



December 21, 2018

Melissa Scarberry
Public Utilities Commission of Ohio
Service Monitoring & Enforcement Department
Utility Specialist 2

Dear Melissa:

Subject: Revision in Case No. 18-1835-EL-AGG

Please find attached revised page four (4) of the application with the appropriate boxes checked for items B-5 and B-6 as well as accompanying Exhibit as a response to B-6.

Should you have any questions or require any additional information, please do not hesitate to contact me at (980) 299 -3568 or via email at CCoupet@avidxchange.com

Sincerely,

A handwritten signature in black ink, appearing to read 'CCoupet', written over a horizontal line.

Christian Coupet
Senior Manager of Compliance and BSA/AML Officer

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Technician je Date Processed 12/27/18

B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise summary of the applicant's experience in providing aggregation service(s) including contracting with customers to combine electric load and representing customers in the purchase of retail electric services. (e.g. number and types of customers served, utility service areas, amount of load, etc.).

B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-6 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☐ No ☒ Yes

If yes, provide a separate attachment labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

Exhibit B-6 “Disclosure of Certification Denial, Curtailment, Suspension, or Revocation”

AvidXchange, Inc. inadvertently allowed its certificate to expire on 9.1.18 due to an administrative oversight. The company has taken steps to improve its reporting and filing tracking process to avoid such errors in the future.