

FILE

Riverside Energy

Public Utilities Commission of Ohio
Docketing Division
180 East Broad Street
Columbus, Ohio 43215-3793

RECEIVED - DOCKETING DIV
2018 APR 16 PM 2:30
PUCO

April 16, 2018

Re: Riverside Energy Inc.
Public Utilities Commission of Ohio Case 12-1261-EL-AGG

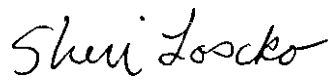
Dear Sir or Madam:

Please find attached Riverside Energy's notarized Renewal Application for Electric Aggregators / Power Brokers. Also attached are three (3) copies of the Renewal Application.

If you have any questions regarding the filing, please call me at 614-766-7740 or email me at sherri.loscko@riversideenergy.com.

Thank you.

Sincerely,



Sherri Loscko, President



Public Utilities Commission

Original AGG Case Number	Version
12 - 1261 -EL-AGG	May 2016

RENEWAL APPLICATION FOR ELECTRIC AGGREGATORS/POWER BROKERS

Please print or type all required information. Identify all attachments with an exhibit label and title (Example: Exhibit C-10 Corporate Structure). All attachments should bear the legal name of the Applicant. Applicants should file completed applications and all related correspondence with the Public Utilities Commission of Ohio, Docketing Division; 180 East Broad Street, Columbus, Ohio 43215-3793.

This PDF form is designed so that you may input information directly onto the form. You may also download the form, by saving it to your local disk, for later use.

A. RENEWAL INFORMATION

A-1 Applicant intends to be certified as: (check all that apply)



Power Broker



Aggregator

A-2 Applicant's legal name, address, telephone number, PUCO certificate number, and web site address

Legal Name Riverside Energy Inc.

Address 545 Metro Place South, Suite 100, Dublin, Ohio 43017

PUCO Certificate # and Date Certified 12-517R, 5/18/2012

Telephone # (614) 766-7740 Web site address (if any) RiversideEnergy.com

A-3 List name, address, telephone number and web site address under which Applicant will do business in Ohio

Legal Name Riverside Energy Inc.

Address 545 Metro Place South, Suite 100, Dublin, Ohio 43017

Telephone # (614) 766-7740 Web site address (if any) RiversideEnergy.com

A-4 List all names under which the applicant does business in North America

Riverside Energy Inc.

A-5 Contact person for regulatory or emergency matters

Name Sherri Loscko

Title President

Business address 545 Metro Place South, Suite 100, Dublin, Ohio 43017

Telephone # (614) 766-7740

Fax # (614) 573-7223

E-mail address sherri.loscko@riversideenergy.com

A-6 Contact person for Commission Staff use in investigating customer complaints

Name Sherri Loscko
Title President
Business address 545 Metro Place South, Suite 100, Dublin Ohio 43017
Telephone # (614) 766-7740 Fax # (614) 573-7223
E-mail address sherri.loscko@riversideenergy.com

A-7 Applicant's address and toll-free number for customer service and complaints

Customer Service address 545 Metro Place South, Suite 100, Dublin, Ohio 43027
Toll-free Telephone # (614) 766-7740 Fax # (614) 573-7223
E-mail address sherri.loscko@riversideenergy.com

A-8 Applicant's federal employer identification number # 45-5065430

A-9 Applicant's form of ownership (check one)

- | | |
|--|--|
| <input type="checkbox"/> Sole Proprietorship | <input type="checkbox"/> Partnership |
| <input type="checkbox"/> Limited Liability Partnership (LLP) | <input type="checkbox"/> Limited Liability Company (LLC) |
| <input checked="" type="checkbox"/> Corporation | <input type="checkbox"/> Other _____ |

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- A-10 Exhibit A-10 "Principal Officers, Directors & Partners"** provide the names, titles, addresses and telephone numbers of the applicant's principal officers, directors, partners, or other similar officials.

