

BLUE FLAME ENERGY SERVICES
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9/6/2018

Public Utilities Commission of Ohio Docketing Division 180 East Broad Street Columbus, Ohio 43215-3793

Re: Renewal Application for Aggregators/Power Brokers Original AGG <u>Case Number 12-2315-EL-AGG</u> <u>Certificate 12-561E (1)</u>

To Whom it May Concern:

Enclosed is Blue Flame Energy LLC's **Renewal Application for Electric Aggregators/Power Brokers**. Included is the original application with all required attachments as well as three copies of the original with attachments.

Sincerely,

Jeffrey Simler

Director of Operations

1/11

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.

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Ohio Public Utilities Commission

E-mail address

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RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use

	form. You may also download the form, by saving it to your local disk, for later use.				
A.	RENEWAL INFORMATION				
A-1	Applicant intends to be certified as: (check all that apply)				
	Power Broker Aggregator				
A-2	Applicant's legal name, address, telephone number, PUCO certificate number, and				
	web site address				
	Legal Name Blue Flame Energy LLC				
	Address 8456 East Washington, Chagrin Falls, Ohio 44023				
	PUCO Certificate # and Date Certified				
	Telephone # (440) 564-2203 Web site address (if any) www.blueflameenergyservices.com				
A-3	List name, address, telephone number and web site address under which Applicant will do business in Ohio Legal Name Blue Flame Energy LLC				
	Address 8456 East Washington Street, Chagrin Falls, Ohio 44023				
	Telephone # (440) 564-2203 Web site address (if any) www.blueflameenergyservices.com				
A-4	List all names under which the applicant does business in North America Blue Flame Energy LLC Blue Flame Energy Services				
	Blue Flame Energy LLC Blue Flame Energy Services				
	Dide Flatile Energy Services				
A-5	List all names under which the applicant does business in North America Blue Flame Energy LLC Blue Flame Energy Services Contact person for regulatory or emergency matters Name Jeffrey Simler Title President				
	Name Jeffrey Simler				
	Title President				
	Business address 8456 East Washington Street, Chagrin Falls, Ohio 44023				
	Telephone # (440) 564-2203 Fax # (440) 564-1147				

jeffs@blueflameenergyservices.cr

A-6	Contact person for Commission Staff use in investigating customer complaints		
	Name Jeffrey Simler		
	Title President		
	Business address 8456 East Washington Street, Chagrin Falls, Ohio 44023		
	Telephone # (440) 564-2203 Fax # (440) 564-1147		
	E-mail address jeffs@blueflameenergyservices.c		
A-7	Applicant's address and toll-free number for customer service and complaints		
	Customer Service address 8456 East Washington Street, Chagrin Falls, Ohio 440		
	Toll-free Telephone # (440) 665-7735 Fax # (440) 564-1147		
	E-mail address jeffs@blueflameenergyservices.c		
A-8	Applicant's federal employer identification number # 2647589159		
A-9	Applicant's form of ownership (check one)		
	☐ Sole Proprietorship ☐ Partnership		
	☐ Limited Liability Partnership (LLP) ☐ Limited Liability Company (LLC)		
	Corporation Other		
	PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:		
A-10	Exhibit A -10 "Principal Officers, Directors & Partners" provide the names, tit addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.		

B. <u>APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE</u>

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation," provide a 1st of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 <u>Exhibit B-2 "Experience & Plans,"</u> provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

- B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations," provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.
- B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

 ☑ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☑No ☐Yes

If yes, provide a separate attachment labeled as **Exhibit B-5** "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation" detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- C-1 <u>Exhibit C-1 "Annual Reports,"</u> provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)
- C-2 <u>Exhibit C-2 "SEC Filings,"</u> provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

- C-3 Exhibit C-3 "Financial Statements," provide copies of the applicant's two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).
- C-4 <u>Exhibit C-4 "Financial Arrangements,"</u> provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU's collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

- 1. The applicant itself stating that it is investment grade rated by Moody's, Standard & Poor's or Fitch and provide evidence of rating from the rating agencies.
- 2. Have a parent company or third party that is investment grade rated by Moody's, Standard & Poor's or Fitch guarantee the financial obligations of the applicant to the LDU(s).
- 3. Have a parent company or third party that is not investment grade rated by Moody's, Standard & Poor's or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guaranter company's financials must be included in the application if the applicant is relying on this option.
- 4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

Exhibit A-10 Principal Officers Directors & Partners

Jeffrey Simler

President

16291 Wake Robin Drive

Newbury, Ohio 44065

jeffs@blueflameenergyservices.com

Exhibit B-1 <u>Jurisdictions of Operation</u>

Blue Flame Energy LLC as of the date of this filing is a certified to provide retail natural gas service and retail/wholesale electric services in the State of Ohio.

