



PUCO USE ONLY		
Date Received	Case Number	Version
	- EL-AGG	May 2016

INITIAL CERTIFICATION APPLICATION FOR ELECTRIC AGGREGATORS/ POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-12 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form.
You may also download the form, by saving it to your local disk, for later use.

A. APPLICANT INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

A-2 Applicant's legal name, address, telephone number and web site address

Legal Name Genaset Energy, Inc.
Address 2236 Wimberly Way; Carrollton, TX 75010
Telephone # (972) 897-0421 Web site address (if any) www.genasetenergy.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Genaset Energy, Inc.
Address 2236 Wimberly Way; Carrollton, TX 75010
Telephone # (972) 897-0421 Web site address (if any) www.genasetenergy.com

A-4 List all names under which the applicant does business in North America

Genaset Energy, Inc.

A-5 Contact person for regulatory or emergency matters

Name Donald Kelly
Title President

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician mm Date Processed 10/28/19

Business address 2236 Wimberly Way; Carrollton, TX 75010
Telephone # (972) 897-0421 Fax # (972) 478-0032
E-mail address dkelly@genasetenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Donald Kelly
Title President
Business address 2236 Wimberly Way; Carrollton, TX 75010
Telephone # (972) 897-0421 Fax # (972) 478-0032
E-mail address dkelly@genasetenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 2236 Wimberly Way; Carrollton, TX 75010
Toll-free Telephone # _____ Fax # (972) 478-0032
E-mail address administration@genasetenergy.com

A-8 Applicant's federal employer identification number # 454903358

A-9 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

A-10 (Check all that apply) Identify each electric distribution utility certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

- | | | | | |
|---|--------------------------------------|-------------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> First Energy | | | | |
| <input checked="" type="checkbox"/> Ohio Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Toledo Edison | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Cleveland Electric Illuminating | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Duke Energy | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Monongahela Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> American Electric Power | | | | |
| <input type="checkbox"/> Ohio Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input type="checkbox"/> Columbus Southern Power | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> Dayton Power and Light | <input type="checkbox"/> Residential | <input type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

- A-11** Provide the approximate start date that the applicant proposes to begin delivering services
December 1, 2019

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-12** **Exhibit A-12 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the Applicant is incorporated and any amendments thereto.
- A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☐ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

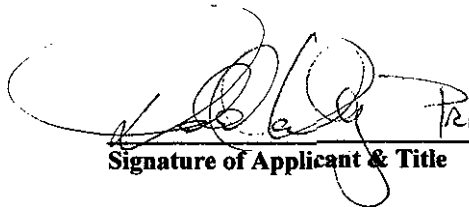
First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant’s **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

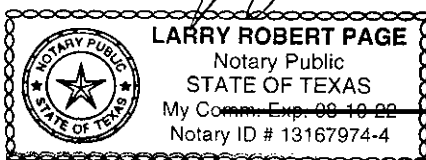
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

 PRESIDENT
Signature of Applicant & Title

Sworn and subscribed before me this 25th day of October, 2019


Signature of official administering oath

Month Year
Larry Robert Page Notary Public
Print Name and Title



My commission expires on 8-10-2022

AFFIDAVIT

State of Texas :

Plano ss.
(Town)

County of Collin :

Donald Kelly, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Genaset Energy, Inc (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

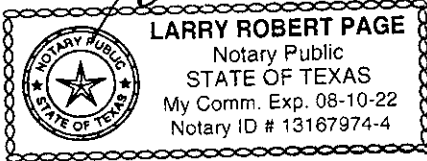
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.


Signature of Affiant & Title PRESIDENT

Sworn and subscribed before me this 25th day of October, 2019
Month Year


Signature of official administering oath

Larry Robert Page Notary Public
Print Name and Title



My commission expires on 8-10-2022

Exhibit A-12

Principal Officers, Directors & Partners

Donald Kelly
President
2236 Wimberly Way
Carrollton, Tx 75010
972-897-0421
100% ownership

Exhibit A-13

Company History

The company was established as a For-Profit Texas corporation on 3/28/2012 as Sylvan Assets, Inc.(file#801573294). It remained as a shell corporation with no activity and filed for and was granted a name change on 8/26/2015 to Genaset Energy, Inc. It became operational on January 1, 2016, when ownership was acquired by Donald Kelly from Shaun O'Neal.