B. APPLICANT MANAGERIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

- B-1 Exhibit B-1 "Jurisdictions of Operation,"** provide a list of all jurisdictions in which the applicant or any affiliated interest of the applicant is, at the date of filing the application, certified, licensed, registered, or otherwise authorized to provide retail or wholesale electric services including aggregation services.
- B-2 Exhibit B-2 "Experience & Plans,"** provide a description of the applicant's experience and plan for contracting with customers, providing contracted services, providing billing statements, and responding to customer inquiries and complaints in accordance with Commission rules adopted pursuant to Section 4928.10 of the Revised Code.

B-3 **Exhibit B-3 "Disclosure of Liabilities and Investigations,"** provide a description of all existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations, or any other matter that could adversely impact the applicant's financial or operational status or ability to provide the services it is seeking to be certified to provide.

B-4 Disclose whether the applicant, a predecessor of the applicant, or any principal officer of the applicant have ever been convicted or held liable for fraud or for violation of any consumer protection or antitrust laws within the past five years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-4 "Disclosure of Consumer Protection Violations"** detailing such violation(s) and providing all relevant documents.

B-5 Disclose whether the applicant or a predecessor of the applicant has had any certification, license, or application to provide retail or wholesale electric service including aggregation service denied, curtailed, suspended, revoked, or cancelled within the past two years.

☒ No ☐ Yes

If yes, provide a separate attachment labeled as **Exhibit B-5 "Disclosure of Certification Denial, Curtailment, Suspension, or Revocation"** detailing such action(s) and providing all relevant documents.

C. FINANCIAL CAPABILITY AND EXPERIENCE

PROVIDE THE FOLLOWING AS SEPARATE ATTACHMENTS AND LABEL AS INDICATED:

C-1 **Exhibit C-1 "Annual Reports,"** provide the two most recent Annual Reports to Shareholders. If applicant does not have annual reports, the applicant should provide similar information in Exhibit C-1 or indicate that Exhibit C-1 is not applicable and why. (This is generally only applicable to publicly traded companies who publish annual reports.)

C-2 **Exhibit C-2 "SEC Filings,"** provide the most recent 10-K/8-K Filings with the SEC. If the applicant does not have such filings, it may submit those of its parent company. An applicant may submit a current link to the filings or provide them in paper form. If the applicant does not have such filings, then the applicant may indicate in Exhibit C-2 that the applicant is not required to file with the SEC and why.

C-3 Exhibit C-3 “Financial Statements,” provide copies of the applicant’s two most recent years of audited financial statements (balance sheet, income statement, and cash flow statement). If audited financial statements are not available, provide officer certified financial statements. If the applicant has not been in business long enough to satisfy this requirement, it shall file audited or officer certified financial statements covering the life of the business. If the applicant does not have a balance sheet, income statement, and cash flow statement, the applicant may provide a copy of its two most recent years of tax returns (with social security numbers and account numbers redacted).

C-4 Exhibit C-4 “Financial Arrangements,” provide copies of the applicant's financial arrangements to conduct CRES as a business activity (e.g., guarantees, bank commitments, contractual arrangements, credit agreements, etc.).

Renewal applicants can fulfill the requirements of Exhibit C-4 by providing a current statement from an Ohio local distribution utility (LDU) that shows that the applicant meets the LDU’s collateral requirements.

First time applicants or applicants whose certificate has expired as well as renewal applicants can meet the requirement by one of the following methods:

1. The applicant itself stating that it is investment grade rated by Moody’s, Standard & Poor’s or Fitch and provide evidence of rating from the rating agencies.
2. Have a parent company or third party that is investment grade rated by Moody’s, Standard & Poor’s or Fitch guarantee the financial obligations of the applicant to the LDU(s).
3. Have a parent company or third party that is not investment grade rated by Moody’s, Standard & Poor’s or Fitch but has substantial financial wherewithal in the opinion of the Staff reviewer to guarantee the financial obligations of the applicant to the LDU(s). The guarantor company’s financials must be included in the application if the applicant is relying on this option.
4. Posting a Letter of Credit with the LDU(s) as the beneficiary.

