



SEC

STAND ENERGY CORPORATION

1077 Celestial Street • Rookwood Bldg. • Suite 110
Cincinnati, Ohio 45202-1629
(513) 621-1113
(800) 598-2046
(513) 621-3773 Fax

RECEIVED-DOCKETING DIV

2018 OCT 31 PM 2:23

PUCO

47

October 29, 2018

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus, OH 43215-3793

Re: Case No. 02-2549-GA-CRS

Ladies and Gentlemen:

Enclosed for filing are one original and three (3) copies of *Stand Energy Corporation's Renewal Certification Application as a Competitive Retail Natural Gas Supplier (CRNGS)* docketed under PUCO Case No. 02-2549-GA-CRS.

Please note that Exhibits, C-3, C-4 and C-5 are confidential and filed under seal. You may contact me if you have any questions about these filings.

Sincerely,

Kate Russell-Bedinghaus
Kate Russell-Bedinghaus
Associate Counsel

Enclosures

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.
Technician le Date Processed 10/31/18

The Public Utilities Commission of Ohio

RETAIL NATURAL GAS SUPPLIER/GOVERNMENTAL AGGREGATOR

FILING COVER FORM

POST INITIAL CERTIFICATION

RECEIVED-DOCKETING
2018 OCT 31 PM 2:23
PUCO

In the Matter of the Application of

Stand Energy Corporation for Certification as a

Competitive Retail Natural Gas Supplier

to:

Case No. 02 - 2549 -GA- CRS

Name of Applicant: Stand Energy Corporation

Applicant's Address: 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Contact Person or Counsel: John Dosker

Telephone Number: (513) 621-1113

Mark the reason for filing this form, application, and supporting information. Check only one of the six main categories. Use separate copies of this form for each type of application.

1. ☒ Certification Renewal Application (to be filed 30 to 120 days prior to expiration of current certificate) per Rules 4901:1-27-09 and 4901:1-27-04 of the Ohio Administrative Code.

2. ☐ Notification of Material Change in Business (to be filed in the initial or most recent certification docket and within 30 days of the material change occurring) per Rule 4901:1-27-10 of the Ohio Administrative Code. Please check the following material change(s) that is(are) involved with this filing:

- ☐ Change in ownership of five percent or more
- ☐ Affiliation with public utility or change in affiliation with a public utility in this state
- ☐ Retirement or other long-term changes to supply sources
- ☐ Revocation, restriction, or termination of interconnection or service agreement with pipeline company or natural gas company
- ☐ Fall of bond rating below BBB-
- ☐ Fall of bond rating below Baa3
- ☐ Filed or intend to file for some form of bankruptcy
- ☐ Receipt of judgment, finding, or ruling that could affect fitness or ability to provide service
- ☐ Other (please describe):

3. ☐ Certificate Transfer Application per Rule 4901:1-27-11 of the Ohio Administrative Code.

4. ☐ Abandonment Application (to be filed at least 90 days prior the effective date of the abandonment) per Rule 4901:1-27-11 of the Ohio Administrative Code. Please indicate which of the following two situations applies to the proposed abandonment:

- ☐ Seek to abandon operations with no existing customers
- ☐ Seek to abandon operations with existing customers

5. ☐ Name/Address/Telephone Change ☐ Regulatory Contact Change (See Item 3 above if ownership has changed.)

6. ☐ Other application (please describe):



Public Utilities Commission

PUCO USE ONLY - Version 1.08 May 2016

Date Received	Renewal Certification Number	ORIGINAL CRS Case Number
		02 - 2549 - GA-CRS

RENEWAL CERTIFICATION APPLICATION COMPETITIVE RETAIL NATURAL GAS SUPPLIERS

Please **type or print** all required information. Identify all attachments with an exhibit label and title (*Example: Exhibit A-15 - Company History*). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division, 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may directly input information onto the form. You may also download the form by saving it to your local disk.

SECTION A - APPLICANT INFORMATION AND SERVICES

A-1 Applicant intends to renew its certificate as: (check all that apply)

☐ Retail Natural Gas Aggregator ☐ Retail Natural Gas Broker ☒ Retail Natural Gas Marketer

A-2 Applicant information:

Legal Name Stand Energy Corporation
Address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Telephone No. 513-621-1113/800-598-2046 Web site Address www.standenergy.com
Current PUCO Certificate No. 02-043G(8) Effective Dates 12-12-2016 through 12-12-2018

A-3 Applicant information under which applicant will do business in Ohio:

Name Stand Energy Corporation
Address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Web site Address www.standenergy.com Telephone No. 513-621-1113 or 800-598-2046

A-4 List all names under which the applicant does business in North America:

Stand Energy Corporation

A-5 Contact person for regulatory or emergency matters:

Name John Dosker Title General Counsel

Business Address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629

Telephone No. 513-621-1113 Fax No. 513-621-3773 Email Address jdosker@standenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints:

Name John Dosker Title General Counsel
Business address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629
Telephone No. 513-621-1113 Fax No. 513-621-3773 Email Address jdosker@standenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer service address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629
Toll-Free Telephone No. 800-598-2046 Fax No. 513-621-3773 Email Address jdosker@standenergy.com

A-8 Provide "Proof of an Ohio Office and Employee," in accordance with Section 4929.22 of the Ohio Revised Code, by listing name, Ohio office address, telephone number, and Web site address of the designated Ohio Employee

Name Judith A. Phillips Title President & CEO
Business address 1077 Celestial Street, Suite 110, Cincinnati, Ohio 45202-1629
Telephone No. 800-598-2046 Fax No. 513-621-3773 Email Address juphillips@standenergy.com

A-9 Applicant's federal employer identification number 61-1065157

A-10 Applicant's form of ownership: (Check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other |

A-11 (Check all that apply) Identify each natural gas company service area in which the applicant is currently providing service or intends to provide service, including identification of each customer class that the applicant is currently serving or intends to serve, for example: *residential, small commercial, and/or large commercial/industrial (mercantile) customers*. (A mercantile customer, as defined in Section 4929.01(L)(1) of the Ohio Revised Code, means a customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within the state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside of this state. In accordance with Section 4929.01(L)(2) of the Ohio Revised Code, "Mercantile customer" excludes a not-for-profit customer that consumes, other than for residential use, more than 500,000 cubic feet of natural gas per year at a single location within this state or consumes natural gas, other than for residential use, as part of an undertaking having more than three locations within or outside this state that has filed the necessary declaration with the Public Utilities Commission.)

