



**Public Utilities  
Commission**

PUCO USE ONLY		
Date Received	Case Number	Version
	19-1562-EL-CRS	May 2016

## INITIAL CERTIFICATION APPLICATION FOR RETAIL GENERATION PROVIDERS AND POWER MARKETERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit A-12 Company History). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

**This PDF form is designed so that you may input information directly onto the form.  
You may also download the form, by saving it to your local disk, for later use.**

### A APPLICANT INFORMATION

#### A-1 Applicant intends to be renewed as: (check all that apply)

- |   |  |
|---|--|
| <input type="checkbox"/> Retail Generation Provider | <input checked="" type="checkbox"/> Power Broker |
| <input checked="" type="checkbox"/> Power Marketer  | <input checked="" type="checkbox"/> Aggregator   |

#### A-2 Applicant's legal name, address, telephone number, and web site address

Legal Name Bolt Energy, LLC  
Address 75 Lake Road, Suite 215, Congers, New York 10920  
Telephone # (845) 747-0777 Web site address (if any) http://www.boltenergyservices.com

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio:

Legal Name Bolt Energy, LLC  
Address 75 Lake Road, Suite 215, Congers, New York 10920  
Telephone # (845) 747-0777 Web site address (if any) http://www.boltenergyservices.com

#### A-4 List all names under which the applicant does business in North America:

<u>Bolt Energy, LLC</u>	<u></u>
<u></u>	<u></u>
<u></u>	<u></u>

**A-5 Contact person for regulatory or emergency matters:**

Name: Elie Klein  
Title: President  
Business address: 75 Lake Road, Suite 215, Congers, New York 10920  
Telephone # (845) 747-0777 Fax # (888) 402-6832  
E-mail address (if any): [eliek@boltenergyservices.com](mailto:eliek@boltenergyservices.com)

**A-6 Contact person for Commission Staff use in investigating customer complaints:**

Name: Marc Epstein  
Title: Compliance Manager  
Business address: 75 Lake Road, Suite 215, Congers, New York 10920  
Telephone # (845) 747-0777 Fax # (888) 402-6832  
E-mail address (if any): [customerservice@boltenergyservices.com](mailto:customerservice@boltenergyservices.com)

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer service address 75 Lake Road, Suite 215, Congers, New York 10920  
**Toll-Free** Telephone # (888) 528-2677 Fax # (888) 402-6832  
Email Address (if any) [customerservice@boltenergyservices.com](mailto:customerservice@boltenergyservices.com)

**A-8 Applicant's federal employer identification number** #35-2612906

**A-9 Applicant's form of ownership: (Check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other                                      |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-10 (Check all that apply)** Identify each electric distribution certified territory in which the applicant intends to provide service, including identification of each customer class that the applicant intends to serve, for example, residential, small commercial, mercantile commercial, and industrial. (A mercantile customer, as defined in (A) (19) of Section 4928.01 of the Revised Code, is a commercial customer who consumes more than 700,000 kWh/year or is part of a national account in one or more states).

☒ **FirstEnergy**

- |  |   |  |                                     |                                     |
|--|---|--|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> <b>Ohio Edison</b>                     | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Toledo Edison</b>                   | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Cleveland Electric Illuminating</b> | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Duke Energy</b>                     | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>Monongahela Power</b>               | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |
| <input checked="" type="checkbox"/> <b>American Electric Power</b>         |   |  |                                     |                                     |
| <input checked="" type="checkbox"/> <b>Ohio Power</b>                      | <input checked="" type="checkbox"/> Residential | <input checked="" type="checkbox"/> Commercial | <input type="checkbox"/> Mercantile | <input type="checkbox"/> Industrial |

<input checked="" type="checkbox"/> Columbus Southern Power	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial
<input checked="" type="checkbox"/> Dayton Power and Light	v <input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Commercial	<input type="checkbox"/> Mercantile	<input type="checkbox"/> Industrial

**A-11** Provide the approximate start date that the applicant proposes to begin delivering services

Upon Certification

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-12** **Exhibit A-12 "Principal Officers, Directors, & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

**A-13** **Exhibit A-13 "Company History,"** provide a concise description of the applicant's company history and principal business interests.

