

15-0643-EL-AGG

FILE **Ohio**

**Public Utilities
Commission**

Original AGG Case Number	Version
15-0643-EL-AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Triple "S" Energy Management LLC
Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
PUCO Certificate # and Date Certified 15-973E (2) on May 4, 2017
Telephone # (330) 339-6001 Web site address (if any) www.triplesenergy.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Triple "S" Energy Management LLC
Address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
Telephone # (330) 339-6001 Web site address (if any) www.triplesenergy.com

A-4 List all names under which the applicant does business in North America

Triple "S" Energy Management LLC

A-5 Contact person for regulatory or emergency matters

Name Sandy Carpenter
Title Owner/President
Business address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
Telephone # (330) 339-6001 Fax # (330) 576-4141
E-mail address scarpenter@triplesenergy.com

PUCO

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This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
technician MA Date Processed MAR 04 2019

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Sandy Carpenter
Title Owner/President
Business address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
Telephone # (330) 339-6001 Fax # (330) 576-4141
E-mail address scarpenter@triplesenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 2038 Oldtown Valley Road SE, New Philadelphia, Ohio 44663
Toll-free Telephone # (330) 339-6001 Fax # (330) 576-4141
E-mail address scarpenter@triplesenergy.com

A-8 Applicant's federal employer identification number # 26-4585783

A-9 Applicant's form of ownership (check one)

- | | |
|--|---|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-10 Exhibit A-10 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 **Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 **Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

 Owner/President
Signature of Applicant & Title

Sworn and subscribed before me this 28 day of February, 2019
Month Year


Signature of official administering oath

Alan Bambeck
Print Name and Title
Notary

My commission expires on 12/26/2023



ALAN BAMBECK
Notary Public, State of Ohio
My Commission Expires
12/26/2023

AFFIDAVIT

State of Ohio :

New Phila ss.
(Town)

County of Tuscarawas :

Sandy Carpenter, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Owner (Office of Affiant) of Triple S Energy Mgt. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

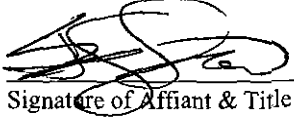
1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

**Triple "S" Energy
Management LLC**

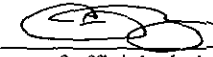
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11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

 Owner / President
Signature of Affiant & Title

Sworn and subscribed before me this 28 day of February, 2019
Month Year


Signature of official administering oath

Alan Bambeck, Notary
Print Name and Title

My commission expires on 12 / 26 / 2023



ALAN BAMBECK
Notary Public, State of Ohio
My Commission Expires
12/26/2023

Exhibit A-10 "Principal Officers, Directors & Partners"

Company: Triple "S" Energy Management LLC

**Principal Officer:
Sandy Carpenter**

**Title:
Owner/President**

**Address:
2038 Oldtown Valley Road SE
New Philadelphia, Ohio 44663**

**Telephone Number:
330-339-6001**

Exhibit B-1 "Jurisdictions of Operation"

Company: Triple "S" Energy Management LLC

Ohio

Exhibit B-2 "Experience & Plans"

Company: Triple "S" Energy Management LLC

Triple "S" Energy was started by Sandy Carpenter with 35 years of natural gas experience and 8 years of electric procurement which includes consulting energy services to small, medium and large commercial and industrial customers.

My plans for contracting with customers is to offer an all in price for electricity use through Suppliers. As a Broker, Suppliers of these commodities would be willing to contract with Triple "S" Energy as a Broker. Supplier will pay Triple "S" Energy a Broker fee for bringing the customer to that particular supplier. Compensation will be based on mils per each Kwh used. Compensation will be paid to Triple "S" Energy by the Supplier on a monthly basis based on the customers actual usage.

Triple "S" Energy takes an individualized personal approach with its clients and provides a monthly savings report identifying the savings incurred within each month. Any inquiries or problems are addressed immediately with professionalism, knowledge and expertise to solve such inquiry.

Exhibit B-3 “Disclosure of Liabilities and Investigations”

Company: Triple “S” Energy Management LLC

None

Exhibit B-4 “Disclosure of Consumer Protection Violations”

Company: Triple “S” Energy Management LLC

None

**Exhibit B-5 “Disclosure of Certification Denial, Curtailment,
Suspension or Revocation”**

Company: Triple “S” Energy Management LLC

None

Exhibit C-1 "Annual Report"

Company: Triple "S" Energy Management LLC

**Triple "S" Energy is a small entity with 1 part-time employee
and one owner strong and growing. I do not have Annual
Reports nor do I have Shareholders**

Exhibit C-2 "SEC Filings"

Company: Triple "S" Energy Management LLC

**Triple "S" Energy is not required to submit SEC filings
because Triple "S" Energy is not a public traded company**

Exhibit C-3 "Financial Statements"

**Financial Statements prepared by Sandy Carpenter, Owner of
Triple "S" Energy Management LLC
Unaudited**

Year 2017

Year 2018

Attached as part of Exhibit C-3

Triple "S" Energy
Management LLCCOPY
OMB No. 1545-0123Form **1120S**

U.S. Income Tax Return

Do not file this form unless the
attaching Form 2553 to electGo to www.irs.gov/Form1120S for inst**2017**

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For calendar year 2017 or tax year beginning

, ending

A Selection effective date 01/01/17	TYPE OR PRINT	Name TRIPLE S ENERGY MANAGEMENT LLC	D Employer identification number 26-4585783
B Business activity code number (see instructions) 561490		Number, street, and room or suite no. If a P.O. box, see instructions. 2038 OLDTOWN VALLEY RD SE	E Date incorporated 01/01/2017
C Check if Sch. M-3 attached <input type="checkbox"/>		City or town, state or province, country, and ZIP or foreign postal code NEW PHILADELPHIA OH 44663	F Total assets (see instructions) \$