<input checked="" type="checkbox"/> Columbia Gas of Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Dominion East Ohio	<input type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Duke Energy Ohio	<input checked="" type="checkbox"/> Residential	<input checked="" type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial
<input checked="" type="checkbox"/> Vectren Energy Delivery of Ohio	<input type="checkbox"/> Residential	<input type="checkbox"/> Small Commercial	<input checked="" type="checkbox"/> Large Commercial / Industrial

A-12 If applicant or an affiliated interest previously participated in any of Ohio's Natural Gas Choice Programs, for each service area and customer class, provide approximate start date(s) and/or end date(s) that the applicant began delivering and/or ended services.

☒ Columbia Gas of Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	Apr-1997	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	Apr-1997	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Oct-1986	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Oct-1986	End Date	N/A

☒ Dominion East Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	Dec-1998	End Date	Jun-2001
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	Dec-1998	End Date	Jun-2001
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Aug-1995	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Aug-1995	End Date	N/A

☒ Duke Energy Ohio

<input checked="" type="checkbox"/> Residential	Beginning Date of Service	Dec-1997	End Date	N/A
<input checked="" type="checkbox"/> Small Commercial	Beginning Date of Service	Dec-1997	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Mar-1985	End Date	N/A
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Mar-1985	End Date	N/A

☒ Vectren Energy Delivery of Ohio

<input type="checkbox"/> Residential	Beginning Date of Service	N/A	End Date	N/A
<input type="checkbox"/> Small Commercial	Beginning Date of Service	N/A	End Date	N/A
<input checked="" type="checkbox"/> Large Commercial	Beginning Date of Service	Apr-1998	End Date	
<input checked="" type="checkbox"/> Industrial	Beginning Date of Service	Apr-1998	End Date	

A-13 If not currently participating in any of Ohio's four Natural Gas Choice Programs, provide the approximate start date that the applicant proposes to begin delivering services:

<input type="checkbox"/>	Columbia Gas of Ohio	Intended Start Date
<input type="checkbox"/>	Dominion East Ohio	Intended Start Date
<input type="checkbox"/>	Duke Energy Ohio	Intended Start Date
<input type="checkbox"/>	Vectren Energy Delivery of Ohio	Intended Start Date

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- A-14 **Exhibit A-14 "Principal Officers, Directors & Partners,"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.
- A-15 **Exhibit A-15 "Company History,"** provide a concise description of the applicant's company history and principal business interests.
- A-16 **Exhibit A-16 "Articles of Incorporation and Bylaws,"** provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, *only if the contents of the originally filed documents changed since the initial application.*
- A-17 **Exhibit A-17 "Secretary of State,"** provide evidence that the applicant is still currently registered with the Ohio Secretary of the State.

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- B-1 **Exhibit B-1 "Jurisdictions of Operation,"** provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.
- B-2 **Exhibit B-2 "Experience & Plans,"** provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.
- B-3 **Exhibit B-3 "Summary of Experience,"** provide a concise and current summary of the applicant's experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).
- B-4 **Exhibit B-4 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational

status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

- B-5 Exhibit B-5 "Disclosure of Consumer Protection Violations,"** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment labeled as Exhibit B-5 "Disclosure of Consumer Protection Violations," detailing such violation(s) and providing all relevant documents.

- B-6 Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation," detailing such action(s) and providing all relevant documents.

SECTION C - APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED

- C-1 Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.
(This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.
- C-3 Exhibit C-3 "Financial Statements,"** provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 Exhibit C-4 "Financial Arrangements,"** provide copies of the applicant's current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company's financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experian, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C-10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate within the two most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED.

- D-1 Exhibit D-1 "Operations,"** provide a current written description of the operational nature of the applicant's business. Please include whether the applicant's operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.
- D-2 Exhibit D-2 "Operations Expertise,"** given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.
- D-3 Exhibit D-3 "Key Technical Personnel,"** provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Applicant Signature and Title

Joshua A. Phelps

President & CEO

Sworn and subscribed before me this

24

day of October

Month

2018

Year

John M. Dosker

John Dosker, General Counsel

Signature of official administering oath

Print Name and Title

My commission expires on

March 25, 2022

JOHN M. DOSKER
Notary Public, State of Ohio
My Commission Expires 03-25-2022



The Public Utilities Commission of Ohio

Competitive Retail Natural Gas Service
Affidavit Form
(Version 1.07)

In the Matter of the Application of)

Stand Energy Corporation)

for a Certificate or Renewal Certificate to Provide)

Competitive Retail Natural Gas Service in Ohio.)

Case No. 02 - 2549 -GA-CRS

County of Hamilton

State of Ohio

Judith A. Phillips

[Affiant], being duly sworn/affirmed, hereby states that:

- (1) The information provided within the certification or certification renewal application and supporting information is complete, true, and accurate to the best knowledge of affiant.
- (2) The applicant will timely file an annual report of its intrastate gross receipts and sales of hundred cubic feet of natural gas pursuant to Sections 4905.10(A), 4911.18(A), and 4929.23(B), Ohio Revised Code.
- (3) The applicant will timely pay any assessment made pursuant to Section 4905.10 or Section 4911.18(A), Ohio Revised Code.
- (4) Applicant will comply with all applicable rules and orders adopted by the Public Utilities Commission of Ohio pursuant to Title 49, Ohio Revised Code.
- (5) Applicant will cooperate with the Public Utilities Commission of Ohio and its staff in the investigation of any consumer complaint regarding any service offered or provided by the applicant.
- (6) Applicant will comply with Section 4929.21, Ohio Revised Code, regarding consent to the jurisdiction of the Ohio courts and the service of process.
- (7) Applicant will inform the Public Utilities Commission of Ohio of any material change to the information supplied in the certification or certification renewal application within 30 days of such material change, including any change in contact person for regulatory or emergency purposes or contact person for Staff use in investigating customer complaints.
- (8) Affiant further sayeth naught.

Affiant Signature & Title

Judith A. Phillips

President & CEO

Sworn and subscribed before me this

24

day of

October

Month

2018

Year

John M. Dosker

Signature of Official Administering Oath

John Dosker, General Counsel

Print Name and Title

JOHN M. DOSKER
Notary Public, State of Ohio
My Commission Expires 03-25-2022

Commission expires on

March 25, 2022

(CRNGS Supplier Renewal) - Version 1.08

Page 8 of 8

SECTION A - APPLICANT INFORMATION AND SERVICES

A-14 Exhibit A-14 "Principal Officers, Directors & Partners," provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

Answer:

Judith A. Phillips, President & Chief Executive Officer
1077 Celestial St., Suite 110
Cincinnati, OH 45202-1629
(513) 621-1113

Matth. Toebben, Chairman of the Board of Directors
1077 Celestial St., Suite 110
Cincinnati, OH 45202-1629
(513) 621-1113

Larry Freeman, Executive Vice President
1077 Celestial St., Suite 110
Cincinnati, OH 45202-1629
(513) 621-1113

A-15 Exhibit A-15 "Company History," provide a concise description of the applicant's company history and principal business interests.