**A-14** **Exhibit A-14 "Articles of Incorporation and Bylaws,"** if applicable, provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto.

**A-15** **Exhibit A-15 "Secretary of State,"** provide evidence that the applicant has registered with the Ohio Secretary of the State.

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1** **Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.

**B-2** **Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

**B-3** **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing the service(s) it is seeking to be certified to provide (e.g. number and types of customers served, utility service areas, amount of load, etc.).

**B-4** **Exhibit B-4 "Environmental Disclosure,"** provide a detailed description of how the applicant intends to determine its (a) generation resource mix, and (b) environmental characteristics, including air emissions and radioactive waste. This information shall include sufficient discussion so as to detail both the annual projection methodology and the proposed approach to compiling the quarterly actual environmental disclosure data. Additional details on this requirement may be obtained by referring to 4901:1-21-09.

**B-5** **Exhibit B-5 "Disclosure of Liabilities and Investigations,"** provide a detailed description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-6** Disclose whether the applicant, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-7** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

**C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Dun and Bradstreet Information Services, Fitch IBCA, Moody's Investors Service, Standard & Poors, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the five most recent years preceding the application.
- C-10 **Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

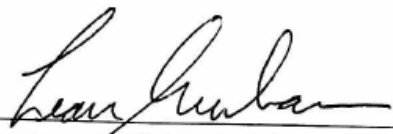
**D. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

- D-1 Exhibit D-1 "Operations,"** provide a written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the generation of power for retail sales, the scheduling of retail power for transmission and delivery, the provision of retail ancillary services as well as other services used to arrange for the purchase and delivery of electricity to retail customers.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, e-mail addresses, telephone numbers, and the background of key personnel involved in the operational aspects of the applicant's business.
- D-4 Exhibit D-4 "FERC Power Marketer License Number,"** provide a statement disclosing the applicant's FERC Power Marketer License number. (Power Marketers only)

 - President  
Signature of Applicant and Title

Sworn and subscribed before me this 8<sup>th</sup> day of August, 2019  
Month Year

  
Signature of official administering oath  
LEON GREENBAUM  
NOTARY PUBLIC, State of NY  
No. 24-4602960  
Qualified in Rockland County  
Commission Expires March 30, 2022  
My commission expires on \_\_\_\_\_

Leon Greenbaum, Notary Public  
Print Name and Title  
\_\_\_\_\_  
\_\_\_\_\_

# **AFFIDAVIT**

State of New York :

\_\_\_\_\_ ss.  
(Town)

County of Rockland :

Elie Klein, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He is the President (Office of Affiant of Bolt Energy, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)



11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

*Elie Klein*  
Elie Klein, President

Sworn and subscribed before me this 8<sup>th</sup> day of August 2019  
Month Year

*Leon Greenbaum*  
Signature of official administering oath

Leon Greenbaum, Notary Public  
Print Name and Title

My commission expires on \_\_\_\_\_

LEON GREENBAUM  
NOTARY PUBLIC, State of NY  
No. 24-4602960  
Qualified in Rockland County  
Commission Expires March 30, 2022

**EXHIBIT A-12**  
**BOLT ENERGY, LLC**

***Principal Officers, Directors, & Partners***

The initial officers and directors of Bolt Energy, LLC:

Elie Klein, President  
75 Lake Road Suite#215  
Congers, New York 10920  
800-213-2870

Gloria Adler, Member  
75 Lake Road Suite#215  
Congers, New York 10920  
800-213-2870

Ira Adler, Member  
75 Lake Road Suite#215  
Congers, New York 10920  
800-213-2870

Morris Klein, Member  
75 Lake Road Suite#215  
Congers, New York 10920  
800-213-2870

**EXHIBIT A-13**  
**Bolt Energy, LLC**

***Company History***

Bolt Energy LLC is a relatively new entity, and will be using Enhanced Energy Services of America, LLC (“EES”) to manage enrollments and customer account information. EES will be Bolt Energy’s aggregator and will provide Bolt Energy with its back-office support. EES will help with load forecasting, scheduling, risk management and market expansion. Bolt Energy’s primary consultants are Energy Services of America, LLC. Their resumes/biographies are attached in Exhibit D-1.

