

Date Received	Renewal Certification Number	ORIGINAL AGG Case Number
		11 - 1209 - GA-AGG

**ORIGINAL RENEWAL CERTIFICATION APPLICATION
COMPETITIVE RETAIL NATURAL GAS BROKERS/AGGREGATORS**

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES**A-1 Applicant intends to renew its certificate as: (check all that apply)**

☒ Retail Natural Gas Aggregator ☒ Retail Natural Gas Broker

A-2 Applicant information:

Legal Name T.E.S. Energy Services, L.P.
Address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Telephone No. 972-447-0447 Web site Address www.tesenergyservices.com
Current PUCO Certificate No. 11-214G (4) Effective Dates 5/29/2017 through 5/29/2019

A-3 Applicant information under which applicant will do business in Ohio:

Name T.E.S. Energy Services, L.P.
Address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Web site Address www.tesenergyservices.com Telephone No. 972-447-0447

A-4 List all names under which the applicant does business in North America:

T.E.S. Energy Services, L.P. TES Energy Services, LP

A-5 Contact person for regulatory or emergency matters:

Name Linda D. Graham Title Vice President - Administration
Business Address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287
Telephone No. 972-447-0447 Fax No. 972-447-0400 Email Address linda@tesenergyservices.com

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician Dmm Date Processed 04/12/19
(PUCO) Broker/Aggregator Renewal-Revised May 2016)

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name Linda D. Graham

Title Vice President - Administration

Business address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287

Telephone No. 972-447-0447

Fax No. 972-447-0400

Email Address linda@tesenergyservices.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 17480 Dallas Parkway, Suite 200, Dallas, TX 75287

Toll-Free Telephone No. 866-948-5720

Fax No. 972-447-0400

Email Address linda@tesenergyservices.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name CT Corporation System

Title Ryan Nelson

Business address 4400 Easton Commons Way, Suite 125, Columbus, OH 43219

Telephone No. 614-621-1919

Fax No. 800-914-4250

Email Address ryan.nelson@wolterskluwer.com

A-9 Applicant's federal employer identification number 20-0598068

A-10 Applicant's form of ownership: (Check one)

☐ Sole Proprietorship

☐ Partnership

☐ Limited Liability Partnership (LLP)

☐ Limited Liability Company (LLC)

☐ Corporation

☒ Other Limited Partnership

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	7/1/2017	End Date	10/31/20
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	11/1/2017	End Date	10/31/20
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☒ Dominion East Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	8/1/2017	End Date	7/31/2021
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☐ Duke Energy Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

☐ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service		End Date	
<input type="checkbox"/> Small Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Large Commercial	Beginning Date of Service		End Date	
<input type="checkbox"/> Industrial	Beginning Date of Service		End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date	
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date	
<input checked="" type="checkbox"/>	Duke Energy Ohio	Intended Start Date	N/A do not have date
<input checked="" type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date	N/A do not have date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to satisfy collateral requirements to conduct retail electric/gas business activity (e.g., parental or third party guarantees, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 “Forecasted Financial Statements,” provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 “Credit Rating,” provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter “N/A” in Exhibit C-6.

C-7 Exhibit C-7 “Credit Report,” provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter “N/A” for Exhibit C-7.

- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business functions.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

[Handwritten Signature]

Sworn and subscribed before me this 9th day of April Month 2019 Year

Signature of official administering oath

[Handwritten Signature]

~~Mike Teague, CO-CEO~~

Print Name and Title LORI INBODY



My commission expires on



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service Affidavit Form (Version 1.07)

In the Matter of the Application of)

T.E.S. Energy Services, L.P.)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No. 11 - 1209 -GA-AGG

County of Collin

State of Texas

Mike Teague

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Sworn and subscribed before me this

9th

day of

April

Month

2019

Year

Signature of Official Administering Oath

Linda Graham, Vice President - Administration

Print Name and Title

LORI INBODY

My commission expires on



Lori Inbody

Notary Public,

State of Texas

Expires: 10/04/2019

(CRNGS Broker/Aggregator Renewal - Version 1.08, Revised May 2016)

Page 7 of 7

“Exhibit A-14 - Principal Officers, Directors & Partners”

“CONFIDENTIAL”

OWNERSHIP OF T.E.S. ENERGY SERVICES, L.P.

