

December 6, 2018

#### VIA ELECTRONIC FILING

Public Utilities Commission of Ohio Docketing Division 13th Floor 180 East Broad Street Columbus, Ohio 43215-3793

Re: <u>Aurora Energy Advisors, LLC Renewal Application for Certification as a Competitive</u> <u>Retail Electricity Broker Docket No.: 16-2331-EL-AGG – Public Version</u>

Dear Docketing Division,

Aurora Energy Advisors, LLC ("Aurora"), currently licensed under Docket No. 16-2331-EL-AGG, hereby submits the Public, Redacted Version of its Renewal Application to provide Competitive Retail Electricity Brokerage Services. The Confidential Version has been submitted via Federal Express.

Please direct any correspondence and communication regarding this submission to the undersigned.

Respectfully Submitted,

s. Caleb T. Gaddes

Caleb T. Gaddes Compliance Consultant Customized Energy Solutions

Phone: 215-964-6237

Email: <u>cgaddes@ces-ltd.com</u>

On behalf of Aurora Energy Advisors, LLC



#### Renewal Instructions for Electric Aggregator/Power Brokers

**I. Where to File**: Applications should be sent to: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215-3793.

**II. What to File:** Applicant must submit one original notarized application signed by a principal officer and three copies including all exhibits, affidavits, and other attachments. All attachments, affidavits, and exhibits should be clearly identified. For example, Exhibit C-10 should be marked "Exhibit C-10 'Corporate Structure." All pages should be numbered and attached in a sequential order.

# <u>VERY IMPORTANT:</u> The renewal application must be docketed in the applicant's original EL-AGG case number.

**III. Renewal Application Form:** The renewal application is available on the Commission's web site, <a href="www.puco.ohio.gov">www.puco.ohio.gov</a> or directly from the Commission at: Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus Ohio 43215- 3793.

**IV. Confidentiality:** An applicant may file financial statements, financial arrangements, and forecasted financial statements under seal. If these exhibits are filed under seal, they will be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided.

An applicant may file a motion for a protective order for other information not filed under seal per the previous paragraph. If the motion is filed in conformance with rule 4901:1-24-07 of the Administrative Code, it shall be automatically approved on the thirty-first day after the date of filing and the information shall be afforded protective treatment for a period of six years from the date of the certificate for which the information is being provided, unless the commission or an attorney examiner appointed by the commission rules otherwise.

At the expiration of the six-year period provided for in the previous paragraphs, the information will be automatically released into the open record. An applicant wishing to extend a protective order beyond the six-year time period must comply with paragraph (F) of rule 4901-1-24 of the Administrative Code.

**V. Commission Process for Approval:** An application for renewal shall be made on forms approved and supplied by the Commission. The applicant shall complete the appropriate application renewal form in its entirety and supply all required attachments, affidavits, and evidence of capability specified by the form at the time an application is filed. The Commission renewal process begins when the Commission's Docketing Division receives and time/date stamps the application. An incomplete application may be suspended or rejected. An application that has been suspended as incomplete may cause delay in certification.



The Commission may approve, suspend, or deny a renewal application within 30 days. If the Commission does not act within 30 days, the renewal application is deemed automatically approved on the 31st day after the official filing date. If the Commission suspends the application, the Commission shall notify the applicant of the reasons for such suspension and may direct the applicant to furnish additional information. The Commission shall act to approve or deny a suspended application within 90 days of the date that the application was suspended. Upon Commission approval, the applicant shall receive notification of approval and a numbered certificate that specifies the service(s) for which the applicant is certified and the dates for which the certificate is valid.

Unless otherwise specified by the Commission, a competitive retail electric service provider's certificate is valid for a period of two years, beginning and ending on the dates specified on the certificate. The applicant may renew its certificate in accordance with Rule 4901:1-24-09 of the Ohio Administrative Code.

CRES (competitive retail electric service) providers shall inform the Commission of any material change to the information supplied in a certification application within thirty days of such material change in accordance with Rule 4901:1-24-10 of the Ohio Administrative Code.

VI. Questions: Questions regarding filing procedures should be directed to:

#### CRES@puc.state.oh.us

VII. Governing Law: The certification/renewal of competitive retail electric suppliers is governed by Chapter 4901:1-24 of the Ohio Administrative Code, Chapter 4901:1-21 of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.