**EXHIBIT A-14**  
**Bolt Energy, LLC**

***Articles of Incorporation and Bylaws***

See attached.

**Jon Husted**  
**Ohio Secretary of State**[Jon Husted & the Office](#) | [Elections & Voting](#) | [Campaign Finance](#) | [Legislation & Ballot Issues](#) | [Businesses](#) | [Records](#) | [Media Center](#) | [Publications](#)**Business Filing Portal**your **BUS****INESS** begins here[Print this report](#)**Corporation Details**

Corporation Details		
Entity Number	4102825	
Business Name	BOLT ENERGY LLC	
Filing Type	DOMESTIC LIMITED LIABILITY COMPANY	
Status	Active	
Original Filing Date	11/28/2017	
Expiry Date		
Location:	County:	State:
Agent / Registrant Information		
VCORP AGENT SERVICES, INC. 4400 EASTON COMMONS WAY, SUITE 125 COLUMBUS, OH 43219 Effective Date: 11/28/2017 Contact Status: Active		
Incorporator Information		
RAEESA IBRAHIM		
Filings		
Filing Type	Date of Filing	Document Number/Image
ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.	11/28/2017	201733401928

11/28/2017 18:35

(FA) 645 818 3588

P.003/005



Form 533A Prescribed by:

**JON HUSTED**  
**OHIO SECRETARY OF STATE**

 Toll Free: (877) 806-FILE (877-787-3453)  
 Central Office: (614) 466-3810

[www.OhioSecretaryofState.gov](http://www.OhioSecretaryofState.gov)  
[buserv@OhioSecretaryofState.gov](mailto:buserv@OhioSecretaryofState.gov)
File online or for more information: [www.OHBusinessCentral.com](http://www.OHBusinessCentral.com)

Mail this form to one of the following:

 Regular Filing (non expedite)  
 P.O. Box 670  
 Columbus, OH 43266

 Expedite Filing (Two business day processing time.  
 Requires an additional \$166.00)

 P.O. Box 1980  
 Columbus, OH 43216

RECEIVED

## Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$125

NOV 28 2017

CHECK ONLY ONE (1) BOX

OHIO SECRETARY OF STATE

 (1) ☒ Articles of Organization for Domestic  
For-Profit Limited Liability Company  
(115-LCA)

 (2) ☐ Articles of Organization for Domestic  
Nonprofit Limited Liability Company  
(115-LCA)

Name of Limited Liability Company Bolt Energy LLC

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date  
(Optional)
  
 mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for  
(Optional)
  
 Period of Existence
Purpose  
(Optional)
  
  
  
  

**\*\*Note for Nonprofit LLCs**

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

11/28/2017 18:35

(FAX)845 818 3588

P.004/005

**ORIGINAL APPOINTMENT OF AGENT**

The undersigned authorized member(s), manager(s) or representative(s) of

Bolt Energy LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

Vcorp Agent Services, Inc.

Name of Agent

4400 Easton Commons Way Suite 125

Mailing Address

Columbus

City

Ohio

State

43219

ZIP Code

**ACCEPTANCE OF APPOINTMENT**

The undersigned, Vcorp Agent Services, Inc. named herein as the statutory agent

Statutory Agent Name

for

Bolt Energy LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

11/28/2017 18:35

(FAX) 845 818 3588

P.005/005


By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

**Required**

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

  
Signature

By (if applicable)

Raeesa Ibrahim  
Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



\*201733401928\*

DATE:	DOCUMENT ID	DESCRIPTION	FILING	EXPED	PENALTY	CERT	COPY
12/01/2017	201733401928	ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO. (LCP)	99.00	.00	.00	.00	.00

**Receipt**

This is not a bill. Please do not remit payment.