Answer:

Stand Energy Corporation ("SEC") is a privately held Kentucky corporation formed in 1984. One of the original three principals continues to work in the day-to-day operation of the company. Prior to forming SEC, Judith A. Phillips (President & CEO), worked for twenty years at Columbia Hydrocarbons. A second founder, Matth. Toeppen, continues as Chairman of the Board.

SEC initially concentrated on large multi-location industrial customers. Over the years, however, the focus of operations has changed to small to medium sized commercial, industrial, educational and governmental customers. More recently, SEC has taken part in various choice programs serving small commercial end users.

In addition to natural gas marketing, SEC has constructed several LDC by-pass pipelines and builds propane alternate fuel installations for customers interested in receiving interruptible gas service.

A-16 Exhibit A-16 “Articles of Incorporation and Bylaws.” provide the articles of incorporation filed with the state or jurisdiction in which the applicant is incorporated and any amendments thereto, ***only if the contents of the originally filed documents changed since the initial application.***

Answer:

There have been no changes to Stand Energy Corporation's Articles of Incorporation or Bylaws since the original CRNGS application was filed with the Public Utilities Commission of Ohio.

A-17 Exhibit A-17 "Secretary of State," provide evidence that the applicant is still currently registered with the Ohio Secretary of State.

Answer:

See attached.

**EXHIBIT A-17 "SECRETARY OF STATE"**

Entity#: 685018
Filing Type: FOREIGN CORPORATION
Original Filing Date: 09/05/1986
Location: --
Business Name: STAND ENERGY CORPORATION

Status: Active
Exp. Date: -

Agent/Registrant Information

JUDY PHILLIPS
1077 CELESTIAL DRIVE
SUITE 110
CINCINNATI OH 45202
10/17/2001
Active

Filings

Filing Type	Date of Filing	Document ID
FOREIGN LICENSE/FOR-PROFIT	09/05/1986	F997_1268
FORM 7 FEE ASSESSED	03/30/1990	G836_1265
FORM 7 NO FEE ASSESSED	03/30/1990	G836_1265
FORM 7 NO FEE ASSESSED	03/30/1990	G836_1265
FORM 7 NO FEE ASSESSED	12/31/1989	F924_2524
FORM 7 NO FEE ASSESSED	12/31/1989	F924_2524
FORM 7 NO FEE ASSESSED	12/31/1990	G999_1311
FORM 7 NO FEE ASSESSED	12/31/1991	H005_1744
FORM 7 NO FEE ASSESSED	09/28/1993	H699_1093
FORM 7 FEE ASSESSED	01/17/1995	5031_0546

Stand Energy Corporation-Case No. 02-2549-GA-CRS
2018 CRNGS Supplier License 02-043G(8) Renewal

JON HUSTED

Ohio Secretary of State



Tue Oct 09 2018

EXHIBIT A-17 "SECRETARY OF STATE"

FORM 7 FEE ASSESSED	02/07/1996	5433_1007
FORM 7 NO FEE ASSESSED	10/02/1996	6186_0113
FORM 7 FEE ASSESSED	12/10/1997	199927801607
DOMESTIC/AGENT RESIGNATION	10/17/2001	200129100094
DOMESTIC AGENT SUBSEQUENT APPOINTMENT	10/17/2001	200129100096
SERVICE MARK/ORIGINAL FILING	11/12/2010	201031601097

SECTION B - APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

B-1 Exhibit B-1 "Jurisdictions of Operations," provide a current list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail natural gas service, or retail/wholesale electric services.

Answer:

Currently SEC is providing retail natural gas marketing and brokering services in the following jurisdictions: Illinois, Indiana, Kentucky, Tennessee, Maryland, Michigan, New York, Ohio, Pennsylvania, South Carolina, North Carolina, Virginia, West Virginia and Washington D.C. SEC is licensed to provide retail electric services in Illinois, Ohio, Pennsylvania and Virginia.

B-2 Exhibit B-2 "Experience & Plans," provide a current description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4929.22 of the Revised Code and contained in Chapter 4901:1-29 of the Ohio Administrative Code.

Answer:

SEC, with 30 years of experience, has been a hands-on participant in the evolution of natural gas contracting. We have historically used industry standard language in consumer contracts. Typically, SEC has performed the vast majority of billing in-house. SEC also has elected on occasion to utilize LDC billing services. Stand Energy-developed computer software insures timeliness and accuracy of invoicing.

Customers may contract with SEC only via written contract at this time. One employee has been assigned the task of responding to customer complaints. Everyone in the Company is tasked with responding to customer inquiries.

B-3 Exhibit B-3 “Summary of Experience,” provide a concise and current summary of the applicant’s experience in providing the service(s) for which it is seeking renewed certification (e.g., number and types of customers served, utility service areas, volume of gas supplied, etc.).

Answer:

Stand Energy has 30 years of experience serving large industrial, commercial and Commercial Choice customers in **14 states behind 54 local distribution** companies and the associated interstate pipeline(s) that serve each of these utilities:

Illinois

Ameren
Illinois Gas Company
NICOR, Inc.
Peoples Gas

Indiana

Batesville Gas & Water
Citizens Energy Group
NIPSCO
Sycamore Gas Company
Vectren Energy Delivery of Indiana

Kentucky

ATMOS Kentucky
Columbia Gas of Kentucky
Delta Natural Gas Co., Inc.
Duke Energy of Kentucky
Louisville Gas & Electric
Richmond Utilities
Western Kentucky Gas

Maryland

Baltimore Gas & Electric
Washington Gas & Light – Maryland

Michigan

Consumers Energy
DTE Energy-MichCon
Michigan Gas Utilities
SEMCO

New York

Corning Natural Gas Corporation
National Fuel Gas Distribution Co.
National Grid
New York State Electric & Gas
Rochester Gas & Electric
Orange and Rockland Utilities, Inc.

North Carolina

Piedmont Natural Gas Company
PSNC Energy

Ohio

Columbia Gas of Ohio
Dominion East Ohio
Duke Energy of Ohio
Northeast Ohio Natural Gas Corp.
Ohio Gas Company
Pike Natural Gas Company
Union Rural Electric
Vectren Energy Delivery of Ohio
Waterville Gas Company

Pennsylvania

Columbia Gas of Pennsylvania
Peoples Natural Gas Company
Equitable Gas Company
National Fuel Gas Distribution Corp.

