

FILE

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Public Utilities Commission

PUCO USE ONLY – Version 1.08		
Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		17 - 1287 - GA-AGG

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name ENERGY MANAGEMENT POWER SERVICES, LLC
 Address 46 CENTER STREET, CHAGRIN FALLS, OH 44022
 Telephone No. 440-247-7501 Web site Address www.ems-energy.net
 Current PUCO Certificate No. Effective Dates

A-3 Applicant information under which applicant will do business in Ohio:

Name ENERGY MANAGEMENT POWER SERVICES, LLC
 Address 46 CENTER STREET, CHAGRIN FALLS, OH 44022
 Web site Address WWW.EMS-ENERGY.NET Telephone No. 440-247-7501

A-4 List all names under which the applicant does business in North America:

ENERGY MANAGEMENT POWER SERVICES, LLC

A-5 Contact person for regulatory or emergency matters:

Name ALBERT E.R. SCHNEIDER Title MANAGER/SOLE MEMBER
 Business Address 46 CENTER STREET, CHAGRIN FALLS, OH 44022
 Telephone No. 440-247-7501 Fax No. n/a Email Address BIGAL36@HOTMAIL.COM

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business (Competitive Retail Natural Gas Broker/Aggregator Renewal-Revised May 2016)

Technician Dmm

Date Processed 05/22/19

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name ALBERT E.R. SCHNEIDER

Title MANAGER/SOLE MEMBER

Business address 46 CENTER STREET, CHAGRIN FALLS, OH 44022

Telephone No. 440-247-7501

Fax No. n/a

Email Address BIGAL36@HOTMAIL.COM

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 46 CENTER STREET, CHAGRIN FALLS, OH 44022

Toll-Free Telephone No. COLLECT

Fax No. n/a

Email Address BIGAL36@HOTMAIL.COM

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name ALBERT E.R. SCHNEIDER

Title MANAGER/SOLE MEMBER

Business address 46 CENTER STREET, CHAGRIN FALLS, OH 44022

Telephone No. 440-247-7501

Fax No. n/a

Email Address BIGAL36@HOTMAIL.COM

A-9 Applicant's federal employer identification number 82-1402805

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☒ Limited Liability Company (LLC)

☐ Corporation

☐ Other

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☐ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☐ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input checked="" type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 "Financial Arrangements," provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

C-7 Exhibit C-7 "Credit Report," provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

[Handwritten Signature] Manager

Sworn and subscribed before me this

20th day of May

Month

2019 Year

Signature of official administering oath

[Handwritten Signature]

Print Name and Title

Jessica Kraynak Admin Public



JESSICA KRAYNAK
Notary Public, State of Ohio
My Comm. Expires Jan. 17, 2023
Recorded in Mahoning County

My commission expires on

1/17/23



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

ENERGY MANAGEMENT POWER SERVICES, LLC)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No.

17

1287

-GA-AGG

County of CUYAHOGA

State of OHIO

ALBERT E.R. SCHNEIDER, III

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Albert E.R. Schneider, III MANAGER/SOLE MEMBER

Sworn and subscribed before me this

20th

day of

May

Month

2019

Year

Signature of Official Administering Oath

JESSICA KRAYNAK

Notary Public, State of Ohio

My Comm. Expires Jan. 17, 2023

Recorded in Mahoning County

Print Name and Title

Jessica Kraynak Notary Public

My commission expires on

1/17/23



Energy Management Power Services, LLC (EMPS, LLC)

EXHIBIT A – 14

PRINCIPAL OFFICERS, DIRECTORS & OWNERS

Albert E.R. Schneider III, Manager / Sole Member

46 Center Street

Chagrin Falls, OH 44022

440-247-7501

EMPS, LLC

EXHIBIT A-15

COMPANY HISTORY

The Company is an LLC entity formed (as of 05/01/2017) in the State of Ohio, organized for the purpose of providing Broker Services to non-residential users of natural gas and electricity. The company has not begun business as of the date hereof.

EMPS, LLC

EXHIBIT A-16

ARTICLES OF INCORPORATION

Articles of Organization are attached hereto.