G Is the corporation electing to be an S corporation beginning with this tax year? ☒ Yes ☐ No If "Yes," attach Form 2553 if not already filed**H** Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocation**I** Enter the number of shareholders who were shareholders during any part of the tax year **1****Caution.** Include only trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a Gross receipts or sales	1a	183,507	
	b Returns and allowances	1b		
	c Balance. Subtract line 1b from line 1a			1c 183,507
	2 Cost of goods sold (attach Form 1125-A)			2
	3 Gross profit. Subtract line 2 from line 1c			3 183,507
	4 Net gain (loss) from Form 4797, line 17 (attach Form 4797)			4
Deductions (see instructions for limitations)	5 Other income (loss) (see instructions—attach statement)			5
	6 Total income (loss). Add lines 3 through 5			6 183,507
	7 Compensation of officers (see instructions—attach Form 1125-E)			7 42,000
	8 Salaries and wages (less employment credits)			8
	9 Repairs and maintenance			9
	10 Bad debts			10
	11 Rents			11
	12 Taxes and licenses			12 3,741
	13 Interest			13
	14 Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)			14
	15 Depletion (Do not deduct oil and gas depletion.)			15
	16 Advertising			16 8,385
	17 Pension, profit-sharing, etc., plans			17
	18 Employee benefit programs			18
	19 Other deductions (attach statement)		SEE STMT 1	19 41,376
20 Total deductions. Add lines 7 through 19			20 95,502	
21 Ordinary business income (loss). Subtract line 20 from line 6			21 88,005	
Tax and Payments	22a Excess net passive income or LIFO recapture tax (see instructions)	22a		
	b Tax from Schedule D (Form 1120S)	22b		
	c Add lines 22a and 22b (see instructions for additional taxes)			22c
	23a 2017 estimated tax payments and 2016 overpayment credited to 2017	23a		
	b Tax deposited with Form 7004	23b		
	c Credit for federal tax paid on fuels (attach Form 4136)	23c		
	d Add lines 23a through 23c			23d
	24 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>			24
	25 Amount owed. If line 23d is smaller than the total of lines 22c and 24, enter amount owed			25
	26 Overpayment. If line 23d is larger than the total of lines 22c and 24, enter amount overpaid			26
27 Enter amount from line 26 Credited to 2018 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/>			27	

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ NoSign
Here

Signature of officer

Date

Title

Paid
Preparer
Use Only

Print/Type preparer's name

ALAN J. BAMBECK, CPA

Preparer's signature

ALAN J. BAMBECK, CPA

Date

03/19/18

Check ☐ if

self-employed

PTIN

P00005578

Firm's name

BAMBECK & ASSOCIATES LLC

Firm's EIN

51-0659924

Firm's address

830 WEST STATE STREET

NEWCOMERSTOWN, OH

43832

Phone no.

740-498-6984

For Paperwork Reduction Act Notice, see separate instructions.

Form 1120S (2017)

17

Schedule B Other Information (see instructions)

- 1 Check accounting method: a ☒ Cash b ☐ Accrual
c ☐ Other (specify) ▶

- 2 See the instructions and enter the:

a Business activity ▶ **CONSULTING**

b Product or service ▶ **ENERGY**

- 3 At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation

Triple "S" Energy Management LLC

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Corporation:

ectly or indirectly, 50% or more of the total stock issued and outstanding of any
ules of constructive ownership, see instructions. If "Yes," complete (i) through (v)

Yes	No
	X
	X

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made

- b Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below

(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital

- 5a At the end of the tax year, did the corporation have any outstanding shares of restricted stock?

If "Yes," complete lines (i) and (ii) below.

(i) Total shares of restricted stock ▶

(ii) Total shares of non-restricted stock ▶

- b At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments?

If "Yes," complete lines (i) and (ii) below.

(i) Total shares of stock outstanding at the end of the tax year ▶

(ii) Total shares of stock outstanding if all instruments were executed ▶

- 6 Has this corporation filed, or is it required to file, **Form 8918**, Material Advisor Disclosure Statement, to provide information on any reportable transaction?

- 7 Check this box if the corporation issued publicly offered debt instruments with original issue discount ▶ ☐

If checked, the corporation may have to file **Form 8281**, Information Return for Publicly Offered Original Issue Discount Instruments.

- 8 If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) ▶ \$

- 9 Enter the accumulated earnings and profits of the corporation at the end of the tax year. \$

- 10 Does the corporation satisfy both of the following conditions?

a The corporation's total receipts (see instructions) for the tax year were less than \$250,000

b The corporation's total assets at the end of the tax year were less than \$250,000

If "Yes," the corporation is not required to complete Schedules L and M-1.

- 11 During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt?

If "Yes," enter the amount of principal reduction \$

- 12 During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions

- 13a Did the corporation make any payments in 2017 that would require it to file Form(s) 1099?

b If "Yes," did the corporation file or will it file required Forms 1099?

	X
	X
	X
	X
	X
	X
	X

Schedule K Shareholders' Pro Rata Share Items

Total amount

			Total amount
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)		88,005
	2 Net rental real estate income (loss) (attach Form 8825)		
	3a Other gross rental income (loss)	3a	
	b Expenses from other rental activities (attach statement)	3b	
	c Other net rental income (loss). Subtract line 3b from line 3a	3c	
	4 Interest income	4	
	5 Dividends: a Ordinary dividends	5a	
	b Qualified dividends	5b	
	6 Royalties	6	
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7	
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a	
	b Collectibles (28%) gain (loss)	8b	
	c Unrecaptured section 1250 gain (attach statement)	8c	
	9 Net section 1231 gain (loss) (attach Form 4797)	9	
	10 Other income (loss) (see instructions) Type ▶	10	
	11 Section 179 deduction (attach Form 4562)	11	
	12a Charitable contributions	12a	
Credits	b Investment interest expense	12b	
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)	
	d Other deductions (see instructions) Type ▶	12d	
	13a Low-income housing credit (section 42(j)(5))	13a	
	b Low-income housing credit (other)	13b	
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)	13c	
	d Other rental real estate credits (see instructions) Type ▶	13d	
Foreign Transactions	e Other rental credits (see instructions) Type ▶	13e	
	f Biofuel producer credit (attach Form 6478)	13f	
	g Other credits (see instructions) Type ▶	13g	
	14a Name of country or U.S. possession ▶	14b	
	b Gross income from all sources	14c	
	c Gross income sourced at shareholder level Foreign gross income sourced at corporate level	14d	
	d Passive category	14e	
	e General category	14f	
	f Other (attach statement) Deductions allocated and apportioned at shareholder level	14g	
	g Interest expense	14h	
	h Other Deductions allocated and apportioned at corporate level to foreign source income	14i	
	i Passive category	14j	
	j General category	14k	
	k Other (attach statement) Other information	14l	
Alternative Minimum Tax (AMT) Items	l Total foreign taxes (check one): <input type="checkbox"/> Paid <input type="checkbox"/> Accrued	14m	
	m Reduction in taxes available for credit (attach statement)	14n	
	n Other foreign tax information (attach statement)	15a	
	15a Post-1986 depreciation adjustment	15b	
	b Adjusted gain or loss	15c	
	c Depletion (other than oil and gas)	15d	
Items Affecting Shareholder Basis	d Oil, gas, and geothermal properties – gross income	15e	
	e Oil, gas, and geothermal properties – deductions	15f	
	f Other AMT items (attach statement)	16a	
	16a Tax-exempt interest income	16b	
	b Other tax-exempt income	16c	592
c Nondeductible expenses	16d		
d Distributions (attach statement if required) (see instructions)	16e		
e Repayment of loans from shareholders			