The company began field operations in February, 2016 with delivering services to its first clients. Over the next 7 months, it grew its presence in both Dallas and Houston Marketplaces and open a field office at:

- 15150 Preston Rd
Suite 300
Dallas, TX 75248

It currently has 5 Brokers working for it and has over 52,000,000 kWh annual and 120,000,000 KWH term under contract. Genaset has contractual arrangements to sell products with 14 Retail Electric Providers, 8 of which are licensed to do business in the state of Ohio.

As our business base is growing in the State of Texas, we have had request from our clients to *service their needs in other states.*

Exhibit A-14

Articles of Incorporation and Bylaws

The following are the documents for the formation of the Corporation on file with the Texas Secretary of State:

- Certificate of Good Standing
- Certificate of Formation
- Certificate of Amendment
- Change of Registered Agent

Exhibit A-14
Articles of Incorporation and Bylaws


Form 401 Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709 Filing Fee: See Instructions	 Statement of Change of Registered Office/Agent	Filed in the Office of the Secretary of State of Texas Filing #: 801573294 11/07/2016 Document #: 698023960004 Image Generated Electronically for Web Filing
Entity Information		
The name of the entity is : Genaset Energy, Inc.		
The file number issued to the entity by the secretary of state is: 801573294		
The registered agent and registered office of the entity as currently shown on the records of the secretary of state are: Shaun O'Neal 5925 Tiburon Dr, Plano, TX, USA 75093		
Change to Registered Agent/Registered Office		
The following changes are made to the registered agent and/or office information of the named entity:		
Registered Agent Change		
<input type="checkbox"/> A. The new registered agent is an organization by the name of:		
OR		
<input checked="" type="checkbox"/> B. The new registered agent is an individual resident of the state whose name is: Donald J Kelly		
Registered Office Change		
<input checked="" type="checkbox"/> C. The business address of the registered agent and the registered office address is changed to: 15150 Preston Rd, Suite 300, Dallas, TX, USA 750248		
The street address of the registered office as stated in this instrument is the same as the registered agent's business address.		
Consent of Registered Agent		
<input type="checkbox"/> A. A copy of the consent of registered agent is attached.		
<input checked="" type="checkbox"/> B. The consent of the registered agent is maintained by the entity.		
Statement of Approval		
The change specified in this statement has been authorized by the entity in the manner required by the BOC or in the manner required by the law governing the filing entity, as applicable.		
Effectiveness of Filing		
<input checked="" type="checkbox"/> A. This document becomes effective when the document is filed by the secretary of state.		
<input type="checkbox"/> B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is:		
Execution		
The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument.		
Date: November 7, 2016		Donald Kelly

Exhibit A-14
Articles of Incorporation and Bylaws

Signature of authorized person(s)

FILING OFFICE COPY

Exhibit A-14
Articles of Incorporation and Bylaws


Form 201 Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709 Filing Fee: \$300	 Certificate of Formation For-Profit Corporation	Filed in the Office of the Secretary of State of Texas Filing #: 801573294 03/28/2012 Document #: 414847480002 Image Generated Electronically for Web Filing	
Article 1 - Entity Name and Type			
The filing entity being formed is a for-profit corporation. The name of the entity is:			
Sylvan Assets, Inc.			
<small>The name must contain the word "corporation," "company," "incorporated," "limited," or an abbreviation of one of these terms. The name must not be the same as, deceptively similar to or similar to that of an existing corporate, limited liability company, or limited partnership name on file with the secretary of state. A preliminary check for "name availability" is recommended.</small>			
Article 2 - Registered Agent and Registered Office			
<input type="checkbox"/> A. The initial registered agent is an organization (cannot be corporation named above) by the name of:			
OR			
<input checked="" type="checkbox"/> B. The initial registered agent is an individual resident of the state whose name is set forth below:			
Name:			
Shaun O'Neal			
C. The business address of the registered agent and the registered office address is:			
Street Address:			
5925 Tiburon Dr Plano TX 75093			
Consent of Registered Agent			
<input type="checkbox"/> A. A copy of the consent of registered agent is attached.			
OR			
<input checked="" type="checkbox"/> B. The consent of the registered agent is maintained by the entity.			
Article 3 - Directors			
The number of directors constituting the initial board of directors and the names and addresses of the person or persons who are to serve as directors until the first annual meeting of shareholders or until their successors are elected and qualified are set forth below:			
Director 1: Shaun O'Neal			
Address: 5925 Tiburon Dr Plano TX, USA 75093			
Article 4 - Authorized Shares			
The total number of shares the corporation is authorized to issue and the par value of each of such shares, or a statement that such shares are without par value, is set forth below.			
Number of Shares	Par Value (must choose and complete either A or B)	Class	Series
100,000	<input type="checkbox"/> A. has a par value of \$ <input checked="" type="checkbox"/> B. without par value.		
<small>If the shares are to be divided into classes, you must set forth the designation of each class, the number of shares of each class, and the par value (or statement of no par value), of each class. If shares of a class are to be issued in series, you must provide the designation of each series. The preferences, limitations, and relative rights of each class or series must be stated in space provided for supplemental information.</small>			
Article 5 - Purpose			
The purpose for which the corporation is organized is for the transaction of any and all lawful business for which corporations may be organized under the Texas Business Organizations Code.			
Supplemental Provisions / Information			
[The attached addendum, if any, is incorporated herein by reference.]			

