial	<b>Beginning Date of Service</b>	2/17/14	End Date
inion East Ohio			
Residential	Beginning Date of Service		End Date
Small Commercial	Beginning Date of Service	2/17/14	End Date
Large Commercial	Beginning Date of Service	2/17/14	End Date
Industrial	Beginning Date of Service	2/17/14	End Date
e Energy Ohio   Residential	Beginning Date of Service		End Date
5.	Beginning Date of Service Beginning Date of Service	2/17/14	End Date End Date
] Residential			
Residential         Small Commercial	Beginning Date of Service	2/17/14	End Date
Residential         Small Commercial         Large Commercial	Beginning Date of Service Beginning Date of Service Beginning Date of Service	2/17/14	End Date End Date
Residential         Small Commercial         Large Commercial         Industrial	Beginning Date of Service Beginning Date of Service Beginning Date of Service	2/17/14	End Date End Date
Residential         Small Commercial         Large Commercial         Industrial         tren Energy Delivery of	Beginning Date of Service Beginning Date of Service Beginning Date of Service of Ohio	2/17/14 2/17/14	End Date End Date End Date

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

Beginning Date of Service 2/17/14

 $\checkmark$ 

Industrial

End Date

Columbia Gas of Ohio	Intended Start Date
Dominion East Ohio	Intended Start Date
Duke Energy Ohio	Intended Start Date
Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 <u>Exhibit A-14 "Principal Officers, Directors & Partners,</u>" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws," provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, only if the contents of the originally filed documents changed since the initial application.
- A-17 Exhibit A-17 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

# SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

#### PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- **B-1** <u>Exhibit B-1 "Jurisdictions of Operation</u>," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- **B-2** Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- **B-3** <u>Exhibit B-3 "Summary of Experience</u>," provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- **B-4** Exhibit B-4 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

**B-5** <u>Exhibit B-5 "Disclosure of Consumer Protection Violations</u>," disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

No No	Yes
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If Yes, provide a separate attachment labeled as <u>Exhibit B-5 "Disclosure of Consumer Protection</u> <u>Violations</u>," detailing such violation(s) and providing all relevant documents.

**B-6** Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

No No	Yes
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If Yes, provide a separate attachment, labeled as <u>Exhibit B-6 "Disclosure of Certification Denial,</u> <u>Curtailment, Suspension, or Revocation,</u>" detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 <u>Exhibit C-1 "Annual Reports</u>," provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 <u>Exhibit C-2 "SEC Filings</u>," provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 <u>Exhibit C-4 "Financial Arrangements,</u>" provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.,).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.

2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).

3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.

4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A "in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements</u>," provide two years of forecasted income statements for the applicant's NATURAL GAS related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 <u>Exhibit C-6 "Credit Rating</u>," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,</u>" provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, **C-8** protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or **C-9** acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

# SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- Exhibit D-1 "Operations," provide a current written description of the operational nature of the **D-1** applicant's business functions.
- Exhibit D-2 "Operations Expertise," given the operational nature of the applicant's business, provide **D-2** evidence of the applicant's current experience and technical expertise in performing such operations.
- Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone **D-3** numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

**Applicant Signature and Title** 

Sworn and subscribed before me this

Mar Mar President nis 9 day of JANNARY Month 2020 Andrew R Kennedy Notry

Year

Signature of official administering oath

My commission expires on

08/20/2023

Commonwealth of Pennsylvania - Notary Seal Andrew R. Kennedy, Notary Public **Butler County** My commission expires August 20, 2023 Commission number 1292693

Member, Pennsylvania Association of Notaries

Competitive Retail Affiday	es Commission of Ohio Natural Gas Service vit Form on 1.07)
In the Matter of the Application of ) for a Certificate or Renewal Certificate to Provide )	Case NoGA-AGG
Competitive Retail Natural Gas Service in Ohio.	
County of Butter State of Pensylunce	1
	[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title	MOL= PRESIDENT
Sworn and subscribed before me this	974 day of SANUARY Month 2020 Year
Signature of Official Administering O	Path Print Name and Title
Commonwealth of Pennsylvania - Notary Seal Andrew R. Kennedy, Notary Public Butler County My commission expires August 20, 2023 Commission number 1292696	My commission expires on 08/20/2023
Member, Pennsylvania Association of Notaries	(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016) Page 7 c

180 East Broad Street • Columbus, OH 43215-3793 • (614) 466-3016 • www.PUCO.ohio.gov The Public Utilities Commission of Ohio is an Equal Opportunity Employer and Service Provider