EMPS, LLC

EXHIBIT A-17

SECRETARY OF STATE

Certificate of Registration from Ohio is attached as Exhibit A-15.



Form 533A Prescribed by:
Ohio Secretary of State

Date Electronically Filed: 5/1/2017

JON HUSTED
Ohio Secretary of State

Central Ohio: (614) 466-3910
Toll Free: (877) SOS-FILE (767-3453)
www.OhioSecretaryofState.gov
BusServ@OhioSecretaryofState.gov

Articles of Organization for a Domestic Limited Liability Company

Filing Fee: \$99

CHECK ONLY ONE (1) BOX

(1) ☒ Articles of Organization for Domestic
For-Profit Limited Liability Company
(115-LCA)

(2) ☐ Articles of Organization for Domestic
Nonprofit Limited Liability Company
(115-LCA)

Name of Limited Liability Company **ENERGY MANAGEMENT POWER SERVICES, LLC**

Name must include one of the following words or abbreviations: "limited liability company," "limited," "LLC," "L.L.C.," "Ltd.," or "Ltd."

Effective Date **5/1/2017**

(Optional)

mm/dd/yyyy

(The legal existence of the limited liability company begins upon the filing of the articles or on a later date specified that is not more than ninety days after filing)

This limited liability company shall exist for
(Optional)

PERPETUAL

Period of Existence

Purpose
(Optional)

**TO ACT AS BROKER IN CONNECTION WITH ELECTRICITY AND NATURAL GAS AND ANY
OTHER LAWFUL ACTIVITY.**

**Note for Nonprofit LLCs

The Secretary of State does not grant tax exempt status. Filing with our office is not sufficient to obtain state or federal tax exemptions. Contact the Ohio Department of Taxation and the Internal Revenue Service to ensure that the nonprofit limited liability company secures the proper state and federal tax exemptions. These agencies may require that a purpose clause be provided.

15

ORIGINAL APPOINTMENT OF AGENT

The undersigned authorized member(s), manager(s) or representative(s) of

ENERGY MANAGEMENT POWER SERVICES,LLC

Name of Limited Liability Company

hereby appoint the following to be Statutory Agent upon whom any process, notice or demand required or permitted by statute to be served upon the limited liability company may be served. The name and address of the agent is

ALBERT E.R.SCHNEIDER,III

Name of Agent

46 CENTER ST

Mailing Address

CHAGRIN FALLS

City

OH

State

44022

ZIP Code

ACCEPTANCE OF APPOINTMENT

The undersigned,

ALBERT E.R.SCHNEIDER,III

Statutory Agent Name

named herein as the statutory agent

for

ENERGY MANAGEMENT POWER SERVICES,LLC

Name of Limited Liability Company

hereby acknowledges and accepts the appointment of agent for said limited liability company

Statutory Agent Signature

ALBERT E.R.SCHNEIDER,III

Individual Agent's Signature / Signature on Behalf of Business Serving as Agent

By signing and submitting this form to the Ohio Secretary of State, the undersigned hereby certifies that he or she has the requisite authority to execute this document.

Required

Articles and original appointment of agent must be signed by a member, manager or other representative.

If authorized representative is an individual, then they must sign in the "signature" box and print their name in the "Print Name" box.

If authorized representative is a business entity, not an individual, then please print the business name in the "signature" box, an authorized representative of the business entity must sign in the "By" box and print their name in the "Print Name" box.

ALBERT E.R. SCHNEIDER, III

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name

Signature

By (if applicable)

Print Name



DATE	DOCUMENT ID	DESCRIPTION	FILING	OVER PAYMENT	EXPED	CERT	COPY
05/03/2017	201712000602	DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG (LCP)	99.00	0.00	0.00	0.00	0.00

Receipt

This is not a bill. Please do not remit payment.

