

FILE

14-1879-EL-AGG

25



# Public Utilities Commission

Original AGG Case Number	Version
14-1879-EL-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

### A. RENEWAL INFORMATION

#### A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☐ Aggregator

#### A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name PJ Energy, LLC  
 Address 577 Oberlin Rd., SW, Massillon, Ohio 44647  
 PUCO Certificate # and Date Certified 14-898E(2) 11/28/2016  
 Telephone # (330) 834-2108 Web site address (if any) PJEnergyOH.com

#### A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name PJ Energy, LLC  
 Address 577 Oberlin Rd., SW, Massillon, Ohio 44647  
 Telephone # (330) 834-2108 Web site address (if any) PJEnergyOH.com

#### A-4 List all names under which the applicant does business in North America

PJ Energy, LLC  
 \_\_\_\_\_  
 \_\_\_\_\_

#### A-5 Contact person for regulatory or emergency matters

Name Philip A. Roberson  
 Title Principal  
 Business address 577 Oberlin Rd., SW, Massillon, Ohio 44647  
 Telephone # (330) 936-4770 Fax # (330) 837-7727  
 E-mail address philr@nfm.net

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 PUCO

This is to certify that the above information and all  
 accurate and complete reproduction of a word file  
 document delivered in the regular course of business.  
 Technician ie Date Processed 9/10/18

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name Philip A. Roberson  
Title Principal  
Business address 577 Oberlin Rd., SW, Massillon, Ohio 44647  
Telephone # (330) 834-2108 Fax # (330) 837-7727  
E-mail address philr@nfm.net

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address 577 Oberlin Rd., SW, Massillon, Ohio 44647  
Toll-free Telephone # (330) 834-2108 Fax # (330) 837-7727  
E-mail address philr@nfm.net

**A-8 Applicant's federal employer identification number # 452251559**

**A-9 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Philip A. Lohrman  
Signature of Applicant & Title

Sworn and subscribed before me this 4<sup>th</sup> day of Sept  
Month



Jennifer Helms  
Notary Public, State of Ohio  
My Commission Expires 09-15-2019

Jennifer Helms  
Signature of official administering oath

Jennifer Helms  
Print Name and Title

My commission expires on 9/15/2019

**Exhibit A-10 “Principal Officers, Directors & Partners”**

PJ Energy, LLC

1. Philip A. Roberson  
1322 Springhill Lane NE  
Massillon, OH 44646  
Ph: 330.833.1022  
Cell: 330.936.4770

**Exhibit B-1 “Jurisdictions of Operation”**

PJ Energy, LLC

The State of Ohio

## **Exhibit B-2 “Experience & Plans”**

PJ Energy, LLC

PJ Energy will only quote and/or sell electric through AEP Energy.  
All contracts will be directly between AEP Energy and the Customer.  
PJ Energy receives commission from AEP Energy, if a successful  
contract between AEP Energy and a Customer is achieved.



**Exhibit B-3 “Disclosure of Liabilities and Investigations”**

PJ Energy, LLC

None

**Exhibit B-4 “Disclosure of Consumer Protection Violations”**

PJ Energy, LLC

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No                      ☐ Yes

**Exhibit B-5 “Disclosure of Certification Denial, Curtailment, Suspension, or Revocation”**

PJ Energy, LLC

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No

☐ Yes

**Exhibit C-1 “Annual Reports”**  
PJ Energy, LLC

PJ Energy is a one-man LLC operation. PJ Energy is not a publicly traded company who publishes annual reports.

## **Exhibit C-2 “SEC Filings”**

PJ Energy, LLC

PJ Energy is not required to file reports with the SEC as it is not a publicly traded company.

# Exhibit C-3 "Financial Statements"

PJ Energy, LLC

## SCHEDULE C (Form 1040)

Department of the Treasury  
Internal Revenue Service (99)

## Profit or Loss From Business

(Sole Proprietorship)

Information about Schedule C and its separate instructions is at [www.irs.gov/schedulec](http://www.irs.gov/schedulec).  
Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

OMB No. 1545-0074

**2016**

Attachment  
Sequence No. 09

Name of proprietor

**Philip A. Roberson**

Social security number (SSN)

[REDACTED]

**A** Principal business or profession, including product or service (see instructions)

**Energy Broker**

**B** Enter code from instructions

**221000**

**C** Business name. If no separate business name, leave blank.

**PJ Energy LLC**

**D** Employer ID number (EIN), (see instr.)

**45-2251559**

**E** Business address (including suite or room no.)

City, town or post office, state, and ZIP code

**F** Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) \_\_\_\_\_

**G** Did you "materially participate" in the operation of this business during 2016? If "No," see instructions for limit on losses ☐ Yes ☒ No