EXHIBIT A-14 Principal Officers and Directors

# NAME/TITLE

# ADDRESS/TELEPHONE NUMBER

Michael W. Dowling, President & CEO

3416 Babcock Blvd. Pittsburgh, PA 15237 (412) 613-1690

#### EXHIBIT A-15 Company History

Chrislynn Energy Services, Inc. was incorporated in the Commonwealth of Pennsylvania on May 7, 2004. IRS Form 2553 was filed and accepted for treatment as a Subchapter S Corporation. Prior to 2004, the owners of Chrislynn Energy Services, Inc. operated as an unincorporated proprietorship known as Chrislynn Energy Services.

Since 1986, the owners of what is now known as Chrislynn Energy Services, Inc., have been in the business of operating as power brokers to the commercial, mercantile and industrial markets in OH and PA.

# INC. EXHIBIT A-16

# Articles of Incorporation and Bylaws

There have been no changes to the Articles of Incorporation since the filing of the initial application.

# EXHIBIT A-17

# "SECRETARY OF STATE"

See Attached.



DATE: 08/03/2012

201221600044

DOCUMENT ID DESCRIPTION FOREIGN FOR PROFIT CORPORATION -LICENSE (FLF)

FILING 125.00

EXPED 100.00

PENALTY

COPY .00

CERT

00

Receipt

This is not a bill. Please do not remit payment.

**CT CORPORATION SYSTEM** 4400 EASTON COMMONS WAY, STE 125 ATTN: JAMES H TANKS III COLUMBUS, OH 43219

# STATE OF OHIO CERTIFICATE

# **Ohio Secretary of State, Jon Husted**

2125514

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

# CHRISLYNN ENERGY SERVICES, INC.

and, that said business records show the filing and recording of:

Document(s)

#### Document No(s): 201221600044

**FOREIGN FOR PROFIT CORPORATION - LICENSE** Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 1st day of August, A.D. 2012.

for Husted

Ohio Secretary of State

# 

DATE: 08/03/2012 DOCUMENT ID 201221600044 DESCRIPTION FOREIGN FOR PROFIT CORPORATION -LICENSE (FLF) FILING 125.00 EXPED

100.00

PENALTY

COPY .00

CERT

.00

Receipt

This is not a bill. Please do not remit payment.

CT CORPORATION SYSTEM 4400 EASTON COMMONS WAY, STE 125 ATTN: JAMES H TANKS III COLUMBUS, OH 43219

# STATE OF OHIO CERTIFICATE

# Ohio Secretary of State, Jon Husted

2125514

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

# CHRISLYNN ENERGY SERVICES, INC.

and, that said business records show the filing and recording of:

Document(s)

# Document No(s):

 FOREIGN FOR PROFIT CORPORATION - LICENSE
 201221600044

 Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license.
 201221600044



United States of America State of Ohio Office of the Secretary of State Witness my hand and the seal of the Secretary of State at Columbus, Ohio this 1st day of August, A.D. 2012.

for Hasted

Ohio Secretary of State

SECRETADO SA	Form 530A Prescribed Ohio Secretary of State JON HUSTED Ohio Secretary Central Ohio: (614) 466 Toll Free: (877) SOS-FI www.OhioSecretaryofState.go Busserv@OhioSecretaryofState.go	e of State -3910 LE (767-3453) *	Mail this form to one of the follow Regular Filing (non expedite) P.O. Box 670 Columbus, OH 43216 Expedite Filing (Two-business time regulies an additional \$1 P.O. Box 1390 Columbus, OH 43216	day processing
Fo	reign For-Profit (	Corporation Applic Filing Fee: \$125 (151-FLF)	ation for License	
The application is made	to procure a XPerman	ent License 🛛 🗍 Te	mporary License (valid for six	months)
Attach Certificate of Go	od Standing from the ju	risdiction of formation (s	ee instructions)	
N Assumed name under wi	hich the corporation will d	on the Certificate of Good Star	name is not available in Ohio d Name" Form 591)	
Under the Laws of the Ju	insdiction of	Pennsylvania Jurisdiction of Formation		ZNIT AUG - I
Date of Incorporation in a	Jurisdiction of Formation	5/7/2004 Date of Incorporation	(Date must match the date the Certificate of Good Star	provided on provid
The location of the princi	pal office (non-Ohic) is:			.39 
301 Appleridge (	Court			
Mailing Address	<u>}</u>	·····	PA 15044	
Gibsonia City	· · · · · · · · · · · · · · · · · · ·		State ZiP Co	] te
-	ce within Ohio, the locatio	าก is		 
Mailing Address	3	······································		
	· · ·			
City			State ZIP Co	de
A brief summary of the c	orporate purpose(s) to be	exercised within Ohio		
One of the second	were broken to the modentin	l, commercial, mercantile and	industrial markets	

