

FILE

Ohio

Public Utilities  
Commission

Original AGG Case Number	Version
16-1732-EE-AGG	May 2016

## RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

## A-1 Applicant intends to be certified as: (check all that apply)

☒ Power Broker ☒ Aggregator

## A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Gotham Energy 360, LLC  
 Address 48 Wall St. 5th Floor  
 PUCO Certificate # and Date Certified 16-1124E (1), 9-16-16  
 Telephone # (917) 338-1023 Web site address (if any) gotham360.com

## A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Gotham Energy 360, LLC  
 Address 48 Wall St. 5th Floor  
 Telephone # (917) 338-1023 Web site address (if any) \_\_\_\_\_

## A-4 List all names under which the applicant does business in North America

Gotham 360  
 \_\_\_\_\_  
 \_\_\_\_\_

## A-5 Contact person for regulatory or emergency matters

Name Jennifer Kearney  
 Title Partner  
 Business address 48 Wall St. 5th Floor  
 Telephone # (917) 338-1023 Fax # (212) 943-6559  
 E-mail address jkearney@gotham360.com

This is to certify that the images appearing are an accurate and complete reproduction of a case file document delivered in the regular course of business.  
 Date Processed AUG 02 2018

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 2018 AUG -2 PM 12:23

**A-6 Contact person for Commission Staff use in investigating customer complaints**

Name Jennifer Kearney  
Title Partner  
Business address 48 Wall St. 5th Floor  
Telephone # (917) 338-1023 Fax # (212) 943-6559  
E-mail address jkearney@gotham360.com

**A-7 Applicant's address and toll-free number for customer service and complaints**

Customer Service address \_\_\_\_\_  
Toll-free Telephone # \_\_\_\_\_ Fax # \_\_\_\_\_  
E-mail address \_\_\_\_\_

**A-8 Applicant's federal employer identification number # 26-2732624**

**A-9 Applicant's form of ownership (check one)**

- |  |   |
|--|---|
| <input type="checkbox"/> Sole Proprietorship                 | <input type="checkbox"/> Partnership                                |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input checked="" type="checkbox"/> Limited Liability Company (LLC) |
| <input type="checkbox"/> Corporation                         | <input type="checkbox"/> Other _____                                |

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**A-10 Exhibit A-10 "Principal Officers, Directors & Partners" provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.**

Jennifer Kearney, Founder & Partner  
1703 Newman Ln.  
Wall, NJ 07719  
(646) 306-4755

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**B-1 Exhibit B-1 "Jurisdictions of Operation," provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.**

**B-2 Exhibit B-2 "Experience & Plans," provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.**

**B-3** **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

**B-4** Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

**B-5** Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

## **C. FINANCIAL CAPABILITY AND EXPERIENCE**

**PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:**

**C-1** **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

**C-2** **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

**C-3 Exhibit C-3 “Financial Statements,”** provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

**C-4 Exhibit C-4 “Financial Arrangements,”** provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

  
Signature of Applicant & Title

Sworn and subscribed before me this 23 day of July, 2018  
Month Year

  
Signature of official administering oath

**CHRISTINE PRIES, NOTARY PUBLIC**  
Print Name and Title

My commission expires on 12/18/2021

Christine Mary Pries  
Notary Public, State of New York  
Reg. No. 01PR6368490  
Qualified in New York County  
Commission Expires December 18, 2021

# **AFFIDAVIT**

State of New York :

New York ss.  
(Town)

County of New York :

Jennifer Kearney, Affiant, being duly sworn/affirmed according to law, deposes and says that:

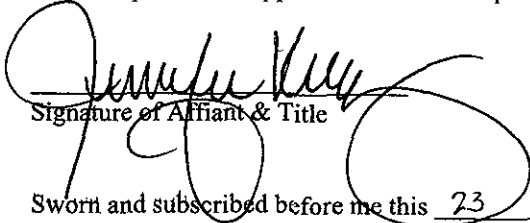
He/She is the Co-Executive Partner (Office of Affiant) of Gotham Energy 360, LLC (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

  
Signature of Affiant & Title

Sworn and subscribed before me this 23 day of July, 2018  
Month Year

  
Signature of official administering oath

CHRISTINE PRIES, NOTARY PUBLIC  
Print Name and Title

My commission expires on 12/18/2021

Christine Mary Pries  
Notary Public, State of New York  
Reg. No. 01PR6368490  
Qualified in New York County  
Commission Expires December 18, 2021