VCORP SERVICES, LLC  
ATTN: RAEESA IBRAHIM  
25 ROBERT PITT DRIVE, SUITE 204  
MONSEY, NY 10952

**STATE OF OHIO  
CERTIFICATE**

**Ohio Secretary of State, Jon Husted**

**4102825**

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

**BOLT ENERGY LLC**

and, that said business records show the filing and recording of:

Document(s)

**ARTICLES OF ORGNZTN/DOM. PROFIT LIM.LIAB. CO.**

Document No(s):

**201733401928**

Effective Date: 11/28/2017



United States of America  
State of Ohio  
Office of the Secretary of State

Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 1st day of December, A.D. 2017.

*Jon Husted*

Ohio Secretary of State

**EXHIBIT A-15**  
**Bolt Energy, LLC**

***Secretary of State***

A copy of the registration filing of Bolt Energy, LLC as a foreign corporation with the Ohio Secretary of State and its Certificate of Good Standing is attached.

UNITED STATES OF AMERICA  
STATE OF OHIO  
OFFICE OF THE SECRETARY OF STATE

*I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show BOLT ENERGY LLC, an Ohio For Profit Limited Liability Company, Registration Number 4102825, was organized within the State of Ohio on November 28, 2017, is currently in FULL FORCE AND EFFECT upon the records of this office.*



*Witness my hand and the seal of the  
Secretary of State at Columbus, Ohio  
this 7th day of May, A.D. 2019.*

A handwritten signature in red ink, appearing to read "Frank LaRose", written in a cursive style.

**Ohio Secretary of State**

**Validation Number: 201912701386**

**EXHIBIT B-1**  
**Bolt Energy, LLC**

***Jurisdictions of Operation***

Bolt is not providing electric services in any other jurisdictions.

## **EXHIBIT B-2**

### **Bolt Energy, LLC**

#### ***Experience & Plans***

Bolt Energy LLC is a relatively new entity, and will be using Enhanced Energy Services of America, LLC (“EES”) to manage enrollments and customer account information. EES will be Bolt Energy’s aggregator and will provide Bolt Energy with its back-office support. EES will help with load forecasting, scheduling, risk management and market expansion.

Bolt Energy has retained EES to provide wholesale power procurement support and to assist Bolt Energy in supervising and managing short and long term scheduling requirements and supply obligations. EES is an energy management and consulting company that assists Electricity and Gas Suppliers with load forecasting, scheduling, gas nominations and portfolio management throughout the Northeast.

The use of specialized energy consultants is commonplace among electricity and gas suppliers, and EES provides its energy consulting services to a variety of customers and licensed electricity and natural gas suppliers in Ohio and the rest of the U.S. EES will provide guidance and support to Bolt Energy, but all procurement decisions and executions will be made solely by Bolt Energy. Bolt Energy utilizes EC Infosystems to handle its EDI transaction management, to coordinate billing and accounts receivable functions with the various utilities that deliver Bolt Energy’s electricity and natural gas.

Bolt Energy, aims to keep the level of customer service at a high level. Every call is monitored and recorded. Bolt Energy listens to these recordings at random, to ensure that each customer service representative is treating our customers with respect, and helping to resolve all issues they may have. We take our customer service very seriously, and it is our goal to have only positive feedback from our growing number of customers. Bolt Energy works with very reputable, first class marketing companies for all marketing campaigns. Our marketing coordinators keep the quality control under a very careful watch. Supervisors monitor and listen to calls to ensure that our quality and integrity remains at the level we expect it. We intend to offer customers incentives, as well as great customer service.

