FIL

hio Public Utilities Commission

Original AGG Case Number	Version
17 - 2457 -EL-AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

	Total Total May also do militare to total, by saving it to your focus also, is		4501	
1 .	RENEWAL INFORMATION			
\-1	Applicant intends to be certified as: (check all that apply)			
	Power Broker Aggregator			
\-2	Applicant's legal name, address, telephone number, PUCO certificate n	umber,	and	
	web site address			
	Legal Name Blitz Ventures, Inc.			
	Address 1601 Bryan Street 9th Floor Dallas, TX 75201			
	PUCO Certificate # and Date Certified 18-1282E January 4, 2018			
	Telephone # (424) 372-1995 Web site address (if any) www.energybot.com			
4-3	List name, address, telephone number and web site address under whic will do business in Ohio	h Appli	icant	
	Legal Name Blitz Ventures, Inc. dba EnergyBot			
	Address 1601 Bryan Street 9th Floor Dallas, TX 75201			
	Telephone # (424) 372-1995 Web site address (if any) www.energybot.com			
A-4	List all names under which the applicant does business in North America Blitz Ventures, Inc.	l		
	EnergyBot			
4 -5	Contact person for regulatory or emergency matters Name <u>Daniel Schilens</u> Title <u>Head of Commercial</u>	PUCO	2019 DEC -3	
	Business address 1601 Bryan Street 9th Floor Dallas, TX 75201		<u> </u>	
	Telephone # (424) 372-1995 Fax # (972) 556-6119	\bigcirc	FH 12:	٠.
	E-mail address dan.schilens@energybot.com		12	٠
			_	•
	This is to certify that the images appeaded and complete reproduction of a document delivered in the regular course mechnician Data Processed	of pur	inass.	

A-6	A-6 Contact person for Commission Staff use in investigating customer compla					
	Name Daniel Schilens					
	Title COO - Head of Commercial					
	Business address 1601 Bryan Street 9th Floor Dallas, TX 75201					
	Telephone # (424) 372-1995	Fax # (972) 556-6119				
	E-mail address dan.schilens@energybo	et.com				
A-7	Applicant's address and toll-free number	er for customer service and complaints				
Customer Service address 1601 Bryan Street 9th Floor Dallas, TX 75201						
	Toll-free Telephone #_(888) 743-1870	Fax # (972) 556-6119				
	E-mail address support@energy.bot					
A-8	Applicant's federal employer identification number # 36-4885650					
A-9	A-9 Applicant's form of ownership (check one)					
	☐Sole Proprietorship	□Partnership				
	Limited Liability Partnership (LLP)	Limited Liability Company (LLC)				
	☐ Corporation	Other				
	PROVIDE THE FOLLOWING AS SEPARATI	E ATTACHMENTS AND LABEL AS INDICATED:				
A-10	Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, tit addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.					

B. <u>APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

 □ No □ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑No ☐Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guaranter company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted income statements for the applicant's ELECTRIC related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C 10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Seth Rasmussen

Corporate Secretary

Signature of Applicant & Title

Sworn and subscribed before me this 200 day of December, 2019

Month

Year

Signature of official administering path

Print Name and Title

LLEN MINECOBY

ALLEN MIHECOBY Notary ID # 10274749 My Commission Expires January 19, 2020

My commission expires on JANUARY 19, 2020

<u>AFFIDAVIT</u>

		CTOWER) SS.		
County of DAU	<u>/6</u> _:	(Town)		
Seth Rasm	⊌SSe_ , Affiant, being duly	sworn/affirmed acc	cording to law, depose	es and says that:
He/She is the	Corak Secretary (Office of	Affiant) of Blaz	Ventures, Inc.	(Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

State of TEXAS __:

- The Applicant herein, attests under penalty of false statement that all statements made in the
 application for certification renewal are true and complete and that it will amend its application while
 the application is pending if any substantial changes occur regarding the information provided in the
 application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Exhibit A -10 "Principal Officers, Directors & Partners"

Curtis A. Morgan
Director, Blitz Ventures, Inc.

James A. Burke Director, Blitz Ventures, Inc.

Joe Takai Director, Blitz Ventures, Inc.

Seth Rasmussen Corporate Secretary, Blitz Ventures, Inc.

Robert Anderson Treasurer, Blitz Ventures, Inc.

Note: In July 2019, Paul Rogers resigned as President, Chief Executive Officer, and director of the Board of Directors (the "Board") of the Applicant. While the CEO search is ongoing, the Board has delegated interim authority to the current officers of the Applicant (namely, Seth Rasmussen and Robert Anderson, as listed above) to fulfill the roles and responsibilities of CEO until a successor CEO is identified, duly qualified and elected. As of the date of this Renewal Application, no replacement President/CEO has been elected to fill this yacancy.