Triple "S" Energy Management LLC**15-0643-EL-AGG**

Schedule K Shareholders' Pro Rata Share Items (continued)		Total amount	
Other Information	17a Investment income	17a	
	b Investment expenses	17b	
	c Dividend distributions paid from accumulated earnings and profits	17c	
	d Other items and amounts (attach statement)		
Reconciliation	18 Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14i	18	

Schedule L Balance Sheets per Books		Beginning of tax year		End of tax year	
Assets		(a)	(b)	(c)	(d)
1 Cash					
2a Trade notes and accounts receivable					
b Less allowance for bad debts	(
3 Inventories					
4 U.S. government obligations					
5 Tax-exempt securities (see instructions)					
6 Other current assets (attach statement)					
7 Loans to shareholders					
8 Mortgage and real estate loans					
9 Other investments (attach statement)					
10a Buildings and other depreciable assets					
b Less accumulated depreciation	(
11a Depletable assets					
b Less accumulated depletion	(
12 Land (net of any amortization)					
13a Intangible assets (amortizable only)					
b Less accumulated amortization	(
14 Other assets (attach statement)					
15 Total assets					
Liabilities and Shareholders' Equity					
16 Accounts payable					
17 Mortgages, notes, bonds payable in less than 1 year					
18 Other current liabilities (attach statement)					
19 Loans from shareholders					
20 Mortgages, notes, bonds payable in 1 year or more					
21 Other liabilities (attach statement)					
22 Capital stock					
23 Additional paid-in capital					
24 Retained earnings					
25 Adjustments to shareholders' equity (attach statement)					
26 Less cost of treasury stock	(
27 Total liabilities and shareholders' equity					

Form **1120S** (2017)

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note: The corporation may be required to file Schedule M-3 (see instructions)

1 Net income (loss) per books		5 Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
2 Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize)		a Tax-exempt interest \$	
3 Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14l (itemize):		6 Deductions included on Schedule K, lines 1 through 12 and 14l, not charged against book income this year (itemize):	
a Depreciation \$		a Depreciation \$	
b Travel and entertainment \$		7 Add lines 5 and 6	
4 Add lines 1 through 3		8 Income (loss) (Schedule K, line 18). Line 4 less line 7	

Schedule M-2 Analysis of Accumulated Adjustments Account, Other Adjustments Account, and Shareholders' Undistributed Taxable Income Previously Taxed (see instructions)

	(a) Accumulated adjustments account	(b) Other adjustments account	(c) Shareholders' undistributed taxable income previously taxed
1 Balance at beginning of tax year			
2 Ordinary income from page 1, line 21	88,005		
3 Other additions			
4 Loss from page 1, line 21	(
5 Other reductions STMT 2	592		
6 Combine lines 1 through 5	87,413		
7 Distributions other than dividend distributions			
8 Balance at end of tax year. Subtract line 7 from line 6	87,413		

Form 1120S (2017)

Triple "S" Energy
Management LLC

15-0643-EL-AGG

Triple "S" Energy
Management LLC

671117

Schedule K-
(Form 1120S)

15-0643-EL-AGG

017

for calendar year 2017, or tax year

☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

Department of the Treasury
Internal Revenue Service

beginning

ending

Shareholder's Share of Income, Deductions,
Credits, etc.

▶ See back of form and separate instructions.

Part I Information About the Corporation

A Corporation's employer identification number

26-4585783

B Corporation's name, address, city, state, and ZIP code

TRIPLE S ENERGY MANAGEMENT LLC

2038 OLDTOWN VALLEY RD SE

NEW PHILADELPHIA OH 44663

C IRS Center where corporation filed return

CINCINNATI, OH 45999

Part II Information About the Shareholder

SANDRA K CARPENTER

2038 OLDTOWN VALLEY RD SE

NEW PHILADELPHIA

OH 44663

F Shareholder's percentage of stock
ownership for tax year

100.000000 %

Part III Shareholder's Share of Current Year Income,
Deductions, Credits, and Other Items

1	Ordinary business income (loss)	13	Credits
	88,005		
2	Net rental real estate income (loss)		
3	Other net rental income (loss)		
4	Interest income		
5a	Ordinary dividends		
5b	Qualified dividends	14	Foreign transactions
6	Royalties		
7	Net short-term capital gain (loss)		
8a	Net long-term capital gain (loss)		
8b	Collectibles (28%) gain (loss)		
8c	Unrecaptured section 1250 gain		
9	Net section 1231 gain (loss)		
10	Other income (loss)	15	Alternative minimum tax (AMT) items
11	Section 179 deduction	16	Items affecting shareholder basis
		C*	592
12	Other deductions		
		17	Other information

* See attached statement for additional information.