South Carolina

Piedmont Natural Gas Company

Tennessee

ATMOS Tennessee
Piedmont Natural Gas Company

Virginia

ATMOS Virginia
Columbia Gas of Virginia
Virginia Natural Gas
City of Richmond
Washington Gas & Light - Virginia
Washington Gas & Light - Shenandoah

West Virginia

Blacksville Oil & Gas
Mountaineer Gas Company

B-4 **B-4 “Disclosure of Liabilities and Investigations,”** provide a description of all existing pending or past rulings, judgments, contingent liabilities, revocations of authority, regulatory investigations, or any other matter that could adversely impact the applicant’s financial or operational status or ability to provide the services for which it is seeking renewed certification since applicant last filed for certification.

Answer:

There are no existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact SEC’s financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-5 **B-5 “Disclosure of Consumer Protection Violations,”** disclose whether the applicant, affiliate, predecessor of the applicant, or any principal officer of the applicant has been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws since applicant last filed for certification.

☒ No ☐ Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-5 “Disclosure of Consumer Protection Violations,”** detailing such violation(s) and providing all relevant documents.

B-6 B-6 "Disclosure of Certification Denial, Curtailment, Suspension or Revocation." disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail natural gas or retail/wholesale electric service denied, curtailed, suspended, or revoked, or whether the applicant or predecessor has been terminated from any of Ohio's Natural Gas Choice programs, or been in default for failure to deliver natural gas since applicant last filed for certification.

☒

No

☐

Yes

If Yes, provide a separate attachment, labeled as **Exhibit B-6 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation,"** detailing such action(s) and providing all relevant documents.

SECTION C – APPLICANT FINANCIAL CAPABILITY AND EXPERIENCE

C-1 **Exhibit C-1 “Annual Reports,”** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information, labeled as Exhibit C-1, or indicate that Exhibit C-1 is not applicable and why.

Answer:

Stand Energy Corporation is a closely held corporation and as such its stock is not publicly traded. An annual report to the shareholders has never been prepared.

C-2 Exhibit C-2 “SEC Filings.” provide the most recent 10-K/8-K Filings with the SEC. If applicant does not have such filings, it may submit those of its parent company. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 whether the applicant is not required to file with the SEC and why.

Answer:

Stand Energy Corporation is a privately-held company and therefore is not required to file with the U.S. Securities Exchange Commission because its stock is not publicly traded.

C-3 Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement.) If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer-certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

Answer: FILED UNDER SEAL

All documents contained under this Exhibit C-3 are filed under seal pursuant to Ohio Administrative Code 4901:1-27-08. The Exhibit to this section is Stand Energy Corporation’s Audited Financials for the years ending December 31, 2016 and December 31, 2017 and is filed under seal as Stand Energy Corporation Application pages 24-36.

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant’s current financial arrangements to conduct competitive retail natural gas service (CRNGS) as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.)

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter “N/A” in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

Answer: FILED UNDER SEAL

All documents contained under this Exhibit C-4 are filed under seal pursuant to Ohio Administrative Code 4901:1-27-08. The Exhibit to this section is filed under seal as Stand Energy Corporation Application page 38.

C-5 Exhibit C-5 "Forecasted Financial Statements," provide two years of forecasted income statements for the applicant's **NATURAL GAS related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.

Answer: FILED UNDER SEAL

All documents under this Exhibit C-5 are filed under seal pursuant to Ohio Administrative Code 4901:1-27-08. The Exhibit to this section is filed under seal as Stand Energy Corporation Application pages 40-41.

C-6 Exhibit C-6 "Credit Rating." provide a statement disclosing the applicant's current credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.

Answer: Stand Energy is a privately held company and does not have an independent credit rating from any of the aforementioned organizations.

C-7 **C-7 "Credit Report,"** provide a copy of the applicant's current credit report from Experion, Dun and Bradstreet, or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.

Answer: Stand Energy Corporation's credit report from Dun & Bradstreet is attached.

EXHIBIT C-7 CREDIT REPORT"

Printed By: Jeff Crass
Date Printed: October 09, 2018



Disclaimer: The software and information ("Services") accessed herein were developed exclusively at private expense, and are proprietary to Dun & Bradstreet, Inc., and its affiliates and subsidiaries (collectively, "D&B"), and may include copyrighted works, trade secrets, or other materials created by D&B at great effort and expense.

If the Customer accessing the Services is part of the executive, legislative or judicial branches of the U.S. Federal Government, the Services contained herein are a Commercial Item as that term is defined in FAR 2.101, and are comprised of Technical Data, Computer Software and Computer Software Documentation as those terms are defined in FAR 52.227-14(a) and DFAR 252.227-13.

Customer's rights to use the Services are as described in the government contract signed between D&B and the Government.

Under no circumstances will the Customer accessing the Services have greater rights in the Services provided hereunder than "Limited Rights" as that term is defined in FAR 52.227-14 (ALT II) and DFAR 252.227-7013(f) and "Restricted Rights" as that term is defined in FAR 52.227-14 (ALT III) and DFAR 252.227-7014(f), respectively.

Live Report : STAND ENERGY CORPORATION

D-U-N-S® Number: 12-129-3039

Endorsement/Billing Reference: jcrass@standenergy.com

D&B Address

Address 1077 Celestial St Ste 110
Cincinnati, OH, US -
45202

Location Type Single Location

Web www.stand-energy.com

Phone 513 621-1113

Fax 513-621-3773

Added to Portfolio: 03/06/2009

Last View Date: 08/23/2018

Endorsement : jcrass@standenergy.com

Company Summary

Currency: Shown in USD unless otherwise indicated

Score Bar

PAYDEX®		79	Paying 2 days past due
Commercial Credit Score Percentile		95	Low Risk of severe payment delinquency.
Financial Stress Score National Percentile		55	Moderate Risk of severe financial stress.
D&B Viability Rating			View More Details
Bankruptcy Found		No	
D&B Rating		1R2	1R indicates 10 or more Employees. Credit appraisal of 2 is good

D&B Viability Rating



Viability Score : 3

Portfolio Comparison : 2

Stand Energy Corporation Case No. 02-2549-GA-CRS
2018 CRNGS Supplier License 02-043G(8) Renewal

Page No. 44

Public Filings

The following data includes both open and closed filings found in D&B's database on this company.

Record Type	Number of Records	Most Recent Filing Date
Bankruptcies	0	-
Judgments	0	-
Liens	0	-

EXHIBIT C-7 CREDIT REPORT**Data Depth Indicator : B****Company Profile : G**

Financial Data	Trade Payments	Company Size	Years in Business
Not Available	Available (3+Trade)	Large	Established

Record Type	Number of Records	Most Recent Filing Date
Suits	0	-
UCCs	10	10/11/18

The public record items contained herein may have been paid, terminated, vacated or released prior to today's date.