Exhibit A-14
Articles of Incorporation and Bylaws

Effectiveness of Filing
<input checked="" type="checkbox"/> A. This document becomes effective when the document is filed by the secretary of state. <p style="text-align: center;">OR</p> <input type="checkbox"/> B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its signing. The delayed effective date is:
Organizer
The name and address of the organizer is set forth below. Shaun O'Neal 5925 Tiburon Dr, Plano, TX 75093
Execution
The undersigned affirms that the person designated as registered agent has consented to the appointment. The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument and certifies under penalty of perjury that the undersigned is authorized under the provisions of law governing the entity to execute the filing instrument.
<u>Shaun O'Neal</u> Signature of organizer

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Exhibit A-14
Articles of Incorporation and Bylaws


Form 401 Secretary of State P.O. Box 13697 Austin, TX 78711-3697 FAX: 512/463-5709 Filing Fee: See Instructions	 Statement of Change of Registered Office/Agent	Filed in the Office of the Secretary of State of Texas Filing #: 801573294 11/07/2016 Document #: 698023960004 Image Generated Electronically for Web Filing
Entity Information		
The name of the entity is : Genaset Energy, Inc.		
The file number issued to the entity by the secretary of state is: 801573294		
The registered agent and registered office of the entity as currently shown on the records of the secretary of state are: Shaun O'Neal 5925 Tiburon Dr, Plano, TX, USA 75093		
Change to Registered Agent/Registered Office		
The following changes are made to the registered agent and/or office information of the named entity:		
Registered Agent Change		
<input type="checkbox"/> A. The new registered agent is an organization by the name of:		
OR		
<input checked="" type="checkbox"/> B. The new registered agent is an individual resident of the state whose name is: Donald J Kelly		
Registered Office Change		
<input checked="" type="checkbox"/> C. The business address of the registered agent and the registered office address is changed to: 15150 Preston Rd, Suite 300, Dallas, TX, USA 750248		
The street address of the registered office as stated in this instrument is the same as the registered agent's business address.		
Consent of Registered Agent		
<input type="checkbox"/> A. A copy of the consent of registered agent is attached.		
<input checked="" type="checkbox"/> B. The consent of the registered agent is maintained by the entity.		
Statement of Approval		
The change specified in this statement has been authorized by the entity in the manner required by the BOC or in the manner required by the law governing the filing entity, as applicable.		
Effectiveness of Filing		
<input checked="" type="checkbox"/> A. This document becomes effective when the document is filed by the secretary of state.		
<input type="checkbox"/> B. This document becomes effective at a later date, which is not more than ninety (90) days from the date of its filing by the secretary of state. The delayed effective date is:		
Execution		
The undersigned signs this document subject to the penalties imposed by law for the submission of a materially false or fraudulent instrument.		
Date: November 7, 2016		Donald Kelly

Exhibit A-14
Articles of Incorporation and Bylaws

Signature of authorized person(s)

FILING OFFICE COPY

Exhibit A-14
Articles of Incorporation and Bylaws



GLENN HEGAR TEXAS COMPTROLLER OF PUBLIC ACCOUNTS

THE STATE OF TEXAS

§


COUNTY OF TRAVIS

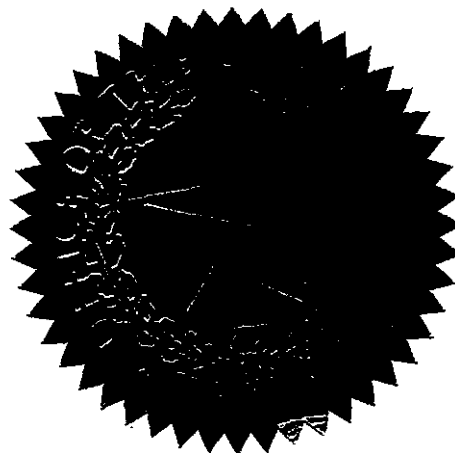
§

I, Mark D. Beasley, of the Open Records Section of the Comptroller of Public Accounts of the State of Texas, DO HEREBY CERTIFY AND ATTEST, that I am a custodian of franchise tax records and files, that according to the records of this office, Genaset Energy, Inc., taxpayer number 3-20474-8327-9, right to transact business in Texas is Active as of August 14, 2019.