For IRS Use Only

Federal Statements**Statement 1 - Form 1120S, Page 1, Line 19 - Other Deductions**

<u>Description</u>	<u>Amount</u>
CONTRACT LABOR	\$ 13,960
SUPPLIES	4,372
POSTAGE	583
PHONE	1,792
CELL PHONE	2,601
INTERNET	4,195
DUES AND SUBSCRIPTIONS	6,377
TRAVEL	823
CONTINUING EDUCATION	85
PROFESSIONAL SERVICES	2,248
REFERRALS	150
AUTO	1,649
INSURANCE - GENERAL LIABILITY	1,703
INSURANCE - WORKERS COMP	122
BANK SERVICE CHARGE	23
FEES	100
50% OF MEALS & ENTERTAINMENT	593
TOTAL	\$ <u>41,376</u>

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Statement 2 - Form 1120S, Page 5, Schedule M-2, Line 5(a) - Other Reductions

<u>Description</u>	<u>Amount</u>
MEALS & ENTERTAINMENT	\$ 592
TOTAL	\$ <u>592</u>

Federal Statements**Sandra K Carpenter**
**Schedule K-1, Box 16, Code C - Nondeductible Expenses**

<u>Description</u>	<u>Shareholder Amount</u>
PAGE 1 MEALS/ENTERTAINMENT	\$ 592
TOTAL	<u>\$ 592</u>

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Triple "S" Energy
Management LLC

OMB No. 1545-0123

Form **1120S**

U.S. Income Tax Return

Do not file this form unless
attaching Form 2553 to itGo to www.irs.gov/Form1120S for

15-0643-EL-AGG

2018Department of the Treasury
Internal Revenue Service

For calendar year 2018 or tax year beginning , ending

A Selection effective date 01/01/17	TYPE OR PRINT	Name TRIPLE S ENERGY MANAGEMENT LLC	D Employer identification number 26-4585783
B Business activity code number (see instructions) 561490		Number, street, and room or suite no. If a P.O. box, see instructions. 2038 OLDTOWN VALLEY RD SE	E Date incorporated 01/01/2017
C Check if Sch. M-3 attached <input type="checkbox"/>		City or town, state or province, country, and ZIP or foreign postal code NEW PHILADELPHIA OH 44663	F Total assets (see instructions) \$

G Is the corporation electing to be an S corporation beginning with this tax year? ☐ Yes ☒ No If "Yes," attach Form 2553 if not already filedH Check if: (1) ☐ Final return (2) ☐ Name change (3) ☐ Address change (4) ☐ Amended return (5) ☐ S election termination or revocationI Enter the number of shareholders who were shareholders during any part of the tax year **1**Caution: Include **only** trade or business income and expenses on lines 1a through 21. See the instructions for more information.

Income	1a Gross receipts or sales	1a	236,886	
	b Returns and allowances	1b		
	c Balance. Subtract line 1b from line 1a	1c		236,886
	2 Cost of goods sold (attach Form 1125-A)	2		
	3 Gross profit. Subtract line 2 from line 1c	3		236,886
	4 Net gain (loss) from Form 4797, line 17 (attach Form 4797)	4		
Deductions (see instructions for limitations)	5 Other income (loss) (see instructions—attach statement)	5		
	6 Total income (loss). Add lines 3 through 5	6		236,886
	7 Compensation of officers (see instructions—attach Form 1125-E)	7		72,000
	8 Salaries and wages (less employment credits)	8		14,625
	9 Repairs and maintenance	9		
	10 Bad debts	10		
	11 Rents	11		
	12 Taxes and licenses	12		7,182
	13 Interest (see instructions)	13		
	14 Depreciation not claimed on Form 1125-A or elsewhere on return (attach Form 4562)	14		
	15 Depletion (Do not deduct oil and gas depletion.)	15		
	16 Advertising	16		4,405
	17 Pension, profit-sharing, etc., plans	17		
	18 Employee benefit programs	18		
	19 Other deductions (attach statement)	19	SEE STMT 1	33,478
20 Total deductions. Add lines 7 through 19	20		131,690	
21 Ordinary business income (loss). Subtract line 20 from line 6	21		105,196	
Tax and Payments	22a Excess net passive income or LIFO recapture tax (see instructions)	22a		
	b Tax from Schedule D (Form 1120S)	22b		
	c Add lines 22a and 22b (see instructions for additional taxes)	22c		
	23a 2018 estimated tax payments and 2017 overpayment credited to 2018	23a		
	b Tax deposited with Form 7004	23b		
	c Credit for federal tax paid on fuels (attach Form 4136)	23c		
	d Refundable credit from Form 8827, line 8c	23d		
	e Add lines 23a through 23d	23e		
	24 Estimated tax penalty (see instructions). Check if Form 2220 is attached <input type="checkbox"/>	24		
	25 Amount owed. If line 23e is smaller than the total of lines 22c and 24, enter amount owed	25		
	26 Overpayment. If line 23e is larger than the total of lines 22c and 24, enter amount overpaid	26		
27 Enter amount from line 26: Credited to 2019 estimated tax <input checked="" type="checkbox"/> Refunded <input type="checkbox"/>	27			

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Sign Here

Signature of officer **SANDRA K CARPENTER**

Date

MEMBER

Title

Paid
Preparer
Use Only

Print/Type preparer's name

ALAN J. BAMBECK, CPA

Preparer's signature

ALAN J. BAMBECK, CPA

Date

02/28/19Check ☐ if

self-employed

PTIN

P00005578

Firm's name

BAMBECK & ASSOCIATES LLC

Firm's EIN

51-0659924

Firm's address

**830 WEST STATE STREET
NEWCOMERSTOWN, OH****43832**

Phone no.

740-498-6984

For Paperwork Reduction Act Notice, see separate instructions.

Form **1120S** (2018)

Schedule B Other Information (see instructions)

1 Check accounting method:	a <input checked="" type="checkbox"/> Cash	b <input type="checkbox"/> Accrual			Yes	No
	c <input type="checkbox"/> Other (specify) ▶					
2 See the instructions and enter the:	a Business activity ▶ CONSULTING		b Product or service ▶ ENERGY			
3 At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation						X
4 At the end of the tax year, did the corporation:						
a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below						X
(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made		
b Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below						X
(i) Name of Entity	(ii) Employer Identification Number (if any)	(iii) Type of Entity	(iv) Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital		
5 a At the end of the tax year, did the corporation have any outstanding shares of restricted stock? If "Yes," complete lines (i) and (ii) below.						X
(i) Total shares of restricted stock						
(ii) Total shares of non-restricted stock						
b At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments? If "Yes," complete lines (i) and (ii) below.						X
(i) Total shares of stock outstanding at the end of the tax year						
(ii) Total shares of stock outstanding if all instruments were executed						
6 Has this corporation filed, or is it required to file, Form 8918 , Material Advisor Disclosure Statement, to provide information on any reportable transaction?						X
7 Check this box if the corporation issued publicly offered debt instruments with original issue discount. If checked, the corporation may have to file Form 8281 , Information Return for Publicly Offered Original Issue Discount Instruments.						<input type="checkbox"/>
8 If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) ▶ \$						
9 Did the corporation have an election under section 163(j) for any real property trade or business or any farming business in effect during the tax year? See instructions						X
10 Does the corporation satisfy one of the following conditions and the corporation doesn't own a pass-through entity with current year, or prior year carryover, excess business interest expense? See instructions						X
a The corporation's aggregate average annual gross receipts (determined under section 448(c)) for the 3 tax years preceding the current tax year don't exceed \$25 million, and the corporation isn't a tax shelter; or						
b The corporation only has business interest expense from (1) an electing real property trade or business, (2) an electing farming business, or (3) certain utility businesses under section 163(j)(7). If "No," complete and attach Form 8990.						
11 Does the corporation satisfy both of the following conditions?						
a The corporation's total receipts (see instructions) for the tax year were less than \$250,000						X
b The corporation's total assets at the end of the tax year were less than \$250,000						
If "Yes," the corporation is not required to complete Schedules L and M-1.						