Detailed Trade Risk Insight™

Days Beyond Terms Past 3 Months

0
Days

Dollar-weighted average of 3 payment experiences reported from 3 Companies

Recent Derogatory Events

Aug-18 Sep-18 Oct-18

Placed for Collection	-	-	-
Bad Debt Written Off	-	-	-

D&B Company Overview

This is a single location

Chief Executive	MATTH TOEBBEN, CHM
Year Started	1984
Employees	34
Financing	SECURED
SIC	4924
Line of business	Natural gas distribution
NAICS	221210
History Status	CLEAR

FirstRain Company News

Kentucky Oil and Gas Association announces
2018-06-25T14:12:49 EST 2:12 PM-The Lane Report

Powered by FirstRain

Predictive Scores

Currency: Shown in USD unless otherwise indicated

D&B Viability Rating Summary

The D&B Viability Rating uses D&B's proprietary analytics to compare the most predictive business risk indicators and deliver a highly reliable assessment of the probability that a company will go out of business, become dormant/inactive, or file for bankruptcy/insolvency within the next 12 months. The D&B Viability Rating is made up of 4 components:

**Viability Score**

Lowest Risk:1

Highest Risk:9

Compared to All US Businesses within the D&B Database:

- Level of Risk: **Low Risk**
- Businesses ranked 3 have a probability of becoming no longer viable: **3 %**
- Percentage of businesses ranked 3: **15 %**
- Across all US businesses, the average probability of becoming no longer viable: **14 %**

EXHIBIT C-7 CREDIT REPORT"

2

Compared to All US Businesses within the same MODEL SEGMENT:

- Model Segment : **Established Trade Payments**
- Level of Risk: **Low Risk**
- Businesses ranked 2 within this model segment have a probability of becoming no longer viable: **3 %**
- Percentage of businesses ranked 2 with this model segment: **16 %**
- Within this model segment, the average probability of becoming no longer viable: **5 %**

B

Data Depth Indicator

Predictive Data:A

Descriptive Data:G

Data Depth Indicator:

- ✓ Rich Firmographics
- ✓ Extensive Commercial Trading Activity
- ✓ Basic Financial Attributes

Greater data depth can increase the precision of the D&B Viability Rating assessment.

G

Company Profile

Financial
DataTrade
PaymentsCompany
SizeYears in
BusinessNot
AvailableAvailable
(3+ Trade)

Large

Established

Company Profile Details:

- Financial Data: **Not Available**
- Trade Payments: **Available** (3+ Trade)
- Company Size: **Large** (Employees: 50+ or Sales: \$500K+)
- Years in Business: **Established** (5+)

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If the Customer accessing the Services is part of the executive, legislative or judicial branches of the U.S. Federal Government, the Services contained herein are a Commercial Item as that term is defined in FAR 2.101, and are comprised of Technical Data, Computer Software and Computer Software Documentation as those terms are defined in FAR 52.227-14(a) and DFAR 252.227-13.

Customer's rights to use the Services are as described in the government contract signed between D&B and the Government.

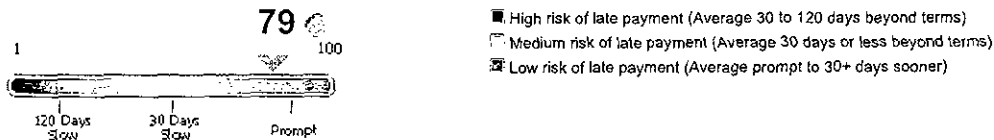
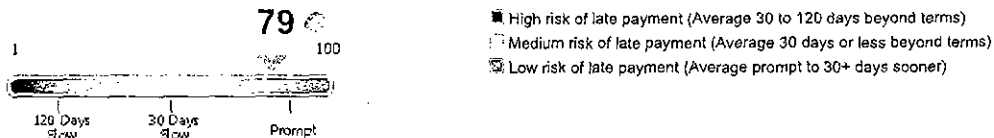
Under no circumstances will the Customer accessing the Services have greater rights in the Services provided hereunder than "Limited Rights" as that term is defined in FAR 52.227-14 (ALT II) and DFAR 252.227-7013(f) and "Restricted Rights" as that term is defined in FAR 52.227-14 (ALT III) and DFAR 252.227-7014(f), respectively.

EXHIBIT C-7 CREDIT REPORT"

Current PAYDEX is 79 Equal to 2 days beyond terms (Pays more promptly than the average for its industry of 3 days beyond terms)
 Industry Median is 78 Equal to 3 days beyond terms
 Payment Trend currently is Unchanged, compared to payments three months ago

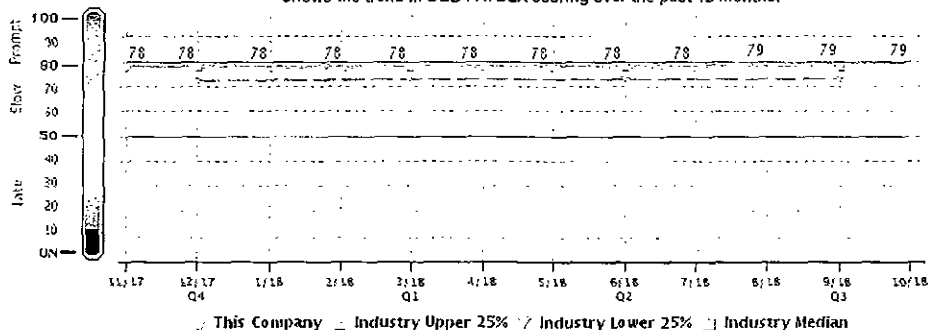
Indications of slowness can be the result of dispute over merchandise, skipped invoices etc. Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Total payment Experiences in D&Bs File (HQ)	17
Payments Within Terms (not weighted)	81 %
Trade Experiences with Slow or Negative Payments(%)	11.76%
Total Placed For Collection	0
High Credit Average	1,416
Largest High Credit	5,000
Highest Now Owing	750
Highest Past Due	0

D&B PAYDEX**3-Month D&B PAYDEX**[Back To Top](#)**D&B PAYDEX® Comparison****Current Year**

PAYDEX® of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas distribution , based on SIC code 4924 .

Shows the trend in D&B PAYDEX scoring over the past 12 months.