Name	Address & Telephone	Titles
Kathi Teague	5801 Greenwyck, Plano, TX 75093 972-403-0877	Partner
Deborah Teague	5175 Longvue, Frisco, TX 75034 972-668-5165	Partner
Jerry Gatti	4474 Saint Andrews Blvd., Irving, TX 75038 972-447-0447	Partner / Sr. VP
Jim Bono	136 Golden Rd., Sherman, TX 75090 972-447-0447	Partner / VP Sales
Illuminated Partners, Inc., General Partner	17480 Dallas Parkway, Suite 200, Dallas, TX 75287	General Partner

Addition Officers	No Ownership	
Mike Teague	5801 Greenwyck, Plano, TX 75093 214-316-8259	Co-CEO
Pat Teague	5175 Longvue, Frisco, TX 75034 972-841-0271	Co-CEO

“Exhibit A-15 - Company History”

T. E. S. Energy Services, L.P.

Current Services:

Applicant is an energy consulting company of retail electric and natural gas supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

FILED
In the Office of the
Secretary of State of Texas
FEB 15 2005

CERTIFICATE OF AMENDMENT
TO THE CERTIFICATE OF LIMITED PARTNERSHIP

T.E.S. ENERGY SERVICES, L.P.

Corporations Section

The undersigned limited partnership (the "Partnership"), having filed an original certificate of limited partnership hereby duly executes this Certificate of Amendment to the Certificate of Limited Partnership, which is being filed with the Secretary of State in accordance with Section 2.02 of the Texas Revised Limited Partnership Act.

- I. The name of the Partnership is T.E.S. ENERGY SERVICES, L.P.
- II. Sections 2, 3 and 4 of the Certificate of Limited Partnership are hereby amended to read as follows:

2. Principal Office: The address of the principal office of the Partnership where records of the Partnership are to be kept or made available is 17480 Dallas Parkway, Suite 125, Dallas, Texas 75287.

3. Registered Agent and Registered Office. The registered agent of the Partnership for service of process is an individual resident of the State of Texas whose name and business address are Mike Teague, 17480 Dallas Parkway, Suite 125, Dallas, Texas 75287.

4. General Partner: The name and address of the General Partner is as follows:

Name: Illuminated Partners, Inc.
Mailing Address 17480 Dallas Parkway, Suite 125
Dallas, Texas 75287

SIGNED the 14th day of February, 2005.

GENERAL PARTNER:

ILLUMINATED PARTNERS, INC.

By: Brian Markham
Brian Markham, President

OR

☐ B. This document will become effective at a later date, which is not more than ninety (90) days from the date of its filing by the Secretary of state. The delayed effective date is:

Name Reservation Document Number

EXECUTION

The undersigned sign this document subject to the penalties imposed by law for the submission of a false or fraudulent document.

Signature of General Partner 1: Illuminated Partners, L.L.C., a Texas Limited Liability Company, By. M.
Bruce Peele, Member

FILING OFFICE COPY

Form 207

Secretary of State
P.O. Box 13697
Austin, TX 78711-3697
FAX: 512/463-5709

Filing Fee: \$750



**Certificate of
Limited Partnership
Pursuant to
Article 6132a-1**

iled in the Office of the
Secretary of State of Texas
Filing #: 800253124 10/02/2003
Document #: 43410020003
Image Generated Electronically
for Web Filing

1. Name of Limited Partnership

The name of the limited partnership is: **T. E. S. Energy Services, L.P.**

The name must contain the words "Limited Partnership," or "Limited," or the abbreviation "L.P.," "LP," or "Ltd." as the last words or letters of its name. The name must not be the same as, deceptively similar to or similar to that of an existing corporate, limited liability company, or limited partnership name on file with the secretary of state. A preliminary check for "name availability" is recommended.

2. Principal Office

The address of the principal office in the United States where records of the partnership are to be kept or made available is set forth below:

1504 Westlake Drive, Suite 101, Plano, TX, USA 75075

Article 3 - Registered Agent and Registered Office (Select and complete either A or B and complete C)

☐ A. The initial registered agent is an organization (cannot be limited partnership named above) by the name of:

OR

☒ B. The initial registered agent is an individual resident of the state whose name is set forth below:

First Name M.	M.I. Bruce	Last Name Peele	Suffix x
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C. The business address of the registered agent and the registered office address is:

Street Address 1504 Westlake Drive, Suite 101	City Plano	State TX	Zip Code 75075
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4. General Partner Information

The name, street address, and the mailing address of the business or residence of each general partner is as follows:

Legal Entity: The general partner is a legal entity named:

Illuminated Partners, L.L.C.

First Name INDVI	M.I. DA	Last Name LF	Suffix ist Name
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Street Address 1504 Westlake Drive, Suite 101	City Plano	State TX, USA	Zip Code 75075
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Mailing Address	City	State	Zip Code
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Supplemental Provisions / Information

[The attached addendum, if any, is incorporated herein by reference.]