Schedule B Other Information (see instructions)

1	Check accounting method:	a	<input checked="" type="checkbox"/> Cash	b	<input type="checkbox"/> Accrual	Yes	No
		c	<input type="checkbox"/> Other (specify) ▶				
2	See the instructions and enter the:						
	a Business activity ▶	CONSULTING			b Product or service ▶	ENERGY	
3	At any time during the tax year, was any shareholder of the corporation a disregarded entity, a trust, an estate, or a nominee or similar person? If "Yes," attach Schedule B-1, Information on Certain Shareholders of an S Corporation						X
4	At the end of the tax year, did the corporation:						
	a Own directly 20% or more, or own, directly or indirectly, 50% or more of the total stock issued and outstanding of any foreign or domestic corporation? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below						X

(i) Name of Corporation	(ii) Employer Identification Number (if any)	(iii) Country of Incorporation	(iv) Percentage of Stock Owned	(v) If Percentage in (iv) is 100%, Enter the Date (if any) a Qualified Subchapter S Subsidiary Election Was Made

b	Own directly an interest of 20% or more, or own, directly or indirectly, an interest of 50% or more in the profit, loss, or capital in any foreign or domestic partnership (including an entity treated as a partnership) or in the beneficial interest of a trust? For rules of constructive ownership, see instructions. If "Yes," complete (i) through (v) below	Yes	No
			X

(i) Name of Entity	Country of Organization	(v) Maximum Percentage Owned in Profit, Loss, or Capital
Triple "S" Energy Management LLC		
15-0643-EL-AGG		

5 a	At the end of the tax year, did the corporation have any outstanding shares of restricted stock?	X
	If "Yes," complete lines (i) and (ii) below.	
	(i) Total shares of restricted stock ▶	
	(ii) Total shares of non-restricted stock ▶	
b	At the end of the tax year, did the corporation have any outstanding stock options, warrants, or similar instruments?	X
	If "Yes," complete lines (i) and (ii) below.	
	(i) Total shares of stock outstanding at the end of the tax year ▶	
	(ii) Total shares of stock outstanding if all instruments were executed ▶	
6	Has this corporation filed, or is it required to file, Form 8918 , Material Advisor Disclosure Statement, to provide information on any reportable transaction?	X
7	Check this box if the corporation issued publicly offered debt instruments with original issue discount ▶ <input type="checkbox"/>	
	If checked, the corporation may have to file Form 8281 , Information Return for Publicly Offered Original Issue Discount Instruments.	
8	If the corporation: (a) was a C corporation before it elected to be an S corporation or the corporation acquired an asset with a basis determined by reference to the basis of the asset (or the basis of any other property) in the hands of a C corporation and (b) has net unrealized built-in gain in excess of the net recognized built-in gain from prior years, enter the net unrealized built-in gain reduced by net recognized built-in gain from prior years (see instructions) ▶ \$	
9	Did the corporation have an election under section 163(j) for any real property trade or business or any farming business in effect during the tax year? See instructions	X
10	Does the corporation satisfy one of the following conditions and the corporation doesn't own a pass-through entity with current year, or prior year carryover, excess business interest expense? See instructions	X
	a The corporation's aggregate average annual gross receipts (determined under section 448(c)) for the 3 tax years preceding the current tax year don't exceed \$25 million, and the corporation isn't a tax shelter; or	
	b The corporation only has business interest expense from (1) an electing real property trade or business, (2) an electing farming business, or (3) certain utility businesses under section 163(j)(7).	
	If "No," complete and attach Form 8990.	
11	Does the corporation satisfy both of the following conditions?	
	a The corporation's total receipts (see instructions) for the tax year were less than \$250,000	
	b The corporation's total assets at the end of the tax year were less than \$250,000	X
	If "Yes," the corporation is not required to complete Schedules L and M-1.	

Schedule B Other Information (see instructions) (continued)

	Yes	No
12 During the tax year, did the corporation have any non-shareholder debt that was canceled, was forgiven, or had the terms modified so as to reduce the principal amount of the debt?		X
If "Yes," enter the amount of principal reduction ▶ \$		
13 During the tax year, was a qualified subchapter S subsidiary election terminated or revoked? If "Yes," see instructions		X
14a Did the corporation make any payments in 2018 that would require it to file Form(s) 1099?	X	
b If "Yes," did the corporation file or will it file required Forms 1099?	X	
15 Is the corporation attaching Form 8996 to certify as a Qualified Opportunity Fund?		X
If "Yes," enter the amount from Form 8996, line 13 ▶ \$		