OH033 - 06/112012 Wolters Kluwer Coline

	Appointment of	Agent	
The corp corporati	oration hereby appoints the following as its statutory agen on may be served in Ohio.	t upon whom proce	ss against the
ста	prporation System		
Name			
1300 1	Bast 9th Street		
Mailin	g Address		
Clevel	and	Ohio	44114
City		State	ZIP Code
	If the agent is an Individual and using a P.O. Box, cher Ohio resident. The entity above irrevocably consents to service of process authority of the agent continues, and to service of process A. an agent is not appointed, or B. an agent is appointed but the authority of that C. the agent cannot be found or served after the	s on the agent liste upon the Ohio Sec agent has been re exercise of reason	d above as long as the retary of State if: voked, or able diligence.
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Pursuan applicati he instru	<ul> <li>Ohio resident.</li> <li>The entity above irrevocably consents to service of process authority of the agent continues, and to service of process A. an agent is appointed, or</li> <li>B. an agent is appointed but the authority of that</li> <li>C. the agent cannot be found or served after the entity of the entity of</li></ul>	s on the agent liste upon the Ohio Sec agent has been re exercise of reason is required to pay a r defend a legal act additional fee is no the additional fee is no the additional fee is no provide a certificat ch it should have p o prior to 2009?	d above as long as the retary of State if: voked, or able diligence. an additional \$250 fee if the ion. Please see Ohio Revised C of included. ) fee is included with the transacting business to from the tax commissioner whi

1.1 :1: Michael W. Dowling being first duly sworn, deposes and says that he/she is the 5 Name of Officer Christynn Energy Services, Inc. Vice President and Treasurer - ... Corporation Officer Title the corporation described in the foregoing application, and that the statements contained in said application are true and correct to best of my knowledge and bellet. 1 .**i**. Michael W. Dowling -Name Signatüre. DW 1.1 ..... 'n, 20 Swom before me and subscribed or ł Juan Notary Public NOTARY SEAL COMMONWEALTH OF PERMISTLVANIA Expiration Date of Notary's Commission 19 Notartal Seal Charles W. Kennedy, Notary Public .... 6-10-15 Adams Twp., Butter County | My Commission Copies June 10, 2015 Date . NOTAGEN GER, PERNSYLVANIA ASSOCIATION OF 0 nic<sup>ia</sup> <u>, in</u> ÷, . 1 ч, 41 di. ..... e, 1 <u>.</u> Ĵ. чi ÷., ..... ÷ . ' ٠<u>.</u> . . . . . . . ų, 1 ·:..: in the second 112 . ... ÷. 11 1... ..... 1 14 11 # u juli i 11 R. H ÷ e e ŧ. ÷... .10 I 1 1 ..... ...... io. ë.; . ..... Form 530A والإليان وتقابل Page 3 of 3 Last Revised: 1/0/12 17 -111 1.1 

# COMMONWEALTH OF PENNSYLVANIA

#### DEPARTMENT OF STATE

July 31, 2012

#### TO ALL WHOM THESE PRESENTS SHALL COME, GREETING:

I DO HEREBY CERTIFY, That from an examination of the indices and Records of this Department, it appears that on May 7, 2004 Articles of Incorporation were filed pursuant to the laws of the Commonwealth of Pennsylvania, whereby CHRISLYNN ENERGY SERVICES, INC., is duly incorporated under the laws of the Commonwealth of Pennsylvania and remains subsisting so far as the records of this office show, as of the date herein.

I DO FURTHER CERTIFY, That this shall not imply that all fees, taxes, and penalties owed to the Commonwealth of Pennsylvania are paid.