**EXHIBIT B-3**  
**Bolt Energy, LLC**

***Summary of Experience***

Bolt Energy LLC was first incorporated in December 2017 and has not yet begun providing service to customers. However, Bolt Energy will be partnering with experienced entities such as EC Info Systems and Enhanced Energy Services of America, LLC whose experience and expertise is more fully described below.

**EXHIBIT B-4**  
**Bolt Energy, LLC**

***Environmental Disclosure***

Bolt Energy expects to draw all of its power supply from the PJM, and anticipates that its generation resource mix will follow the overall PJM generation mix, and will exhibit the same environmental qualities, characteristics, air emissions, and radioactive waste levels as the PJM generation portfolio. Bolt Energy's planned approach to preparing its annual projection of environmental information and its quarterly reports of actual environmental results, as required by OAC 4901:1-21-09, is to obtain the information from PJM's reports and furnish the information to the PUCO and our customers.

**EXHIBIT B-5**  
**Bolt Energy, LLC**

***Disclosures of Liabilities and Investigations***

Bolt Energy, LLC does not have any existing, pending, or past rulings, judgments, contingent liabilities, and revocation of authority, regulatory investigations, or any other matters that could impact the financial or operational status or ability to provide the services we are seeking to provide.



**EXHIBIT C-1**  
**Bolt Energy, LLC**

***Annual Reports***

Not applicable as Bolt Energy, LLC is not a publicly traded company.

**EXHIBIT C-2**  
**Bolt Energy, LLC**

***SEC Filings***

Not applicable as Bolt Energy, LLC is not a publicly traded company and is not required to file with the SEC.

**EXHIBIT C-3**  
Bolt Energy, LLC

***Financial Statements***

Bolt Energy LLC was first incorporated in December, 2017 and therefore does not have any financial statements. Bolt's Energy's two most recent years of tax returns have been filed under seal.

**EXHIBIT C-4**  
**Bolt Energy, LLC**

***Financial Arrangements***

Bolt Energy, LLC's financial arrangement has been filed under seal.

**EXHIBIT C-5**  
**Bolt Energy, LLC**

***Forecasted Financial Statements***

Bolt Energy, LLC's forecasted financial statements have been filed under seal.

**EXHIBIT C-6**  
**Bolt Energy, LLC**

***Credit Rating***

See attached.

Selected Company: BOLT ENERGY LLC.  
D-U-N-S #: 08-899-0714

Overview

Business Summary

Company Name:	BOLT ENERGY LLC.		
D-U-N-S #:	08-899-0714	DBA's:	N/A
Address:	75 Lake Road Ste 215		
City:	Congers	State:	NY
Zip:	10920	Phone:	8457470777
Principal:			
Year Started:	2018	Employs:	UNDETERMINED.
SIC Code(s):	99992222	Duns Support Record, nonclassifiable establishment	
NAICS Code(s):	999990	Unclassified Establishments	
Legal Structure:	N/A		

**EXHIBIT C-7**  
**Bolt Energy, LLC**

***Credit Report***

Bolt Energy LLC was first incorporated in December, 2017 and therefore does not yet have a credit report.



**EXHIBIT C-8**  
**Bolt Energy, LLC**

***Bankruptcy Information***

Bolt Energy, LLC has never filed for reorganization, protection from creditors or any other form of bankruptcy in their histories.

**EXHIBIT C-9**  
**Bolt Energy, LLC**

***Merger Information***

Bolt Energy, LLC has not been involved with any mergers, dissolutions or acquisitions of third party companies.