Schedule K Shareholders' Pro Rata Share Items

		Total amount
Income (Loss)	1 Ordinary business income (loss) (page 1, line 21)	1 105,196
	2 Net rental real estate income (loss) (attach Form 8825)	2
	3a Other gross rental income (loss) 3a	
	b Expenses from other rental activities (attach statement) 3b	
	c Other net rental income (loss). Subtract line 3b from line 3a	3c
	4 Interest income	4
	5 Dividends: a Ordinary dividends	5a
	b Qualified dividends 5b	
	6 Royalties	6
	7 Net short-term capital gain (loss) (attach Schedule D (Form 1120S))	7
Deductions	8a Net long-term capital gain (loss) (attach Schedule D (Form 1120S))	8a
	b Collectibles (28%) gain (loss) 8b	
	c Unrecaptured section 1250 gain (attach statement) 8c	
	9 Net section 1231 gain (loss) (attach Form 4797)	9
	10 Other income (loss) (see instructions) Type ▶	10
	11 Section 179 deduction (attach Form 4562)	11
	12a Charitable contributions	12a
	b Investment interest expense	12b
	c Section 59(e)(2) expenditures (1) Type ▶ (2) Amount ▶	12c(2)
	d Other deductions (see instructions) Type ▶	12d
Credits	13a Low-income housing credit (section 42(j)(5))	13a
	b Low-income housing credit (other)	13b
	c Qualified rehabilitation expenditures (rental real estate) (attach Form 3468, if applicable)	13c
	d Other rental real estate credits (see instructions) Type ▶	13d
	e Other rental credits (see instructions) Type ▶	13e
	f Biofuel producer credit (attach Form 6478)	13f
	g Other credits (see instructions) Type ▶	13g
	Foreign Transactions	14a Name of country or U.S. possession ▶
b Gross income from all sources		14c
c Gross income sourced at shareholder level Foreign gross income sourced at corporate level		14d
d Section 951A category		14e
e Foreign branch category		14f
f Passive category		14g
g General category		14h
h Other (attach statement) Deductions allocated and apportioned at shareholder level		14i
i Interest expense		14j
j Other Deductions allocated and apportioned at corporate level to foreign source income		14k
k Section 951A category		14l
l Foreign branch category		14m
m Passive category		14n
n General category		14o
o Other (attach statement) Other information		14p
p Total foreign taxes (check one): ▶ <input type="checkbox"/> Paid <input type="checkbox"/> Accrued		14q
q Reduction in taxes available for credit (attach statement)		
r Other foreign tax information (attach statement)		

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Schedule K Shareholders' Pro Rata Share Items (continued)

Total amount

Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a	
	b Adjusted gain or loss	15b	
	c Depletion (other than oil and gas)	15c	
	d Oil, gas, and geothermal properties – gross income	15d	
	e Oil, gas, and geothermal properties – deductions	15e	
	f Other AMT items (attach statement)	15f	
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a	
	b Other tax-exempt income	16b	
	c Nondeductible expenses	16c	834
	d Distributions (attach statement if required) (see instructions)	16d	
	e Repayment of loans from shareholders	16e	
Other Information	17a Investment income	17a	
	b Investment expenses	17b	
	c Dividend distributions paid from accumulated earnings and profits	17c	
	d Other items and amounts (attach statement)	SEE STATEMENT 2	
Reconciliation	18 Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14p	18	

Schedule L Balance Sheets per Books

Beginning of tax year

End of tax year

Assets		(a)	(b)	(c)	(d)
1	Cash				
2a	Trade notes and accounts receivable				
b	Less allowance for bad debts	()		()	
3	Inventories				
4	U.S. government obligations				
5	Tax-exempt securities (see instructions)				
6	Other current assets (attach statement)				
7	Loans to shareholders				
8	Mortgage and real estate loans				
9	Other investments (attach statement)				
10a	Buildings and other depreciable assets				
b	Less accumulated depreciation	()		()	
11a	Depletable assets				
b	Less accumulated depletion	()		()	
12	Land (net of any amortization)				
13a	Intangible assets (amortizable only)				
b	Less accumulated amortization	()		()	
14	Other assets (attach statement)				
15	Total assets				
Liabilities and Shareholders' Equity					
16	Accounts payable				
17	Mortgages, notes, bonds payable in less than 1 year				
18	Other current liabilities (attach statement)				
19	Loans from shareholders				
20	Mortgages, notes, bonds payable in 1 year or more				
21	Other liabilities (attach statement)				
22	Capital stock				
23	Additional paid-in capital				
24	Retained earnings				
25	Adjustments to shareholders' equity (attach statement)				
26	Less cost of treasury stock	()		()	
27	Total liabilities and shareholders' equity				

Schedule K Shareholders' Pro Rata Share Items (continued)		Total amount
Alternative Minimum Tax (AMT) Items	15a Post-1986 depreciation adjustment	15a
	b Adjusted gain or loss	15b
	c Depletion (other than oil and gas)	15c
	d Oil, gas, and geothermal properties – gross income	15d
	e Oil, gas, and geothermal properties – deductions	15e
	f Other AMT items (attach statement)	15f
Items Affecting Shareholder Basis	16a Tax-exempt interest income	16a
	b Other tax-exempt income	16b
	c Nondeductible expenses	16c 834
	d Distributions (attach statement if required) (see instructions)	16d
	e Repayment of loans from shareholders	16e
Other Information	17a Investment income	17a
	b Investment expenses	17b
	c Dividend distributions paid from accumulated earnings and profits	17c
	d Other items and amounts (attach statement) SEE STATEMENT 2	
Reconciliation	18 Income/loss reconciliation. Combine the amounts on lines 1 through 10 in the far right column. From the result, subtract the sum of the amounts on lines 11 through 12d and 14p	18

Schedule L Balance Sheets per Books		Beginning of tax year		End of tax year	
Assets		(a)	(b)	(c)	(d)
1	Cash				
2a	Trade notes and accounts receivable				
b	Less allowance for bad debts	()		()	
3	Inventories				
4	U.S. government obligations				
5	Tax-exempt securities (see instructions)				
6	Other current assets (attach statement)				
7	Loans to shareholders				
8	Mortgage and real estate loans				
9	Other investments (attach statement)				
10a	Buildings and other depreciable assets				
b	Less accumulated depreciation	()		()	
11a	Depletable assets				
b	Less accumulated depletion	()		()	
12	Land (net of any amortization)				
13a	Intangible assets (amortizable only)				
b	Less accumulated amortization	()		()	
14	Other assets (attach statement)				
15	Total assets				
Liabilities and Shareholders' Equity					
16	Accounts payable				
17	Mortgages, notes, bonds payable in less than 1 year				
18	Other current liabilities (attach statement)				
19	Loans from shareholders				
20	Mortgages, notes, bonds payable in 1 year or more				
21	Other liabilities (attach statement)				
22	Capital stock				
23	Additional paid-in capital				
24	Retained earnings				
25	Adjustments to shareholders' equity (attach statement)				
26	Less cost of treasury stock	()		()	
27	Total liabilities and shareholders' equity				

Schedule M-1 Reconciliation of Income (Loss) per Books With Income (Loss) per Return