If the applicant is not taking title to the electricity or natural gas, enter "N/A" in Exhibit C-4. An N/A response is only applicable for applicants seeking to be certified as an aggregator or broker.

- C-5 **Exhibit C-5 "Forecasted Financial Statements,"** provide two years of forecasted income statements for the applicant's **ELECTRIC related business activities in the state of Ohio Only**, along with a list of assumptions, and the name, address, email address, and telephone number of the preparer. The forecasts should be in an annualized format for the two years succeeding the Application year.
- C-6 **Exhibit C-6 "Credit Rating,"** provide a statement disclosing the applicant's credit rating as reported by two of the following organizations: Duff & Phelps, Fitch IBCA, Moody's Investors Service, Standard & Poor's, or a similar organization. In instances where an applicant does not have its own credit ratings, it may substitute the credit ratings of a parent or an affiliate organization, provided the applicant submits a statement signed by a principal officer of the applicant's parent or affiliate organization that guarantees the obligations of the applicant. If an applicant or its parent does not have such a credit rating, enter "N/A" in Exhibit C-6.
- C-7 **Exhibit C-7 "Credit Report,"** provide a copy of the applicant's credit report from Experian, Dun and Bradstreet or a similar organization. An applicant that provides an investment grade credit rating for Exhibit C-6 may enter "N/A" for Exhibit C-7.
- C-8 **Exhibit C-8 "Bankruptcy Information,"** provide a list and description of any reorganizations, protection from creditors or any other form of bankruptcy filings made by the applicant, a parent or affiliate organization that guarantees the obligations of the applicant or any officer of the applicant in the current year or within the two most recent years preceding the application.
- C-9 **Exhibit C-9 "Merger Information,"** provide a statement describing any dissolution or merger or acquisition of the applicant within the two most recent years preceding the application.
- C-10 **Exhibit C - 10 "Corporate Structure,"** provide a description of the applicant's corporate structure, not an internal organizational chart, including a graphical depiction of such structure, and a list of all affiliate and subsidiary companies that supply retail or wholesale electricity or natural gas to customers in North America. If the applicant is a stand-alone entity, then no graphical depiction is required and applicant may respond by stating that they are a stand-alone entity with no affiliate or subsidiary companies.

Cheryl Lascko
Signature of Applicant & Title

Sworn and subscribed before me this 16th day of April, 2018
Month Year

Michael Allen Haney II
Signature of official administering oath

Michael Allen Haney II Notary Public
Print Name and Title

My commission expires on Jan. 31, 2023



MICHAEL ALLEN HANEY II
Notary Public, State of Ohio
My Comm. Expires Jan. 31, 2023
Recorded in Franklin County

AFFIDAVIT

State of Ohio :

Hilliard ss.
(Town)

County of Franklin :

Cheryl Loscke, Affiant, being duly sworn/affirmed according to law, deposes and says that:

He/She is the President (Office of Affiant) of Riverside Energy Inc. (Name of Applicant);

That he/she is authorized to and does make this affidavit for said Applicant,

1. The Applicant herein, attests under penalty of false statement that all statements made in the application for certification renewal are true and complete and that it will amend its application while the application is pending if any substantial changes occur regarding the information provided in the application.
2. The Applicant herein, attests it will timely file an annual report with the Public Utilities Commission of Ohio of its intrastate gross receipts, gross earnings, and sales of kilowatt-hours of electricity pursuant to Division (A) of Section 4905.10, Division (A) of Section 4911.18, and Division (F) of Section 4928.06 of the Revised Code.
3. The Applicant herein, attests that it will timely pay any assessments made pursuant to Sections 4905.10, 4911.18, or Division F of Section 4928.06 of the Revised Code.
4. The Applicant herein, attests that it will comply with all Public Utilities Commission of Ohio rules or orders as adopted pursuant to Chapter 4928 of the Revised Code.
5. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, and its Staff on any utility matter including the investigation of any consumer complaint regarding any service offered or provided by the Applicant.
6. The Applicant herein, attests that it will fully comply with Section 4928.09 of the Revised Code regarding consent to the jurisdiction of Ohio Courts and the service of process.
7. The Applicant herein, attests that it will use its best efforts to verify that any entity with whom it has a contractual relationship to purchase power is in compliance with all applicable licensing requirements of the Federal Energy Regulatory Commission and the Public Utilities Commission of Ohio.
8. The Applicant herein, attests that it will comply with all state and/or federal rules and regulations concerning consumer protection, the environment, and advertising/promotions.
9. The Applicant herein, attests that it will cooperate fully with the Public Utilities Commission of Ohio, the electric distribution companies, the regional transmission entities, and other electric suppliers in the event of an emergency condition that may jeopardize the safety and reliability of the electric service in accordance with the emergency plans and other procedures as may be determined appropriate by the Commission.
10. If applicable to the service(s) the Applicant will provide, the Applicant herein, attests that it will adhere to the reliability standards of (1) the North American Electric Reliability Council (NERC), (2) the appropriate regional reliability council(s), and (3) the Public Utilities Commission of Ohio. (Only applicable if pertains to the services the Applicant is offering)