IN TESTIMONY WHEREOF, I have hereunto set my hand and caused the Seal of the Secretary's Office to be affixed, the day and year above written.

are aile

Secretary of the Commonwealth

Certificate Number: 10476842-1 Verify this certificate online at http://www.corporations.state.pa.us/Corp/SOSKB/verify.esp

# EXHIBIT B-1 Jurisdictions of Operation

Pennsylvania and Ohio

#### EXHIBIT B-2 Natural Gas Experience & Plans:

Chrislynn Energy Services, Inc. provides consulting services to commercial, industrial and governmental mercantile customers pursuant to section 4929.01 of the Ohio Revised Code. Chrislynn Energy Services, Inc.'s services include: review of tariffs and rates; review of utility bills; identifying alternative energy suppliers and services; procuring price quotes from energy suppliers for client review and consideration; and providing advice to clients regarding their energy purchases. Terms and conditions from PUCO Certificated CRNGS suppliers will be presented to clients and agreements will be executed directly between clients and suppliers.

Chrislynn Energy Services, Inc. will not be billing customers directly for its consulting services or paying customer bills in its consultant role. Customers will continue to pay their bills directly to the distribution utility or CRNGS suppliers, as appropriate. Chrislynn Energy Services, Inc. will be compensated for its services through a "price adder," which is added to the customer's Utility bill or Natural Gas supplier bill, as appropriate.

Revenues collected against the price adder will be remitted by the CRNGS supplier to Chrislynn Energy Services, Inc. or from Utility through the CRNGS supplier to Chrislynn Energy Services, Inc.

Chrislynn Energy Services, Inc. will timely respond to customer inquiries and complaints in accordance with the Commission's rules by presenting an apples to apples comparison of supplier offers and terms and conditions. Chrislynn Energy Services, Inc. will educate customers on all components of suppliers' offers and agreements that are applicable to Ohio Revised Code Section 4929.22. If an inquiry or complaint arises, Chrislynn Energy Services, Inc. will promptly work with the customer and the chosen CRNGS supplier to resolve the issue by reference to the detailed pricing offer and section of the CRNGS supplier terms and conditions that addresses the inquiry or complaint. All responses and attempts to resolve the inquiry or complaint will be provided in writing for proper documentation and to ensure that a clear resolution and/or understanding of the matter is provided to the customer. Chrislynn Energy Services, Inc. will only be providing pricing and terms and conditions to its clients from PUCO certificated CR.NGS suppliers that comply with Ohio Revised Code Section 4929.22.

# EXHIBIT B-3

Summary of Experience Summary of Experience: Attached.

#### **B-3 SUMMARY OF EXPERIENCE**

PA NATURAL GAS MARKETS			TS	OH NATURAL GAS MARKETS			
<u>Natural</u>	<u>Gas Utilities</u>	<u>Number of</u> <u>Customers</u>	Total DTH/YR	<u>Natural G</u>	<u>Gas Utilities</u>	<u>Number of</u> <u>Customers</u>	Total DTH/YR
Dominio	on Peoples	155	718,000	Dominio	n East Ohio	19	205,500
Dominio	on Equitable	113	1,525,100	Columbia	a of OH	5	22,150
Columb	ia of PA	57	275,000	Vectren		2	3,950
UGI		4	13,000	Duke		1	424
Nationa	l Fuel	10	27,000				
	TOTAL	339	2,558,100		TOTAL	27	232,024

#### EXHIBIT B-4 Disclosure of Liabilities and Investigations

None.

·..,

EXHIBIT B-5 Disclosure of Consumer Protection Violations

None.

#### **EXHIBIT B-6**

# Disclosure of Certification Denial, Curtailment, Suspension, or Revocation

Applicant has not had any certification, license, or application to provide retail natural gas or wholesale electric service denied, curtailed, suspended, or revoked since the filing of its last application.

#### EXHIBIT C-1 Annual Reports (or similar information)

Chrislynn Energy Services, Inc. is a S Corporation with one shareholder. Annual Reports are not prepared for the corporation, as the accountants only provide internal use only statements. No opinion is issued and the accountants do not prepare reviewed or compiled statements. The internal statements are then used to prepare the Corporate tax return (Form 1 120S).

#### EXHIBIT C-2 SEC Filings

Not applicable. Chrislynn Energy Services, Inc. is a Subchapter S Corporation.

#### EXHIBIT C-3

#### **Financial Statements**

The documentation submitted in connection with this Exhibit will be filed under seal, pursuant to Ohio Admin. 4901: 1-27-08(A).