Note: The corporation may be required to file Schedule M-3 (see instructions)

1 Net income (loss) per books		5 Income recorded on books this year not included on Schedule K, lines 1 through 10 (itemize):	
2 Income included on Schedule K, lines 1, 2, 3c, 4, 5a, 6, 7, 8a, 9, and 10, not recorded on books this year (itemize)		a Tax-exempt interest \$	
3 Expenses recorded on books this year not included on Schedule K, lines 1 through 12 and 14p (itemize):		6 Deductions included on Schedule K, lines 1 through 12 and 14p, not charged against book income this year (itemize):	
a Depreciation \$		a Depreciation \$	
b Travel and entertainment \$		7 Add lines 5 and 6	
4 Add lines 1 through 3		8 Income (loss) (Schedule K, line 18). Line 4 less line 7	

Schedule M-2 Analysis of Accumulated Adjustments Account, Shareholders' Undistributed Taxable Income Previously Taxed, Accumulated Earnings and Profits, and Other Adjustments Account (see instructions)

	(a) Accumulated adjustments account	(b) Shareholders' undistributed taxable income previously taxed	(c) Accumulated earnings and profits	(d) Other adjustments account
1 Balance at beginning of tax year	87,413			
2 Ordinary income from page 1, line 21	105,196			
3 Other additions				
4 Loss from page 1, line 21				
5 Other reductions STMT 3	834			
6 Combine lines 1 through 5	191,775			
7 Distributions				
8 Balance at end of tax year. Subtract line 7 from line 6	191,775			

Form 1120S (2018)

Triple "S" Energy Management LLC

15-0643-EL-AGG

Triple "S" Energy Management LLC

671118

Schedule K-1 (Form 1120S)

15-0643-EL-AGG

Department of the Treasury
Internal Revenue Service18
x year☐ Final K-1☐ Amended K-1

OMB No. 1545-0123

beginning

ending

Shareholder's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.

Part I Information About the Corporation

A Corporation's employer identification number

26-4585783

B Corporation's name, address, city, state, and ZIP code

TRIPLE S ENERGY MANAGEMENT LLC

2038 OLDTOWN VALLEY RD SE

NEW PHILADELPHIA OH 44663

C IRS Center where corporation filed return

E-FILE

Part II Information About the Shareholder

D [REDACTED]

E [REDACTED]

SANDRA K CARPENTER

2038 OLDTOWN VALLEY RD SE

NEW PHILADELPHIA

OH 44663

F Shareholder's percentage of stock ownership for tax year

100.000000 %

For IRS Use Only

Part III Shareholder's Share of Current Year Income, Deductions, Credits, and Other Items

1	Ordinary business income (loss)	13	Credits
	105,196		
2	Net rental real estate income (loss)		
3	Other net rental income (loss)		
4	Interest income		
5a	Ordinary dividends		
5b	Qualified dividends	14	Foreign transactions
6	Royalties		
7	Net short-term capital gain (loss)		
8a	Net long-term capital gain (loss)		
8b	Collectibles (28%) gain (loss)		
8c	Unrecaptured section 1250 gain		
9	Net section 1231 gain (loss)		
10	Other income (loss)	15	Alternative minimum tax (AMT) items
11	Section 179 deduction	16	Items affecting shareholder basis
		C*	834
12	Other deductions		
		17	Other information
		V*	105,196
		W*	86,625

* See attached statement for additional information.

Section 199A Information Worksheet

Form **1120S****2018**

For calendar year 2018 or tax year beginning , ending

Name

Employer Identification Number

TRIPLE S ENERGY MANAGEMENT LLC**26-4585783**

Activity Description

Column A **PAGE 1 ACTIVITY**

Column B

Column C

Column D

Column E

	Column A	Column B	Column C	Column D	Column E
Specified service business	NO				
Ordinary business income (loss)	105,196				
Net rental real estate income (loss)					
Other net rental income (loss)					
Royalties					
Section 199A income	105,196				

Section 199A W-2 wages 86,625

Section 199A unadjusted basis

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Other Information:

QBI allocable to cooperative pmnts received

W-2 wages allocable to qualified payments

Cooperative QPAI deduction to patron

Federal Statements**Statement 1 - Form 1120S, Page 1, Line 19 - Other Deductions**

<u>Description</u>	<u>Amount</u>
CONTRACT LABOR	\$ 1,303
SUPPLIES	4,096
POSTAGE	629
PHONE	1,394
CELL PHONE	3,024
INTERNET	4,083
DUES AND SUBSCRIPTIONS	6,765
CONTINUING EDUCATION	3,270
PROFESSIONAL SERVICES	2,515
REFERRALS	200
AUTO	1,908
INSURANCE - GENERAL LIABILITY	1,703
INSURANCE - WORKERS COMP	219
BANK SERVICE CHARGE	17
FEES	323
PRINTING	1,195
50% OF MEALS	834
TOTAL	\$ 33,478

Statement 2 - Form 1120S, Page 4, Schedule K, Line 17d - Other Items and Amounts

<u>Description</u>	<u>Amount</u>
SECTION 199A INFORMATION - SEE ATTACHED WRK	

Statement 3 - Form 1120S, Page 5, Schedule M-2, Line 5(a) - Other Reductions

<u>Description</u>	<u>Amount</u>
TRAVEL & ENTERTAINMENT	\$ 834
TOTAL	\$ 834

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

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Schedule K-1, Box 16, Code C - Nondeductible Expenses

Description	Shareholder Amount
PAGE 1 MEALS	\$ 834
TOTAL	\$ 834

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Exhibit C-4 "Financial Arrangement"

Company: Triple "S" Energy Management LLC

Triple "S" Energy does not take title to the electric and does not require Collateral or Financial Arrangements

N/A

Exhibit C-6 “Credit Rating”

Company: Triple “S” Energy Management LLC

N/A

Exhibit C-7 “Credit Report”

Company: Triple “S” Energy Management LLC

Attached as Exhibit C-7 “Credit Report”



[Home](#) | [My companies](#) | [My account](#) | [Products](#)

Triple "S" Energy Management LLC

15-0643-EL-AGG

For help reading this report, please review our [sample report](#).