I FURTHER CERTIFY these records consists of official records or reports or entries therein, or documents authorized by law to be recorded or filed, and actually recorded or filed, in a public office, including data compilations, in any form, certified as correct by the custodian or other person authorized to make the certification.

IN TESTIMONY WHEREBY, I have hereunto signed my name officially and caused to be impressed on this 14th day of August 2019 A.D.


Mark D. Beasley, Custodian of Records
Open Records
Comptroller of Public Accounts



MDB:krd

Exhibit A-15

Secretary of State



DATE	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
10/25/2016	201629303924	FOREIGN FOR PROFIT CORPORATION - LICENSE (FLF)	98.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

ALL BUSINESS DOCUMENTS, INC.
2520 GOUGH
SAN FRANCISCO, CA 94123-5013

STATE OF OHIO CERTIFICATE

Ohio Secretary of State, Jon Husted
3952783

It is hereby certified that the Secretary of State of Ohio has custody of the business records for
GENASET ENERGY, INC.

and, that said business records show the filing and recording of:

Document(s)

FOREIGN FOR PROFIT CORPORATION - LICENSE
Effective Date: 10/21/2016

Document No(s):
201629303924

Authorization to transact business in Ohio is hereby given, until surrender, expiration or cancellation of this license



United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
25th day of October, A.D. 2016.

Jon Husted
Ohio Secretary of State

Exhibit B-1

Jurisdictions of Operations

Genaset Energy currently only operates in the State of Texas

Exhibit B-2

Experience and Plans

As mentioned in A-13, I currently have 5 brokers working for me in the Texas marketplace. These people represent over 50 years of sales and customer service in the deregulated market of Texas. I personally have been involved in the utility and energy sectors for over 30 years. I have professional experience in Power Generation and Transmission. I have also been heavily involved in the exploration and sale of fossil fuels. I started my deregulated sales experience with Reliant and worked for Hudson Energy before forming my brokerage in 2016.

Genaset Energy provides formal in-house training for all new brokers, which includes a thorough review of PUC policies. They also provide a quality review and verification of all contracts submitted by brokers. We expect that we will open an office in Ohio by the 1st quarter 2020 and grow our state presence to over 10 brokers by 12/31/2020.

Genaset prides itself on excellent customer service. We have over 90% retention and renewal rates. We train our brokers in the requirements of the state PUC and review every contract that is submitted to a REP. Our complaint history is as follows:

- i. Genaset Energy or its principals have no complaint history with the Texas PUC or any other Public Service Commissions in other states
- ii. Genaset Energy or its principals have no complaint history with the Texas Secretary of State, Texas Comptroller's Office, Office of the Texas Attorney General, and the Attorney General in other states where Genaset Energy is currently doing business or might do business.

Exhibit B-3

Summary of Experience

Genaset Energy will not be providing aggregation Services

Exhibit B-4

Disclosure of Liabilities and Investigations

Genaset Energy has no convictions or liability findings of fraud, theft or larceny, deceit, or violations of any customer protection or deceptive trade laws in any state against either company or its principals. Genaset Energy is not currently under criminal or civil investigation, either in Texas or in any other state or jurisdiction for violation of any customer protection law or regulation.

Exhibit C-1
Annual Reports

Genaset Energy, Inc. is not a public Company and we do not publish financial or annual reports

Exhibit C-2

SEC Filings

Genaset Energy is not a Public Company

Exhibit C-4

Financial Arrangements

Genaset Energy does not take any payments for aggregation services in advance of providing these services. A typical Broker/Aggregator agreement between us and the REP will define our fees and be paid by REP. We will not be charging any additional fees for Brokerage service.

Exhibit C- 6
Credit Ratings

N/A

Exhibit C-8

Bankruptcy Information

Genaset Energy has never had a bankruptcy, reorganization or has it filed for protection from its creditors since its formation in 2016

Exhibit C-9

Merger Information

Genaset Energy has never had a merger or acquisition since its formation in 2016

Exhibit C-10

Corporate Structure

Genaset Energy is a Stand-alone entity with no affiliates or subsidiary companies