EXHIBIT C-4 Financial Arrangements

N/A

#### EXHIBIT C-5

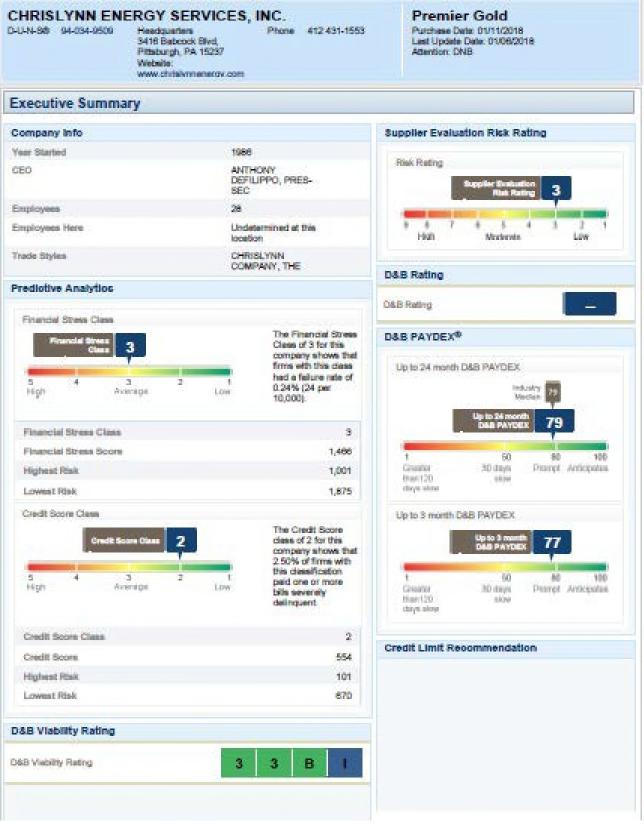
#### Forecasted Financial Statements

The documentation submitted in connection with this Exhibit will be filed under seal, pursuant to Ohio Admin. 4901:1-27-08(A).

# EXHIBIT C-6 Credit Rating:

Attached

#### C-6 CREDIT RATING



# EXHIBIT C-7

# CREDIT REPORT

Attached

D-U-N-98 94-034-0500 Hear 3416 Pittal Web	Y SERVICES, INC. sponten Phone 412 431-155 Babcock Blvd, burgh, PA 15237 ethe christemenergy com	Premier Gold Putchese Date: 01/11/2018 Let Update Date: 01/06/2018 Attention: DNB
Executive Summary		
Company Info		Supplier Evaluation Rick Rating
Year Started	1966	
GEO	ANTHONY DEFILIPPO, PRES- SEC	Rink Rating Ruppler Enduation Rink Rating 3
Employees	28	
Employees Here	Undetermined at this location	9 8 7 8 5 4 3 2 1 Huli Mexberde Law
Trade Styles	CHRISLYNN COMPANY, THE	
Predictive Analytics	same daily rose	D&B Rating
Frediouve Andryuos		D&B Reting
Financial Stress Class Financial Stress Class State Stress	The Financial Stress Class of 3 for this company shows that firms with this class had a failure rate of 0.24% (24 per 10,000).	D&B PAYDEX® Up to 24 month D&B PAYDEX Industry 77
Financial Stress Class	3	Up to 24 month 79
Financial Stress Score	1,466	1 50 80 100
Highest Risk	1,001	Greatur 30 days Prompt Articipatas Insert20 situe
Lowest Risk	1,875	clarys alove
Credit Score Class		Up to 3 month D&B PAYDEX
Credit Score Olan 5 4 3 High Aveninge	2 2 2 2 2 1 1 2 1 1 2 1 1 2 2 1 1 2 2 1 1 2 2 3 3 4 2 5 2 5 2 5 2 5 2 5 5 4 5 5 5 5 5 5 5 5 5 5 5 5 5	Up to 3 meetin DAB PAYODIX 77 1 (4) 60 100 Greater 3D days Harr120 skow drys alog
Credit Score Class	2	Credit Limit Recommendation
Credit Score	554	Grout Link Roommondation
Highest Risk	101	
Lowent Risk	670	
D&B Viability Rating		
D&B Viebility Reting	3 3 B I	

# C 7 CDEDIT DEDODT

EXHIBIT C-8 Bankruptcy Information:

None.

# EXHIBIT C-9 Merger Information:

Chrislynn. Energy Services, Inc. has never been a party to a merger filing.

#### EXHIBIT C-10 Corporate Structure

Chrislynn Energy Services, Inc. was incorporated on May 7, 2004 as a Subchapter S Corporation under the laws of the Commonwealth of Pennsylvania. Chrislynn Energy Services, Inc. has no affiliates or subsidiaries and is good standing.