Search inquiry: 907942602 / (My company)

CreditScoreSM Report

as of: 02/25/19 13:47 ET

Triple S Energy Management

Address: 2038 Oldtown Valley Rd SE
New Phila, OH 44663-7833
United States

Phone: 330-339-6001

Website: www.triplesenergy.com

Experian BIN: 907942602

Also is (or has been) operating as:

Triple S Energy Management LLC

Key Personnel:

Owner: Sandra K Carpenter

SIC Code:

8748-Business Consulting
Services, Nec

1711-Plumbing, Heating &
A/C Contractors

8741-Management Services

NAICS Code:

541618-Other Management
Consulting
Services

238220-Plumbing, Heating,
And Air-
Conditioning
Contractors

236110-Residential Building
Construction

Experian File

April 2009

Established:

Experian Years on File: 10 Years

Years in Business: 10 Years

Total Employees: 1

Sales: \$80,000

Experian Business Credit Score

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80

**Business Credit
Score**



Low Risk

The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

Key Score Factors:

38

- Number of recently active commercial accounts.
- Ratio of total bal to total high bal across all comm accts.
- Length of time on Experian's file.

Business Credit Scores range from a low of 1 to high of 100 with this company receiving a score of 80. Higher scores indicate lower risk. This score predicts the likelihood of serious credit delinquencies within the next 12 months. This score uses tradeline and collections information, public filings as well as other variables to predict future risk.

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

Triple "S" Energy Management LLC

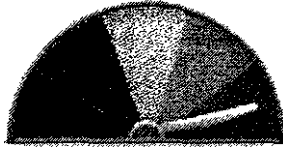
15-0643-EL-AGG

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Experian Financial Stability Risk Rating

1

**Financial Stability Risk
Rating**



Low Risk

**A Financial Stability Risk Rating of 1
indicates a
0.55% potential risk of severe
financial distress
within the next 12 months.**

Key Rating Factors:

- Number of active commercial accounts.
- Risk associated with the company's industry sector.
- Risk associated with the business type.
- Employee size of business.

Financial Stability Risk Ratings range from a low of 1 to high of 5 with this company receiving a rating of 1. Lower ratings indicate lower risk. Experian categorizes all businesses to fit within one of the five risk segments. This rating predicts the likelihood of payment default and/or bankruptcy within the next 12 months. This rating uses tradeline and collections information, public filings as well as other variables to predict future risk.

Credit Summary

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This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few Payment Tradelines.

Lowest 6 Month Balance: \$29
Highest 6 Month Balance: \$346
Current Total Account Balance: \$0
Highest Credit Amount Extended: \$0

Payment Tradelines (see [charts](#)): 2
UCC Filings: 0

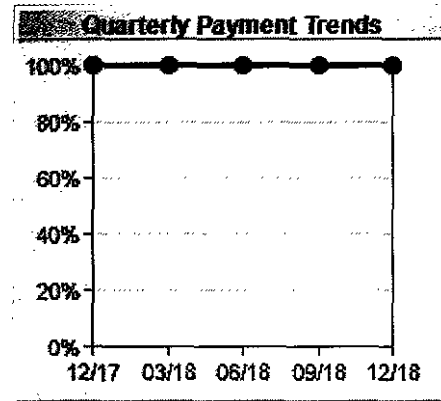
✓ **Businesses Scoring Worse:** 79%
✓ **Bankruptcies:** 0
✓ **Liens:** 0
✓ **Judgments Filed:** 0
✓ **Collections:** 0

40

Insufficient information to produce
Monthly Payment Trends
chart.

**Triple "S" Energy
Management LLC**

15-0643-EL-AGG

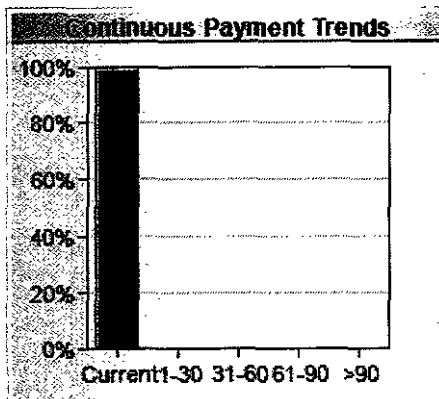


*Percentage of on-time payments by quarter.

Insufficient information to produce
Monthly Payment Trends
table.

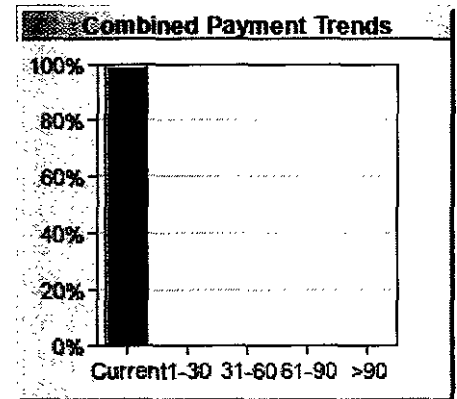
Quarterly Payment Trends - Recent Activity

Date	Current	Up to 30 DBT	31-60 DBT	61-90 DBT	>90 DBT
12/17	100%	0%	0%	0%	0%
03/18	0%	0%	0%	0%	0%
06/18	0%	0%	0%	0%	0%
09/18	100%	0%	0%	0%	0%
12/18	0%	0%	0%	0%	0%



*Continuous distribution with DBT.

Insufficient information to produce
Newly Reported Payment Trends
chart.



*Combined distribution with DBT.


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**Triple "S" Energy
Management LLC**

15-0643-EL-AGG



Receipt

Triple "S" Energy
Management LLC

15-0643-EL-AGG

Order date 02/25/19 13:47 ET
Account number 340074
Name Sandy Carpenter
Company name Triple "S" Energy Management LLC
Email scarpenter@triplesenergy.com
Phone 330-339-6001

Product type **Experian CreditScoreSM Report**
Product code 1015
Transaction number 16755598
Company name **Triple S Energy Management**
Experian BIN 907942602

Cost \$39.95
Tax \$.00
Total \$39.95

Payment card charges will be listed as from **'EXPERIAN BIZCREDIT'**.

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Exhibit C-8 "Bankruptcy Information"

Company: Triple "S" Energy Management LLC

N/A

Exhibit C-9 “Merger Information”

Company: Triple “S” Energy Management LLC

N/A

Exhibit C-10 "Corporate Structure"

Company: Triple "S" Energy Management LLC

**Triple "S" Energy Management LLC is a stand-alone entity
with no affiliate or subsidiary companies**