

FILE



**Public Utilities
Commission**

Asim Z. Haque, Chairman

Commissioners
M. Beth Trombold
Thomas W. Johnson
Lawrence K. Friedeman
Daniel R. Conway

PUBLIC UTILITIES COMMISSION OF OHIO

Certified as a Competitive Retail Electric Service Provider

RENEWAL

Certificate Number:

14-892E (3)

Issued Pursuant to Case Number(s):

14-1789-EL-AGG

A certificate as a Competitive Retail Electric Service Provider is hereby granted to **Peak 5 Advisors, LLC** whose office or principal place of business is located at **1900 Polaris Parkway, Suite 450, Columbus, Ohio 43240** to provide **power broker** services within the State of Ohio effective November 17, 2018.

The certification of competitive retail electric suppliers is governed by Section 4901:1-24-(01-13) of the Ohio Administrative Code, Section 4901:1-21-(01-15) of the Ohio Administrative Code, and Section 4928.08 of the Ohio Revised Code.

This Certificate is revocable if all of the conditions set forth in the aforementioned case(s) are not met.

Subject to all rules and regulations of the Commission, now existing or hereafter promulgated.

Witness the seal of the Commission affixed at Columbus, Ohio.

Dated: November 20, 2018

By Order of

PUBLIC UTILITIES COMMISSION OF OHIO

Barcy F. McNeal, Secretary

Tanowa M. Troupe, Acting Secretary

Susan Patterson, Acting Secretary

Beverly Hoskinson, Acting Secretary

Certificate Expires: November 17, 2020

CRES AUTOMATIC CASE ACTION FORM

Date: **11-20-18**

Case Number: **14-1789-EL-AGG**

Company Name and Company Name d/b/a: **Peak 5 Advisors, LLC**

Company Address: **1900 Polaris Parkway Suite 450**

Company City/State/Zip: **Columbus OH 43240**

Regulatory Contact: **Aaron Johann**

Phone: **614-452-1602**

Email: **a.johann@peak5advisors.com**

Address: **1900 Polaris Parkway Suite 450**

City/State/Zip: **Columbus OH 43240**

☒ Renewal

Action Needed:

☐ Issue Certificate Number to:

Effective Date of Certificate:

Certificate Expires:

☒ Renew Certificate Number from: **14-892E (2)** to: **14-892E (3)**

Effective Date of Certificate: **11-17-18** Certificate Expires: **11-17-20**

☒ Certified To Provide the Following Services:

☐ Retail Generation

☐ Aggregation

☐ Power Marketer

☒ Power Broker

☐ Governmental Aggregation

☐ Revise Certificate Number: to (check all applicable):

☐ Reflect name change from: to

☐ Reflect address change from: to

☐ Correct Administrative Error:

☐ Reflect Change of Ownership to:

☐ Cancel Certificate Number:

☐ Protect Un-redacted copies until:

☐ Close Case File, Case Withdrawn at Applicant's Request

☐ Close Case File

CASE NUMBER: 14-1789-EL-AGG
CASE DESCRIPTION: PEAK 5 ADVISORS, LLC
DATE OF SERVICE: 11/20/2018
DOCUMENT SIGNED ON: 11/20/2018

Sign Here: _____

**APPLICANT****PARTY OF RECORD****ATTORNEY**

PEAK 5 ADVISORS LLC
AARON JOHANN
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Email:A.JOHANN@PEAK5ADVISORS.COM

NONE

ATTORNEY**PARTY OF RECORD****ATTORNEY**

none

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