11. The Applicant herein, attests that it will inform the Commission of any material change to the information supplied in the renewal application within 30 days of such material change, including any change in contact person for regulatory purposes or contact person for Staff use in investigating customer complaints.

That the facts above set forth are true and correct to the best of his/her knowledge, information, and belief and that he/she expects said Applicant to be able to prove the same at any hearing hereof.

Cheryl Loeck, President
Signature of Affiant & Title

Sworn and subscribed before me this 13 day of April, 2018
Month Year

Jonathan Bradley
Signature of official administering oath

Jonathan Bradley Notary Public
Print Name and Title

My commission expires on April 21, 2018



JONATHAN L. BRADLEY
Notary Public, State of Ohio
My Comm. Expires April 21, 2018

Riverside Energy

Exhibit A-10 “Principal Officers, Directors & Partners”

Sherri Loscko, President

545 Metro Place South, Suite 100

Dublin, Ohio 43017

614-766-7740

Stan Loscko, Vice-President

545 Metro Place South, Suite 100

Dublin, Ohio 43017

614-766-7740

545 Metro Place South, Suite 100 Dublin, Ohio 43017

Phone 614-766-7740 www.riversideenergy.com

Riverside Energy

Exhibit B-1 "Jurisdiction of Operations"

At the time of the filing of this renewal application, Riverside Energy is certified to provide retail broker and aggregator services in Ohio.

545 Metro Place South, Suite 100 Dublin, Ohio 43017
Phone 614-766-7740 www.riversideenergy.com

Riverside Energy

Exhibit B-2 “Experience and Plans”

Sherri Loscko, President of Riverside Energy has over 37 years of experience in electric rates, including 10 years in AEP’s Rate Department. At AEP, Sherri designed rates and performed cost of service studies. She has testified before the PUCO as an expert witness and has conducted workshops for various entities including associations and governmental entities.

Riverside Energy was formed to help businesses reduce their costs of electric service and understand their options. By understanding their options, customers are better able to make informed decisions regarding their purchases. Because of our vast experience in electric rate matters we are able to inform the customer of their options.

545 Metro Place South, Suite 100 Dublin, Ohio 43017

Phone 614-766-7740 www.riversideenergy.com

Riverside Energy

Exhibit B-3 “Disclosure of Liabilities and Investigations”

Riverside Energy does not have any existing, pending or past rulings, judgments, contingent liabilities, revocation of authority, regulatory investigations or any other matter that could adversely impact Riverside Energy’s financial or operation status or ability to provide the services it is seeking to be certified to provide.

545 Metro Place South, Suite 100 Dublin, Ohio 43017

Phone 614-766-7740 www.riversideenergy.com

Riverside Energy

Exhibit C-1 "Annual Reports"

Riverside Energy is not publicly traded and does not have annual reports.

Riverside Energy

Exhibit C-2 "SEC Filings"

Riverside Energy does not take title to the electricity and is not publicly traded and does not have SEC filings.