**B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE**

**B-1 Exhibit B-1 "Jurisdictions of Operation"**

New York  
Massachusetts  
Maryland  
New Jersey

**B-2 Exhibit B-2 "Experience and Plans"**

Gotham Energy 360 LLC has comprehensive understanding of all deregulated markets in the US. We have been advising commercial clients since 2008 on retail power and natural gas procurements. The growth of our business is sustained by our fundamental approach to providing solid quantitative, market driven, analysis that help our clients make informed decisions around their energy purchasing activities. Our plans for growth are driven by our desire to serve those clients who need greater transparency and personal service.

**B-3 Exhibit B-3 "Disclosure of Liabilities and Investigations"**

We have no liabilities, pending judgements or are involved in any matters that could affect our business.

**C. FINANCIAL CAPABILITY AND EXPERIENCE**

**C-1 Exhibit C-1 "Annual Reports"**

None available, as Gotham Energy 360, LLC does not have shareholders and is not a publicly traded company.

**C-2 Exhibit C-2 "SEC Filings"**

None available, as Gotham Energy 360, LLC does not have shareholders and is not a publicly traded company.

**C-3 Exhibit C-3 "Financial Statements"**

Please see attached.

**C-4 Exhibit C-4 "Financial Arrangements"**

N/A. Gotham Energy 360, LLC will not be taking title of power.

**C-5 Exhibit C-5 "Forecasted Financial Statements"**

Gotham 360 has no forecasted financial statements.

**C-6 Exhibit C-6 "Credit Rating"**

Please see attached.

**C-7 Exhibit C-7 "Credit Report"**

Please see attached.

**C-8     Exhibit C-8 "Bankruptcy Information"**

None available.

**C-9     Exhibit C-9 "Merger Information"**

None available.

**C-10    Exhibit C-10 "Corporate Structure"**

Jennifer Kearney is the sole partner of Gotham Energy 360, LLC. Aaron Miller works for Ms. Kearney as the Vice President of Business Development. Sean Mullen and Sarah Gilly work for Ms. Kearney as the procurement director and program director, respectively. Laurie Williamson supports Mr. Mullen as the Director of Support Services, and Christine Pries and Ella Merrill support Ms. Gilly and Ms. Kearney as program managers. Hafsa Sabri and Stephanie Miller provide additional support as program analysts.

**C. Applicant Financial Capability and Experience**

Exhibit C-3, "Financial Statements"

**M. ALLAN DUNZELLO  
9 CONSTITUTION DRIVE  
LEONARDO, NJ 07737-1808  
ACCOUNTANT  
FEDERAL AND STATE TAX PREPARATION  
TEL: (732) 872-0629  
FAX: (732) 872-2304  
allandunzello@yahoo.com**

**March 1, 2018**

Jennifer Kearney, Member  
Gotham Energy 360 LLC  
48 Wall Street, 5th Floor  
New York, NY 10005

Dear Jennifer Kearney,

I have prepared the 2016 and 2017 Comparative Income Statements and Comparative Balance Sheets on a consistent basis with prior year accountings, using generally accepted accounting principles as applied to the Cash Method of accounting.

The accounting was done using bank statements, credit card statements, payroll records and payroll tax returns.

Sincerely,

A handwritten signature in black ink, appearing to read "M. Allan Dunzello", with a long, sweeping horizontal line extending to the right.