Original AGG Case Number	Version
16 - 2331 -EL-AGG	May 2016

#### RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A.	RENEWAL INFORMATION		
<b>A-1</b>	Applicant intends to be certified as: (check all that apply)		
	Power Broker Aggregator		
A-2	Applicant's legal name, address, telephone number, PUCO certificate number, and		
	web site address		
	Legal Name Aurora Energy Advisors, LLC Address 3848 Nostrand Avenue, Brooklyn, NY 11235		
	PUCO Certificate # and Date Certified 17-1163E (1), January 24, 2017		
	Telephone # (212) 742-0414 Web site address (if any) www.aeadvisorsllc.com		
A-3	will do business in Ohio  Legal Name Aurora Energy Advisors, LLC Address 3848 Nostrand Avenue, Brooklyn, NY 11235		
	Telephone # (212) 742-0414 Web site address (if any) www.aeadvisorsllc.com		
A-4	List all names under which the applicant does business in North America  Aurora Energy Advisors, LLC		
A-5	Contact person for regulatory or emergency matters		
	Name Mitchell Ingerman		
	Title President and Partner		
	Business address 3848 Nostrand Avenue, Brooklyn, NY 11235		
	Telephone # (212) 742-0414 Fax #		
	F-mail address mingerman@aeadvisorsllc.com		

#### **A-6** Contact person for Commission Staff use in investigating customer complaints Name Mitchell Ingerman **Title President and Partner** Business address 3848 Nostrand Avenue, Brooklyn, NY 11235 Telephone # (212) 742-0414 Fax # E-mail address mingerman@aeadvisorsllc.com A-7Applicant's address and toll-free number for customer service and complaints Customer Service address 3848 Nostrand Avenue, Brooklyn, NY 11235 Toll-free Telephone # (877) 695-1062 Fax # mingerman@aeadvisorsllc.com E-mail address **A-8** Applicant's federal employer identification number # 830482997 A-9 Applicant's form of ownership (check one) ☐ Sole Proprietorship ☐ Partnership ☐ Limited Liability Partnership (LLP) ☑ Limited Liability Company (LLC) ☐ Corporation Other \_\_\_\_ PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED: Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, titles, A-10 addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials

### B. <u>APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- **B-1** Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- **B-2** Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- **B-3** Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- **B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

 $\square$  No  $\square$  Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

### C. <u>FINANCIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 <u>Exhibit C-3 "Financial Statements,"</u> provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted income statements for the applicant's ELECTRIC related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 <u>Exhibit C-8 "Bankruptcy Information,"</u> provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information," provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C 10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Signature of Applicant & Title

Sworn and subscribed before me this 
Month

Year Official administering oath

Signature of official administering oath

My commission expires on 3/3// 2021

## <u>AFFIDAVIT</u>

State of New York	<u>_</u> :
	ss
County of	:
Mitchell Ingerman	A 50
Wittenen Higerman	_, Affiant, being duly sworn/affirmed according to law, deposes and says that:
He/She is the <u>President</u>	(Office of Affiant) oAurora Energy Advisors, LLC(Name of Applicant)

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Signature of Affiant & Title

Sworn and subscribed before me this Month

Signature of official administering oath

Print Name and Title

NOTARY PUBLIC-STATE OF NEW YORK

No. 01016131255

Qualified in Kings County

My Commission Expires August 01.

### Exhibit A-10 "Principal Officers, Directors & Partners"

### **Aurora Energy Advisors, LLC**

 Mitchell Ingerman, President and Partner Aurora Energy Advisors, LLC 3848 Nostrand Ave. Brooklyn, NY 11235

### **Exhibit B-1 "Jurisdictions of Operations"**

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC is currently licensed and providing brokerage services in New Jersey, Massachusetts, Pennsylvania, Maine, Maryland, Illinois, and Ohio. Aurora has pending applications to provide brokerage services in Washington D.C. and Delaware.