Effective Date of Filing

☒ A. This document will become effective when the document is filed by the secretary of state.

"Exhibit A-17 - Secretary of State"

UNITED STATES OF AMERICA
STATE OF OHIO
OFFICE OF THE SECRETARY OF STATE

I, Frank LaRose, do hereby certify that I am the duly elected, qualified and present acting Secretary of State for the State of Ohio, and as such have custody of the records of Ohio and Foreign business entities; that said records show T.E.S. ENERGY SERVICES, L.P., a Texas Limited Partnership, Registration Number 1996446, filed on February 11, 2011, is currently in FULL FORCE AND EFFECT upon the records of this office.



*Witness my hand and the seal of the
Secretary of State at Columbus, Ohio
this 8th day of April, A.D. 2019.*

A handwritten signature in black ink, appearing to read "Frank LaRose", written in a cursive style.

Ohio Secretary of State

Validation Number: 201909803488

"Exhibit B-1 - Jurisdictions of Operation"

T.E.S. Energy Services, L.P.

Jurisdiction of Territories

License Required - Applied

For License

Natural Gas - Current Territories Served

State		SOS Renewal Required	Surety Bond Required	GAS License Required	License Required - License #
Arizona	AZ			NO	
California	CT	NO	NO	NO	
District of Columbia (Washin	DC	YES	YES	YES	GA 2015-09 - 3
Florida	FL			NO	
Georgia	GA			NO	
Illinois	IL			NO	
Kentucky	KY			NO	
Maryland	MD			YES	IR-2563
Massachusetts	MA			YES	In Process
New Jersey	NJ			YES	EA-0132; EC-0037
New York	NY			NO	
Ohio	OH			YES	11-214G(4)
Pennsylvania	PA			YES	In Process

"Exhibit B-2 - Experience & Plans"

T.E.S. ENERGY SERVICES, L.P.

Other:

Products and services include:

Current Services: Applicant is an energy consulting company of retail electric & natural gas supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

Power Factor Correction

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

Energy / Operational Savings

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- Electrical capacity increases
- Adjustable speed drives
- High efficiency motors
- Identify compressed air leaks
- Air conditioning system desiccants
- Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

Power Quality

- Measurements/studies to identify the root cause(s) of problems
- Provide power conditioning and various equipment solutions
- UPS
- Voltage regulation
- Surge protection
- Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

Engineering / Projects

- Studies
- Specialized design such as power measurement systems
- Specification preparation
- Turn key projects

"Exhibit B-3 - Summary of Experience"
T.E.S. Energy Services, L.P.

MIKE TEAGUE

17180 Dallas Pkwy #200 • Dallas, TX 75287 • Phone: 972-108-0877 • Fax: 972-117-0100 • E-Mail: mike@tesenergyservices.com

Objective

To provide quality consulting services through education and practical experiences.

Experience

Over-head and Underground Electrical Construction 1973-2004

- Co-owner and President of Teague Industries, Inc./ dba Utility Construction Co., Inc.
- Teague Industries, Inc. was a major contractor for Texas Utilities, Inc. for 54 years. We excelled in pioneering the development of underground electrical distribution systems throughout the State of Texas. As a complement to the business we installed thousands of miles of fiber-optic cable throughout the region as well as Overhead distribution power lines.

Power and Demand Side Consulting 2009-present

- I was co-founder of TES Energy Service, LP i.e., Teague Energy Services. TES Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as demand side management of their facilities. My knowledge of the grid systems as well the production of electricity is of benefit to the customer who does not have the background to make difficult decisions on their electricity needs.

Family

- I have been married for 36 years and have 3 children and three grandchildren.

Education

Graduated from Sherman High School 1968

Associate Degree- Business Grayson County College 1968-1970

Studied Business and Accounting University of Texas at Austin 1968-1973

Community Involvement

Chairman of the local American Diabetes Association 1975

Plano Symphony Orchestra Board 1983-1985

Plano Parks and Recreation Board 1985-1986

Plano City Council Member 1989-1991

Collin County Sheriff's Reserve (Certified Texas Peace Officer) 1991-2004

"Exhibit B-3 - Summary of Experience"
T.E.S. Energy Services, L.P.

Frisco, Texas [Postal Code]

Phone: 972-447-0447 ext. 107 Fax: 972-447-0400 E-Mail: linda@tesenergyservices.com

Pat Teague

Experience

Teague Industries, Inc.