ALBERT E.R. SCHNEIDER III
46 CENTER ST
CHAGRIN FALLS, OH 44022

**STATE OF OHIO
CERTIFICATE**

Ohio Secretary of State, Jon Husted
4023864

It is hereby certified that the Secretary of State of Ohio has custody of the business records for

ENERGY MANAGEMENT POWER SERVICES, LLC

and, that said business records show the filing and recording of:

Document(s)

DOMESTIC FOR PROFIT LLC - ARTICLES OF ORG
Effective Date: 05/01/2017

Document No(s):

201712000602

United States of America
State of Ohio
Office of the Secretary of State

Witness my hand and the seal of the
Secretary of State at Columbus, Ohio this
3rd day of May, A.D. 2017.

Jon Husted
Ohio Secretary of State

EMPS, LLC

EXHIBIT B-1

JURISDICTION OF OPERATION

The applicant is a newly formed Ohio LLC and is seeking renewal authorization to provide broker services within the State of Ohio.

EMPS, LLC

EXHIBIT B-2

EXPERIENCE & PLANS

Applicant plans to utilize a network of business consultants and energy efficiency contractors to identify and market its services to commercial customers within Ohio. Applicant, as a broker, will act as an intermediary in arranging power and natural gas commodities by representing direct suppliers of energy. In this regard, the actual energy suppliers (and not the applicant) will provide direct monthly billing services, response to customer inquiries and complaints pursuant to commission rules. Applicant will assist all customers with any such requests.

EMPS, LLC

EXHIBIT B-3

SUMMARY OF EXPERIENCE

Applicant will rely on the experience and knowledge of its sole member and manager in connection with providing its customers access to competitive energy purchase options.

Manager's experience includes:

-47 years as a licensed attorney in the State of Ohio. (Supreme Court Registration 0007792) with significant experience in natural gas drilling operations in Ohio, Pennsylvania and West Virginia.

-Managing an Energy Efficiency Consulting business which focuses on improving operational efficiencies of industrial businesses in Ohio which utilize significant amounts of energy in the form of electricity and natural gas.

-Acting for several years as an oil and natural gas consultant to European-American Bank (NYC based).

EMPS, LLC

EXHIBIT B-4

DISCLOSURES OF LIABILITIES & INVESTIGATIONS

There are no existing, pending or past rulings, judgements, contingent liabilities, revocations, investigations or any other matters that could adversely impact the applicant's financial or operational status or its ability to provide the services it is seeking to be certified to provide.

EMPS, LLC

EXHIBIT C-1

ANNUAL REPORTS

The applicant is a newly formed private LLC and does not have any annual reports to date.

EMPS, LLC

EXHIBIT C-2

SEC FILINGS

The applicant is not a publicly traded corporation and is not required to make SEC filings.

EMPS, LLC

EXHIBIT C-3

FINANCIAL STATEMENTS

The applicant is attaching a starting Balance Sheet certified by the Manager/Sole Manager but does not have an income statement or cash flow statements since it has not conducted actual operations yet. In support of this application the manager is supplying a copy of a recent checking account statement from Huntington Bank which in a correct statement of each on hand or of the date hereof.

THE HUNTINGTON NATIONAL BANK
PO BOX 1558 EA1W37
COLUMBUS OH 43216-1558



0021834 ENERGY MANAGEMENT POWER SERVICES, L
46 CENTER ST
CHAGRIN FALLS OH 44022-3147



Have a Question or Concern?

Stop by your nearest
Huntington office or
contact us at:

1-800-480-2001

www.huntington.com/
businessresources

Huntington Community Business Checking

A [REDACTED]

Statement Activity From:
03/01/19 to 03/31/19

Beginning Balance	\$940.00
Total Service Charges (-)	3.00
Ending Balance	\$937.00

Days in Statement Period 31

Average Ledger Balance* 938.35

Average Collected Balance* 938.35

* The above balances correspond to the
service charge cycle for this account.

Service Charge Detail

Account: [REDACTED]

Date	Service Charge (-)	Waives and Discounts (+)	Description
03/15	3.00		STATEMENT CHARGE

Service Charge Summary

Account: [REDACTED]

Previous Month Service Charges (-)	\$3.00
Total Service Charges (-)	\$3.00

Balance Activity

Account: [REDACTED]

Date	Balance	Date	Balance	Date	Balance
02/28	940.00	03/15	937.00		

Investments are offered through the Huntington Investment Company, Registered Investment Advisor, member FINRA/SIPC, a wholly-owned subsidiary of Huntington Bancshares Inc.