Exhibit B-2 Experience & Plans

Blue Flame Energy LLC provides Natural Gas / Energy consulting and aggregation services to consumers in Ohio. Blue Flame Energy LLC has been a certified power broker/ aggregator since 2012 (certificate number 12-561E(1)) and an Ohio Competitive Retail Natural Gas Aggregator/Broker since 2012 (certificate number 12-273G(1)).

Blue Flame Energy LLC works with and plans to continue to work with certified retail electric suppliers / Natural Gas suppliers in Ohio to arrange for the supply of retail electric service and retail Natural Gas service to those customers. Blue Flame Energy LLC does not directly enter into contracts with end-use consumers or provide billing services for the energy supply. Consumers enter into contractual arrangements with certified retail electric suppliers/Natural Gas Suppliers and are billed by either that supplier or their utility. If there are any Customer inquiries or complaints they are directed to the supplier who has contracted with them or the customer's utility.

Exhibit B-3 <u>Disclosure of Liabilities and Investigations</u>

Blue Flame Energy LLC does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact its financial or operational status or ability to provide energy consulting or aggregation services.

Exhibit C-1 **Annual Reports**

Blue Flame Energy LLC is a privately held company and is not required to prepare annual reports.

Exhibit C-2 SEC Filings

Blue Flame Energy LLC is a privately held company and does not produce 10K/8-K filings, and is not required to file with the SEC.

Exhibit C-3 **Financial Statements**

Blue Flame Energy LLC's officer certified financial statements are attached under seal.

Exhibit C-4 Financial Arrangements

Blue Flame Energy does not need to relay on outside financial arrangements to conduct its business providing energy consulting or aggregation services.

Exhibit C-5 Forecasted Financial Statements

Attached are two years of forecasted income statements for ELECTRIC related business activities in the State of Ohio under seal. These projections were prepared by Jeffrey Simler, President of Blue Flame Energy Services whose address is 8456 East Washington Street, Chagrin Falls, Ohio 44023. Telephone number 440-564-2203, and E-mail jeffs@blueflameenergyservices.com.

Exhibit C-6 Credit Rating

Blue Flame Energy LLC is not a publicly rated company and does not have its own credit rating.

Exhibit C-7 Credit Report

Attached, under seal, is an original and three copies of Blue Flame Energy LLC's credit report from Dun and Bradstreet.

Exhibit C-8 **Bankruptcy Information**

The company, its affiliates, and officers have not filed for reorganization or bankruptcy protection within the past two years.

Exhibit C-9 **Merger Information**

Blue Flame Energy LLC has not been involved in any corporate dissolutions, mergers, or acquisitions in the past two years.

- C-5 <u>Exhibit C-5 "Forecasted Financial Statements,"</u> provide two years of forecasted income statements for the applicant's ELECTRIC related business activities in the state of Ohio Only, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating," provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 <u>Exhibit C-7 "Credit Report,"</u> provide a copy of the applicant's credit report from Experion, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information," provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 <u>Exhibit C-9 "Merger Information,"</u> provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C 10 "Corporate Structure." provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Signature of Applicant & Title	
Sworn and subscribed before me this 6 da Month	y of September 2018 Year
Kacky M Abanholt Signature of official administering oath	KAthryn M Sht Nholtzer Print Name and Title Notary
KATHRIVN M. SHANHOLTZER Notary Public. Stells of Only My commission expires on Paccorded in Profage County My commission expires on My Constission Expires 8-7-61	

Exhibit C-10

Corporate Structure

Blue Flame Energy, LLC is a Limited Liability Company formed in the State of Ohio. Blue Flame Energy does not have any affiliate or subsidiary companies that supply retail/ wholesale electricity or natural gas to customers. Blue Flame Energy, LLC does not have any affiliate or subsidiary companies that aggregate customers in North America.

<u>AFFIDAVIT</u>

State of <u>01110</u> :	(Town) ss.
County of GENUGA:	(lown)
JEFFALY SIMLER Affian	nt, being duly sworn/affirmed according to law, deposes and says that:
He/She is the fresion	(Office of Affiant) of Blue Flore Ency (Name of Applicant);
That he/she is authorized to and de	oes make this affidavit for said Applicant,

- The Applicant herein, attests under penalty of false statement that all statements made in the
 application for certification renewal are true and complete and that it will amend its application while
 the application is pending if any substantial changes occur regarding the information provided in the
 application.
- 2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- 4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- 5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- 10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

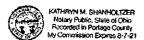
That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Sworn and subscribed before me this 6 day of September, 2018

Year

Xach M Markett

Signature of official administering with Print Name and Title Wathry



My commission expires on 8-7-202