**EXHIBIT C-10**  
**Bolt Energy, LLC**

***Corporate Structure***

The corporate structure of Bolt Energy, LLC is

**Bolt Energy, LLC**

**EXHIBIT D-1**  
**Bolt Energy, LLC**

***Operations***

Bolt Energy, LLC uses EC Info Systems to manage the back end of enrollments and customer accounts. Bolt Energy has retained Enhanced Energy Services of America, LLC ("EES") to provide wholesale power procurement support and to assist Bolt Energy in supervising and managing short and long term scheduling requirements and supply obligations. EES is an energy management and consulting company that assists electricity and gas suppliers with load forecasting, scheduling, and portfolio management throughout the Northeast. EES provides the following services to Bolt Energy:

1. Usage Forecasting on a long and short term basis
2. Day-ahead Bidding and Nominations
3. Energy Trading
4. Financial /Physical Hedging Execution
5. Compliance Reporting
6. ISDA Negotiation
7. Collateral Management
8. Settlement Tracking

The use of specialized energy consultants is commonplace among electricity and gas suppliers, and EES provides its energy consulting services to a variety of customers and licensed electricity and natural gas suppliers in Ohio and the rest of the U.S. EES will provide guidance and support to Bolt Energy, but all procurement decisions and executions will be made solely by Bolt Energy. Bolt Energy utilizes EC Infosystems to handle its EDI transaction management, to coordinate billing and accounts receivable functions with the various utilities that deliver Bolt Energy's electricity and natural gas.

Bolt Energy aims to keep the level of customer service at a high level. Every call is monitored and recorded. We listen to these recordings at random, to ensure that each customer service representative is treating our customers with respect, and helping to resolve all issues they may have. Bolt Energy takes customer service very seriously, and it is our goal to have only positive feedback from our growing number of customers.

Bolt Energy works with very reputable, first class marketing companies for all marketing campaigns. Our marketing coordinators keep the quality control under a very careful watch. Supervisors monitor and listen to calls to ensure that our quality and integrity remains at the level we expect it. We intend to offer customers incentives, as well as great customer service.

## **William “Bill” C. Glass, Vice President & Principal, Risk Management**

As Vice President of Risk Management, Bill Glass is charged with the responsibility and oversight of ensuring that Enhanced Energy and their clients have a sound and stable risk policy as it pertains to their exposure in the natural gas and electric supply arena.

Prior to joining Enhanced Energy, Mr. Glass has been President and a member of the board of directors at Platinum Energy, a mid level natural gas and crude oil production firm. Mr. Glass has worked in the energy industry and energy financial derivatives markets since 1996. Mr. Glass has been an independent energy trader and consultant since December 2003. Prior to his independent trading, Mr. Glass was Vice President of Marubeni Energy Incorporated’s North American natural gas division. He was responsible for all natural gas transactions, transportation, marketing, trading, and operations. He has also served as a senior trader at Southern Company Energy marketing where his responsibilities included managing the financial gas daily desk as well as trading gulf coast, northeast, and mid-west financial products. Mr. Glass began his energy career at Enron as part of the risk management team.

Mr. Glass holds a bachelor’s in Finance and Accounting from Texas A&M University.

## **Kris Kehlenbach, Director of Operations**

Managing daily operations at Enhanced Energy, Kris has been on the team for four years. He joined Enhanced Energy as an intern while finishing his MBA at the University of Houston and started as one of the company’s first electricity operations analysts. Working with and developing forecasting models, as well as, fine tuning client pricing data, Kris assisted in the refinement of the Enhanced Energy electricity operations department.

He has had over ten years of previous experience in personal service and team management fields. As a licensed massage therapist and small business owner, Kris has utilized his skills to focus on the accuracy and minutia of the data that Enhanced Energy processes and delivers to their clients on a daily basis.

**EXHIBIT D-2**  
**Bolt Energy, LLC**

***Operations Expertise***

**BIOS OF BOLT ENERGY, LLC's OFFICERS AND CONSULTANTS**

**Elie Klein – President**

Elie Klein is the president and owner of Bolt Energy LLC, he is owner of Bolt Energy and responsible for all aspects of creating and implementing the company's infrastructure and operations. Mr. Klein is responsible for all aspects of serving energy customers, from oversight of initial sales channels, through enrollment and provision, and ongoing customer issue resolution, and directly oversees all operations including sales and marketing, strong compliance standards, energy procurement, financial management, and dealings with the utilities. His responsibilities encompass investor development as well as identifying and analyzing opportunities for Bolt's strategic growth.