The Huntington National Bank is Member FDIC. and Huntington are federally registered service marks of Huntington Bancshares Incorporated. ©2019 Huntington Bancshares Incorporated.

Statement Period from 03/01/19 to 03/31/19 Page 1 of 2

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ENERGY MANAGEMENT SERVICES LLC

46 CENTER STREET

CHAGRIN FALLS, OH 44022

440-247-7501

May 16, 2019

Public Utilities Commission

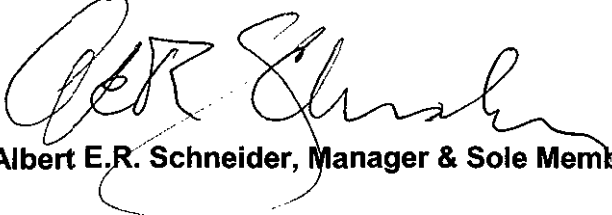
180 East Broad

Columbus, OH 43215

To Whom It May Concern:

I, Albert E.R. Schneider, Manager of Energy Management Power Services, LLC, hereby certify that the attached Statement of Financial Position as of May 1, 2017 is accurate and complete in all respects.

Sincerely,

A handwritten signature in black ink, appearing to read 'Albert E.R. Schneider', written over a horizontal line.

Albert E.R. Schneider, Manager & Sole Member

EXHIBIT C-3
ENERGY MANAGEMENT POWER SERVICES, LLC
Statement of Financial Position
At May 1, 2017 (Inception of Business)

ASSETS:

Cash	<u>\$937</u>
TOTAL ASSETS	\$937

LIABILITIES & MEMBERS' EQUITY:

LIABILITIES:	\$0
---------------------	------------

MEMBERS' EQUITY:

Members' Contributions	<u>\$1,000</u>
------------------------	----------------

TOTAL LIABILITIES & MEMBERS' EQUITY	\$937
--	--------------

UNAUDITED

NOTES:

- (1) The business was formed on May 1, 2017. Since inception there are no significant financial transactions to report. This Statement represents the initial \$1,000 cash investment made by the Managing Member of the LLC less back service fees.

EMPS, LLC

EXHIBIT C-4

FINANCIAL ARRANGEMENTS

Not Applicable. Applicant is applying for a Broker's License for electricity and natural gas sales only and is not taking title to electricity or natural gas.

EMPS, LLC

EXHIBIT C-5

FORECASTED FINANCIAL STATEMENTS

The attached "Forecasted Financial Statements" have been prepared by:

Albert E.R. Schneider, III, Esc.

46 Center Street

Chagrin Falls, OH 44022

440-247-7501

Bigal36@hotmail.com

EXHIBIT C-5

ENERGY MANAGEMENT POWER SERVICES, LLC

Project Profit & Loss

For the Two Years Beginning July 1, 2019

	<u>Initial 12 Mos.</u>	<u>Second 12 Months</u>
PROJECTED REVENUE:		
Fees (1)	\$50,000	\$100,000
PROJECTED EXPENSES:		
Selling Expenses	<u>\$20,000</u>	<u>\$40,000</u>
NET PROFIT	\$30,000	\$60,000

FOOTNOTES:

- (1) Fees are based on projected compensation from contractual agreements whereby clients will pay Energy Management Power Services ("EMPS") for consulting services and/or commissions.
- (2) Projected Travel, Office, Marketing and other Selling Expenses.
- (3) This projection was prepared by: Albert E.R. Schneider (Managing Member).

EMPS, LLC

EXHIBIT C-6

CREDIT RATING

Not Applicable. Applicant does not have a credit rating yet; however, applicant's sole member/manager has attached his personal credit report.

EMPS, LLC

EXHIBIT C-7

CREDIT REPORT

Applicant is a newly formed LLC and does not have a credit report. Applicant's member/manager has provided a copy of his personal Experian credit report in support of this Renewal Application.