545 Metro Place South, Suite 100 Dublin, Ohio 43017
Phone 614-766-7740 www.riversideenergy.com

Riverside Energy

Exhibit C-4 "Financial Arrangements"

N/A

Riverside Energy

Exhibit C-6 "Credit Rating"

N/A

Riverside Energy

Exhibit C-7 "Credit Report"

Credit report from Experian is attached.

545 Metro Place South, Suite 100 Dublin, Ohio 43017

Phone 614-766-7740 www.riversideenergy.com



[Home](#) | [My companies](#) | [My account](#) | [Products and pricing](#) | [Help](#) | [Business services](#)

Note: This report was requested and billed to your account within the past 7 days.

For help reading this report, please review our [sample report](#).

Search inquiry: Riverside Energy / Dublin / OH / 43017 / (My company)

ProfilePlusSM Report

as of: 03/25/18 17:33 ET

Riverside Energy

Address: 545 Metro PL S Ste 100
Dublin, OH 43017-5353
United States

Phone: 614-766-7740

Website: riversideenergy.com

Experian BIN: 964137548

Key Personnel: Ucc Debtor: Stanley F Loscko
Ucc Debtor: Cheryl A Loscko
President: Sherri Loscko

SIC Code: 8748-Business Consulting Services, Nec
1711-Plumbing, Heating & A/C Contractors

NAICS Code: 541618-Other Management Consulting Services
238220-Plumbing, Heating, And Air-Conditioning Contractors

Experian File Established: September 2012

Experian Years on File: 6 Years

Years In Business: 39 Years

Total Employees: 2

Payment Tradelines (see [charts, detail](#)): 3

Business Inquiries (see [summary](#)): 3

UCC Filings (see [detail](#)) (see [summary](#)): 2

X Businesses Scoring Worse: 8%

✓ **Bankruptcies:** 0

✓ **Liens:** 0

✓ **Judgments Filed:** 0

✓ **Collections:** 0

This location does not yet have an estimated Days Beyond Terms (DBT), or a Payment Trend Indicator.

This is often the result of too few Payment Tradelines.

Lowest 6 Month Balance: \$32

Highest 6 Month Balance: \$91

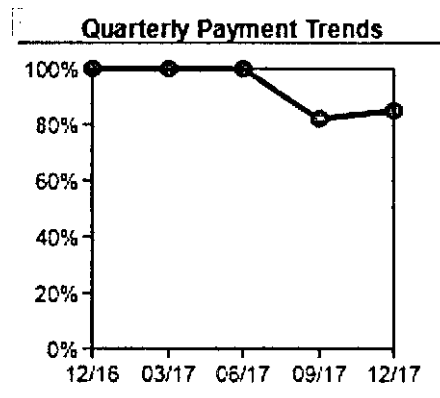
Current Total Account Balance: \$125

Highest Credit Amount Extended: \$91

Payment Summary

Page 1 of 1

Insufficient information to produce
Monthly Payment Trends
chart.



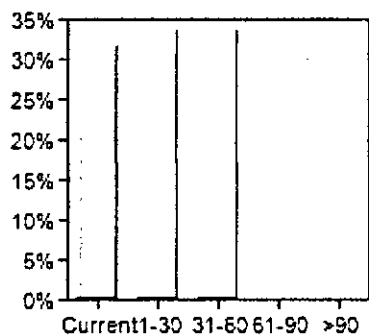
*Percentage of on-time payments by quarter.

Insufficient information to produce
Monthly Payment Trends
table.

Quarterly Payment Trends - Recent Activity

QTR	Current	Up to 30	31-60	61-90	>90
		DBT	DBT	DBT	DBT
12/16	100%	0%	0%	0%	0%
03/17	100%	0%	0%	0%	0%
06/17	100%	0%	0%	0%	0%
09/17	82%	18%	0%	0%	0%
12/17	85%	15%	0%	0%	0%

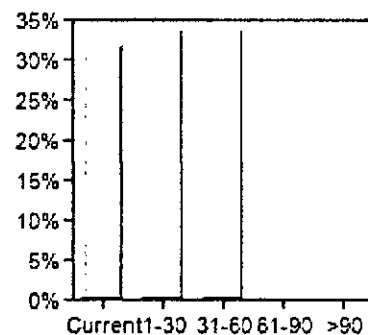
Continuous Payment Trends



*Continuous distribution with DBT.