#### EXHIBIT D-1 Operations

Chrislynn Energy Services, lnc. is an energy management and consulting company that provides energy consulting services to commercial, industrial and governmental customers. Chrislynn Energy Services, lnc.'s services include: review of tariffs and rates; review of utility bills; identifying alternative energy suppliers and services; procuring price quotes from energy suppliers for client review and consideration; and providing advice to clients regarding their energy purchases and needs

#### EXHIBIT D-2 Operational Expertise

Chrislynn Energy Services, Inc. has been incorporated since 2007 and has been consulting and acting as a broker of natural gas in the Commonwealth of Pennsylvania since 1986. We are Energy Management Consultants that assist the end users in the purchasing and management of their natural gas supply. Our staff includes key personnel (see Exhibit D-3) that have worked on both the regulated and deregulated side of the business. Our experience includes, natural gas marketing, transmission and exchange operations, day trading and fuel management. They are experts in commodity supply, consulting, product knowledge and suppliers terms. Through operational excellence and sound advice to our clients we have been able to retain over 90+% year of year.

#### Exhibit D-3 "Key Technical Personnel"

#### • *Michael Dowling*, President & CEO. Email: <u>mike@chris</u>lvnnenergy.com. Phone 724-625-5575. Fax 724-625-5574.

Owner of Chrislynn Energy Services, Inc. which provides Commodity Management Services since 1996. Background includes the following Consulting experience with unregulated marketing companies:

- Interstate Gas Marketing. Small privately held Natural Gas Producer 1996-1997
- Enerval, LLC. Unregulated Marketing partnership of Northern States Power and Atlantic Electric 1998-1999
- CMS Energy/CMSMST unregulated marketing ann of Consumer Power 1999-2002
- Chrislynn Energy 2002-2006. Private Consulting Company
- Chrislynn Energy Service, Inc. Partner and Owner of Chrislynn Energy Services, Inc. privately held Consulting Company 2007-Present.

#### • <u>Anthony DeFiligpo</u>, President and Secretary. Email: <u>tony@chrisly</u>nnenergy.com. Phone 412-431-1553. Fax 412-431-1553.

Previous Co-Owner of Chrislynn Energy Services, Inc. providing Commodity Management services since 1986. Co-Owner until 2017. Professional Background:

- Redevelopment Authority of Allegheny County 1975-1986. Assisted Residents and Developers to receive funds from incentive programs.
- HUD 1984-1986. Implemented Housing Initiative Funding and Tax Credit Programs
- The Chrislynn Company 1986 to present. Real Estate Consulting and Investment Property.
  - <u>Keith M. Malinoski</u>, VP Business Development. Email: keith@chrislynnenergy.com Phone: 412-999-2641. Fax; 412-202-0294

Over 30 years of knowledge and experience in the energy industry. Broad experience includes both natural gas and electric utility marketing, wholesale and retail commodity sales and energy procurement consulting. His experience includes, natural gas marketing, transmission and exchange operations, day trading and fuel management for electric generation facilities. Keith received a BS in Mechanical Engineering from the University of Pittsburgh, is a registered Professional Engineer in Pennsylvania and also obtained an MBA in Marketing from the Katz Graduate School of Business Keith worked for the regulated and unregulated business units of Consolidated Natural Gas (Peoples Natural Gas and CNG Energy Services). He also worked for several gas marketing companies (CMS Energy, Aquila, and Direct Energy) and energy consulting companies.

(Usource and Energy Management Resources). His experience includes, natural gas marketing, supply sales, operations, day trading and fuel management for electric generation facilities.

• *Terry Barchanowicz. CEP. Vice President*. Email: <u>terry@chris</u>lvnnenergy.com. Phone: 440-653-5215. Fax: 412-202-0294

More than 17 years of energy experience on the supplier side of the business. Prior to joining Chrislynn Energy Services, was Director of Energy Sales for FirstEnergy Solutions. Throughout those 17 years with FES sold natural gas and electricity to LCI clients. Team of 40 account executives and 5 sales managers worked with clients of all sizes to provide energy commodity and other value added services. Consulted clients on market changes, market timing, product offerings and recommendations based upon their needs and risk tolerances. Undergraduate degree in Marketing from The Ohio State University and in May 2010, received MBA from Baldwin Wallace University. This foregoing document was electronically filed with the Public Utilities

Commission of Ohio Docketing Information System on

1/16/2020 1:20:41 PM

in

Case No(s). 14-0101-GA-AGG

Summary: Text Renewal Application for Competitive Retail Natural Gas Aggregator and Broker Certificate electronically filed by Mr. Robert Dove on behalf of Chrislynn Energy Services, Inc.