#### Exhibit B-2 "Experience & Plans"

#### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC is a full service energy management and consulting firm specializing in sophisticated strategies to manage energy costs for real estate owners and property managers. Aurora offers unique services that work in tandem to craft long-term, strategic energy plans suited to each client's unique portfolio, including budgeting & reporting, renewable energy programs, portfolio analysis, energy supply procurement, and energy auditing. Aurora's approach to electricity, oil, and natural gas procurement employs innovative strategies, allowing maximum flexibility to adapt to ever-changing market conditions while still ensuring stability of cost and budgeting. Aurora plans to serve as a certified retail natural gas broker in Ohio.

### **Exhibit B-3 "Disclosure of Liabilities and Investigations"**

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC does not have any existing, pending, or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact its financial or operational status or ability to provide the services it is seeking to be certified to provide.

### Exhibit C-1 "Annual Reports"

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC, is not a publicly traded company, and therefore has no Annual Reports to provide.

### Exhibit C-2 "SEC Filings"

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC, is a privately held limited liability company, and therefore is not required to file with the SEC.

### **Exhibit C-3 "Financial Statements"**

### **Aurora Energy Advisors, LLC**

Documentation submitted in connection with this Exhibit will filed under seal pursuant to Ohio Admin. Code 4901:1-27-08(A).

### **Exhibit C-4 "Financial Arrangements"**

### **Aurora Energy Advisors, LLC**

N/A – Aurora Energy Advisors, LLC is seeking only to be certified as a natural gas broker.

### **Exhibit C-5 "Forecasted Financial Statements"**

### **Aurora Energy Advisors, LLC**

Documentation submitted in connection with this Exhibit will be filed under seal pursuant to Ohio Admin. Code 4901:1-27-08(A).

### Exhibit C-6 "Credit Rating"

### **Aurora Energy Advisors, LLC**

Please see the Experian Credit Report attached as Exhibit C-7.

### Exhibit C-7 "Credit Report"

### **Aurora Energy Advisors, LLC**

Please see the Experian Credit Report attached hereto.



ke otolni

For help reading this report, please review our sample report. Search inquiry: Aurora Energy Advisors, Llc / New York City / NY / (My company)

GreditScore<sup>su</sup> Report

as of: 07/24/18 08:06 ET

#### Aurora Energy Advisors, LLC

Address:

Phone:

3848 Nostrand Ave FL 2

Brooklyn, NY 11235-2013

United States 718-769-0463

Experian BIN:

987164870

Key Personnel:

SIC Code:

Officer: Mitchell D Ingerman

8742-Management Consulting Services 1711-Plumbing, Heating & A/C Contractors

NAICS Code: 541614-Process, Physical Distribution, And

Logistics Consulting Services

238220-Plumbing, Healing, And Air-Conditioning Contractors 541612-Human Resources Consulting

Services Corporation

Business Type:

Experian File Established:

Experian Years on File: 4 Years

Years in Business:

Total Employees:

Sales:

Filing Data Provided by:

\$981,000

June 2014

New York

5 Years

#### **Experian Business Credit Score**

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**Business Credit Score** 



The objective of the Experian Business Credit Score is to predict payment behavior. High Risk means that there is a significant probability of delinquent payment. Low Risk means that there is a good probability of on-time payment.

#### Key Score Factors:

- · Company's business type.
- · Risk associated with the company's industry.

Business Credit Scores range from a low of 1 to high of 100 with this company receiving a score of 42. Higher scores indicate lower risk. This score predicts the likelihood of serious credit delinquencies within the next 12 months. This score uses tradeline and collections information, public filings as well as other variables to predict future risk.

#### Experian Financial Stability Risk Rating

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#### Financial Stability Risk Rating



Medium-High Risk

A Financial Stability Risk Rating of 4 indicates a 10% potential risk of severe financial distress within the next 12 months.

#### **Key Rating Factors:**

- · Lack of active trades.
- Risk associated with the business type.
  Risk associated with the company's industry sector.

Financial Stability Risk Ratings range from a low of 1 to high of 5 with this company receiving a rating of 4. Lower ratings indicate lower risk. Experian categorizes all businesses to fit within one of the five risk segments. This rating predicts the likelihood of payment default and/or bankruptcy within the next 12 months. This rating uses tradeline and collections information, public filings as well as other variables to predict future risk.