	11/17	12/17	1/18	2/18	3/18	4/18	5/18	6/18	7/18	8/18	9/18	10/18
This Business	78	78	78	78	78	78	78	78	78	79	79	79
Industry Quartiles												
Upper		80			80			80			80	
Median		78			78			78			78	
Lower		72			73			73			73	

Current PAYDEX for this Business is 79 , or equal to 2 days beyond terms
 The 12-month high is 79 , or equal to 2 DAYS BEYOND terms
 The 12-month low is 78 , or equal to 3 DAYS BEYOND terms

Stand Energy Corporation Case No. 02-2549-GA-CRS

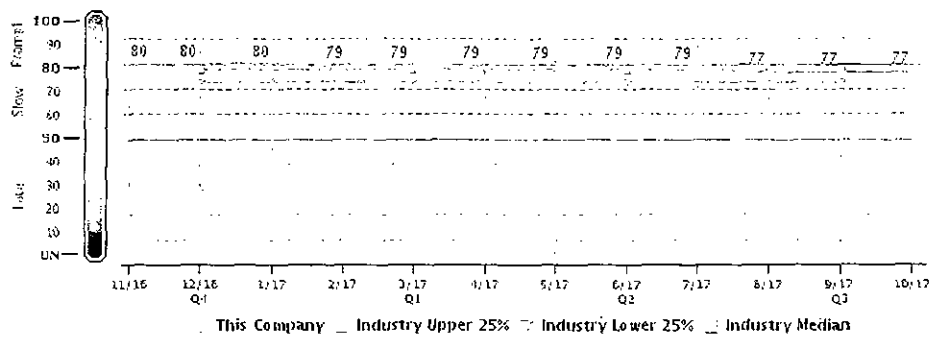
2018 CRNGS Supplier License 02-043G(8) Renewal

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EXHIBIT C-7 CREDIT REPORT"

Previous Year

Shows PAYDEX of this Business compared to the Primary Industry from each of the last four quarters. The Primary Industry is Natural gas distribution, based on SIC code 4924.



Previous Year	12/16 Q4'16	03/17 Q1'17	06/17 Q2'17	09/17 Q3'17
This Business	80	79	79	77
Industry Quartiles				
Upper	80	80	80	80
Median	78	78	78	78
Lower	73	73	73	73

Based on payments collected over the last 4 quarters.

Current PAYDEX for this Business is 79, or equal to 2 days beyond terms
 The present industry median Score is 78, or equal to 3 days beyond terms
 Industry upper quartile represents the performance of the payers in the 75th percentile
 Industry lower quartile represents the performance of the payers in the 25th percentile

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Payment Habits

For all payment experiences within a given amount of credit extended, shows the percent that this Business paid within terms. Provides number of experiences to calculate the percentage, and the total credit value of the credit extended.

\$ Credit Extended	# Payment Experiences	Total Amount	% of Payments Within Terms
Over 100,000	0	0	0%
50,000-100,000	0	0	0%
15,000-49,999	0	0	0%
5,000-14,999	1	5,000	100%
1,000-4,999	3	3,000	100%
Under 1,000	2	500	25%

Based on payments collected over last 24 months.

All Payment experiences reflect how bills are paid in relation to the terms granted. In some instances, payment beyond terms can be the result of disputes over merchandise, skipped invoices etc.

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Payment Summary

- There are 17 payment experience(s) in D&Bs file for the most recent 24 months, with 11 experience(s) reported during the last three month period.
- The highest Now Owes on file is 750. The highest Past Due on file is 0

Below is an overview of the companys currency-weighted payments, segmented by its suppliers primary industries:

Top Industries	Total Revd (#)	Total Amts	Largest High Credit	Within Terms (%)	Days Slow	<31	31-60	61-90	90+
Nonclassified	2	6,000	5,000	100	0	0	0	0	0
Telephone communictns	2	500	250	25	50	0	25	0	0
Radiotelephone commun	0	0	0	0	0	0	0	0	0

Stand Energy Corporation Case No. 02-2549-CA-CRS
 2018 CRNGS Supplier License 02-043G(8) Renewal

EXHIBIT C-7 CREDIT REPORT"

Ret stationery	1	1,000	1,000	100	0	0	0	0
Electric services	1	0	0	0	0	0	0	0
Other payment categories								
Cash experiences	10	1,050	250					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections	0	N/A	0					
Total in D&B's file	17	9,550	5,000					

Accounts are sometimes placed for collection even though the existence or amount of the debt is disputed.

Indications of slowness can be result of dispute over merchandise, skipped invoices etc.

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Detailed payment history for this company

Date Reported (mm/yy)	Paying Record	High Credit	Now Owes	Past Due	Selling Terms	Last Sale Within (month)
09/18	Ppt	5,000	0	0		1 mo
	(002)	250	0	0	Cash account	1 mo
	(003)	250	0	0	Cash account	1 mo
	(004)	100	0	0	Cash account	6-12 mos
	(005)	50	0	0	Cash account	6-12 mos
08/18	Ppt	1,000	750	0		1 mo
	Slow 30	250	0	0	N30	2-3 mos
07/18	Ppt	1,000	250	0	N60	1 mo
	(009)	100			Cash account	1 mo
	(010)	100			Cash account	1 mo
	(011)	50			Cash account	1 mo
	(012)	50			Cash account	1 mo
06/18	(013)	50			Cash account	1 mo
05/18	(014)	50			Cash account	1 mo
10/17	Ppt	0	0	0	N30	6-12 mos
07/17	Ppt-Slow 90	250	0	0		6-12 mos
03/17	Ppt	1,000	0	0		6-12 mos

Payments Detail Key: ■ 30 or more days beyond terms

Payment experiences reflect how bills are paid in relation to the terms granted. In some instances payment beyond terms can be the result of disputes over merchandise, skipped invoices, etc. Each experience shown is from a separate supplier. Updated trade experiences replace those previously reported.

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EXHIBIT C-7 CREDIT REPORT^{DNBI}

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 Enter Company Name or D-U-N-S Number

 Enter City

 Select State/Province

You are in: Search Results » Live Report : STAND ENERGY CORPORATION

Live Report : STAND ENERGY CORPORATION

D-U-N-S® Number: 12-129-3039 - STAND ENERGY CORPORATION No trade names for this company.

D&B Address

 Address 1077 Celestial St Ste 110
Cincinnati, OH, US - 45202
Phone 513-621-1113
Fax 513-621-3773

 Location Type Single Location
Web www.stand-energy.com

[Add to Folder](#) [Remove from Folder](#) [Print](#) [E-Mail](#) [PDF](#) [Preferences](#)
[Map using Google](#)

Added to Portfolio: 03/06/2009

Last View Date: 08/23/2018

Endorsement: jcrass@standenergy.com

In Folders: View

Company Summary

Detailed Trade Risk Insight

Small Business Risk Insight

Corporate Linkage

Predictive Scores

Trade Payments

Public Filings

Special Events

History & Operations

Financials

Notes

Your Information

View Snapshots

How helpful was the D&B information for this company?