M. Allan Dunzello

<b>GOTHAM ENERGY 360 LLC INCOME STATEMENT FOR THE YEAR ENDING :</b>	<b>PARTNERSHIP 1/1 - 8/6/2016</b>	<b>SINGLE MEMBER LLC 8/7 - 12/31/16</b>	<b>TOTAL 1/1-12/31/2016</b>	<b>SINGLE MEMBER LLC 12/31/2017</b>
RECEIPTS	842,612.07	512,448.72	1,355,060.79	1,587,420.03
SUBCONTRACTED	90,041.22	269,366.76	359,407.98	605,122.86
GROSS PROFIT	752,570.85	243,081.96	995,652.81	982,297.17
OTHER INCOME	153.24	49.10	202.34	
TOTAL INCOME	752,724.09	243,131.06	995,855.15	982,297.17
ADVERTISING	137.50		137.50	830.86
PEO EMPLOYEE EXPENSE				109,670.15
DEPRECIATION	1,031.41	868.82	1,900.23	
MEDICAL INSURANCE		501.88	501.88	
MEDICAL INSURANCE EMPLOYEES	942.06		942.06	7,385.67
INSURANCE EXPENSE	22.12	6,214.21	6,236.33	12,681.10
MEDICAL INSURANCE JK				17,745.88
INTEREST EXPENSE		423.26	423.26	2,096.18
ACCOUNTING	4,092.00		4,092.00	
LEGAL	9,522.50	14,185.00	23,707.50	
LEGAL & ACCOUNTING				43,524.75
OFFICE EXPENSE	9,522.17	3,473.03	12,995.20	12,646.92
EQUIPMENT RENTAL		45.96	45.96	
RENT	45,300.00	34,433.00	79,733.00	74,070.43
REPAIRS & MAINTENANCE				
SUPPLIES	454.24	1,040.64	1,494.88	
TAX EXPENSE- FICA	10,876.82	6,079.70	16,956.52	7,830.12
TAX EXPENSE- FUTA		216.00	216.00	225.51
TAX EXPENSE- MISC		1,294.63	1,294.63	
TAX EXPENSE- NYC UBT CURRENT	9,800.00	4,900.00	14,700.00	2,800.00
TAX EXPENSE- NYC UBT PRIOR	5,111.00		5,111.00	4,900.00
TAX EXPENSE- OHIO SUI	581.01		581.01	
TAX EXPENSE- SUI	313.95	177.49	491.44	1,069.39
TRAVEL & LODGING	42,443.47	25,258.36	67,701.83	75,239.81
MEALS & ENTERTAINMENT	2,737.26	4,677.21	7,414.47	8,976.18
MEALS ON PREMISIS	1,729.77	770.36	2,500.13	188.58
UTILITIES				
SALARIES & WAGES	142,180.57	79,473.15	221,653.72	102,353.86
ANNUAL FEE				
BANK FEES	60.50	250.00	310.50	1,602.35
BONDING		125.00	125.00	225.00
CLIENT GIFTS	79.35	1,830.91	1,910.26	
COLLECTION AGENT FEES				
COMPUTING EXPENSES				
CONFERENCE & SEMINARS	6,885.00	4,009.50	10,894.50	29,218.91
DELIVERY	172.90	245.13	418.03	2,238.90
ENERGY REPORTS	1,230.00		1,230.00	
FEDERAL & STATE REGISTRATION FEES				4,514.20
IT, ISP, SOFTWARE	14,343.84	11,791.64	26,135.48	8,552.19
LICENSES & PERMITS				
MARKETING EXPENSES				8,214.99
PAYROLL SERVICE		329.07	329.07	1,166.39
PRINTING	2,376.24	1,059.10	3,435.34	862.41
PROFESSIONAL DEVELOPMENT	6,435.41	200.37	6,635.78	

<b>GOTHAM ENERGY 360 LLC</b>				
<b>INCOME STATEMENT</b>	<b>PARTNERSHIP</b>	<b>SINGLE</b>	<b>TOTAL</b>	<b>SINGLE</b>
<b>FOR THE YEAR ENDING ;</b>	<b>1/1 - 8/6/2016</b>	<b>MEMBER LLC</b>	<b>1/1-12/31/2016</b>	<b>MEMBER LLC</b>
		<b>8/7 - 12/31/16</b>		<b>12/31/2017</b>
PROFSSIONAL/TRADE ASSN DUES	7,730.00	7,080.00	14810.00	7,225.05
SUBSCRIPTIONS	268.80	168.00	436.80	1,642.20
TELECOMM EXPS	2,047.54	1,156.19	3203.73	3,477.13
WEB SITE & INTERNET				
CONTRIBUTIONS	2,684.20	257.50	2,941.70	2,206.83
POLITICAL CONTRIBN	2,676.00	960.00	3,636.00	
GUARANTEED PAYMENTS JH	261,512.91		261512.91	
GUARANTEED PAYMENTS SM	156,300.00		156300.00	
SEP JK				54,000.00
TOTAL DEDUCTIONS	751,600.54	213,495.11	965,095.65	609,381.94
NET INCOME (LOSS) PER BOOKS	1,123.55	29,635.95	30,759.50	372,915.23
50% MEALS & ENTERTAINMENT	1,368.63	2,338.61	3,707.24	4,488.09
CONTRIBUTIONS	2,684.20	257.50	2,941.70	2,206.83
POLITICAL CONTRIBN	2,676.00	960.00	3,636.00	0.00
HEALTH INSR JK				17,745.88
SEP JK				54,000.00
DEPREDCIATION- SEC 179				
NET INCOME (LOSS) PER TAX RETURN	7,852.38	33,192.06	41,044.44	451,356.03