Create Report of
MANAGER

My Profile

Personal Information



My Member ID

10016896433456

Edit Your Personal Information

Name

albert schneider

Email Address

bigal36@hotmail.com

Phone Number

(440) 247-7501

Current Street Address

PO Box 651

ZIP Code

33921

City

Boca Grande

State

FL



Have you lived at this address 6 months or more?

Yes

No

135 ✓



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Main Menu

Protection

Main Menu

Loans

Main Menu

Profile

Sign Out

 History unavailable

Apr 17, 2019 - Report + FICO® Score + added versions



Experian®

Equifax®

TransUnion®

Your Next 3 Bureau Credit Report and FICO® Scores is now available

Refresh Now

36r

The amount of the credit you are using accounts for **30%** of your FICO Score. Great job on managing your usage ratio! Enjoy the benefits of your hard work by earning rewards on your purchases with a **rewards credit card**.

Credit History Length | Exceptional

Average Age	13 yrs 1 mo
Oldest Account	40 yrs 8 mos

[View All Accounts](#)

Credit history length accounts for **15%** of your FICO Credit Score. Great job on managing different types of credit accounts! Continue to maintain your positive credit standing. **Learn more.**

Amount of New Credit | Very Good

Latest Open Account	10 mos
Inquiries in Last Year	0

[View All New Credit](#)

Total amount of new credit accounts for **10%** of your FICO Score rating. Great job on managing your credit! Continue to maintain your positive credit standing. **Learn more.**

Credit Mix | Exceptional

Revolving Accounts	Yes
Bank-Issued Credit Card Accounts	Yes
Installment Loans, Including Mortgages	Yes

[View Accounts Summary](#)

Credit mix accounts for **10%** of your FICO Score rating. Great job on managing different types of credit accounts! Continue to maintain your positive credit standing. **Learn more.**



69%

Credit Usage

A new credit card could decrease your overall credit usage

[See Credit Cards ▶](#)



Your file is
Unlocked

Lock your file to prevent unauthorized credit activity.

[Lock your file ▶](#)



High

ID Protection

Have you completed enrollment? More data = better protection.

[Enter additional data to monitor ▶](#)

Ideal usage is 6% or less

See what it means to carry a balance

Stay informed

What is credit fraud?

Learn About Credit Fraud

Credit Fraud Prevention Tips

What's Impacting Your FICO® Score 8

Payment History | Exceptional

Late Payments	0
Collections	0
Negative Public Records	0

[View All Accounts](#)

Payment history accounts for **35%** of your FICO Score. Great job showing lenders you are a good borrower! You can take advantage of your hard work by earning rewards on your purchases with a **rewards credit card**.

Amount of Debt | Good

Revolving Utilization	32%
Accounts With Balances	7
Revolving Account Balance	\$53,668

[View Total Debt](#)

39 ✓

EMPS, LLC

EXHIBIT C-8

BANKRUPTCY INFORMATION

Neither applicant nor its member/manager has ever filed any bankruptcies or reorganizations of any type.

EMPS, LLC

EXHIBIT C-9

MERGER INFORMATION

Applicant has not been involved in any merger or acquisitions activities ever.

EMPS, LLC

EXHIBIT C-10

CORPORATE STRUCTURE

Applicant is a stand-alone organization with no affiliate or subsidiary companies.

EMPS, LLC

**EXHIBIT D-1
OPERATIONS**

Applicant intends to operate as a broker of natural gas and electricity to industrial customers.

EMPS, LLC

EXHIBIT D-2

OPERATIONS EXPERTISE

Applicants Manager/Member has had nearly 50 years of experience as an attorney at law specializing in corporate and securities matters including natural gas drilling syndications, financing and other energy related projects.

Recently, the Manager has operated an Energy Efficiency Consultancy which works with industrial companies to improve operating efficiencies and to reduce power consumption and harmful emissions.

EMPS, LLC

EXHIBIT D-3

KEY TECHNICAL PERSONNEL

Albert E.R. Schneider
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Bigal36@hotmail.com