**H** If you started or acquired this business during 2016, check here ☐ Yes ☒ No

**I** Did you make any payments in 2016 that would require you to file Form(s) 1099? (see instructions) ☐ Yes ☒ No

**J** If "Yes," did you or will you file required Forms 1099? ☐ Yes ☒ No

### Part I Income

<b>1</b> Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked <input type="checkbox"/>	<b>1</b>	<b>65,819.</b>
<b>2</b> Returns and allowances	<b>2</b>	
<b>3</b> Subtract line 2 from line 1	<b>3</b>	<b>65,819.</b>
<b>4</b> Cost of goods sold (from line 42)	<b>4</b>	
<b>5</b> Gross profit. Subtract line 4 from line 3	<b>5</b>	<b>65,819.</b>
<b>6</b> Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)	<b>6</b>	
<b>7</b> Gross income. Add lines 5 and 6	<b>7</b>	<b>65,819.</b>

### Part II Expenses. Enter expenses for business use of your home **only** on line 30.

<b>8</b> Advertising	<b>8</b>		<b>18</b> Office expense	<b>18</b>	
<b>9</b> Car and truck expenses (see instructions)	<b>9</b>		<b>19</b> Pension and profit-sharing plans	<b>19</b>	
<b>10</b> Commissions and fees	<b>10</b>		<b>20</b> Rent or lease (see instructions):		
<b>11</b> Contract labor (see instructions)	<b>11</b>	<b>46,500.</b>	<b>a</b> Vehicles, machinery, and equipment	<b>20a</b>	
<b>12</b> Depletion	<b>12</b>		<b>b</b> Other business property	<b>20b</b>	
<b>13</b> Depreciation and section 179 expense deduction (not included in Part III) (see instructions)	<b>13</b>		<b>21</b> Repairs and maintenance	<b>21</b>	
<b>14</b> Employee benefit programs (other than on line 19)	<b>14</b>		<b>22</b> Supplies (not included in Part III)	<b>22</b>	
<b>15</b> Insurance (other than health)	<b>15</b>		<b>23</b> Taxes and licenses	<b>23</b>	
<b>16</b> Interest			<b>24</b> Travel, meals, and entertainment:		
<b>a</b> Mortgage (paid to banks, etc.)	<b>16a</b>		<b>a</b> Travel	<b>24a</b>	
<b>b</b> Other	<b>16b</b>		<b>b</b> Deductible meals and entertainment (see instructions)	<b>24b</b>	
<b>17</b> Legal and professional services	<b>17</b>		<b>25</b> Utilities	<b>25</b>	
<b>28</b> Total expenses before expenses for business use of home. Add lines 8 through 27a	<b>28</b>	<b>47,286.</b>	<b>26</b> Wages (less employment credits)	<b>26</b>	
<b>29</b> Tentative profit or (loss). Subtract line 28 from line 7	<b>29</b>	<b>18,533.</b>	<b>27 a</b> Other expenses (from line 48)	<b>27a</b>	<b>786.</b>
<b>30</b> Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions). Simplified method filers only: enter the total square footage of: (a) your home: _____ and (b) the part of your home used for business: _____ Use the Simplified Method Worksheet in the instructions to figure the amount to enter on line 30	<b>30</b>		<b>b</b> Reserved for future use	<b>27b</b>	
<b>31</b> Net profit or (loss). Subtract line 30 from line 29. • If a profit, enter on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3. • If a loss, you must go to line 32.	<b>31</b>	<b>18,533.</b>			
<b>32</b> If you have a loss, check the box that describes your investment in this activity (see instructions). • If you checked 32a, enter the loss on both Form 1040, line 12, (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see the line 31 instructions). Estates and trusts, enter on Form 1041, line 3. • If you checked 32b, you must attach Form 6198. Your loss may be limited.			<b>32a</b> <input type="checkbox"/> All investment is at risk.		
			<b>32b</b> <input type="checkbox"/> Some investment is not at risk.		

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Schedule C (Form 1040) 2016

## Exhibit C-3 "Financial Statements"

PJ Energy, LLC

SCHEDULE C  
(Form 1040)Department of the Treasury  
Internal Revenue Service (99)

## Profit or Loss From Business

(Sole Proprietorship)

Go to [www.irs.gov/ScheduleC](http://www.irs.gov/ScheduleC) for instructions and the latest information.  
Attach to Form 1040, 1040NR, or 1041; partnerships generally must file Form 1065.

OMB No. 1545-0074

2017

Attachment  
Sequence No. 09

Name of proprietor

Philip A. Roberson

Social security number (SSN)

[REDACTED]

A Principal business or profession, including product or service (see instructions)

Energy Broker

B Employer identification number

221000

C Business name. If no separate business name, leave blank.

PJ Energy LLC

D Employer ID number (EIN) (see instr.)