**GOTHAM ENERGY 360 LLC**

**BALANCE SHEET**

**AS OF 12/31 :**

	<b>8/6/2016</b>	<b>12/31/2016</b>	<b>2017</b>
<b>ASSETS</b>			
CASH- SANTANDER...086	15,543.43	11,642.44	68,863.68
CASH- BANK OF AMERICA	27,206.32	9,824.86	20,646.13
PAYROLL TAX PAYABLE CLEARING	6,262.28		
PREPAID CONTRACTED SERVICES	30,019.86		
FIXED ASSETS	17,371.98	18,240.80	18,240.80
ACCUMULATED DEPRECN	17,371.98	18,240.80	18,240.80
NET FIXED ASSETS	0.00	0.00	0.00
TOTAL ASSETS	<u>79,031.89</u>	<u>21,467.30</u>	<u>89,509.81</u>
<b>LIABILITIES</b>			
ACCOUNTS PAYABLE	5,935.55	4,021.60	4,710.79
ACCOUNTS PAYABLE- S MULLEN			8,771.95
PAYROLL TAX PAYABLE 941	5,296.34		
PAYROLL TAX PAYABLE 940		216.00	225.51
PAYROLL TAX PAYABLE NY IT		577.61	
PAYROLL TX PAYBL NYC IT	369.82		
PAYROLL TAX PAYABLE NY & NYC IT			507.87
PAYROLL TX PAYBL NYS SUI	94.63	17.00	136.00
PAYROLL TX PAYBL OHIO IT	(2,300.53)		
LOAN PAYABLE- SANTANDER BANK		50,000.00	50,000.00
CASH / ACCRUAL BASIS ADJ			9,405.98
TOTAL LIABILITIES	<u>9,395.81</u>	<u>54,832.21</u>	<u>73,758.10</u>
<b>CAPITAL</b>			
J. HEROLD 1/1	62,825.88	69,636.08	(33,364.91)
CONTRIBUTIONS			87,279.89
PRIOR YEAR ADJ			
GUARANTEED PAYMENTS	283,512.91		
SHARE OF INCOME	764.01	29,635.95	372,915.23
DISTRIBUTIONS- HEALTH CARE INSR		(4,864.52)	
DISTRIBUTIONS	(277,466.72)	(127,772.42)	(411,078.50)
J. HEROLD 12/31	69,636.08	(33,364.91)	15,751.71
S. MULLEN 1/1	34,656.50		
CONTRIBUTIONS			
PRIOR YEAR ADJ			
GUARANTEED PAYMENTS	120,613.00		
SHARE OF INCOME	5,831.45		
DISTRIBUTIONS	(161,100.95)		
S. MULLEN 12/31	0.00	0.00	
TOTAL PARTNERS' CAPITAL	<u>69,636.08</u>	<u>(33,364.91)</u>	<u>15,751.71</u>
TOTAL LIABILITIES & CAPITAL	<u>79,031.89</u>	<u>21,467.30</u>	<u>89,509.81</u>

**C. Applicant Financial Capability and Experience**

Exhibit C-7, "Credit Report"

This Dun & Bradstreet report is provided for your company's internal review. It may not be used for any other purpose or shared.