1975-2002

- 1975-77 Worked on Electrical Service Crew installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Electrical Underground and Overhead Distribution
- -2002 Executive Vice-President for Teague Industries head of Construction in charge of up to 350 employees

TES Energy Services

2002-2010

- Co-Founder and Co-CEO of TES Energy Services

Education

Sherman High School

1970

University of Texas @ Austin

1970-74

Degree-Bachelor of Science Business Administration

"Exhibit B-3 - Summary of Experience"
T.E.S. Energy Services, L.P.

Jim H. Bono

17480 Dallas Parkway, Suite #200 Dallas, TX 75287

Phone 972-447-0447 Fax 972-447-0400 email: jim@tesenergyservices.com

Objective

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy efficiency improvement projects, contract review, and billing audits. Building an overall relationship with customers where trust and honesty are vitally important and partnering with customers to make them as profitable as possible.

Experience

VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Texas
- Build relationships with all customers to maintain and increase revenue.
- Assist all customers with service related problems

Education

Texas A&M University

May 1986

Bachelor of Science Degree in Electrical Engineering

Tyler Jr. College

May 1983

Associate of Art Degree

Skills

24 years of experience in the electric utility industry.

Motivated and enthusiastic about developing relationships with all clients

Successfully built and renewed energy agreements with over 100 commercial and industrial customers

Organized effective marketing tools to communicate with accounts.

"Exhibit B-3 - Summary of Experience"

T.E.S. Energy Services, L.P.

Jerry Gatti

17480 Dallas Parkway, Suite 200 Dallas, TX. 75001

Phone: 972-447-0447 Fax: 972-447-0400 E-Mail: jerry@tesenergyservices.com

Objective

To offer complete energy consulting services nationwide.

Experience

TES Energy Services, LP

Oct. 2001 to Present

- Started energy services company in late 2001 as a business development idea out of Teague Industries, a utility construction firm founded in Texas in the late 1940's. Became "Registered aggregator" with Public Utility Commission of Texas in January 2002, the beginning of Texas deregulation of Electricity.
- As partner in firm, have built electric and gas clientele in Texas, California, Ohio, Maryland, California, Illinois, Washington DC. Need license in Pennsylvania to complete our services to nationwide clients.

ROK and Associates

September 1989 to October 2000.

- Sales Manager of Manufactures Rep firm covering Texas, Oklahoma, Arkansas, and Louisiana. Responsible for 17 salesmen and key accounts within territory doing \$95 million in sales.
- Responsible for transitioning firm from predominantly traditional auto parts to more lucrative retail product lines. Business more than tripled during tenure.

Education

Texas Christian University

Bachelor of Arts Degree

1967 to 1972

Skills

Business Development, Account management, and business computer skills.

Community Involvement

Boys and Girls Clubs, Prestonwood Country Club, Prestonwood Business Networking Group, Texas Electric Professionals Association, numerous Chambers of Commerce in the Dallas area.

“Exhibit B-4 - Disclosure of Liabilities and Investigations”

T.E.S. ENERGY SERVICES, L.P.

T.E.S. ENERGY SERVICES, L.P. does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

“Exhibit C-1 - Annual Report”

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-1 is not applicable. There are no annual reports to shareholders.

“Exhibit C-2 - SEC Filings”

T.E.S. ENERGY SERVICES, L.P.

Exhibit C-2 is not applicable. As an energy consultant, we are not required to report to the SEC.

"Exhibit D-1 – Operations"

T.E.S. ENERGY SERVICES, L.P.

Other:

Products and services include:

Current Services: Applicant is an energy consulting company of retail electric and natural gas supply to end user commercial, industrial and residential customers. We work with businesses in the commercial, industrial and government entities to help them make informed decisions on their energy procurement throughout the deregulated territories.

Power Factor Correction

- Service Entrance Capacitor Banks eliminate low power factor utility penalties
- Motor Capacitors also eliminate distribution losses and save kilowatt hours (energy)

Energy / Operational Savings

- Lighting retrofits, on/off controls, daylight harvesting, skylights
- Identify incorrectly sized motors, overloaded equipment, loads that can be turned off
- Electrical capacity increases
- Adjustable speed drives
- High efficiency motors
- Identify compressed air leaks
- Air conditioning system desiccants
- Infrared inspection / scanning
- Predominant use studies to obtain sales tax exemption on gas and electric utility bills

Power Quality

- Measurements/studies to identify the root cause(s) of problems
- Provide power conditioning and various equipment solutions
- UPS
- Voltage regulation
- Surge protection
- Harmonic filters and line reactors
- Dip Proofing inverters
- Programming AC/DC adjustable speed drives to eliminate nuisance tripping
- Single phasing protection
- Flicker, motor soft starters
- Protective device coordination
- "Ride thru" techniques to eliminate nuisance equipment/process shutdowns

Engineering / Projects

- Studies
- Specialized design such as power measurement systems
- Specification preparation
- Turn key projects

"Exhibit D-2 - Operations Expertise"

T.E.S. Energy Services, L.P.