Insufficient information to produce
Newly Reported Payment Trends
chart.

Combined Payment Trends



*Combined distribution with DBT.

Trade Payment Information

Page 1 of 1

Tradeline Experiences (Continuous Trades)

Supplier	Reported Activity	Payment	Account	Balance	Current	Up to 30	31-60	61-90	>90	Comments
Company	Date	Terms	Ref	Credit	DBT	DBT	DBT	DBT	DBT	
Communicn	2/01/18	Varied		\$91	\$85	51%	49%			Account Cl

Communicn 2/01/18	Varied	\$44	\$40	100%
-------------------	--------	------	------	------

Additional Tradeline Experiences (Aged Trades)

Supplier	Reported Activity Period	Factor	Balance	Current	Days	DT	LT	DD	DDT
Company	From	To	Term	DBT	DBT	DBT	DBT	DBT	DBT
Communicn 11/01/15	Varied	\$27	\$24	100%					Account Cl

Inquiry

Summary of Inquiries

Category	03/16	02/16	01/16	12/15	11/15	10/15	09/15	08/15	07/15
Factor	0	0	0	0	1	0	0	0	0
Fincl Svcs	0	0	0	0	2	0	0	0	0
Totals	0	0	0	0	3	0	0	0	0

UCC Filings

Date: 02/21/2017
 Filing Number: 20170520492
 Jurisdiction: Sec Of State OH
 Activity: Terminated

Date: 11/30/2016
 Filing Number: OH00206161068
 Jurisdiction: Sec Of State OH
 Secured Party: Corporation Service Company, As Represen Il Springfield 6270
 Activity: Filed

UCC Filings Summary

Filing Date	Cautionary Filing	Total Filing	Total Refused	Total Continued	Approved / Approved
01/01/2018	0	0	0	0	0
07/01/2017	0	0	0	0	0
01/01/2017	0	0	0	0	0
07/01/2016	0	1	1	0	0
01/01/2016	0	0	0	0	0
01/01/2016	0	0	0	0	0

Cautionary UCC Filings include one or more of the following collateral: Accounts, Accounts Receivable, Contracts, Hereafter acquired property, Leases, Notes Receivable, or Proceeds.

*** The information herein is furnished in confidence for your exclusive use for legitimate business purposes and shall not be reproduced. Neither Experian nor its sources or distributors warrant such**

information nor shall they be liable for your use or reliance upon it.


© 2018 Experian Information Solutions Inc.

[Back to top](#)

[Home](#) | [My companies](#) | [My account](#) | [Products and pricing](#) | [Help](#) | [Score Planner](#) | [Business services](#)

Use of this Web site constitutes acceptance of the SmartBusinessReports.com [Terms and Conditions](#) and [Privacy Policy](#).

© 2018 Experian Information Solutions, Inc. All rights reserved. Experian and the marks used herein are service marks or registered trademarks of Experian Information Solutions, Inc. Other product and company names mentioned herein may be the trademarks of their respective owners.

 powered by
SmartBusinessReports.com

Riverside Energy

Exhibit C-8 "Bankruptcy Information"

Not applicable. Riverside Energy has had no reorganizations or bankruptcies.

Riverside Energy

Exhibit C-9 “Merger Information”

Not applicable. Riverside Energy has not ever been involved in any mergers or acquisitions.

545 Metro Place South, Suite 100 Dublin, Ohio 43017

Phone 614-766-7740 www.riversideenergy.com

Riverside Energy

Exhibit C-10 “Corporate Structure”

Riverside Energy is an Ohio S-Corporation owned by Sherri and Stan Loscko.

Riverside Energy is a stand-alone entity with no affiliate or subsidiary companies.

545 Metro Place South, Suite 100 Dublin, Ohio 43017

Phone 614-766-7740 www.riversideenergy.com