45-2251559

E Business address (including suite or room no.)

City, town or post office, state, and ZIP code

F Accounting method: (1) ☒ Cash (2) ☐ Accrual (3) ☐ Other (specify) \_\_\_\_\_G Did you "materially participate" in the operation of this business during 2017? If "No," see instructions for limit on losses ☐ Yes ☒ NoH If you started or acquired this business during 2017, check here ☐I Did you make any payments in 2017 that would require you to file Form(s) 1099? (see instructions) ☐ Yes ☒ NoJ If "Yes," did you or will you file required Forms 1099? ☐ Yes ☐ No

## Part I Income

1	Gross receipts or sales. See instructions for line 1 and check the box if this income was reported to you on Form W-2 and the "Statutory employee" box on that form was checked <input type="checkbox"/>	1	64,792.
2	Returns and allowances	2	
3	Subtract line 2 from line 1	3	64,792.
4	Cost of goods sold (from line 42)	4	
5	Gross profit. Subtract line 4 from line 3	5	64,792.
6	Other income, including federal and state gasoline or fuel tax credit or refund (see instructions)	6	
7	Gross income. Add lines 5 and 6	7	64,792.

## Part II Expenses. Enter expenses for business use of your home only on line 30.

8	Advertising	8		18	Office expense	18	
9	Car and truck expenses (see instructions)	9		19	Pension and profit-sharing plans	19	
10	Commissions and fees	10		20	Rent or lease (see instructions):		
11	Contract labor (see instructions)	11	51,951.	a	Vehicles, machinery, and equipment	20a	
12	Depletion	12		b	Other business property	20b	
13	Depreciation and section 179 expense deduction (not included in Part III) (see instructions)	13		21	Repairs and maintenance	21	
14	Employee benefit programs (other than on line 19)	14		22	Supplies (not included in Part III)	22	
15	Insurance (other than health)	15		23	Taxes and licenses	23	
16	Interest:			24	Travel, meals, and entertainment:		
a	Mortgage (paid to banks, etc.)	16a		a	Travel	24a	
b	Other	16b		b	Deductible meals and entertainment (see instructions)	24b	
17	Legal and professional services	17		25	Utilities	25	
28	Total expenses before expenses for business use of home. Add lines 8 through 27a	28		26	Wages (less employment credits)	26	
29	Tentative profit or (loss). Subtract line 28 from line 7	29		27 a	Other expenses (from line 48)	27a	3,914.
30	Expenses for business use of your home. Do not report these expenses elsewhere. Attach Form 8829 unless using the simplified method (see instructions). Simplified method filers only: enter the total square footage of: (a) your home: _____ and (b) the part of your home used for business: _____ Use the Simplified Method Worksheet in the instructions to figure the amount to enter on line 30	30		b	Reserved for future use	27b	
31	Net profit or (loss). Subtract line 30 from line 29. • If a profit, enter on both Form 1040, line 12 (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see instructions). Estates and trusts, enter on Form 1041, line 3. • If a loss, you must go to line 32.	31	8,927.				
32	If you have a loss, check the box that describes your investment in this activity (see instructions). • If you checked 32a, enter the loss on both Form 1040, line 12, (or Form 1040NR, line 13) and on Schedule SE, line 2. (If you checked the box on line 1, see the line 31 instructions). Estates and trusts, enter on Form 1041, line 3. • If you checked 32b, you must attach Form 6198. Your loss may be limited.			32a	<input type="checkbox"/> All investment is at risk.		
				32b	<input type="checkbox"/> Some investment is not at risk.		

LHA For Paperwork Reduction Act Notice, see the separate instructions.

Schedule C (Form 1040) 2017

720001 10-21-17

**Exhibit C-4 “Financial Arrangements”**

PJ Energy, LLC

N/A



## **Exhibit C-5 “Forecasted Financial Statements”**

PJ Energy, LLC

<b><u>Estimated Revenue</u></b>	<b><u>Expenses</u></b>	<b><u>Labor</u></b>	<b><u>Net</u></b>
2018 - 55,000	2018 - 3,500	2018 - 26,000	2018 - 25,500
2019 - 60,000	2019 - 4,000	2019 - 27,000	2019 - 29,000
2020 - 60,000	2020 - 4,000	2020 - 29,000	2020 - 27,000

Prepared by:

Philip A. Roberson  
577 Oberlin Rd. SW  
Massillon, OH 44647  
[philr@nfm.net](mailto:philr@nfm.net)  
Cell: 330.936.4770

**Exhibit C-6 “Credit Rating”**

PJ Energy, LLC

N/A

# Exhibit C-7 "Credit Report"

PJ Energy, LLC

7575 HUNTINGTON PARK DRIVE  
HM1101  
COLUMBUS OH 43235-1204

## HUNTINGTON MORTGAGE

Your Credit Score and the Price  
You Pay for Credit

LENDER CASE #: XXXXXXXX  
COMPUTER ID #: 716260142107618

### Applicant

NAME: PHILIP ROBERSON  
1322 SPRINGHILL LN  
MASSILLON OH 44646

### Your Credit Score

Your credit score	+815 Source: Equifax Date: 09/16/2016	+820 Source: TransUnion Date: 09/16/2016	+840 Source: Experian Date: 09/16/2016
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### Understanding Your Credit Score

#### What you should know about credit scores

Your credit score is a number that reflects the information in your credit report.

