

Attorneys at Law

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May 30, 2018

Via Federal Express Public Utilities Commission of Ohio Docketing Division 180 East Broad Street Columbus, OH 43215-3793

#### Re: EMEX Power, LLC Original AGG Case Number: 11-5707-EL-AGG Material Change Notification

Dear Sir/Madam:

EMEX Power, LLC submits the attached Exhibits as its Material Change Notification advising the Public Utilities Commission of changes to its last Renewal Application for Electric Aggregators / Power Brokers.

Should you need any additional information, or have any questions or comments, please feel free to contact me. Thank you for your assistance.

Sincerely

Kimberly Scott Paralegal

Enclosures

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Andrews Myers 1885 Saint James Place, 15th Floor • Houston, Texas 77056-4110 T 713.850.4200 F 713.850.4211 • andrewsmyers.com 4834-9727-4470 v. 1 2142.0

#### Exhibit A-10 "Principal Officers, Directors and Partners"

Daniel Marzuola, President and Chief Executive Officer Kevin McAlpin, Vice President and General Counsel Jason Ives, Chief Technology Officer 11011 Richmond Avenue, Suite 500 Houston, TX 77042

## Exhibit C-9 "Merger Information"

On May 1, 2018, O2 Investment Partners, LLC made a significant equity investment in EMEX, coordinating a purchase of the interests held by the previous majority owners.

The following entities directly or indirectly own ten percent or more of the ownership interest in EMEX, LLC:

EMEX, LLC is 100% owned by EMEX Acquisition, LLC

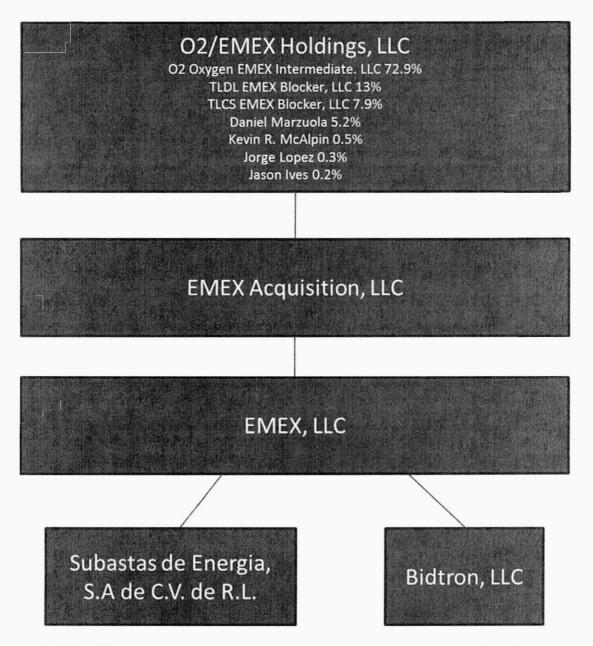
EMEX Acquisition, LLC is 100% owned by O2/EMEX Holdings, LLC

O2/EMEX Holdings, LLC is owned by:

O2 Oxygen EMEX Intermediate, LLC 72.9%

TLDL EMEX Blocker, LLC 13%

### Exhibit C-10 "Corporate Structure"



Only EMEX, LLC brokers electricity or natural gas in North America.

President and General Kevin McAlpin, Wice Counsel

# STATE OF TEXAS § COUNTY OF HARRIS §

Sworn and subscribed before me on this  $\underline{29}$  day of May, 2018.

BRONTE EMMANUELLE VEREB Notary ID #131480850 My Commission Expires March 9, 2022

Notary Public, State of Texas

HOUSTON SS.

State of TEXAS : County of HARRIS :

(Town

Kevin R. M. Alpin , Affiant,	being duly sworn/affirm	ed according to	law, depo	oses and says that:
He/She is the VicePresident	_ (Office of Affiant) of _	EMEX	LLC	(Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

- 1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
- The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
- 3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
- The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
- The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
- 6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
- 7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
- 8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
- 9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
- If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere
  to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the
  appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only
  applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

2, VicePresident ffiant & Title Signature of

Sworn and subscribed before me this <u>29</u> day of <u>MAY</u>, <u>2018</u> Month

ministering oath

BRONTE VEREB NOTHER PUBLIC

Year

BRONTE EMMANUELLE VEREB Notary ID #131480850 My Commission Expires March 9, 2022

My commission expires on MARCH 9 2022