## Business Information Report

User Id: sdavis@gotham360.com

Report Printed: Thursday July 26, 2018 09:23 AM

### Business Summary

Company Name:	GOTHAM ENERGY 360, LLC	D-U-N-S Number:	009744458
Physical Address:	48 Wall St, 5th Fl, Ste 10,		
Physical City:	New York		
Physical State:	NY		
Physical Zip:	10005		
Telephone:	917 338-1023		
Chief executive:	JENNIFER KEARNEY, MEMBER		
Year started:	2008		
Legal structure of the business:	Corporation		
Employs:	10		
History:	INCOMPLETE		
SIC:	1389		
	8748		
Line of business:	Business consulting services, oil/gas field services		

### History

The following information was reported 01/04/18:

Officer(s): JENNIFER KEARNEY, MEMBER

The New York Secretary of State's business registrations file showed that Gotham Energy 360, LLC was registered as a Limited Liability Company on March 27, 2008. Business started 2008.

JENNIFER KEARNEY. Antecedents are unknown.

Business address has changed from 171 E 84th St, New York, NY, 10028 to 350 5th Ave Fl 59 Ste 59011, New York, NY, 10118.

Business address has changed from 350 5th Ave Fl 59 Ste 59011, New York, NY, 10118 to 48 Wall St, 5th Fl, Ste 10,, New York, NY, 10005.

### Business Registration

CORPORATE AND BUSINESS REGISTRATIONS REPORTED BY THE SECRETARY OF STATE OR OTHER OFFICIAL SOURCE AS OF 2018-07-13

Registered Name:	GOTHAM ENERGY 360 LLC
Business type:	LIMITED LIABILITY COMPANY
Corporation type:	
Date incorporated:	
State of incorporation:	COLORADO
Filing date:	2015-08-28
Registration ID:	20151561127
Duration:	PERPETUAL
Status:	DELINQUENT
Where filed:	DEPT OF STATE/DIVISION OF COMMERCIAL RECORDINGS,DENVER,CO

### Operations

2018-01-04

Description: Provides business consulting services, specializing in energy conservation (50%). Provides oil and gas field services, specializing in oil consulting (50%).

Employees: 10 which includes officer(s).

Facilities: Rents premises in a building.

Location: Central business section on main street. This address is believed to be a virtual office/executive suite address.

### SIC & NAICS

SIC:	NAICS:
13899911 Oil consultants	213112 Support Activities for Oil and Gas Field Operations
87489904 Energy conservation consultant	541690 Other Scientific and Technical Consulting Services

### PAYMENT SUMMARY

The Payment Summary section reflects payment information in Dun & Bradstreet's file as of the date of this report.

Below is an overview of the company's dollar-weighted payments, segmented by its suppliers' primary industries:

This Dun & Bradstreet report is provided for your company's internal review. It may not be used for any other purpose or shared.								
	Total Rcv'd (#)	Total Dollar Amts (\$)	Largest High Credit (\$)	Within Terms (%)	Days Slow			
					<31(%)	31-60(%)	61-90(%)	90+(%)
Top Industries:								
Other payment categories:								
Cash experiences	3	550	500					
Payment record unknown	0	0	0					
Unfavorable comments	0	0	0					
Placed for collections:								
With Dun & Bradstreet	0	0						
Other	0	N/A						
Total in Dun & Bradstreet's file	3	550	500					

The highest Now Owes on file is \$0

The highest Past Due on file is \$0

D&B receives over 600 million payment experiences each year. We enter these new and updated experiences into D&B Reports as this information is received.

## PAYMENT DETAILS

### Detailed Payment History

Date Reported (mm/yy)	Paying Record	High Credit (\$)	Now Owes (\$)	Past Due (\$)	Selling Terms	Last Sale Within (months)
06/18	(001)	500	0	0	Cash account	1 mo
04/18	(002)				Cash account	6-12 mos
04/17	(003)	50			Cash account	1 mo

Payments Detail Key: ■ 30 or more days beyond terms

## FINANCE

2018-07-07

The name and address of this business have been confirmed by D&B using available sources.

## PUBLIC FILINGS

No Public Filings available for this Company.

## Government Activity

### Activity summary

Borrower (Dir/Guar):	NO
Administrative debt:	NO
Contractor:	NO
Grantee:	NO
Party excluded from federal program(s):	NO

### Possible candidate for socio-economic program consideration

Labor surplus area:	N/A
Small Business:	YES (2018)
8(A) firm:	N/A

The details provided in the Government Activity section are as reported to Dun & Bradstreet by the federal government and other sources.