Your credit report is a record of your credit history. It includes information about whether you pay your bills on time and how much you owe to creditors.

Your credit score can change, depending on how your credit history changes.

#### How we use your credit score

Your credit score can affect whether you can get a loan and how much you will have to pay for that loan.

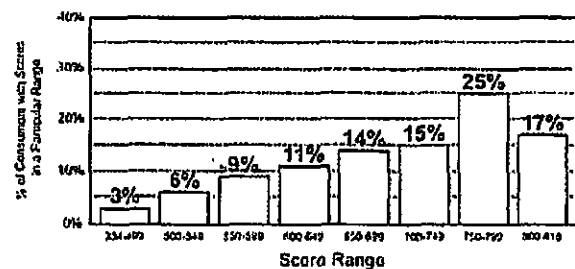
#### The range of scores

Equifax scores range from a low of 334 to a high of 818. TransUnion scores range from a low of 309 to a high of 839. Experian scores range from a low of 320 to a high of 844.

Generally, the higher your score, the more likely you are to be offered better credit terms.

#### How your score compares to the scores of other consumers

Equifax



TransUnion

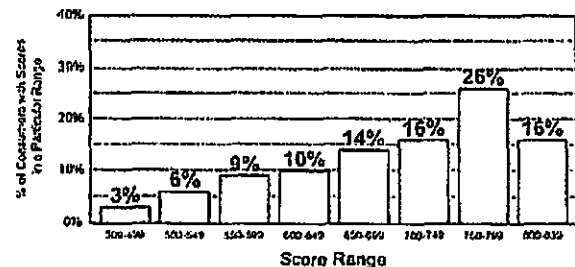


EXHIBIT C-7 CREDIT REPORT

Address Discrepancy: NO

ID INFORMATION

Type: 1

Source: INQUIRY SSN

Years Since SSN Issued: 54

Date SSN Issued: 1964

State SSN Issued: OH

Applicant Age at Issuance: AGE AT ID ISSUANCE NOT FOUND

SSN Verified: SSN VERIFICATION INFORMATION NOT FOUND

SSN Death Date: DATE OF DEATH NOT FOUND

SSN Death State: STATE OF DEATH NOT FOUND

OFAC INFORMATION

NO OFAC INFO RETURNED

CONSUMER INFORMATION

NO CONSUMER STATEMENT

SCORES

Product Name: EQUIFAX BEACON

Score: 00850

Reason Codes:

00000

00000

00000

00000

Inquiry Factor:

Reject Reason:

Product Name: EQUIFAX MM

Score: 00000

Reason Codes:

Inquiry Factor:

Reject Reason:

Product Name: EQUIFAX MM

Score: 00360

Reason Codes:

214

223

**Exhibit C-8 “Bankruptcy Information”**  
PJ Energy, LLC

None

**Exhibit C-9 “Merger Information”**

PJ Energy, LLC

None

## **Exhibit C-10 “Corporate Structure”**

PJ Energy, LLC

PJ Energy is a stand-alone entity with no affiliate or subsidiary companies.

# AFFIDAVIT

State of Ohio \_\_\_\_\_:

Massillon ss.  
(Town)

County of Stark \_\_\_\_\_:

Philip A. Roberson, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the Principle (Office of Affiant) of PJ Energy, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant.

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
8. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)



11. The Applicant herein attests that it will inform the Commission of any material change to the information supplied in the application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Saff use in investigating customer complaints.

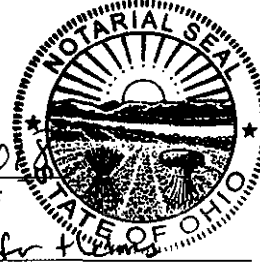
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Philip A. Palmer Principal  
Signature of Affiant & Title

Sworn and subscribed before me this 9<sup>th</sup> day of Sept. 2018  
Month Year

Jennifer Helms  
Signature of official administering oath

Jennifer Helms  
Print Name and Title



Jennifer Helms  
Notary Public, State of Ohio  
My Commission Expires 09-15-2019

My commission expires on 9/15/2019