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Detailed Trade Risk Insight provides detailed updates on over 1.5 billion commercial trade experiences collected from more than 260 million unique supplier/purchaser relationships. To learn more Click here

Days Beyond Terms - Past 3 & 12 Months

3 months from Aug 18 to Oct 18

12 months from Nov 17 to Oct 18

 0 Days

 Stand Energy Corporation Case No. 02-2549-CA-CRS
2018 CRNGS Supplier License 02-0436(8) Renewal: Beyond Terms

EXHIBIT C-7 CREDIT REPORT

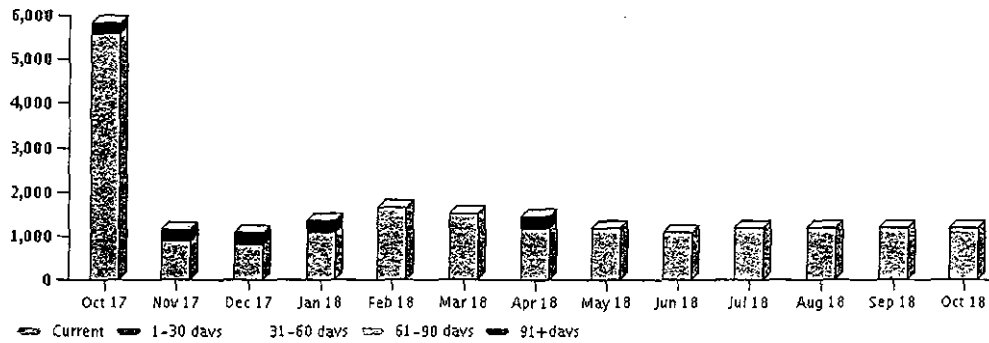
Dollar-weighted average of 3 payment experiences
reported from 3 companies

Dollar-weighted average of 3 payment experiences
reported from 3 companies

Derogatory Events Last 13 Months from Oct 17 to Oct 18

No Derogatory trade Event has been reported on this company for the past 13 Months

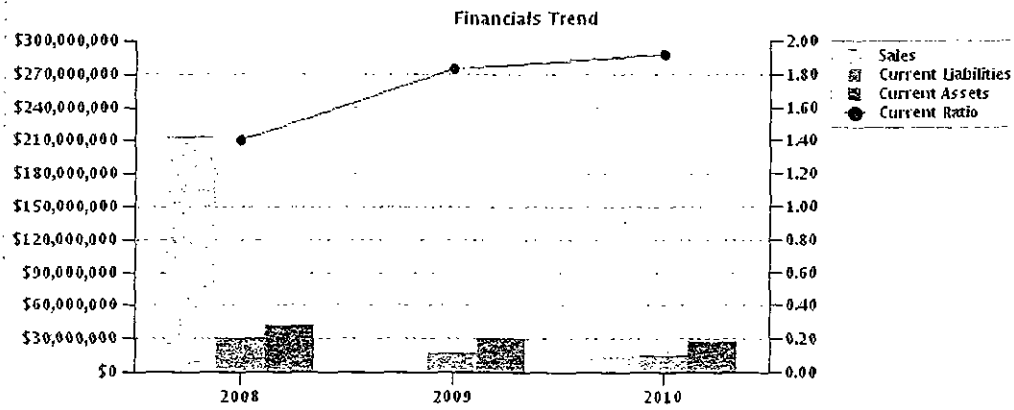
Total Amount Current and Past Due - 13 month trend from Oct 17 to Oct 18



Hide Table

Status	Oct-17	Nov-17	Dec-17	Jan-18	Feb-18	Mar-18	Apr-18	May-18	Jun-18	Jul-18	Aug-18	Sep-18	Oct-18
Total	5,850	1,152	1,093	1,368	1,650	1,537	1,464	1,199	1,100	1,196	1,203	1,203	1,203
Current	5,592	894	835	1,110	1,650	1,537	1,206	1,199	1,100	1,196	1,203	1,203	1,203
1-30 Days Past Due	258	258	258	258	-	-	258	-	-	-	-	-	-
31-60 Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-
61-90 Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-
90+ Days Past Due	-	-	-	-	-	-	-	-	-	-	-	-	-

EXHIBIT C-7 CREDIT REPORT



02/13/2017

Three-year Statement Comparative:

	Fiscal Dec 31 2010	Fiscal Dec 31 2009	Fiscal Dec 31 2008
Current Assets	27,097,518	30,108,217	42,467,923
Current Liabilities	14,105,285	16,474,287	30,371,500
Current Ratio	1.92	1.83	1.4
Working Capital	12,992,233	13,633,930	12,096,423
Other Assets	377,061	350,993	289,293
Net Worth	13,271,323	13,934,923	12,385,716
Sales	12,749,800		212,701,118
Long Term Liab	97,971	50,000	0

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Additional Financial Data

Accountant: Novikoff, Manheimer, Co., Cincinnati, Ohio.

D & B has updated this report using available sources.

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Request Financial Statements

Financial Date Requested	Requested Period	Requested Year	Requested By	Received Date	Status
--------------------------	------------------	----------------	--------------	---------------	--------

No financial data found.

Requested financials are provided by STAND ENERGY CORPORATION and are not DUNSRight certified.

Key Business Ratios

Statement Date Dec 31 2010
 Based on this Number of Establishments 24

Industry Norms Based On 24 Establishments

	This Business	Industry Median	Industry Quartile
Profitability			
Return on Sales %	UN	6.3	UN
Return on Net Worth %	UN		UN
Short-Term Solvency			

Stand Energy Corporation Case No. 02-2549-GA-CRS
 2018 CRNGS Supplier License 02-043G(8) Renewal

EXHIBIT C-7 CREDIT REPORT

	This Business	Industry Median	Industry Quartile
Current Ratio	1.9	1.1	1
Quick Ratio	UN	0.4	UN
Efficiency			
Assets to Sales %	UN	193.1	UN
Sales / Net Working Capital	1.0	9.3	4
Utilization			
Total Liabilities / Net Worth (%)	UN	199.7	UN

UN = Unavailable

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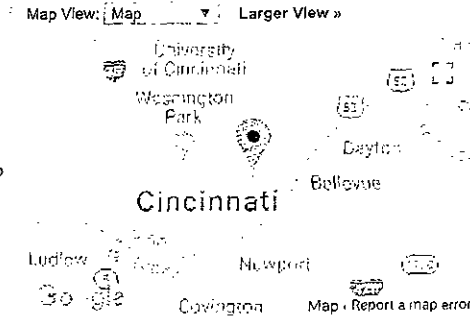
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EXHIBIT C-7 CREDIT REPORT"

Cincinnati, OH 45202

View: D&B Address ▾

Phone: 513 621-1113
 Fax: 513-621-3773
 URL: <http://www.stand-energy.com>
 History: is clear
 Present management control: 34 years
 Annual Sales: 110,345,110

[Back to Top](#)[Back to Top](#)**History**

The following information was reported: 02/13/2017

Officer(s): MATTH TOEBBEN, CHM
 JUDITH PHILLIPS, PRES-CEO
 LAWRENCE FREEMAN, EXEC VICE PRES
 JEFFREY CRASS, CONTRL

DIRECTOR(S) : THE OFFICER(S)

The Kentucky Secretary of State's business registrations file showed that Stand Energy Corporation was registered as a Corporation on December 28, 1984, under file registration number 0196832.