Credit Summary		Back to top
This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator. This is often the result of too few active Payment Tradelines.	UCC Fillings:	0
	✗ Businesses Scoring Worse:	41%
ase refer to Experian's www.BusinessCreditFacts.com website for more information on establishing Payment Tradelines.	✓ Bankruptcies:	0
• ,	√ Liens:	0
	√ Judgments Filed:	0
	√ Collections:	0

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#### **Exhibit C-8 "Bankruptcy Information"**

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC has never had any reorganizations, protection from creditors or any other form of bankruptcy filings by itself, a parent or affiliate organization that guarantees Aurora Energy Advisors, LLC or any officer of Aurora Energy Advisors, LLC in the current year or within the two most recent years preceding this application.

### **Exhibit C-9 "Merger Information"**

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC has never dissolved, merged or been acquired by another organization within the two most recent years preceding this application.

### **Exhibit C-10 "Corporate Structure"**

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC is a New York limited liability company, and has no affiliates or subsidiaries.

### **Exhibit D-1 "Operations"**

### **Aurora Energy Advisors, LLC**

Aurora Energy Advisors, LLC is in the business of providing energy management and consulting services designed to manage energy costs for real estate owners and property managers, including electricity and natural gas brokerage services.

#### **Exhibit D-2 "Operations Expertise"**

#### Aurora Energy Advisors, LLC

Aurora Energy Advisors, LLC has provided energy management and consulting services, including brokering electricity and natural gas services, for over five years. Aurora's staff has a depth of experience in the industry, offering unique services that work in tandem to craft long-term, strategic energy plans suited to each client's unique portfolio. Aurora's approach to electricity, oil, and natural gas procurement employs innovative strategies, allowing maximum flexibility to adapt to ever-changing market conditions while ensuring stability of cost and budgeting. Aurora's role does not end when contracts are put into place. Most critically, Aurora continues to closely track energy markets for opportunities to improve clients' positions, providing ongoing monitoring of utility invoices for errors, rate class adjustments, meter reads and latent problems within portfolio patterns. Aurora is also committed to aiding clients in their pursuit of energy efficiency measures.

#### **Exhibit D-3 "Key Technical Personnel"**

#### **Aurora Energy Advisors, LLC**

#### Mitchell Ingerman, President and Partner

Mitchell Ingerman is the President and Founder of Aurora Energy Advisors. He has more than 25 years of executive experience in real estate finance and asset management, during which he has been on the cutting-edge of developing cost-saving energy programs for many leading real estate owners, developers, and manager. Mitchell brings an unparalleled ability to view a client's portfolio from the executive side of the desk.

Prior to founding Aurora Energy Advisors, Mitchell served as a Senior Vice President of Asset Management, Administration and Treasurer for 22 years with one of the largest real estate organizations in the United States. In this role, he was responsible for initiating and implementing energy strategies for the organization's \$5 billion residential and commercial real estate portfolio. Mitchell directly supervised a staff of 45, and created programs that resulted in \$14 million in annual savings in the areas of procurement, conservation, and demand response.

Mitchell brings a strategic, seasoned perspective to his clients' portfolios, and becomes an integral part of each organization's management team. He has earned a strong reputation for establishing programs that have resulted in millions of dollars in savings, and currently manages in excess of \$2 billion in energy disbursements for clients, including oil, gas, electricity, and steam.

#### Joseph Weitz, Director of Energy Management

Joseph Weitz serves as Aurora Energy Advisors' Director of Energy Management, beginning as an intern in 2009 and joining the company full-time in 2010. Joseph's areas of expertise include annual budgeting, procurement, local law compliance, client relations, commodity price analysis, and utility rate structure analysis. Joseph holds degrees in Economics and Finance from Brooklyn College.

#### Sean Stettin, Senior Energy Analyst

Sean Stettin joined Aurora Energy Advisors in 2011 and currently serves as a Senior Energy Analyst. Sean's areas of expertise include variance reporting, procurement, supply contract negotiation, special projects, utility rate structure analysis, commodity price analysis and building energy cost structure. Sean holds a Bachelor of Science degree from Binghampton University's School of Management in Finance and Global Management.

This foregoing document was electronically filed with the Public Utilities

**Commission of Ohio Docketing Information System on** 

12/6/2018 4:43:31 PM

in

Case No(s). 16-2331-EL-AGG

Summary: Application electronically filed by Mr. Caleb T Gaddes on behalf of Aurora Energy Advisors, LLC