Exhibit B-1 "Jurisdictions of Operation

The Applicant is certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services in Illinois, Ohio, New Hampshire, Pennsylvania, New Jersey, New York, Maryland, Massachusetts, Connecticut, Rhode Island, and Maine

Exhibit B-2 Experience & Plans

The principal employees of Applicant has 15+ years of experience in the retail electricity industry, and is well versed in the contracted services of electricity and customer service. The Applicant will act in compliance with Section 4928.10 of the Revised Code with respect to the minimum service requirements for competitive services. The Applicant provides a 1-800 toll free number to answer any customer questions, requests, and complaints, and the website will include a help desk support function to answer questions. Additionally, electricity procurement through the Applicant will include full disclosure of contract terms by highlighting and explaining the key features of the contract before a customer signs the contract.

Exhibit B-3 Disclosure of Liabilities and Investigations

N/A

Exhibit B-4 Disclosure of Consumer Protection Violations

N/A

Exhibit B-5 Disclosure of Certification Denial, Curtailment, Suspension, or Revocation

N/A

Docket: 17-2457-EL-AGG

Exhibit C-1 Annual Reports

N/A, as the Applicant is not required to publicize annual reports and has not done so since its formation (as a Delaware limited liability company) in Nov. 2017 (and subsequent conversion to a Delaware corporation in May 2018).

Exhibit C-2 SEC Filings

The SEC filing of Blitz's ultimate corporate parent (Vistra Energy Corp.) are available on the SEC's EDGAR filing system, with the direct link listed here:

https://www.sec.gov/cgi-bin/browse-edgar?action=getcompany&CIK=0001692819&owner=exclude&count=40&hidefilings=0

Docket: 17-2457-EL-AGG

Exhibit C-4 Financial Arrangements

N/A

Exhibit C-6 Credit Rating

N/A

Exhibit C-7 Credit Report

N/A

Exhibit C-8 Bankruptcy Information

N/A

Exhibit C-9 Merger Information

N/A

Docket: 17-2457-EL-AGG

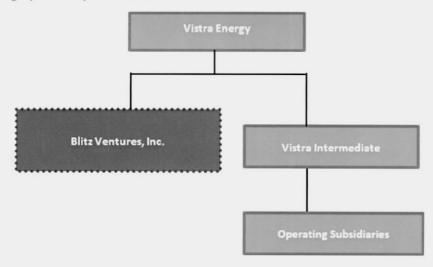
Exhibit C-10 Corporate Structure

List of Applicant's Affiliates and Subsidiaries that supply retail or wholesale electricity or natural gas to customers in North America:

- Ambit California, LLC
- Ambit Illinois, LLC
- Ambit Marketing, LLC
- Ambit Midwest, LLC
- Ambit New York, LLC
- Ambit Northeast, LLC
- Ambit Ohio, LLC
- Ambit Southeast, LLC
- Ambit Southwest, LLC
- Ambit Texas, LLC
- · Big Sky Gas, LLC
- Connecticut Gas & Electric, Inc.
- Crius Energy, LLC
- · Crius Solar, LLC
- Crius Energy Management, LLC
- Crius Energy Management 2, LLC
- Everyday Energy, LLC
- Energy Solutions Group, LLC
- Everyday Energy NJ, LLC
- Public Power & Utility of NY, Inc.
- Cincinnati Bell Energy, LLC
- Energy Rewards, LLC

- Energy Services Providers, Inc.
- Dynegy Commercial Asset Management, LLC
- Dynegy Energy Services, LLC
- Dynegy Energy Services (East), LLC
- Dynegy Marketing and Trade, LLC
- Dynegy Power Marketing, LLC
- Illinois Power Marketing Company
- Luminant Energy Company LLC
- Massachusetts Gas & Electric, Inc.
- · People's Choice Energy, LLC
- Public Power, LLC (Connecticut)
- Public Power, LLC (Pennsylvania)
- · Public Power & Utility of Maryland, LLC
- TriEagle Energy, LP
- TXU Energy Retail Company
- U.S. Gas & Electric, Inc.
- USG&E Solar, Inc.
- Value Based Brands LLC
- Verengo, Inc.
- Viridian Energy, LLC
- Viridian Energy NY, LLC
- Viridian Energy PA, LLC

A graphical depiction of this structure is reflected below:



Docket: 17-2457-EL-AGG