**Gloria Adler – Member**

Gloria Adler, Vice President and Customer Care and Quality Assurance Manager, joined Bolt Energy initially to manage customer service and office operations. She has over 20 years of customer service experience. She is responsible for managing the company's customer service process and has established the company guidelines to deliver the best customer experience. She is also responsible for ensuring that sales representatives receive and follow the protocols and guidelines set by the Public Utilities Commission of Ohio.

Mrs. Adler is also charged with the duties of managing the customer enrollment process. This includes verifying proper enrollment, confirming the data is accurate and inputting it into the data management system. Additionally, she is actively pursuing the expansion of the company by securing new markets.

Prior to joining Bolt Energy, Mrs. Adler was the president of GIAIP, LLC, a global real estate investment and development company for over 25 years. During this time GIAIP, LLC successfully developed residential and commercial properties in New York, New Jersey, Florida, Delaware and Israel. As president, she was involved in all aspects of the company, including acquisitions, construction, finance and the development of overall strategy. The projects developed included land development, residential housing (apartments and single family homes), and shopping centers. Further, some of its projects received significant federal tax credits. Under Mrs. Adler's leadership, GIAIP, LLC developed close working relationships with state and municipal governments as well as fostered local community involvement. For a number of its projects, GIAIP, LLC participated in the Energy Star Program and is familiar with the need for energy efficient and environmentally safe products.

**Morris Klein – Member**

Morris is well qualified to assist Bolt's management team, and will assist in expanding Bolt's presence in multiple jurisdictions. Mr. Klein's involvement in day-to-day operations will give him a keen awareness of what it takes to run a successful energy business. Bolt is confident in Morris' abilities to create and maintain a well-defined corporate infrastructure with a commitment to both customer satisfaction and profitability.

Prior to Bolt Energy, Mr. Klein was the CEO of the Mimori Art Fund Inc., an Art Fund located in New York City whose task was to acquire and broker highly sophisticated paintings and works of art from 16th century medieval art to contemporary art. The fund handled paintings from Van Gogh, Monet and other important artists. Mr. Klein was responsible for research and development of financial modeling and risk analysis of specific markets that were required in order to make key business decisions.

**Marc Epstein – Compliance Manager**

In that role, Marc will ensure regulatory compliance, respond to and resolve customer inquiries. He will train, manage customer service representatives, oversees training of sales agents, and monitors sales and customer service representatives for regulatory compliance.

**William "Bill" C. Glass – Contracted Consultant**

Resume is attached to Exhibit D-1

**Kris Kehlenbach – Contracted Consultant**

Resume is attached to Exhibit D-1

**EXHIBIT D-3**  
**Bolt Energy, LLC**

***Key Technical Personnel***

1. Elie Klein  
President  
[Eliek@boltenergyservices.com](mailto:Eliek@boltenergyservices.com)
2. Gloria Adler  
Member  
[Gloriaa@boltenergyservices.com](mailto:Gloriaa@boltenergyservices.com)
3. Morris Klein  
Member  
[Morrisk@boltenergyservices.com](mailto:Morrisk@boltenergyservices.com)
4. Marc Epstein, Compliance Manager  
Compliance Manager  
[Customerservice@boltenergyservices.com](mailto:Customerservice@boltenergyservices.com)



**EXHIBIT D-4**  
**Bolt Energy, LLC**

***FERC Power Marketer License Number***

FERC License: Docket No. ER19-1826-001

**This foregoing document was electronically filed with the Public Utilities**

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**Case No(s). 19-1562-EL-CRS**

Summary: Application of Bolt Energy, LLC for Certification as a Retail Generation Provider and Power Marketer electronically filed by Teresa Orahoad on behalf of Dylan F. Borchers