Business started 1984 by the officers. 50% of capital stock is owned by Phillips Family. 50% of capital stock is owned by Toeppen Family.

According to managment, the Company is a more than 50% women-owned business.

MATTH TOEBBEN born 1931. 1984-present active here.

JUDITH PHILLIPS born 1936. 1984-present active here.

LAWRENCE FREEMAN born 1971. 1994-present active here.

JEFFREY CRASS born 1962. 2003-present active here.

Related Companies :

The following are related via common management and/or financial interest.

Toeppen Builders & Developers Inc, Covington, KY. Started 1956. General contractor of single family homes.

Matth Toeppen Construction Company (Inc), Covington, KY. Started 1956. General contractor of commercial and industrial buildings.

Southern Tier Transmission, 1077 Celestial St #110 Cincinnati, OH, Started 1987. Operates as a gas pipeline.

[Back to Top](#)**Business Registration**

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF
 Sep 30 2018

Registered Name: STAND ENERGY CORPORATION
 Business type: CORPORATION
 Corporation type: PROFIT
 Date incorporated: Dec 28 1984
 State of incorporation: KENTUCKY
 Filing date: Dec 28 1984
 Registration ID: 0196832
 Status: ACTIVE
 Where filed: SECRETARY OF STATE/CORPORATE DIVISION, FRANKFORT, KY
 Registered agent: MATTH. TOEBBEN, 541 BUTTERMILK PIKE, SUITE 104, CRESCENT SPRINGS, KY, 410170000
 Principals: Judith Phillips DIRECTOR
 Judith Phillips PRESIDENT

Stand Energy Corporation Case No. 02-2549-GA-CRS
2018 CRNGS Supplier License 02-043G(8) Renewal

EXHIBIT C-7 CREDIT REPORT"

DNBI
Matth Toeppen SECRETARY
Matth Toeppen TREASURER

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02/13/2017

Provides natural gas distribution (100%).

Description:

ADDITIONAL TELEPHONE NUMBER(S): Facsimile (Fax) 513 621-3773.

Has 850 account(s). Terms are Net 10 days. Sells to manufacturers, non profit organizations, commercial concerns and government. Territory : United States.

Employees:

34 which includes officer(s).

Facilities:

Rents 4,580 sq. ft. on one floor of a two story brick building.

Location:

Suburban business section on main street.

[Back to Top](#)**SIC & NAICS****SIC:**

Based on information in our file, D&B has assigned this company an extended 8-digit SIC. D&B's use of 8-digit SICs enables us to be more specific about a company's operations than if we use the standard 4-digit code.

The 4-digit SIC numbers link to the description on the Occupational Safety & Health Administration (OSHA) Web site. Links open in a new browser window.

4924 0000 Natural gas distribution

NAICS:

221210 Natural Gas Distribution

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C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors, or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.

Answer:

No reorganizations, protection from creditors, or any other form of bankruptcy filings by the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application have occurred.

C-9 Exhibit C-9 “Merger Information.” provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.

Answer:

No dissolution or merger or acquisition of or by the applicant has occurred within the five most recent years preceding the application or at any time since inception and formation of the corporation.

C-10 Exhibit C-10 "Corporate Structure," provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate within the two most recent years preceding the application.

Answer:

Stand Energy Corporation is a stand-alone entity with no affiliate within the two most recent years preceding the application.

SECTION D – APPLICANT TECHNICAL CAPABILITY

D-1 Exhibit D-1 “Operations,” provide a current written description of the operational nature of the applicant’s business. Please include whether the applicant’s operations will include the contracting of natural gas purchases for retail sales, the nomination and scheduling of retail natural gas for delivery, and the provision of retail ancillary services, as well as other services used to supply natural gas to the natural gas company city gate for retail customers.

Answer:

SEC has long term commitments for natural gas supply and pipeline transportation services (including storage) to serve its retail commercial, industrial and governmental customers. These long term commitments for supply and transportation tie into the nomination and scheduling function that are handled in-house, on a daily basis. Supply and delivery services are from a mix of sources (Southwest, Mid-Continent, and Appalachian) to insure ongoing reliability. SEC does not currently offer retail ancillary services other than natural gas consulting services supplied to all customers. There is no separate charge for Stand Energy’s ancillary services.

D-2 Exhibit D-2 "Operations Expertise." given the operational nature of the applicant's business, provide evidence of the applicant's current experience and technical expertise in performing such operations.

Answer:

SEC has been involved in the purchase, the sale, transportation and scheduling of natural gas since 1984. SEC has long-standing relationships with a diverse group of suppliers that offers flexibility in sourcing. Included in SEC's transportation mix are primary and secondary firm, plus pipeline storage. In its 30-year history, SEC has never failed to deliver required quantities of gas to end-use customers.

D-3 Exhibit D-3 "Key Technical Personnel," provide the names, titles, email addresses, telephone numbers, and background of key personnel involved in the operational aspects of the applicant's current business.

Answer:

Larry Freeman
Executive Vice President
(513) 621-1113
lfreeman@standenergy.com

Graduate (with honors) of University of Cincinnati (1994) with a BA in Economics.
Employed by Stand Energy Corporation since 1994.

Nan Hamilton
Vice President, Organization
(513) 621-1113
nhamilton@standenergy.com

Graduate of University of Oklahoma
Twenty Years of petroleum trading and pipeline experience.
Employed by Stand Energy Corporation since 1995.

Kevin Ralston
Director, Gas Control
(513) 621-1113
kralston@standenergy.com

Earned a BS degree from the University of Charleston in May 2000.
Employed by Stand Energy Corporation since 2000.

Tom Meier
Gas Control Specialist
(513) 621-1113
tmeier@standenergy.com

Earned a BS degree from the University of Cincinnati in 1998.
Employed by Stand Energy Corporation since 1998.

Adam Howard
Gas Control Specialist
(513) 621-1113
ahoward@standenergy.com

Earned a BS in Economics from the University of Kentucky in May 2007.
Employed by Stand Energy Corporation since 2007.