MIKE TEAGUE

17180 Dallas Pkwy #200 • Dallas, Tx 75287 • Phone: 972-103-0877 • Fax: 972-417-0100 • E-Mail: mike@tesenergyservices.com

Objective

To provide quality consulting services through education and practical experiences.

Experience

Over-head and Underground Electrical Construction 1973-2004

- Co-owner and President of Teague Industries ,Inc./ dba Utility Construction Co. , Inc.
- Teague Industries , Inc. was a major contractor for Texas Utilities ,Inc . for 54 years. We excelled in pioneering the development of underground electrical distribution systems throughout the State of Texas. As a complement to the business we installed thousands of miles of fiber-optic cable throughout the region as well as Overhead distribution power lines.

Power and Demand Side Consulting 2002-present

- I was co-founder of TES Energy Service,LP i.e., Teague Energy Services. TES Energy Services was founded to bring my energy background to the market place assisting customers with pricing options as well as demand side management of their facilities. My knowledge of the grid systems as well the production of electricity is of benefit to the customer who does not have the background to make difficult decisions on their electricity needs.

• Family

- I have been married for 36 years and have 3 children and three grandchildren .

Education

Graduated from Sherman High School 1968

Associate Degree- Business Grayson County College 1968-1970

Studied Business and Accounting University of Texas at Austin 1968-1973

Community Involvement

Chairman of the local American Diabetes Association 1975

Piano Symphony Orchestra Board 1983-1985

Piano Parks and Recreation Board 1985-1986

Piano City Council Member 1989-1991

Collin County Sheriff Reserve (Certified Texas Peace Officer) 1991-2004

"Exhibit D-2 - Operations Expertise"

T.E.S. Energy Services, L.P.

Frisco, Texas [Postal Code]

Phone: 972-447-0447 ext. 107 Fax: 972-447-0400 E-Mail: linda@tesenergyservices.com

Pat Teague

Experience

Teague Industries, Inc.

1975-2002

- 1975-77 Worked on Electrical Service Crew installing electrical services to residential
- 1977-79 Services Crew Foreman
- 1979-85 Superintendent of Services-in charge of as many as 30 services crews-90 people
- 1985 Vice-President of Construction for Electrical Underground and Overhead Distribution
- -2002 Executive Vice-President for Teague Industries head of Construction in charge of up to 350 employees

TES Energy Services

2002-2010

- Co-Founder and Co-CEO of TES Energy Services

Education

Sherman High School

1970

University of Texas @ Austin

1970-74

Degree-Bachelor of Science Business Administration

"Exhibit D-2 - Operations Expertise" **T.E.S. Energy Services, L.P.**

Jim H. Bono

17480 Dallas Parkway, Suite #200 Dallas, TX 75287

Phone 972-447-0447 Fax 972-447-0400 email: jim@tesenergyservices.com

Objective

To assist customers with all of their energy needs. These needs would include electricity procurement, natural gas procurement, energy efficiency improvement projects, contract review, and billing audits. Building an overall relationship with customers where trust and honesty are vitally important and partnering with customers to make them as profitable as possible.

Experience

VP of Sales - TES Energy Services

3/2003 - Present

- Provide energy procurement and consultant services to customers throughout Texas.
- Assist clients with energy efficiency improvement projects
- Assist customers with billing issues as well as all service related problems.

Account Manager - TXU Energy

1/2000 - 3/2003

- Provide electricity and natural gas procurement services to commercial and industrial customers in Texas
- Build relationships with all customers to maintain and increase revenue.
- Assist all customers with service related problems

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May 1986

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"Exhibit D-2 - Operations Expertise"

T.E.S. Energy Services, L.P.

Jerry Gatti

17480 Dallas Parkway, Suite 200 Dallas, TX. 75001

Phone: 972-447-0447 Fax: 972-447-0400 E-Mail: jerry@tesenergyservices.com

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“Exhibit D-3 – Key Technical Personnel”

T.E.S. ENERGY SERVICES, L.P.

Name	Address & Telephone	Title
Mike Teague	5801 Greenwyck, Plano, TX 75093 214-316-8259	Co-CEO
Pat Teague	5175 Longvue, Frisco, TX 75034 972-841-0271	Co-CEO
Jerry Gatti	4474 Saint Andrews Blvd., Irving, TX 75038 972-447-0447	VP Sales
Jim Bono	136 Golden Rd., Sherman, TX 75090 972-447-0447	VP Sales